



**UNIVERSITY OF CALIFORNIA**

Independent Auditors' Reports as Required by  
Office of Management and Budget (OMB)  
Circular A-133 and *Government Auditing Standards* and Related Information

Year ended June 30, 2014

<b>Location</b>	<b>EIN</b>
Office of the President	94-3067788
Berkeley	94-6002123
Davis	94-6036494
Irvine	95-2226406
Los Angeles	95-6006143
Merced	27-0093858
Riverside	95-6006142
San Diego	95-6006144
San Francisco	94-6036493
Santa Barbara	95-6006145
Santa Cruz	94-1539563

# UNIVERSITY OF CALIFORNIA

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# Independent Auditors' Report

THE BOARD OF REGENTS  
UNIVERSITY OF CALIFORNIA

## **Report on the Financial Statements**

We have audited the accompanying financial statements of the business-type activities of the University of California (the University), a component unit of the State of California, its aggregate discretely component units, the University of California Retirement System, and the University of California Retiree Health Benefit Trust, as of and for the year ended June 30, 2014, and the related notes to the financial statements, which collectively comprise the University's basic financial statements, as listed in the table of contents.

## **Management's Responsibility for the Financial Statements**

Management is responsible for the preparation and fair presentation of these financial statements in accordance with U.S. generally accepted accounting principles; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

## **Auditors' Responsibility**

Our responsibility is to express opinions on these financial statements based on our audit. We did not audit the financial statements of the University of California San Francisco Foundation, which represent 16% and 20% of the assets and revenues, respectively, of the aggregate discretely presented component units. Those financial statements were audited by other auditors whose report thereon has been furnished to us, and our opinion, insofar as it relates to the amounts included for the University of California San Francisco Foundation, is based solely on the report of other auditors. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditors' judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.



We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinions.

### **Opinions**

In our opinion, based on our audit and the report of other auditors, the financial statements referred to above present fairly, in all material respects, the respective financial position of the business-type activities of the University, its aggregate discretely presented component units, the University of California Retirement System, and the University of California Retiree Health Benefit Trust as of June 30, 2014, and the respective changes in financial position, and where applicable, cash flows thereof for the year then ended in accordance with U.S. generally accepted accounting principles.

### **Emphasis of Matters**

#### *Adoption of New Accounting Pronouncements*

As discussed in the significant accounting policies Note to the financial statements, in 2014, the University of California adopted Governmental Accounting Standards Board (GASB) Statement No. 65, *Items Previously Reported as Assets and Liabilities*, GASB Statement No. 67, *Financial Reporting for Pension Plans*, and GASB Statement No. 68, *Accounting and Financial Reporting for Pensions*. Our opinions are not modified with respect to this matter.

#### *Change in Reporting Entity*

As discussed in the financial reporting entity Note to the financial statements, in 2014, the University of California changed its reporting entity to include the Children's Hospital and Research Center Oakland as a discretely presented component unit. Our opinions are not modified with respect to this matter.

### **Other Matters**

#### *Required Supplementary Information*

U.S. generally accepted accounting principles require that the management's discussion and analysis on pages 3 through 20, and the schedules of changes in net pension liability, net pension liability, employers' contributions and funding progress on pages 83 through 88 be presented to supplement the basic financial statements. Such information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context. We have applied certain limited procedures to the required supplementary information in accordance with auditing standards generally accepted in the United States of America, which consisted of inquiries of management about the methods of preparing the information and comparing the information for consistency with management's responses to our inquiries, the basic financial statements, and other knowledge we obtained during our audit of the basic financial statements. We do not express an opinion or provide any assurance on the information because the limited procedures do not provide us with sufficient evidence to express an opinion or provide any assurance.

### **Other Reporting Required by Government Auditing Standards**

In accordance with *Government Auditing Standards*, we have also issued our report dated November 5, 2014 on our consideration of the University's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the University's internal control over financial reporting and compliance.

**KPMG LLP**

ORANGE COUNTY, CALIFORNIA  
NOVEMBER 5, 2014

# Management's Discussion and Analysis (Unaudited)

The objective of Management's Discussion and Analysis is to help readers of the University of California's financial statements better understand the financial position and operating activities for the year ended June 30, 2014, with selected comparative information for the year ended June 30, 2013. This discussion has been prepared by management and should be read in conjunction with the financial statements and the notes to the financial statements. Unless otherwise indicated, years (2013, 2014, 2015, etc.) in this discussion refer to the fiscal years ended June 30.

The University of California's financial report communicates financial information for the University of California (the University), its discretely presented component units (component units), the University of California Retirement System (UCRS) and the University of California Retiree Health Benefit Trust (UCRHBT) through five primary financial statements and notes to the financial statements. Three of the primary statements, the statements of net position, the statements of revenues, expenses and changes in net position and the statements of cash flows, present the financial position, changes in financial position and cash flows for the University and its discretely presented component units. The financial statements for the campus foundations and the Children's Hospital and Research Center Oakland are presented discretely from the University. Two of the primary statements, the statements of plans' and trust's fiduciary net position and the statements of changes in plans' and trust's fiduciary net position, present the financial position and operating activities for UCRS and UCRHBT. The notes to the financial statements provide additional information that is essential to a full understanding of the financial statements.

## THE UNIVERSITY OF CALIFORNIA

The University of California, one of the largest and most acclaimed institutions of higher learning in the world, is dedicated to excellence in teaching, research, health care and public service. The University has annual resources of nearly \$26.6 billion and encompasses ten campuses, five medical schools and medical centers, four law schools and a statewide Division of Agriculture and Natural Resources. The University is also involved in the operation and management of three national laboratories for the U.S. Department of Energy (DOE).

*Campuses.* The ten campuses are located in Berkeley, Davis, Irvine, Los Angeles, Merced, Riverside, San Diego, San Francisco, Santa Barbara and Santa Cruz. All of the campuses, except San Francisco, offer undergraduate, graduate and professional education; the San Francisco campus is devoted exclusively to graduate and professional education in health sciences.

*Health sciences.* The University operates one of the nation's largest health science and medical training programs. The instructional program is conducted in 16 health professional schools on six campuses. Our health programs include five medical centers, two dental schools, three nursing schools, two public health schools and two pharmacy schools, in addition to a school of optometry and a school of veterinary medicine. The University's medical schools play a leading role in the development of health services and advancement of medical science and research.

*Law schools.* The University has law schools at Berkeley, Davis, Irvine and Los Angeles. Also, the Hastings College of the Law in San Francisco is affiliated with the University, although not included in the financial reporting entity.

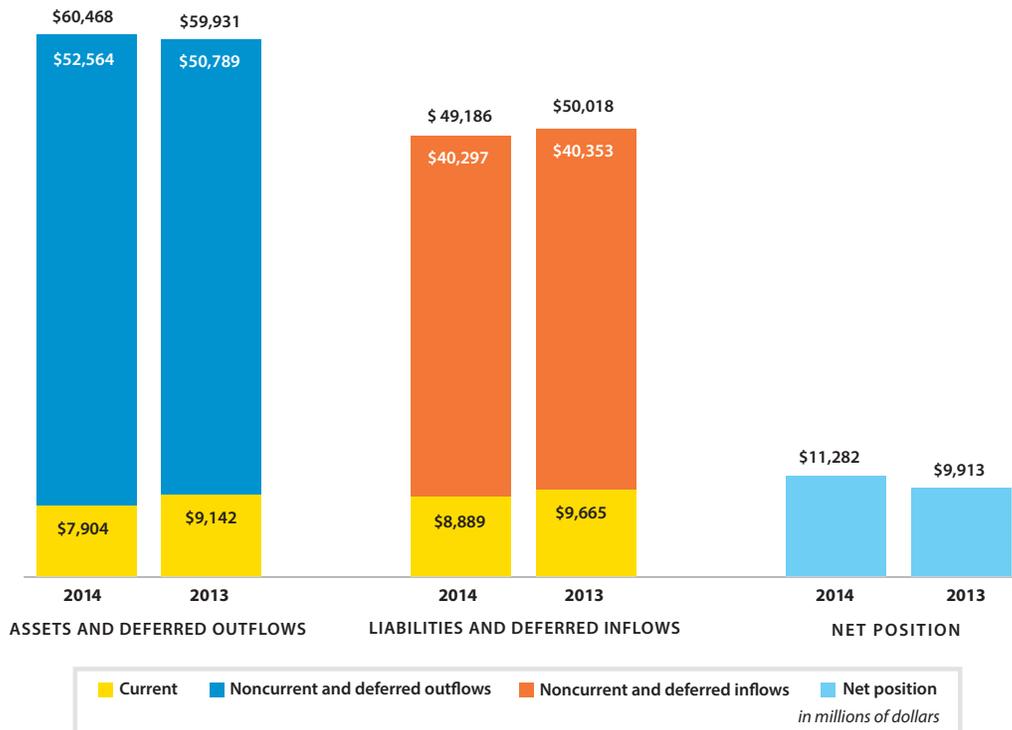
*Agriculture and Natural Resources.* The Division of Agriculture and Natural Resources is a statewide research and public service organization that serves a large and diverse agricultural community. The division conducts studies on the Berkeley, Davis and Riverside campuses, at nine research and extension centers and on private land in cooperation with California producers. In addition, research and educational programs are conducted in each of the state's 58 counties.

*University Extension.* The foremost continuing education program of its kind in size, scope and quality of instruction, University Extension offers almost 20,000 self-supporting courses statewide and in several foreign countries.

*National laboratories.* Under contract with the DOE, the University operates and manages the Ernest Orlando Lawrence Berkeley National Laboratory (LBNL) in California. The University is also a member in two separate joint ventures, Los Alamos National Security, LLC (LANS) and Lawrence Livermore National Security, LLC (LLNS) that operate and manage the Los Alamos National Laboratory (LANL) and Lawrence Livermore National Laboratory (LLNL), respectively, under contracts directly with the DOE. The laboratories conduct broad and diverse basic and applied research in nuclear science, energy production, national defense and environmental and health areas.

## THE UNIVERSITY'S FINANCIAL POSITION

The University changed its accounting policies with the implementation of new accounting standards. Changes in accounting policies for pensions are designed to improve transparency regarding pension obligations by requiring recognition of a liability equal to the net pension liability for the University's defined benefit plans. This standard requires recognition of pension expense using a systematic method, designed to match the cost of pension benefits with service periods for eligible employees. These accounting policy changes do not impact the University's funding requirements for the pension plans. The University also adopted accounting changes for reporting deferred inflows and outflows, which required the write-off of unamortized bond issuance costs. Financial information for 2013 has been restated to retroactively apply these new accounting policies.



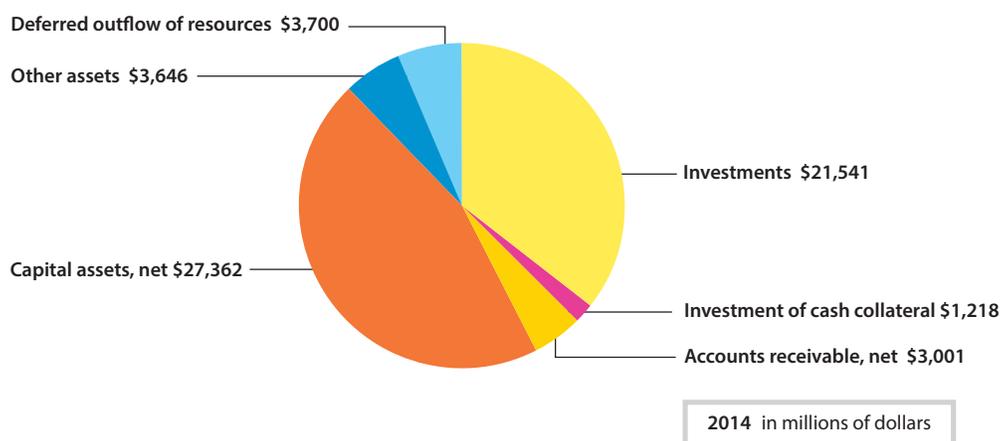
The statement of net position presents the financial position of the University at the end of each year. It displays all of the University's assets, deferred outflows, liabilities and deferred inflows. The difference between assets, deferred outflows, liabilities and deferred inflows is net position.

The major components of the assets, deferred outflows, liabilities, deferred inflows and net position as of June 30, 2014 and 2013 are as follows:

*(in millions of dollars)*

	2014	2013
<b>ASSETS</b>		
Investments	\$21,541	\$18,942
Investment of cash collateral	1,218	1,403
Accounts receivable, net	3,001	3,744
Capital assets, net	27,362	26,180
Other assets	3,646	4,895
<b>Total assets</b>	<b>56,768</b>	<b>55,164</b>
<b>DEFERRED OUTFLOWS OF RESOURCES</b>		
	3,700	4,767
<b>LIABILITIES</b>		
Debt, including commercial paper	18,031	17,236
Securities lending collateral	1,218	1,403
Pension obligations	7,714	12,338
Obligations for retiree health benefits	8,440	7,577
Other liabilities	6,705	7,246
<b>Total liabilities</b>	<b>42,108</b>	<b>45,800</b>
<b>DEFERRED INFLOWS OF RESOURCES</b>		
	7,078	4,218
<b>NET POSITION</b>		
Net investment in capital assets	11,884	11,856
Restricted:		
Nonexpendable	1,164	1,134
Expendable	6,837	5,729
Unrestricted	(8,603)	(8,806)
<b>Total net position</b>	<b>\$11,282</b>	<b>\$ 9,913</b>

### The University's Assets and Deferred Outflows



The University's total assets and deferred outflows of resources have grown to \$60.6 billion in 2014, compared to \$59.9 billion in 2013. Capital assets have increased due to continued investments in facilities and investments increased due to financial market returns.

### *Investments*

Investments held by the University are principally carried in three investment pools, the Short Term Investment Pool (STIP), the Total Return Investment Pool (TRIP) and the General Endowment Pool (GEP). Cash for operations and bond proceeds for construction expenditures are invested in STIP. The University uses STIP to meet operational liquidity needs. TRIP allows campuses the opportunity to maximize the return on long-term capital by taking advantage of the economies of scale of investing in a large pool across a broad range of asset classes. TRIP is managed to a total return objective and is intended to supplement STIP. As a result of continued low interest rates, the University increased its use of TRIP to enhance investment returns, while still maintaining sufficient funds in STIP to meet operational liquidity needs. The GEP is a balanced portfolio and the primary investment vehicle for individual endowments and funds functioning as endowments.

The Regents of the University of California (The Regents) utilize asset allocation strategies that are intended to optimize investment returns over time in accordance with investment objectives and at acceptable levels of risk. The GEP portfolio return was a positive return of 19.0 percent in 2014, and a positive return of 12.0 percent in 2013. TRIP had positive returns of 14.7 percent in 2014 and 8.3 percent in 2013. STIP had positive returns of 1.6 percent and 2.1 percent in 2014 and 2013, respectively.

### *Investment of cash collateral*

The University participates in a securities lending program incorporating securities owned by both the University and UCRS as a means to augment income. Cash collateral fluctuates in response to changes in demand from borrowers and the availability of securities based upon the University's asset allocation mix.

### *Accounts receivable, net*

Receivables fluctuate based on the timing of collections and investment sales activity. Receivables from investment sales decreased by \$742.6 million in 2014 as compared to 2013.

### *Capital assets, net*

Capital spending continues at a brisk pace in order to provide the facilities necessary to support the University's teaching, research and public service mission and for patient care. These facilities include core academic buildings, libraries, student services, housing and auxiliary enterprises, health science centers, utility plants and infrastructure and remote centers for educational outreach, research and public service. Total additions of capital assets were \$2.9 billion in 2014 as compared to \$2.6 billion in 2013.

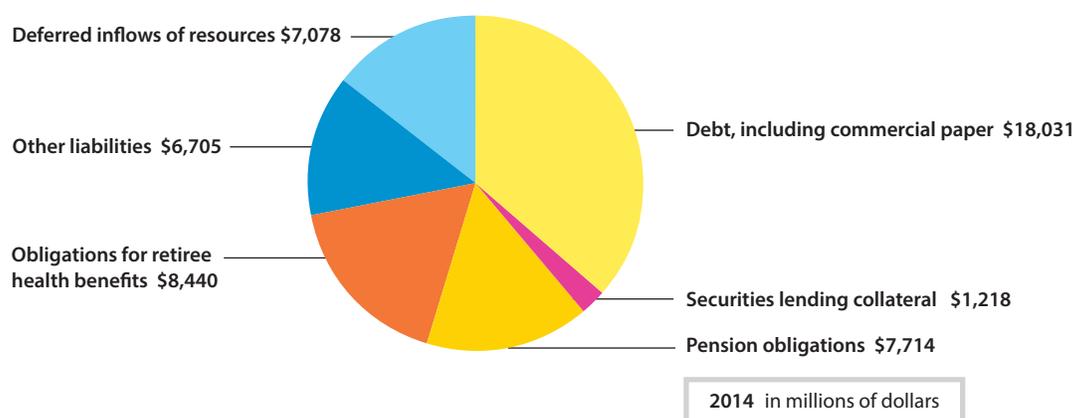
### *Other assets*

Other assets include cash, investments held by trustees, pledge receivables, note and mortgage receivables, inventories and a receivable from the DOE. Investments held by trustees decreased by \$626.2 million in 2014 related to the refinancing of debt issued by the state of California. The noncurrent receivable from the DOE, which fluctuates with the pension obligations due to the DOE's continuing responsibility to contribute for retired and terminated vested members of LLNS and LANL, decreased by \$615.8 million in 2014 as compared to 2013.

### *Deferred outflows of resources*

Changes in fair values of the University's interest rate swaps that are determined to be hedging derivatives, losses on debt refundings and changes in the net pension liability are reported as deferred outflows of resources. The decrease of \$1.1 billion in deferred outflows of resources in 2014 is primarily related to the recognition of changes in the net pension liability.

## The University's Liabilities and Deferred Inflows



The University's liabilities and deferred inflows of resources decreased to \$49.2 billion in 2014, compared to \$50.0 billion in 2013. The decrease in 2014 was primarily related to the reduction in pension obligations offset by issuance of debt to finance capital projects and the increase in the liability for retiree health benefits.

### Debt, including commercial paper

Capital assets are financed from a variety of sources, including University equity contributions, federal and state support, revenue bonds, bank loans, leases or structures that involve separate legal entities. Commercial paper and bank loans provide interim financing for capital assets during the construction period.

Outstanding debt increased by \$795.0 million in 2014. A summary of the activity follows:

<i>(in millions of dollars)</i>		<b>2014</b>
<b>ADDITIONS TO OUTSTANDING DEBT</b>		
General Revenue Bonds		\$ 3,429
Medical Center Pooled Revenue Bonds		650
Capital leases		36
Other borrowings		14
Bond premium, net		186
<b>Additions to outstanding debt</b>		<b>4,315</b>
<b>REDUCTIONS TO OUTSTANDING DEBT</b>		
Refinancing and prepayments		(2,433)
Scheduled principal payments		(687)
Payments on other borrowings		(31)
Commercial paper		(326)
Other <sup>1</sup>		(43)
<b>Reductions to outstanding debt</b>		<b>(3,520)</b>
<b>Net increase in outstanding debt</b>		<b>\$ 795</b>

<sup>1</sup>Amortization of bond premium

The University's debt, which is used to finance capital assets, includes \$993.7 million of commercial paper outstanding at the end of 2014 and \$1.3 billion of commercial paper outstanding at the end of 2013. Total debt outstanding was \$18.0 billion at the end of 2014, compared to \$17.2 billion at the end of 2013.

In August 2013, the University issued Medical Center Pooled Revenue Bonds totaling \$650.0 million to finance and refinance certain facilities and projects. In October 2013, the University issued General Revenue Bonds of \$2.5 billion to restructure Lease Revenue Bonds issued by the State Public Works Board of the state of California, reported as lease-purchase agreements by the University. General Revenues, as defined in the Indenture, have been amended to include certain state appropriations as to secure payment of the General Revenue Bonds.

In April 2014, General Revenue Bonds totaling \$970.4 million were issued to finance and refinance certain facilities and projects of the University. Reductions to debt in 2014 were \$3.5 billion. In April 2014, the University remarketed General Revenue Bonds totaling \$500 million with a scheduled mandatory tender for purchase on July 1, 2017. In 2014, the University's General Revenue Bond ratings were changed by Moody's Investors Service from Aa1 to Aa2 and by Fitch Ratings from AA+ to AA. The University's Limited Project Revenue Bonds and Medical Center Pooled Revenue Bonds were changed by Moody's Investors Service from Aa2 to Aa3 and by Fitch Ratings from AA to AA-.

Commercial paper borrowings decreased by \$326.4 million in 2014 compared to June 30, 2013. Commercial paper is primarily used as interim financing for construction projects and equipment financing. Commercial paper fluctuates based upon the timing of refinancing construction projects with the issuance of long-term Revenue Bonds. The University has various revolving credit agreements totaling \$1.1 billion with major financial institutions for the purpose of providing additional liquidity for bonds, commercial paper and other liquidity needs.

#### *Securities lending collateral*

Under the securities lending program, the University records a liability to the borrower for cash collateral received and held by the University for securities on loan at the end of the year. All borrowers are required to provide additional collateral by the next business day if the value of the collateral falls to less than 100 percent of the fair value of the securities lent. The amount of the securities lending collateral liability fluctuates directly with securities lending opportunities and the investment of cash collateral.

#### *Pension obligations and retiree health benefits*

The University has a financial responsibility for pension benefits associated with its defined benefit plans and for retiree health benefits. The University's 2013 financial statements have been restated for new accounting standards, and accordingly, the University's pension obligation was \$7.7 billion and \$12.3 billion in 2014 and 2013, respectively. The change in net pension liability has been primarily driven by the investment performance of the UCRP investment portfolio. UCRP's total investment rate of return was 17.4 percent in 2014 and 11.7 percent in 2013. The discount rate used to estimate the net pension liability for both June 30, 2014 and 2013 was 7.5 percent.

LBNL participates in the University's defined benefit pension plan, although the DOE has an ongoing financial responsibility to reimburse the University for LBNL's share of the obligation to UCRP. In addition, under certain circumstances the University makes contributions to UCRP for LANL and LLNL retirees and, based upon contractual arrangements with the DOE, is reimbursed by the DOE. The University recorded receivables from the DOE of \$1.2 billion and \$1.8 billion for 2014 and 2013, respectively, representing the DOE's share of the net pension liability.

The University's obligation for retiree health benefits is based upon an actuarial determination of the annual retiree health benefit expense. The University funds the retiree health expense through UCRHBT based upon a projection of benefits on a pay-as-you-go basis. The increase of \$863.0 million in 2014 in the obligation for retiree health benefits is due to the impact of amortizing the University's unfunded obligation. The unfunded liability for the campuses and medical centers as of the July 1, 2013 actuarial valuation was \$13.2 billion.

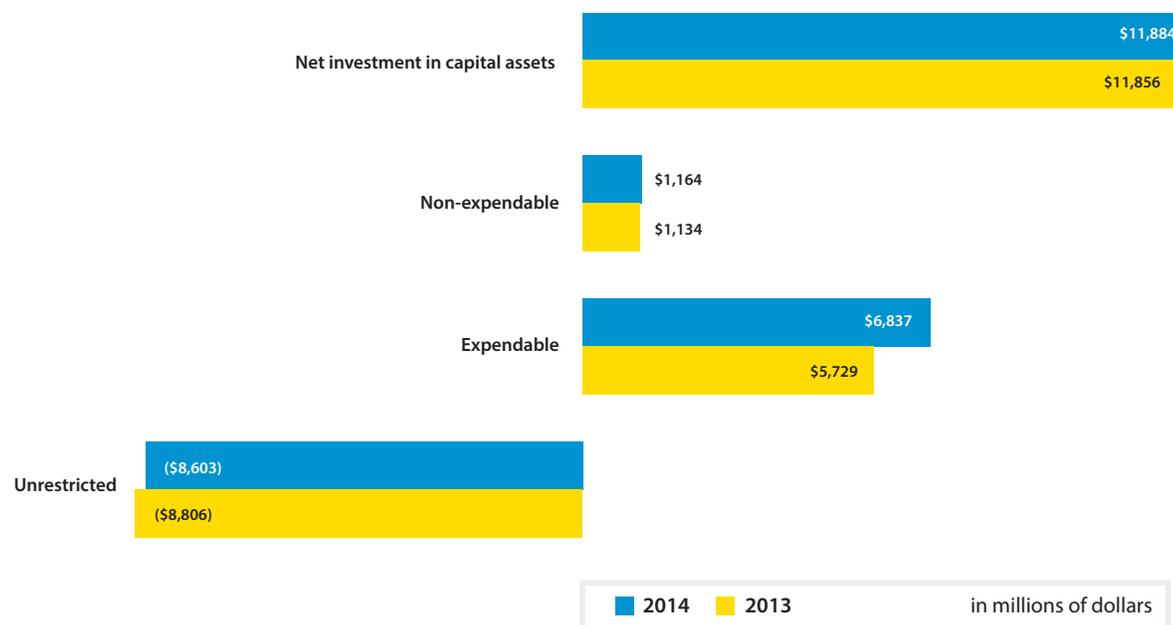
#### *Other liabilities*

Other liabilities consist of accounts payable, accrued salaries, other employee benefits, unearned revenue, funds held for others, DOE laboratories' liabilities, federal refundable loans, self-insurance and obligations under life income agreements.

#### *Deferred inflows of resources*

Deferred inflows of resources are related to the University's service concession arrangements, gains on debt refundings and changes in the net pension liability. Deferred inflows of resources in 2014 increased by \$2.8 billion due to higher than expected earnings on pension investments.

## The University's Net Position



Net position represents the residual interest in the University's assets and deferred outflows after all liabilities and deferred inflows are deducted. The University's net position is \$11.4 billion in 2014, compared to \$9.9 billion in 2013. Net position was restated for 2013 as a result of adopting new accounting rules. Net position is reported in the following categories: net investment in capital assets; restricted, nonexpendable; restricted, expendable; and unrestricted.

### Net investment in capital assets

The portion of net position invested in capital assets, net of accumulated depreciation and the related outstanding debt used to finance the acquisition, construction or improvement of these capital assets, is \$11.9 billion in 2014 and 2013. The University continues to invest in its physical facilities.

### Restricted, nonexpendable

Restricted, nonexpendable net position includes the corpus of the University's permanent endowments and the estimated fair value of certain planned giving arrangements. In 2014 the increase in restricted nonexpendable net position was principally due to the receipt of new gifts.

### Restricted, expendable

Restricted, expendable net position is subject to externally imposed restrictions governing their use. Net position may be spent only in accordance with the restrictions placed upon them and may include endowment income and gains, subject to the University's spending policy; support received from gifts, appropriations or capital projects; trustee held investments; or other third-party receipts. The increases or decreases in restricted, expendable funds are principally due to unrealized appreciation or depreciation respectively in the fair value of investments related to restricted gifts and funds functioning as endowments.

### Unrestricted

Under generally accepted accounting principles, net position that is not subject to externally imposed restrictions governing their use must be classified as unrestricted for financial reporting purposes. Unrestricted net position is negative due to obligations for pension and retiree health benefits exceeding University reserves. Although unrestricted net position is not subject to externally imposed restrictions, substantially all of the University's reserves are allocated for academic and research initiatives or programs and for capital and other purposes. As of June 30, 2014, unrestricted net position is in a deficit position. The decrease in the deficit is due to changes in the net pension obligation related to strong financial market performance.

## The University's Results of Operations

The statement of revenues, expenses and changes in net position is a presentation of the University's operating results. It indicates whether the financial condition has improved or deteriorated. In accordance with the Governmental Accounting Standards Board (GASB) requirements, certain significant revenues relied upon and budgeted for fundamental operational support of the core instructional mission of the University are required to be recorded as nonoperating revenues, including state educational appropriations, private gifts and investment income. A summarized comparison of the operating results for 2014 and 2013, arranged in a format that matches the revenue supporting the core activities of the University with the expenses associated with core activities, is as follows:

(in millions of dollars)

	2014			2013		
	OPERATING	NONOPERATING	TOTAL	OPERATING	NONOPERATING	TOTAL
<b>REVENUES</b>						
Student tuition and fees, net	\$ 3,586		\$ 3,586	\$ 3,403		\$ 3,403
State educational appropriations		\$ 2,638	2,638		\$ 2,154	2,154
Pell Grants		316	316		346	346
Grants and contracts, net	5,068		5,068	5,079		5,079
Medical centers, educational activities and auxiliary enterprises, net	11,751	2	11,753	10,890	3	10,893
Department of Energy laboratories	1,251		1,251	1,032		1,032
Private gifts, net		882	882		802	802
Investment income, net		313	313		366	366
Other revenues	712	92	804	696	294	990
<b>Revenues supporting core activities</b>	<b>22,368</b>	<b>4,243</b>	<b>26,611</b>	<b>21,100</b>	<b>3,965</b>	<b>25,065</b>
<b>EXPENSES</b>						
Salaries and benefits	16,976		16,976	17,532		17,532
Scholarships and fellowships	577		577	592		592
Utilities	290		290	281		281
Supplies and materials	2,543		2,543	2,465		2,465
Depreciation and amortization	1,710		1,710	1,555		1,555
Department of Energy laboratories	1,244		1,244	1,026		1,026
Interest expense		616	616		666	666
Other expenses	3,609	2	3,611	3,394	15	3,409
<b>Expenses associated with core activities</b>	<b>26,949</b>	<b>618</b>	<b>27,567</b>	<b>26,845</b>	<b>681</b>	<b>27,526</b>
<b>Income (loss) from core activities</b>	<b>\$ (4,581)</b>	<b>\$ 3,625</b>	<b>\$ (956)</b>	<b>\$ (5,745)</b>	<b>\$ 3,284</b>	<b>\$ (2,461)</b>
<b>OTHER NONOPERATING ACTIVITIES</b>						
Net appreciation in fair value of investments			1,828			727
<b>Income before other changes in net position</b>			<b>872</b>			<b>(1,734)</b>
<b>OTHER CHANGES IN NET POSITION</b>						
State capital appropriations			45			120
Capital gifts and grants, net			432			257
Permanent endowments			20			23
<b>Increase (decrease) in net position</b>			<b>1,369</b>			<b>(1,334)</b>
<b>NET POSITION</b>						
Beginning of year, as previously reported			9,913			17,745
Cumulative effect of accounting changes						(6,498)
Beginning of year, as restated			9,913			11,247
<b>End of year</b>			<b>\$ 11,282</b>			<b>\$ 9,913</b>

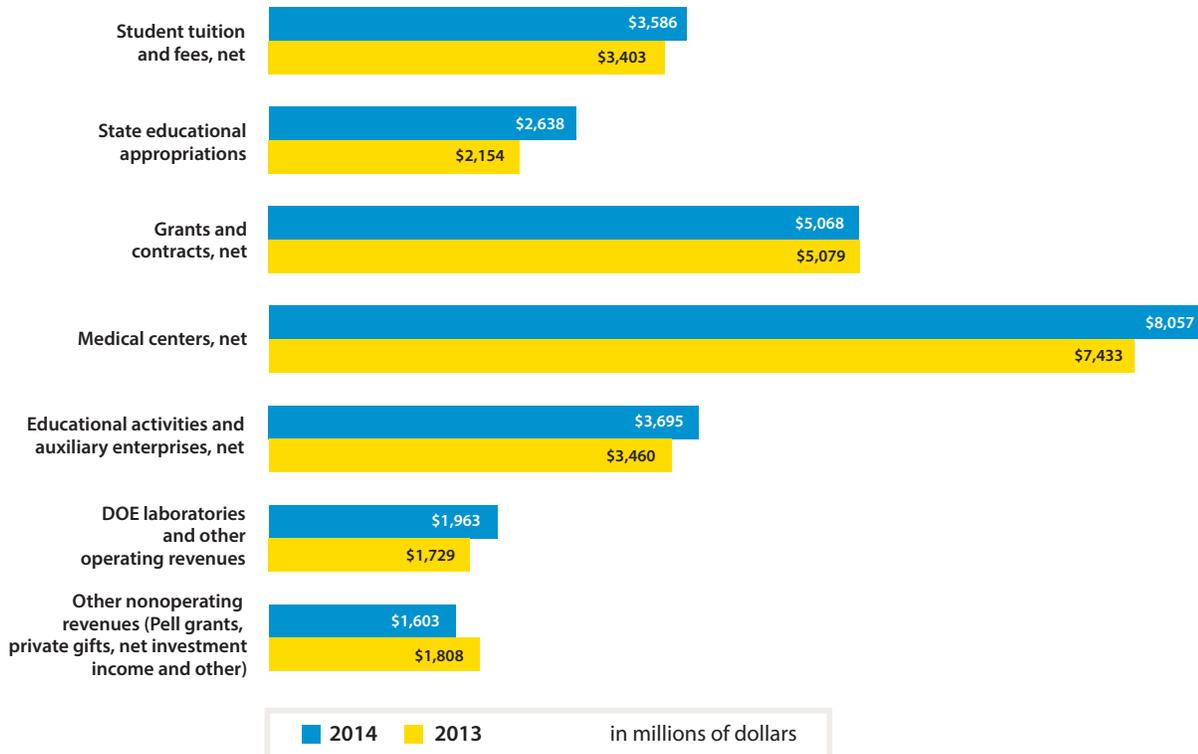
### Revenues Supporting Core Activities

Revenues to support the University’s core activities, including those classified as nonoperating revenues, were \$26.6 billion and \$25.1 billion in 2014 and 2013, respectively. These diversified sources of revenue increased by \$1.5 billion in 2014.

The state of California’s educational appropriations, in conjunction with student tuition and fees, are the core components that support the instructional mission of the University. Grants and contracts provide opportunities for undergraduate and graduate students to participate in basic research alongside some of the most prominent researchers in the country.

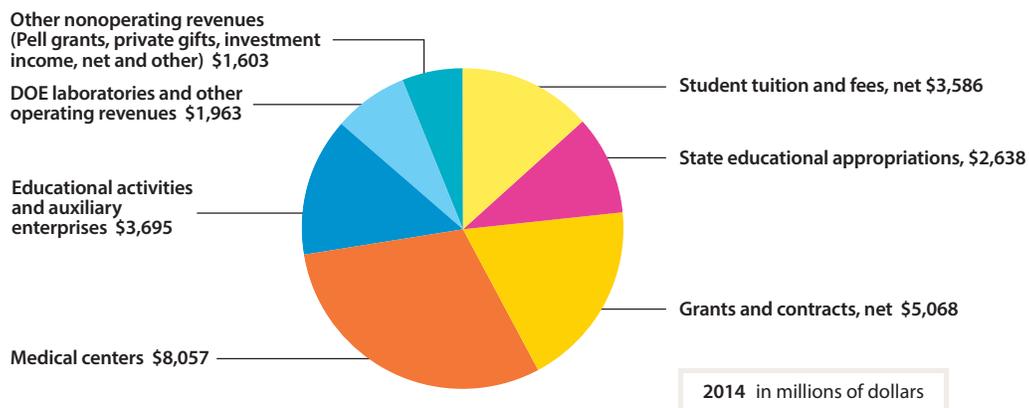
Gifts to the University allow crucial flexibility to faculty for support of their fundamental activities or new academic initiatives. Other significant revenues are from medical centers, educational activities and auxiliary enterprises such as student housing, food service operations and parking.

Revenues in the various categories have increased and decreased as follows:



A major financial strength of the University includes a diverse source of revenues, including those from student fees, federally sponsored grants and contracts, medical centers, the state of California, private support and self-supporting enterprises. The variety of fund sources has become increasingly important over the past several years given the effects of the state’s financial crisis that required reductions in both instructional and non-instructional programs.

Categories of both operating and nonoperating revenue that supported the University’s core activities in 2014 are as follows:



### *Student tuition and fees, net*

Net student tuition and fees were \$3.6 billion and \$3.4 billion in 2014 and 2013, respectively. Student tuition and fees, net of scholarship allowances, increased by \$182.9 million in 2014. Scholarship allowances were \$1.1 billion in 2014 and \$1.0 billion in 2013. Scholarship allowances are reported as an offset to revenue, not as an operating expense.

In 2014, enrollment grew by 2.3 percent. Mandatory tuition and fees for resident undergraduates were not changed in 2014. Certain nonresident undergraduates and resident and nonresident graduate students experienced increases in mandatory tuition and fees. Professional degree supplemental tuition varies by discipline and some programs increased supplemental tuition levels in 2014.

### *State educational appropriations*

Educational appropriations from the state of California were \$2.6 billion and \$2.2 billion in 2014 and 2013, respectively. State educational appropriations increased in 2014 by \$483.4 million, as a result of tax initiatives approved by the voters of California in November 2012. In connection with the passage of these tax initiatives, the University did not raise tuition in 2013. Additionally, the state of California agreed to increase state educational appropriations in exchange for the reduction in state financing appropriations, which decreased with the refinancing of the Lease Revenue Bonds issued by the State Public Works Board of the state of California.

### *Grants and contracts, net*

Revenue from federal, state, private and local government grants and contracts — including an overall facilities and administration cost recovery of \$978.4 million and \$990.5 million in 2014 and 2013, respectively — were \$5.1 billion in 2014 and 2013.

In 2014, federal grants and contracts revenue, including the federal facilities and administrative cost recovery of \$709.6 million, decreased \$22.1 million, or 0.3 percent as compared to 2013. Expiring federal grants and contracts funded from federal economic stimulus funds made available by the American Recovery and Reinvestment Act (ARRA) and federal budget cuts have slowed the University's growth in federal grants and contracts. Grant and contract revenue is from a variety of federal agencies as indicated below:

*(in millions of dollars)*

	<b>2014</b>	<b>2013</b>
Department of Health and Human Services	\$1,902	\$1,967
National Science Foundation	469	478
Department of Education	124	75
Department of Defense	256	271
National Aeronautics and Space Administration	102	94
Department of Energy (excluding national laboratories)	109	111
Other federal agencies	215	249
<b>Federal grants and contracts net revenue</b>	<b>\$3,177</b>	<b>\$3,245</b>

### *Medical centers, educational activities and auxiliary enterprises, net*

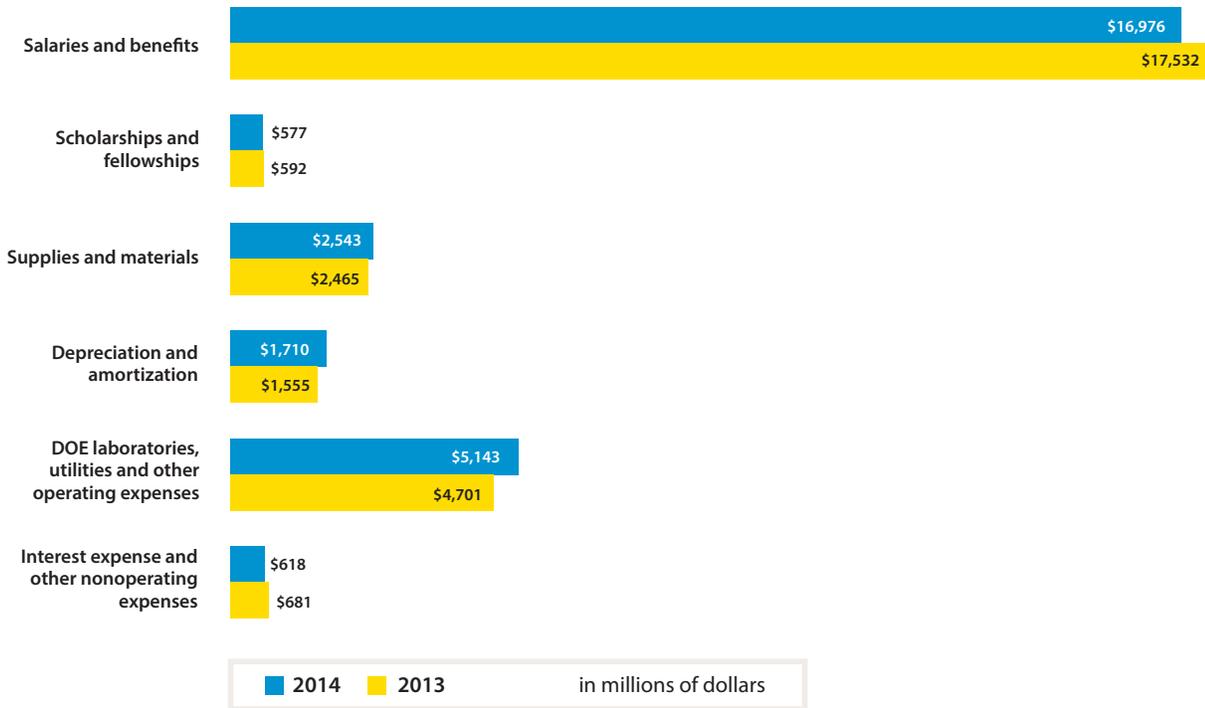
Medical center revenues, including state hospital fee grants and net of allowances, increased \$624.1 million in 2014, or 8.4 percent. Revenues increased in 2014 due to higher patient volumes, a continuing increase in the complexity of cases, slight improvements in payor mix and higher reimbursement rates. In response to health care reform and increasing pension contributions, the medical centers continue to invest in expanding services and achieving efficiencies to maintain operating margins.

Revenue from education activities, primarily medical professional fees, net of allowances, grew by \$189.2 million, or 8.4 percent in 2014. The growth is generally associated with an expanded patient base and higher rates from third-party payors.

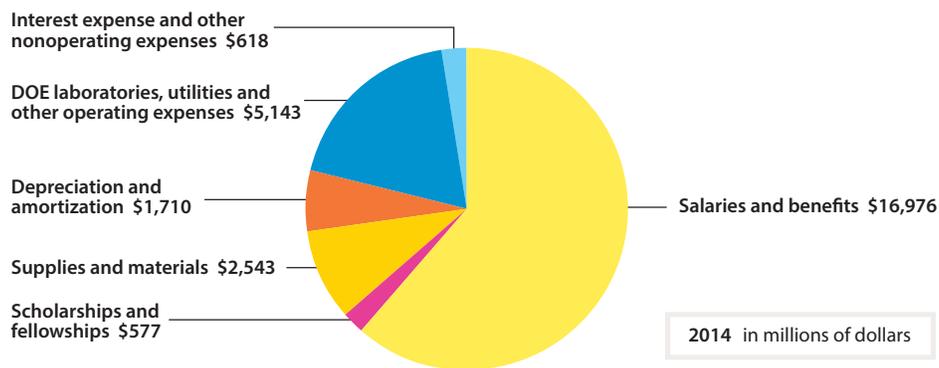
### Expenses Associated with Core Activities

Expenses associated with the University’s core activities, including those classified as nonoperating expenses, were \$27.5 billion and \$27.5 billion in 2014 and 2013, respectively. Expenses decreased by \$61.0 million, primarily due to pension expenses which were offset by an increase in other operating expenses.

Expenses in the various categories are as follows:



Categories of both operating and nonoperating expenses related to the University’s core activities in 2014 are as follows:



### *Salaries and benefits*

Over 63 percent of the University's expenses were related to salaries and benefits. There were 142,000 full-time equivalent (FTE) employees in the University in 2014, excluding employees who were associated with LBNL whose salaries and benefits were included as laboratory expenses. Salaries and benefits decreased 1.9 percent in 2014 due to lower pension expense. In 2014, salaries increased 5.4 percent, 1.6 percent due to an increase in the number of FTEs and 3.8 percent due to an increase in the average salary per FTE. Employee benefits, excluding pension and post retirement health care benefits, increased by 4.3 percent in 2014, due to higher health insurance costs. Pension expense is lower due to investment gains on plan assets in excess of expected returns.

### *Scholarships and fellowships*

The University places a high priority on student financial aid as part of its commitment to affordability. Scholarships and fellowships, representing payments of financial aid made directly to students and reported as an operating expense, decreased \$14.4 million in 2014 as compared to the prior year.

Scholarship allowances, representing financial aid and fee waivers awarded by the University, were \$1.9 billion and \$1.8 billion in 2014 and 2013, respectively. On a combined basis, as the University continues its commitment to provide financial support for needy students, financial aid in all forms increased by \$47.8 million, or 2.6 percent in 2014.

### *Supplies and materials*

During 2014, overall supplies and materials costs increased by \$78.2 million, or 3.2 percent. In recent years, there has been inflationary pressure on the costs for medical supplies and laboratory instruments and higher costs for general supplies necessary to support expanded research activity and increased medical patient volumes. The University continues to find opportunities to manage the costs of supplies and materials.

### *Other expenses*

Other expenses consist of a variety of expense categories, including travel, rent, insurance, legal settlements and repairs and maintenance, plus any gain or loss on disposals of capital assets and other nonoperating expenses.

### *Operating Losses*

In accordance with the GASB's reporting standards, operating losses were \$4.6 billion in 2014 and \$5.7 billion in 2013. The operating loss in 2014 was partially offset by \$3.6 billion of net revenue that is required by the GASB to be classified as nonoperating, but clearly supports core operating activities of the University. Expenses associated with core activities in 2014 exceeded revenue available to support core activities by \$1.0 billion.

### *Other Nonoperating Activities*

The University's other nonoperating activities, consisting of net appreciation or depreciation in the fair value of investments, are noncash transactions and, therefore, are not available to support operating expenses.

### *Net appreciation (depreciation) in fair value of investments*

In 2014, the University recognized net appreciation in the fair value of investments of \$1.8 billion compared to net \$727.0 million during 2013. The University's portfolio showed positive performance in 2014 due to strong returns in both the equity and bond markets.

### *Other Changes in Net Position*

Similar to other nonoperating activities discussed above, other changes in net position are also not available to support the University's operating expenses in the current year. State capital appropriations and capital gifts and grants may only be used for the purchase or construction of the specified capital assets. Only income earned from gifts of permanent endowments is available in future years to support the specified program. The University's enrollment growth requires new facilities, in addition to continuing needs for renewal, modernization and seismic correction of existing facilities. Capital appropriations from the state of California decreased by \$74.7 million in 2014. Capital appropriations are from bond measures approved by the California voters.

## THE UNIVERSITY OF CALIFORNIA DISCRETELY PRESENTED COMPONENT UNITS

Separate foundations at each individual campus provide valuable assistance in fundraising, public outreach and other support for the missions of the campus and the University. Although independent boards govern each of the foundations, they are affiliated with, and their assets are dedicated for, the benefit of the University of California.

In 2014, The Regents became the sole corporate member of Children's Hospital and Research Center Oakland (CHRCO), an existing 501(c)(3) corporation. CHRCO is considered a discretely presented component unit of the University, therefore, financial information for the discretely presented component units has been restated for 2013 for this change in reporting entity.

### The Discretely Presented Component Units' Financial Position

The discretely presented component units' statement of net position presents their combined financial position at the end of the year. It displays all of the discretely presented component units' assets, deferred outflows, liabilities, deferred inflows and net position. The difference between assets, deferred outflows, liabilities, and deferred inflows is net position, representing a measure of the current financial condition of the discretely presented component units.

The major components of the combined assets, liabilities and net position of the discretely presented component units at June 30, 2014 and 2013 are as follows:

<i>(in millions of dollars)</i>		
	2014	2013
<b>ASSETS</b>		
Investments	\$6,704	\$6,005
Investment of cash collateral	51	66
Accounts receivable, net	103	155
Pledges receivable, net	865	718
Capital assets, net	284	242
Other assets	245	233
<b>Total assets</b>	<b>8,252</b>	<b>7,419</b>
<b>DEFERRED OUTFLOW OF RESOURCES</b>	<b>5</b>	<b>4</b>
<b>LIABILITIES</b>		
Accounts payable and other current liabilities	158	158
Securities lending collateral	51	66
Obligation under life income agreements and funds held for others	383	368
Other noncurrent liabilities	109	155
<b>Total liabilities</b>	<b>701</b>	<b>747</b>
<b>DEFERRED INFLOWS OF RESOURCES</b>	<b>30</b>	<b>11</b>
<b>NET POSITION</b>		
Net investment in capital assets	282	176
Restricted:		
Nonexpendable	3,180	2,854
Expendable	3,851	3,350
Unrestricted	213	285
<b>Total net position</b>	<b>\$7,526</b>	<b>\$6,665</b>

Investments increased in 2014 due to the strong performance of the equity markets. The Board of Trustees for each campus foundation is responsible for its specific investment policy, although asset allocation guidelines are recommended to campus foundations by the Investment Committee of The Regents. The Boards of Trustees may determine that all or a portion of their investments will be managed by the University's Chief Investment Officer. The Chief Investment Officer managed \$1.4 billion and \$1.2 billion of the campus foundations' investments at the end of 2014 and 2013, respectively.

Restricted, nonexpendable net position includes the corpus of the campus foundations' permanent endowments and the estimated fair value of certain planned giving arrangements. Restricted, expendable net position is subject to externally imposed restrictions governing their use. Net position may be spent only in accordance with the restrictions placed upon them and may include endowment income and investment gains, subject to each individual campus foundation's spending policy; support received from gifts; trustee held investments; or other third-party receipts. New gifts and net appreciation in the fair value of investments were the primary reasons for the changes in value in 2014.

CHRCO operates a 190-bed inpatient acute care hospital, and outpatient facilities and clinics throughout the San Francisco Bay Area, as well as a pediatric research institute. CHRCO's assets consist primarily of patient receivables and capital assets. In 2014, capital assets increased due primarily to investments in an electronic medical record system. Liabilities include long-term debt, outstanding in 2013, which was refinanced in 2014 with \$58.1 million of the University's commercial paper and pension liabilities for CHRCO's defined benefit retirement plan. CHRCO net position increased \$12.3 million in 2014.

### The Discretely Presented Component Units' Results of Operations

The discretely presented component units' combined statement of revenues, expenses and changes in net position is a presentation of their operating results for the year. It indicates whether their financial condition has improved or deteriorated during the year.

A summarized comparison of the operating results for 2014 and 2013 is as follows:

*(in millions of dollars)*

	2014	2013
<b>OPERATING REVENUES</b>		
Medical centers, net	\$ 358	\$ 405
Grants and contracts, net	50	56
Private gifts and other revenues	814	737
<b>Total operating revenues</b>	<b>1,222</b>	<b>1,198</b>
<b>OPERATING EXPENSES</b>		
Salaries and benefits	291	272
Supplies and materials	42	38
Depreciation and amortization	30	22
Grants to campuses and other expenses	1,101	826
<b>Total operating expenses</b>	<b>1,464</b>	<b>1,158</b>
<b>Operating income (loss)</b>	<b>(242)</b>	<b>40</b>
<b>NONOPERATING REVENUES (EXPENSES)</b>		
Investment income	94	68
Net appreciation in fair value of investments	677	484
Other nonoperating revenues	17	11
<b>Income before other changes in net position</b>	<b>546</b>	<b>603</b>
<b>OTHER CHANGES IN NET POSITION</b>		
Capital gifts and grants, net	41	37
Permanent endowments	274	185
<b>Increase in net position</b>	<b>861</b>	<b>825</b>
<b>NET POSITION</b>		
Beginning of year, as previously reported	6,665	5,500
Cumulative effect of accounting and reporting entity changes		340
Beginning of year, as restated	6,665	5,840
<b>End of year</b>	<b>\$7,526</b>	<b>\$ 6,665</b>

Operating revenues generally consist of current-use gifts, including pledges and income from other fundraising activities, although they do not include additions to permanent endowments and endowment income. Operating revenues fluctuate based upon fundraising campaigns conducted by the campus foundations during the year.

Operating expenses generally consist of grants to University campuses, comprised of current-use gifts and endowment income and other expenses, including gift fees. Grants to campuses typically follow the pattern indicated by private gift revenue; however, the campuses' programmatic needs are also taken into consideration, subject to abiding by the restricted purposes of gifts to the endowment and the amounts available for grants in any particular year.

Grants to the campuses can only be made when the cash is received and, in addition, also include endowment investment income, classified as nonoperating income. Therefore, operating losses can occur when grants distributed to the campuses in any particular year exceed private gift revenue.

CHRCO's operating loss for 2014 was \$55.8 million as compared to operating income of \$18.4 million in 2013. The operating loss is a result of declining revenues due to the expiration in 2013 of supplemental state health care reimbursement programs in addition to cost increases due to inflation. The operating loss is offset by gifts and investment returns.

## THE UNIVERSITY OF CALIFORNIA RETIREMENT SYSTEM (UCRS)

UCRS is a valuable component of the comprehensive benefits package offered to employees of the University. UCRS consists of the University of California Retirement Plan (UCRP), a defined benefit plan for members; the University of California Retirement Savings Program (UCRSP) that includes four defined contribution plans (Defined Contribution Plan, Supplemental Defined Contribution Plan, 403(b) Plan and 457(b) Plan) to complement the defined benefit plan, with several investment portfolio options for participants' elective and non-elective contributions; and the California Public Employees Retirement System (PERS) Voluntary Early Retirement Incentive Plan (PERS-VERIP) for certain University employees that were members of PERS who elected early retirement.

### UCRS' Financial Position and Result of Operations

The statement of plans' fiduciary net position presents the financial position of UCRS at the end of the fiscal year. It displays all of the retirement system's assets, liabilities and net position. The difference between assets and liabilities is the net position held in trust for pension benefits. These represent amounts available to provide pension benefits to members of UCRP and participants in the defined contribution plans and PERS-VERIP. At June 30, 2014, the UCRS' assets were \$80.8 billion, liabilities \$7.9 billion and net position held in trust for pension benefits \$72.9 billion, an increase of \$9.7 billion from 2013.

The major components of the assets, liabilities and net position available for pension benefits for 2014 and 2013 are as follows:

*(in millions of dollars)*

	2014	2013
<b>ASSETS</b>		
Investments	\$68,748	\$60,105
Participants' interests in mutual funds	5,044	3,739
Investment of cash collateral	6,563	6,540
Other assets	464	438
<b>Total assets</b>	<b>80,819</b>	<b>70,822</b>
<b>LIABILITIES</b>		
Securities lending collateral	6,562	6,540
Other liabilities	1,359	1,085
<b>Total liabilities</b>	<b>7,921</b>	<b>7,625</b>
<b>NET POSITION HELD IN TRUST FOR PENSION BENEFITS</b>		
Members' defined benefit plan benefits	52,854	45,405
Participants' defined contribution plan benefits	20,044	17,792
<b>Total net position held in trust for pension benefits</b>	<b>\$72,898</b>	<b>\$63,197</b>

The statement of changes in the plans' fiduciary net position is a presentation of the UCRS' operating results. It indicates whether the financial condition has improved or deteriorated during the year. A summarized comparison of the operating results for 2014 and 2013 is as follows:

*(in millions of dollars)*

	2014	2013
<b>ADDITIONS (REDUCTIONS)</b>		
Contributions	\$ 3,216	\$2,176
Net appreciation (depreciation) in fair value of investments	9,137	5,106
Investment and other income, net	1,347	1,255
<b>Total additions (reductions)</b>	<b>13,700</b>	<b>8,537</b>
<b>DEDUCTIONS</b>		
Benefit payments and participant withdrawals	3,953	3,761
Plan expenses	46	45
<b>Total deductions</b>	<b>3,999</b>	<b>3,806</b>
<b>Increase in net position held in trust for pension benefits</b>	<b>\$ 9,701</b>	<b>\$4,731</b>

The Regents utilize asset allocation strategies that are intended to optimize investment returns over time in accordance with investment objectives and at acceptable levels of risk. The overall investment gain based upon unit values for UCRS was 14.0 percent in 2014 compared to an investment gain of 11.0 percent in 2013.

The participants' interests in mutual funds, representing defined contribution plan contributions to certain mutual funds on a custodial plan basis, fluctuate based upon market performance of the mutual funds and participant investment elections.

UCRS participates in the University's securities lending program as a means to augment income. All borrowers are required to provide collateral and the University records a liability to the borrower for cash collateral received and held by the University for securities on loan at the end of the year. Investments in cash collateral and the securities lending collateral liability fluctuate in response to changes in demand from borrowers and the availability of securities based upon the UCRS asset allocation mix.

Contributions to UCRP in 2014 and 2013 were \$3.2 and \$2.2 billion, respectively, due to increased employer and employee contribution rates. Additional deposits of \$700 million were made by the University to UCRP in July 2014, subsequent to year-end.

Benefit payments and participant withdrawals were \$192.0 million more in 2014 than in 2013. Payments from UCRP increase each year due to a growing number of retirees receiving payments and cost-of-living adjustments. Benefit payments from UCRSP fluctuate based upon member withdrawals. At the beginning of 2014, there were 64,200 retirees and beneficiaries receiving payments from UCRS as compared to 58,900 at the beginning of 2013.

As of July 1, 2013, the date of the most recent actuarial report, UCRP's overall funded ratio was 76.0 percent compared to 79.0 percent as of July 1, 2012. The decrease in the funded status ratio for 2013 is primarily attributable to recognition of investment losses from previous years and actual contribution less than expected.

Additional information on the retirement plans can be obtained from the 2014 annual reports of the University of California Retirement System by writing to the University of California, Office of the President, Human Resources and Benefits, Post Office Box 24570, Oakland, California 94623.

## THE UNIVERSITY OF CALIFORNIA RETIREE HEALTH BENEFIT TRUST (UCRHBT)

The UCRHBT was established on July 1, 2007 to allow certain University locations — primarily campuses and medical centers — that share the risks, rewards and costs of providing for retiree health benefits to fund such benefits on a cost-sharing basis and accumulate funds on a tax-exempt basis under an arrangement segregated from University assets. The University contributes toward retiree medical and dental benefits, although it does not contribute toward the cost of other benefits available to retirees. The DOE laboratories do not participate in the UCRHBT, therefore the DOE has no interest in the trust's assets.

### UCRHBT's Financial Position and Result of Operations

The statement of trust's fiduciary net position presents the financial position of the UCRHBT at the end of the fiscal year. It displays all of the UCRHBT's assets, liabilities and net position. The difference between assets and liabilities is the net position held in trust for retiree health benefits. This represents amounts available to provide retiree health benefits to participants.

The major components of the assets, liabilities and net position available for retiree health benefits for 2014 and 2013 are as follows:

<i>(in millions of dollars)</i>		
	2014	2013
<b>ASSETS</b>		
Investments	\$37	\$ 8
Other assets	39	40
<b>Total assets</b>	<b>76</b>	<b>48</b>
<b>LIABILITIES</b>		
<b>Total liabilities</b>	<b>11</b>	<b>4</b>
<b>NET POSITION HELD IN TRUST FOR RETIREE HEALTH BENEFITS</b>		
<b>Total net position held in trust for retiree health benefits</b>	<b>\$65</b>	<b>\$44</b>

The statement of changes in trust's fiduciary net position is a presentation of the UCRHBT's operating results. It indicates whether the financial condition has improved or deteriorated during the year. Summarized operating results for 2014 and 2013 are as follows:

<i>(in millions of dollars)</i>		
	<b>2014</b>	<b>2013</b>
<b>ADDITIONS</b>		
Contributions	\$343	\$268
<b>Total additions</b>	<b>343</b>	<b>268</b>
<b>DEDUCTIONS</b>		
Insurance premiums and payments	318	310
Plan expenses	4	3
<b>Total deductions</b>	<b>322</b>	<b>313</b>
<b>Increase (decrease) in net position held in trust for retiree health benefits</b>	<b>\$ 21</b>	<b>\$ (45)</b>

Contributions for retiree health benefits are made by the campuses and medical centers based upon projected pay-as-you-go financing. The University acts as a third-party administrative agent on behalf of the UCRHBT to pay health care insurers and administrators amounts currently due.

The retiree health benefits provided under the University's plan and any liabilities related to the future funding requirements for the retiree health benefits are reported by the University. The unfunded actuarial accrued liability for eligible participants as of July 1, 2013, the date of the latest actuarial valuation, was \$13.3 billion.

## LOOKING FORWARD

The University of California is a world center of learning, known for generating a steady stream of talent, knowledge and social benefits, and has always been at the center of California's capacity to innovate. The excellence of its programs attracts the best students, leverages hundreds of millions of dollars in state, federal and private funding and promotes discovery of new knowledge that fuels economic growth.

The University's variety of funding sources has become increasingly important over the past several years given the effects of the state financial crisis. In June 2013, the Legislature approved the governor's 2014 budget recommendation for a multi-year funding plan that will provide an annual base budget increase beginning with 5 percent in 2014, another 5 percent in 2015, 4 percent in 2016 and another 4 percent in 2017. This multi-year funding plan is intended to provide the University with fiscal stability after five years of severe reductions in state educational appropriations. In exchange for this long-term stability, the University commits to focus its resources to address long-term accountability goals for accessibility, student fees, financial aid and performance outcome measures.

The University remains highly competitive in attracting federal grants and contracts revenue, with fluctuations in the awards received closely paralleling trends in the budgets of federal research granting agencies. Over two-thirds of the University's federal research revenue comes from two agencies, the Department of Health and Human Services, primarily through the National Institutes of Health, and the National Science Foundation. Other agencies that figure prominently in the University's awards are the Department of Education, Department of Defense, the National Aeronautics and Space Administration and the Department of Energy. While the federal government works through its own financial constraints, there is a bipartisan effort underway to focus on innovation and competitiveness for the nation. The University is a unique national resource for helping the nation address competitiveness and economic initiatives.

Currently, the University does not pre-fund retiree health benefits and provides for benefits on a pay-as-you-go basis. The unfunded liability for the campuses and medical centers as of the July 1, 2013 actuarial valuation was \$13.2 billion. The Regents approved a new eligibility formula for the Retiree Health Plan for all employees hired on or after July 1, 2013, and non-grandfathered members, that is based on a graduated formula using both a member's age and years of Retirement Plan service credit upon retirement, subject to collective bargaining for represented members.

UCRP costs are funded by a combination of investment earnings, employee member and employer contributions. The unfunded liability for the campuses and medical centers as of the July 1, 2014 actuarial valuation was \$7.6 billion or 80.0 percent funded. Total funding policy contributions in the July 1, 2014 actuarial valuation represents 29.0 percent of covered compensation in July 2013. Member contributions for the employees in the new benefit tier are 7.0 percent, and the employer rate is uniform across all members. On July 1, 2014 employer contributions increased to 14.0 percent and employee contributions to 8.0 percent. In July 2014, The Regents authorized additional contributions of \$700 million to UCRP, representing the difference between the contribution rates and the funding requirements, to improve the Plan's funded status. The additional \$700 million contribution to UCRP is projected to result in a 95.0 percent funded status by July 1, 2042.

The University's medical centers have demonstrated very positive financial results, although they continue to face financial and competitive challenges in their regional markets, along with the added costs and responsibilities related to their function as academic institutions. The demand for health care services and the cost of providing them continue to increase significantly. In addition to the rising costs of salaries, benefits and medical supplies faced by hospitals across the state, along with the costs of maintaining and upgrading facilities, the University's medical centers also face additional costs associated with new technologies, biomedical research, the education and training of health care professionals and the care for a disproportionate share of the medically underserved in California. Other than Medicare and Medi-Cal (California's Medicaid program), health insurance payments do not recognize the added cost of teaching in their payment to academic medical centers. The growth in costs of the publicly funded programs and health care reform will likely continue to reduce rates or limit payment growth, placing downward pressure on operating results for the medical centers.

The University must have a balanced array of many categories of facilities to meet its education, research and public service goals and continues to assess its long-term capital requirements. The support for the University's capital program will be provided from a combination of sources, including the state of California, external financing, gifts and other sources.

Additional budget information can be found at <http://universityofcalifornia.edu/news/budget/welcome.html>. Additional information concerning state budget matters and the state's financial condition may be found on the website of the state of California Department of Finance at <http://www.dof.ca.gov>.

## CAUTIONARY NOTE REGARDING FORWARD-LOOKING STATEMENTS

Certain information provided by the University, including written as outlined above or oral statements made by its representatives, may contain forward-looking statements as defined in the Private Securities Litigation Reform Act of 1995. All statements, other than statements of historical facts, which address activities, events or developments that the University expects or anticipates will or may occur in the future contain forward-looking information.

In reviewing such information, it should be kept in mind that actual results may differ materially from those projected or suggested in such forward-looking information. This forward-looking information is based upon various factors and was derived using various assumptions. The University does not undertake to update forward-looking information contained in this report or elsewhere to reflect actual results, changes in assumptions or changes in other factors affecting such forward-looking information.

UNIVERSITY OF CALIFORNIA  
**STATEMENTS OF NET POSITION**

At June 30, 2014 (in thousands of dollars)

	UNIVERSITY OF CALIFORNIA	DISCRETELY PRESENTED COMPONENT UNITS
<b>ASSETS</b>		
Cash and cash equivalents	\$ 140,429	\$ 182,282
Short-term investments	3,334,675	473,756
Investment of cash collateral	848,436	35,695
Investments held by trustees	24,619	
Accounts receivable, net	3,000,773	103,053
Pledges receivable, net	26,833	222,531
Current portion of notes and mortgages receivable, net	43,497	5
Inventories	189,758	4,857
Department of Energy receivable	97,342	
Other current assets	197,358	11,316
<b>Current assets</b>	<b>7,903,720</b>	<b>1,033,495</b>
Investments	18,206,378	6,230,614
Investment of cash collateral	369,718	15,554
Investments held by trustees	864,148	
Pledges receivable, net	34,780	642,337
Notes and mortgages receivable, net	321,665	1,296
Department of Energy receivable	1,488,634	
Capital assets, net	27,361,525	283,632
Other noncurrent assets	217,453	44,868
<b>Noncurrent assets</b>	<b>48,864,301</b>	<b>7,218,301</b>
<b>Total assets</b>	<b>56,768,021</b>	<b>8,251,796</b>
<b>DEFERRED OUTFLOWS OF RESOURCES</b>	<b>3,700,014</b>	<b>5,445</b>
<b>LIABILITIES</b>		
Accounts payable	1,357,675	44,319
Accrued salaries	990,371	6,894
Employee benefits	439,902	3,033
Unearned revenue	1,028,673	32,804
Collateral held for securities lending	1,217,834	51,249
Commercial paper	993,650	
Current portion of long-term debt	1,186,884	
Funds held for others	319,324	241,685
Department of Energy laboratories' liabilities	81,305	
Other current liabilities	1,273,403	70,739
<b>Current liabilities</b>	<b>8,889,021</b>	<b>450,723</b>
Federal refundable loans	232,878	
Self-insurance	443,040	16,091
Obligations under life income agreements	28,700	140,818
Long-term debt	15,850,215	
Pension obligations	7,713,863	11,212
Obligations for retiree health benefits	8,440,303	
Other noncurrent liabilities	510,247	81,677
<b>Noncurrent liabilities</b>	<b>33,219,246</b>	<b>249,798</b>
<b>Total liabilities</b>	<b>42,108,267</b>	<b>700,521</b>
<b>DEFERRED INFLOWS OF RESOURCES</b>	<b>7,077,909</b>	<b>30,653</b>
<b>NET POSITION</b>		
Net investment in capital assets	11,884,373	282,434
Restricted:		
Nonexpendable: Endowments and gifts	1,120,368	3,179,940
Nonexpendable: Reserved for minority interests	43,343	
Expendable: Endowments and gifts	6,055,394	3,848,154
Expendable: Other, including debt service, loans, capital projects and appropriations	781,710	2,284
Unrestricted	(8,603,329)	213,255
<b>Total net position</b>	<b>\$11,281,859</b>	<b>\$7,526,067</b>

See accompanying Notes to Financial Statements.

**STATEMENTS OF REVENUES, EXPENSES AND CHANGES IN NET POSITION**

<i>Year ended June 30, 2014 (in thousands of dollars)</i>	UNIVERSITY OF CALIFORNIA	DISCRETELY PRESENTED COMPONENT UNITS
<b>OPERATING REVENUES</b>		
Student tuition and fees, net	\$ 3,585,859	
Grants and contracts, net:		
Federal	3,176,902	\$ 20,364
State	460,540	416
Private	1,180,867	27,038
Local	249,924	1,685
Medical centers, net	8,055,683	357,823
Educational activities, net	2,378,484	
Auxiliary enterprises, net	1,316,419	
Department of Energy laboratories	1,250,820	
Campus foundation private gifts		789,573
Other operating revenues, net	712,141	24,759
<b>Total operating revenues</b>	<b>22,367,639</b>	<b>1,221,658</b>
<b>OPERATING EXPENSES</b>		
Salaries and wages	12,034,140	228,001
Pension benefits	1,285,997	5,703
Retiree health benefits	1,216,648	296
Other employee benefits	2,439,123	57,655
Supplies and materials	2,543,340	41,628
Depreciation and amortization	1,709,672	29,940
Department of Energy laboratories	1,244,335	
Scholarships and fellowships	577,212	
Utilities	290,444	
Campus foundation grants		958,873
Other operating expenses	3,608,153	142,016
<b>Total operating expenses</b>	<b>26,949,064</b>	<b>1,464,112</b>
<b>Operating loss</b>	<b>(4,581,425)</b>	<b>(242,454)</b>
<b>NONOPERATING REVENUES (EXPENSES)</b>		
State educational appropriations	2,637,896	
State financing appropriations	458	
State hospital fee grants	1,558	
Build America Bonds federal interest subsidies	59,327	
Federal Pell Grants	316,064	
Private gifts, net	881,648	8,966
Investment income:		
Short Term Investment Pool and other, net	230,019	
Endowment, net	76,226	
Securities lending, net	6,949	363
Discretely presented component units		94,343
Net appreciation in fair value of investments	1,827,628	677,302
Interest expense	(615,556)	(1,444)
Loss on disposal of capital assets	(2,152)	
Other nonoperating revenues, net	33,440	9,156
<b>Net nonoperating revenues</b>	<b>5,453,505</b>	<b>788,686</b>
<b>Income before other changes in net position</b>	<b>872,080</b>	<b>546,232</b>
<b>OTHER CHANGES IN NET POSITION</b>		
Capital gifts and grants, net	431,836	41,628
State capital appropriations	44,961	
Permanent endowments	20,236	273,503
<b>Increase in net position</b>	<b>1,369,113</b>	<b>861,363</b>
<b>NET POSITION</b>		
Beginning of year, as previously reported	16,649,672	6,245,822
Cumulative effect of accounting and reporting entity changes	(6,736,926)	418,882
Beginning of year, as restated	9,912,746	6,664,704
<b>End of year</b>	<b>\$11,281,859</b>	<b>\$7,526,067</b>

See accompanying Notes to Financial Statements.

UNIVERSITY OF CALIFORNIA  
**STATEMENTS OF CASH FLOWS**

<i>Year ended June 30, 2014 (in thousands of dollars)</i>	UNIVERSITY OF CALIFORNIA	DISCRETELY PRESENTED COMPONENT UNITS
<b>CASH FLOWS FROM OPERATING ACTIVITIES</b>		
Student tuition and fees	\$ 3,567,031	
Grants and contracts	5,556,286	
Medical centers	8,053,684	\$ 375,273
Educational activities	2,387,096	
Auxiliary enterprises	1,320,755	
Collection of loans from students and employees	65,731	
Campus foundation private gifts		539,013
Payments to employees	(11,933,305)	(196,996)
Payments to suppliers and utilities	(6,122,274)	(171,868)
Payments for pension benefits	(1,578,009)	(14,500)
Payments for retiree health benefits	(298,594)	(250)
Payments for other employee benefits	(2,486,814)	(83,132)
Payments for scholarships and fellowships	(576,989)	
Loans issued to students and employees	(75,060)	
Payments to campuses and beneficiaries		(972,923)
Other receipts	470,717	87,793
<b>Net cash used by operating activities</b>	<b>(1,649,745)</b>	<b>(437,590)</b>
<b>CASH FLOWS FROM NONCAPITAL FINANCING ACTIVITIES</b>		
State educational appropriations	2,641,928	
Federal Pell Grants	315,887	
State hospital fee grants	1,558	
<i>Gifts received for other than capital purposes:</i>		
Private gifts for endowment purposes	21,527	236,969
Other private gifts	867,765	8,731
Receipt of retiree health contributions from UCRP	44,114	
Payment of retiree health contributions to UCRHBT	(43,695)	
Receipts from UCRHBT	304,565	
Payments for retiree health benefits made on behalf of UCRHBT	(306,114)	
Student direct lending receipts	908,900	
Student direct lending payments	(908,875)	
<i>Commercial paper financing:</i>		
Proceeds from issuance	15,893	
Payments of principal	(304,277)	
Interest paid on debt	(10,972)	
Other receipts (payments)	32,778	(9,668)
<b>Net cash provided by noncapital financing activities</b>	<b>3,580,982</b>	<b>236,032</b>
<b>CASH FLOWS FROM CAPITAL AND RELATED FINANCING ACTIVITIES</b>		
<i>Commercial paper financing:</i>		
Proceeds from issuance	699,999	
Payments of principal	(1,024,480)	
Interest paid	(1,715)	
State capital appropriations	55,544	
State financing appropriations	1,832	
Build America Bonds federal interest subsidies	56,708	
Capital gifts and grants	358,061	43,475
Proceeds from debt issuance	1,891,948	58,120
Proceeds from the sale of capital assets	14,568	232
Purchase of capital assets	(2,323,307)	(70,411)
Refinancing or prepayment of outstanding debt	(43,843)	(59,207)
Scheduled principal paid on debt and capital leases	(413,044)	(7,444)
Interest paid on debt and capital leases	(787,427)	(2,988)
Advances from the University for prepayment of outstanding debt		
<b>Net cash used by capital and related financing activities</b>	<b>\$(1,515,156)</b>	<b>\$ (38,223)</b>

See accompanying Notes to Financial Statements.

UNIVERSITY OF CALIFORNIA  
**STATEMENTS OF CASH FLOWS** *continued*

<i>Year ended June 30, 2014 (in thousands of dollars)</i>	UNIVERSITY OF CALIFORNIA	DISCRETELY PRESENTED COMPONENT UNITS
<b>CASH FLOWS FROM INVESTING ACTIVITIES</b>		
Proceeds from sales and maturities of investments	\$ 67,865,326	\$ 1,557,669
Purchase of investments	(68,658,229)	(1,374,549)
Investment income, net of investment expenses	323,030	65,804
<b>Net cash provided (used) by investing activities</b>	<b>(469,873)</b>	<b>248,924</b>
<b>Net increase (decrease) in cash and cash equivalents</b>	<b>(53,792)</b>	<b>9,143</b>
Cash and cash equivalents, beginning of year	194,221	173,139
<b>Cash and cash equivalents, end of year</b>	<b>\$ 140,429</b>	<b>\$ 182,282</b>

**RECONCILIATION OF OPERATING LOSS TO NET CASH USED BY OPERATING ACTIVITIES**

Operating (loss)	\$ (4,581,425)	\$ (242,454)
<i>Adjustments to reconcile operating loss to net cash used by operating activities:</i>		
Depreciation and amortization expense	1,709,672	29,940
Noncash gifts		(77,175)
Allowance for doubtful accounts	348,037	21,049
Loss on impairment of capital assets	11,202	
<i>Change in assets and liabilities:</i>		
Accounts receivable	(451,523)	6,218
Pledges receivable		(159,793)
Investments held by trustees	(33,948)	
Inventories	(3,767)	(390)
Other assets	(6,093)	(371)
Accounts payable	62,006	2,262
Accrued salaries	64,570	51
Employee benefits	43,999	
Unearned revenue	78,580	2,125
Self-insurance	12,779	2,618
Obligations to life beneficiaries		(15,139)
Pension obligations	(446,924)	(9,704)
Obligations for retiree health benefits	862,938	
Department of Energy	615,859	
Other liabilities	64,293	3,173
<b>Net cash used by operating activities</b>	<b>\$ (1,649,745)</b>	<b>\$ (437,590)</b>

**SUPPLEMENTAL NONCASH ACTIVITIES INFORMATION**

Capital assets acquired through capital leases	\$ 36,470	
Capital assets acquired with a liability at year-end	82,625	
Change in fair value of interest rate swaps classified as hedging derivatives	(29,481)	
Gifts of capital assets	69,115	
Other noncash gifts	27,136	\$ 105,779
Other borrowings from conversion of interest rate swap to hedging derivative	14,025	
<i>Debt service for, or refinancing of, lease revenue bonds:</i>		
Principal paid	(2,406,740)	
Interest paid	(14,000)	
<i>Proceeds from general revenue bonds used to refinance lease revenue bonds:</i>		
Principal received	2,389,830	
Bond premium received	185,534	
Investments held by trustee used to refinance lease revenue bonds	180,303	
Interest added to principal		27,917
Beneficial interest in charitable remainder trust		91

See accompanying Notes to Financial Statements.

UNIVERSITY OF CALIFORNIA RETIREMENT SYSTEM AND RETIREE HEALTH BENEFIT TRUST  
**STATEMENTS OF PLANS' AND TRUST'S FIDUCIARY NET POSITION**

<i>At June 30, 2014 (in thousands of dollars)</i>	UNIVERSITY OF CALIFORNIA RETIREMENT SYSTEM (UCRS)	UNIVERSITY OF CALIFORNIA RETIREE HEALTH BENEFIT TRUST (UCRHBT)	TOTAL UCRS AND UCRHBT
<b>ASSETS</b>			
Investments	\$68,747,604	\$37,125	\$68,784,729
Participants' interests in mutual funds	5,044,424		5,044,424
Investment of cash collateral	6,563,272		6,563,272
Participant 403(b) loans	170,215		170,215
Accounts receivable:			
Contributions from University and affiliates	135,279	22,961	158,240
Investment income	85,911		85,911
Securities sales and other	72,006	3	72,009
Prepaid insurance premiums		16,390	16,390
<b>Total assets</b>	<b>80,818,711</b>	<b>76,479</b>	<b>80,895,190</b>
<b>LIABILITIES</b>			
Payable to University		11,295	11,295
Payable for securities purchased	1,083,699		1,083,699
Member withdrawals, refunds and other payables	275,415		275,415
Collateral held for securities lending	6,561,614		6,561,614
<b>Total liabilities</b>	<b>7,920,728</b>	<b>11,295</b>	<b>7,932,023</b>
<b>NET POSITION HELD IN TRUST</b>			
Members' defined benefit plan benefits	52,853,829		52,853,829
Participants' defined contribution plan benefits	20,044,154		20,044,154
Retiree health benefits		65,184	65,184
<b>Total net position held in trust</b>	<b>\$72,897,983</b>	<b>\$65,184</b>	<b>\$72,963,167</b>

See accompanying Notes to Financial Statements.

**STATEMENTS OF CHANGES IN PLANS' AND TRUST'S FIDUCIARY NET POSITION**

<i>Year ended June 30, 2014 (in thousands of dollars)</i>	UNIVERSITY OF CALIFORNIA RETIREMENT SYSTEM (UCRS)	UNIVERSITY OF CALIFORNIA RETIREE HEALTH BENEFIT TRUST (UCRHBT)	TOTAL UCRS AND UCRHBT
<b>ADDITIONS (REDUCTIONS)</b>			
<i>Contributions:</i>			
Members and employees	\$ 1,628,638		\$ 1,628,638
Retirees		\$ 47,722	47,722
University	1,587,074	295,673	1,882,747
<b>Total contributions</b>	<b>3,215,712</b>	<b>343,395</b>	<b>3,559,107</b>
<i>Investment income (expense), net:</i>			
Net appreciation in fair value of investments	9,137,618		9,137,618
Interest, dividends and other investment income	1,304,521	13	1,304,534
Securities lending income	47,664		47,664
Securities lending fees and rebates	(7,454)		(7,454)
<b>Total investment income, net</b>	<b>10,482,349</b>	<b>13</b>	<b>10,482,362</b>
Interest income from contributions receivable	2,361		2,361
<b>Total additions</b>	<b>13,700,422</b>	<b>343,408</b>	<b>14,043,830</b>
<b>DEDUCTIONS</b>			
<i>Benefit payments:</i>			
Retirement payments	1,875,734		1,875,734
Member withdrawals	109,486		109,486
Cost-of-living adjustments	370,000		370,000
Lump sum cash outs	253,807		253,807
Preretirement survivor payments	41,995		41,995
Disability payments	33,411		33,411
Death payments	8,276		8,276
Participant withdrawals	1,260,155		1,260,155
<b>Total benefit payments</b>	<b>3,952,864</b>		<b>3,952,864</b>
<i>Insurance premiums:</i>			
Insured plans		244,461	244,461
Self-insured plans		60,702	60,702
Medicare Part B reimbursements		13,327	13,327
<b>Total insurance premiums, net</b>		<b>318,490</b>	<b>318,490</b>
<i>Other deductions:</i>			
Plan administration	42,374	4,034	46,408
Other	4,077		4,077
<b>Total other deductions</b>	<b>46,451</b>	<b>4,034</b>	<b>50,485</b>
<b>Total deductions</b>	<b>3,999,315</b>	<b>322,524</b>	<b>4,321,839</b>
<b>Increase in net position held in trust</b>	<b>9,701,107</b>	<b>20,884</b>	<b>9,721,991</b>
<b>NET POSITION HELD IN TRUST</b>			
Beginning of year	63,196,876	44,300	63,241,176
<b>End of year</b>	<b>\$ 72,897,983</b>	<b>\$ 65,184</b>	<b>\$72,963,167</b>

See accompanying Notes to Financial Statements.

# Notes to Financial Statements

*Year ended June 30, 2014*

## **ORGANIZATION**

The University of California (the University) was founded in 1868 as a public, state-supported institution. The California State Constitution provides that the University shall be a public trust administered by the corporation, “The Regents of the University of California,” which is vested with full powers of organization and government, subject only to such legislative control necessary to ensure the security of its funds and compliance with certain statutory and administrative requirements. The majority of the 26-member independent governing board (The Regents) is appointed by the governor and approved by the state Senate. Various University programs and capital outlay projects are funded through appropriations from the state’s annual Budget Act. The University’s financial statements are discretely presented in the state’s general purpose financial statements as a component unit.

## **FINANCIAL REPORTING ENTITY AND SIGNIFICANT ACCOUNTING POLICIES**

### **Financial Reporting Entity**

The University’s financial statements include the accounts of ten campuses, five medical centers, a statewide agricultural extension program and the operations of most student government or associated student organizations as part of the primary financial reporting entity because The Regents has certain fiduciary responsibility for these organizations. In addition, the financial position and operating results of certain other legally separate organizations are included in the University’s financial reporting entity on a blended basis if The Regents is determined to be financially accountable for the organization. Organizations that are not significant or for which the University is not financially accountable, such as booster and alumni organizations are not included in the reporting entity. However, cash invested with the University by these organizations, along with the related liability, is included in the statement of net position. The statement of revenues, expenses and changes in net position excludes the activities associated with these organizations.

The University has ten legally separate, tax-exempt, affiliated campus foundations. The economic resources received or held by the foundations are entirely for the benefit of the campuses. Because of the nature and significance of their relationship with the University, including their ongoing financial support, the campus foundations are reported under GASB requirements as discretely presented component units of the University. On January 1, 2014, The Regents became the sole corporate and voting member of CHRCO, an existing legally separate 501(c)(3) corporation. A Board of Directors comprised primarily of independent directors serves as the governing body of CHRCO. Certain corporate powers are reserved to The Regents, including the power to appoint and remove directors and to approve CHRCO’s strategic plan and budget. Children’s Hospital & Research Center Foundation, a nonprofit public benefit corporation, is organized and operated for the purpose of

supporting CHRCO. San Francisco provides certain management services for CHRCO. Since the University has the ability to impose its will on CHRCO, under GASB requirements, CHRCO, combined with its foundation, is a discretely presented component unit of the University. Financial information for CHRCO is presented to retroactively apply this change in accounting entity. The ten campus foundations and CHRCO combined are reported as discretely presented component units in financial statements.

Specific assets and liabilities and all revenues and expenses associated with the Lawrence Berkeley National Laboratory (LBNL), a major United States Department of Energy (DOE) national laboratory operated and managed by the University under contract directly with the DOE, are included in the financial statements.

The Regents has fiduciary responsibility for the University of California Retirement System (UCRS) that includes two defined benefit plans, the University of California Retirement Plan (UCRP) and the University of California Public Employees' Retirement System (PERS) Voluntary Early Retirement Incentive Plan (PERS-VERIP), and four defined contribution plans in the University of California Retirement Savings Program (UCRSP), consisting of the Defined Contribution Plan (DC Plan), the Supplemental Defined Contribution Plan (SDC Plan), the Tax-Deferred 403(b) Plan (403(b) Plan) and the 457(b) Deferred Compensation Plan (457(b) Plan). As a result, the UCRS statements of plans' fiduciary net position and changes in plans' fiduciary net position are shown as a fiduciary fund in the University's financial statements.

The Regents also has fiduciary responsibility for the University of California Retiree Health Benefit Trust (UCRHBT). The UCRHBT statements of trust's fiduciary net position and changes in trust's fiduciary net position are shown separately in the University's financial statements. UCRHBT allows certain University locations and affiliates, primarily campuses and medical centers that share the risks, rewards and costs of providing for retiree health benefits, to fund such benefits on a cost-sharing basis and accumulate funds on a tax-exempt basis under an arrangement segregated from University assets. The Regents serves as Trustee of UCRHBT and has the authority to amend or terminate the Trust.

### **Significant Accounting Policies**

The financial statements have been prepared in accordance with accounting principles generally accepted in the United States of America, using the economic resources measurement focus and the accrual basis of accounting. The University follows accounting principles issued by the Governmental Accounting Standards Board (GASB).

In March 2012, the GASB issued Statement No. 65, *Items Previously Reported as Assets and Liabilities*, effective for the University's fiscal year beginning July 1, 2013. This Statement reclassifies, as deferred outflows of resources or deferred inflows of resources, certain items that were previously reported as assets and liabilities and recognizes, as expenses, certain items that were previously reported as assets and liabilities.

In March 2012, the GASB issued Statement No. 66, *Technical Corrections – 2012 – An Amendment of GASB Statements No. 10 and No. 62*, effective for the University's fiscal year beginning July 1, 2013. This Statement resolves conflicting guidance that resulted from the issuance of two pronouncements, Statements No. 54, *Fund Balance Reporting and Governmental Fund Type Definitions*, and No. 62, *Codification of Accounting and Financial Reporting Guidance Contained in Pre-November 30, 1989 FASB and AICPA Pronouncements*.

In June 2012, the GASB issued Statement No. 67, *Financial Reporting for Pension Plans*, effective for the University's fiscal year beginning July 1, 2013. This Statement revises existing standards for financial reporting for pension plans by changing the approach to measuring the net pension liability. The net pension liability is measured as the total pension liability, less the amount of the pension plan's fiduciary net position. The total pension liability is determined based upon discounting projected benefit payments based on the benefit terms and legal agreements existing at the pension plan's fiscal year end. Projected benefit payments are required to be discounted using a single rate that reflects the expected rate of return on investments, to the extent that plan assets are available to pay benefits, and a tax-exempt, high-quality municipal bond rate when plan assets are not available. Statement No. 67 affects the information presented in the footnotes to the financial statements and required supplementary information for UCRP.

In June 2012, the GASB issued Statement No. 68, *Accounting and Financial Reporting for Pensions*, effective for the University's fiscal year beginning July 1, 2014. The University has elected to early implement this Statement, effective July 1, 2013. This Statement revises existing standards for employer financial statements relating to measuring and reporting pension liabilities for pension plans provided by the University to its employees. This Statement requires recognition of a liability equal to the net pension liability, which is measured as the total pension liability, less the amount of the pension plan's fiduciary net position. The total pension liability is determined based upon discounting projected benefit payments based on the

benefit terms and legal agreements existing at the pension plan's fiscal year end. Projected benefit payments are required to be discounted using a single rate that reflects the expected rate of return on investments, to the extent that plan assets are available to pay benefits, and a tax-exempt, high-quality municipal bond rate when plan assets are not available. This Statement requires that most changes in the net pension liability be included in pension expense in the period of the change.

In January 2013, the GASB issued Statement No. 69, *Government Combinations and Disposals of Government Operations*, effective for the University's fiscal year beginning July 1, 2014. This Statement establishes standards for accounting and financial reporting of government combinations and disposals of government operations. Government combinations include mergers, acquisitions and transfers of operations of government or nongovernment entities to a continuing government. The Statement includes guidance for measuring the assets and liabilities that are acquired in a combination, either with or without consideration. The provisions of this Statement are applicable on a prospective basis to combinations that occur after the effective date.

In April 2013, the GASB issued Statement No. 70, *Accounting and Financial Reporting for Nonexchange Financial Guarantees*, effective for the University's fiscal year beginning July 1, 2013. This Statement establishes standards for recording a liability when a government extends a nonexchange financial guarantee for the obligations of another government, a not-for-profit organization, a private entity or an individual without receiving equal or nearly equal value in exchange. As part of the nonexchange financial guarantee, the government commits to indemnify the holder of the obligation if the entity or individual that issued the obligation does not fulfill its payment requirements. This standard requires the government that extends a nonexchange financial guarantee to record a liability when qualitative factors and historical data indicate that it is more likely than not that the government will be required to make a payment on the guarantee.

In November 2013, the GASB issued Statement No. 71, *Pension Transition for Contributions Made Subsequent to the Measurement Date*, effective for the University concurrently with the implementation of GASB Statement No. 68. This Statement addresses an issue in Statement No. 68 concerning transition provisions related to certain pension contributions made to defined benefit pension plans prior to the implementation of that Statement by employers and nonemployer contributing entities.

Implementation of Statements Nos. 66, 67, 69, 70 and 71 had no effect on the University's beginning net position. The adoption of Statements Nos. 65 and 68 did not result in any adjustments to the financial statements for the discretely presented component units, UCRS or UCRHBT. To implement Statement No. 65, the University reclassified losses on debt refundings to deferred outflows of resources and wrote-off unamortized bond issuance costs as of July 1, 2013. To implement Statement No. 68, the University recorded the net pension liabilities for its defined benefit plans. The CHRCO financial data is presented in accordance with the new accounting standards described above. The impact on the University's net position as of June 30, 2013 of adopting Statements Nos. 65 and 68, as well as the change in reporting entity related to CHRCO, was as follows:

<i>(in thousands of dollars)</i>	<b>UNIVERSITY OF CALIFORNIA</b>	<b>DISCRETELY PRESENTED COMPONENT UNITS</b>
Cumulative effect on net position of:		
Adoption of Statement No. 65	\$ (97,992)	
Adoption of Statement No. 68	(6,638,934)	
Change in reporting entity		\$418,882
<b>Total</b>	<b>\$(6,736,926)</b>	<b>\$418,882</b>

The significant accounting policies of the University are as follows:

**Cash and cash equivalents.** The University and the discretely presented component units consider all balances in demand deposit accounts to be cash. The University classifies all other highly liquid cash equivalents with original maturities less than one year, as short-term investments. Certain campus foundations classify their deposits in the University's Short Term Investment Pool as a cash equivalent.

**Investments.** Investments are recorded at fair value. Securities are generally valued at the last sale price on the last business day of the fiscal year, as quoted on a recognized exchange or utilizing an industry standard pricing service, when available. Securities for which no sale was reported as of the close of the last business day of the fiscal year are valued at the quoted bid price of a dealer who regularly trades in the security being valued. Certain securities may be valued on a basis of a price provided by a single source.

Investments also include private equities, absolute return funds and real estate. Private equities include venture capital partnerships, buyout and international funds. Fair values for interests in private equity, absolute value partnerships and real estate partnerships are based on valuations provided by the general partners of the respective partnerships. Interests in certain

direct investments in real estate are estimated based upon independent appraisals. Because the private equity, real estate and absolute return partnerships, along with direct investments in real estate, are not readily marketable, their estimated value is subject to uncertainty and, therefore, may differ significantly from the value that would be used had a ready market for such investment existed.

Investments in registered investment companies are valued based upon the reported net asset value of those companies. Mortgage loans, held as investments, are valued on the basis of their future principal and interest payments, and are discounted at prevailing interest rates for similar instruments. Insurance contracts are valued at contract value, plus reinvested interest, which approximates fair value.

Investments denominated in foreign currencies are translated into U.S. dollar equivalents using year-end spot foreign currency exchange rates. Purchases and sales of investments and their related income are translated at the rate of exchange on the respective transaction dates. Realized and unrealized gains and losses resulting from foreign currency changes are included in the University's statement of revenues, expenses and changes in net position.

Investment transactions are recorded on the date the securities are purchased or sold (trade date). Realized gains or losses are recorded as the difference between the proceeds from the sale and the average cost of the investment sold. Dividend income is recorded on the ex-dividend date and interest income is accrued as earned. Gifts of securities are recorded at estimated fair value at the date of donation.

Campus foundations may invest all or a portion of their investments in University-managed investment pools. Securities in these investment pools are included in the University's security lending program. Accordingly, the campus foundations' investments in University-managed investment pools and their allocated share of the securities lending activities have been excluded from the University's financial statements and displayed in the discretely presented component units' column.

**Funds held by trustees.** The University and its discretely presented component units have been named the irrevocable beneficiary for several charitable remainder trusts for which the University and its discretely presented component units are not the trustee. Upon maturity of each trust, the remainder of the trust corpus will be transferred to the University or the campus foundation. These funds cannot be sold, disbursed or consumed until a specified number of years have passed or a specific event has occurred. The University and its discretely presented component units are also an income beneficiary of certain trusts where the assets are invested and administered by outside trustees.

Consistent with the University's and its discretely presented component units recognition policy for pledges of endowment, receivables and contribution revenue associated with these trusts are not reflected in the accompanying financial statements. The University and its discretely presented component units recognize contribution revenue when all eligibility requirements have been met.

**Derivative financial instruments.** Derivative instruments are recorded at estimated fair value. Futures contracts, foreign currency exchange contracts, stock rights and warrants, options and swaptions are valued at the last sales price on the last day of the fiscal year, as quoted on a recognized exchange or by utilizing an industry standard pricing service, when available. Financial institutions or independent advisors have estimated the fair value of the interest rate swaps and total return swaps using quoted market prices when available or discounted expected future net cash flows.

The University has entered into interest rate swap agreements to limit the exposure of its variable-rate debt to changes in market interest rates. Interest rate swap agreements involve the exchange with a counterparty of fixed- and variable-rate interest payments periodically over the life of the agreement without exchange of the underlying notional principal amounts. The net differential to be paid or received is recognized over the life of the agreements as an adjustment to interest expense. The University's counterparties are major financial institutions.

Derivatives are recorded at estimated fair value as either assets or liabilities in the statement of net position. Certain derivatives are determined to be hedging derivatives and designated as either a fair value or cash flow hedge. Under hedge accounting, changes in the fair value of hedging derivatives are considered to be deferred inflows (for hedging derivatives with positive fair values) or deferred outflows (for hedging derivatives with negative fair values).

Changes in the fair value of derivatives that are not hedging derivatives are recorded as net appreciation or depreciation in fair value of investments in the statement of revenues, expenses and changes in net position.

**Participants' interests in mutual funds.** Participants in the University's defined contribution retirement plans may invest their account balances in funds managed by the University's Chief Investment Officer or in certain mutual funds.

**Accounts receivable, net.** Accounts receivable, net of allowance for uncollectible amounts, include reimbursements due from state and federal sponsors of externally funded research, patient billings, accrued income on investments and other receivables. Other receivables include local government and private grants and contracts, educational activities and amounts due from students, employees and faculty for services.

**Pledges receivable, net.** Unconditional pledges of private gifts to the University or to the discretely presented component units, net of allowance for uncollectible amounts, are recorded as pledges receivable and revenue in the year promised at the net present value of expected cash flows. Conditional pledges, including all pledges of endowments and intentions to pledge, are recognized as receivables and revenues when the specified conditions are met. Receivables and contribution revenue associated with externally held investment trusts are not reflected in the accompanying financial statements. The University recognizes contribution revenue when all eligibility requirements have been met.

**Notes and mortgages receivable, net.** Loans to students, net of allowance for uncollectible amounts, are provided from federal student loan programs and from other University sources. Home mortgage loans, primarily to faculty, are provided from the University's Short Term Investment Pool and from other University sources. Mortgage loans provided by the Short Term Investment Pool are classified as investments and loans provided by other sources are classified as mortgages receivable in the statement of net position.

**Inventories.** Inventories, consisting primarily of supplies and merchandise for resale, are valued at cost, typically determined under the weighted average method, which is not in excess of estimated net realizable value.

**DOE national laboratories.** The University operates and manages LBNL under a contract directly with the DOE. Specific assets and liabilities and all revenues and expenses associated with LBNL are included in the financial statements. Other assets, such as cash, property and equipment and other liabilities of LBNL are owned by the United States government rather than the University and, therefore, are not included in the statement of net position. The statement of cash flows excludes the cash flows associated with LBNL other than reimbursements, primarily related to pension and health benefits, since all other cash transactions are recorded in bank accounts owned by the DOE.

The University is a member in two separate joint ventures, Los Alamos National Security, LLC (LANS), and Lawrence Livermore National Security, LLC (LLNS), that operate and manage two other DOE laboratories, Los Alamos National Laboratory (LANL) and Lawrence Livermore National Laboratory (LLNL), respectively, under contracts directly with the DOE. The University's investment in LANS and LLNS is accounted for using the equity method. Accordingly, the University's statement of net position includes its equity interest in LANS and LLNS, adjusted for the equity in undistributed earnings or losses and the statement of revenues, expenses and changes in net position includes its equity in the current earnings or losses of LANS and LLNS.

The DOE is financially responsible for substantially all of the current and future costs incurred at any of the national laboratories, including pension and retiree health benefit costs. Accordingly, to the extent there is a liability on the University's statement of net position for pension or retiree health obligations related to these laboratories, the University records a receivable from the DOE.

**Capital assets, net.** Land, infrastructure, buildings and improvements, intangible assets, equipment, libraries, collections and special collections are recorded at cost at the date of acquisition, or estimated fair value at the date of donation in the case of gifts. Estimates of fair value involve assumptions and estimation methods that are uncertain and, therefore, the estimates could differ from actual value. Intangible assets include easements, land rights, trademarks, patents and other similar arrangements. Capital leases are recorded at the estimated present value of future minimum lease payments. Significant additions, replacements, major repairs and renovations to infrastructure and buildings are generally capitalized if the cost exceeds \$35,000 and if they have a useful life of more than one year. Minor renovations are charged to operations. Equipment with a cost in excess of \$5,000 and a useful life of more than one year is capitalized. All costs of land, library collections and special collections are capitalized.

Depreciation is calculated using the straight-line method over the estimated economic life of the asset. Equipment under capital leases is amortized over the estimated useful life of the equipment. Leasehold improvements are amortized using the straight-line method over the shorter of the life of the applicable lease or the economic life of the asset.

Estimated economic lives are generally as follows:

	YEARS
Infrastructure	25
Buildings and improvements	15–33
Equipment	2–20
Computer software	3–7
Intangible assets	2 – indefinite
Library books and collections	15

Capital assets acquired through federal grants and contracts where the federal government retains a reversionary interest are also capitalized and depreciated.

Inexhaustible capital assets, such as land or special collections that are protected, preserved and held for public exhibition, education or research, including art, museum, scientific and rare book collections are not depreciated.

Interest on borrowings to finance facilities is capitalized during construction, net of any investment income earned on tax-exempt borrowings during the temporary investment of project related borrowings.

**Service concession arrangements.** The University has entered into service concession arrangements with third parties for student housing and certain other faculty and student services. Under these arrangements, the University enters into ground leases with third parties at minimal or no cost, and gives the third party the right to construct, operate and maintain a facility, primarily for the benefit of students and faculty at competitive rates. Rate increases for use of the facilities are subject to certain constraints and ownership of the facilities reverts to the University upon expiration of the ground lease. The facilities are reported as capital assets by the University when placed in service, and a corresponding deferred inflow of resources is reported. The University has not provided guarantees on financing obtained by the third parties under these arrangements.

**Unearned revenue.** Unearned revenue primarily includes amounts received from grant and contract sponsors that have not been earned under the terms of the agreement and other revenue billed in advance of the event, such as student tuition and fees for housing and dining services.

**Funds held for others.** Funds held for others result from the University or the discretely presented component units acting as an agent, or fiduciary, on behalf of organizations that are not significant or financially accountable to the University or the discretely presented component units.

**Federal refundable loans.** Certain loans to students are administered by the University with funding primarily supported by the federal government. The University's statement of net position includes both the notes receivable and the related federal refundable loan liability representing federal capital contributions owed upon termination of the program.

**Self-insurance programs.** The University is self-insured or insured through a wholly owned captive insurance company for medical malpractice, workers' compensation, employee health care and general liability claims. These risks are subject to various claim and aggregate limits, with excess liability coverage provided by an independent insurer. Liabilities are recorded when it is probable a loss has occurred and the amount of the loss can be reasonably estimated. These losses include an estimate for claims that have been incurred, but not reported. The estimated liabilities are based upon an independent actuarial determination of the present value of the anticipated future payments. Settlements did not exceed insurance coverage for each of the past three fiscal years.

**Obligations under life income agreements.** Obligations under life income agreements represent trusts with living income beneficiaries where the University has a residual interest. The investments associated with these agreements are recorded at fair value. The discounted present value of any income beneficiary interest is reported as a liability in the statement of net position. Gifts subject to such agreements are recorded as revenue, net of the income beneficiary share, at the date of the gift. Actuarial gains and losses are included in other nonoperating income (expense) in the statement of revenues, expenses and changes in net position. Resources that are expendable upon maturity are classified as restricted, expendable net position; all others are classified as restricted, nonexpendable net position.

**Pollution remediation obligations.** Upon an obligating event, the University estimates the components of any expected pollution remediation costs and recoveries from third parties. The costs, estimated using the expected cash flow technique, are accrued as a liability. Pollution remediation liabilities generally involve groundwater, soil and sediment contamination at certain sites where state and other regulatory agencies have indicated that the University is among the responsible parties. The

liabilities are revalued annually and may increase or decrease the cost of recovery from third parties, if any, as a result of additional information that refines the estimates, or from payments made from revenue sources that support the activity. There were no expected recoveries at June 30, 2014 reducing the pollution remediation liability.

**Deferred outflows of resources and deferred inflows of resources.** The University classifies gains on retirement of debt as deferred inflows of resources and losses as deferred outflows of resources and amortizes such amounts as a component of interest expense over the remaining life of the old debt, or the new debt, whichever is shorter.

The University classifies an increase in the fair value of the hedging derivatives as deferred inflows of resources, and a decrease as deferred outflows of resources. Payments received or to be received by the University from service concession arrangements are reported as deferred inflows of resources.

Changes in net pension liability not included in pension expense are reported as deferred outflows of resources or deferred inflows of resources. Employer contributions subsequent to the measurement date of the net pension liability are reported as deferred outflows of resources.

**Net position.** Net position is required to be classified for accounting and reporting purposes into the following categories:

*Net investment in capital assets.* This category includes all of the University's capital assets, net of accumulated depreciation, reduced by outstanding debt attributable to the acquisition, construction or improvement of those assets.

*Restricted.* The University and the discretely presented component units classify the net position resulting from transactions with purpose restrictions as restricted net position until the specific resources are used for the required purpose or for as long as the provider requires the resources to remain intact.

*Nonexpendable.* The net position subject to externally imposed restrictions, which must be retained in perpetuity by the University or the discretely presented component units, are classified as nonexpendable net position. This includes the University and campus foundation permanent endowment funds.

Also included in nonexpendable net position are minority interests, which includes the net position of legally separate organizations attributable to other participants.

*Expendable.* The net position whose use by the University or the discretely presented component units are subject to externally imposed restrictions that can be fulfilled by actions of the University or the discretely presented component units pursuant to those restrictions or that expire by the passage of time are classified as expendable net position.

*Unrestricted.* The net position that is neither reserved, restricted nor invested in capital assets, net of related debt, are classified as unrestricted net position. The University's unrestricted net position may be designated for specific purposes by management or The Regents. The discretely presented component units' unrestricted net position may be designated for specific purposes by their Boards of Trustees. Substantially, all of the University's unrestricted net position is allocated for academic and research initiatives or programs, for capital programs or for other purposes.

Expenses are charged to either restricted or unrestricted net position based upon a variety of factors, including consideration of prior and future revenue sources, the type of expense incurred, the University's budgetary policies surrounding the various revenue sources or whether the expense is a recurring cost.

**Revenues and expenses.** Operating revenues of the University include receipts from student tuition and fees, grants and contracts for specific operating activities and sales and services from medical centers, educational activities and auxiliary enterprises. Operating expenses incurred in conducting the programs and services of the University are presented in the statement of revenues, expenses and changes in net position as operating activities. The University's equity in current earnings or losses of LANS and LLNS is also an operating transaction.

Certain significant revenues relied upon and budgeted for fundamental operational support of the core instructional mission of the University are mandated by the GASB to be recorded as nonoperating revenues, including state educational appropriations, certain federal grants for student financial aid, private gifts and investment income, since the GASB does not consider them to be related to the principal operating activities of the University.

Campus foundations are established to financially support the University. Private gifts to campus foundations are recognized as operating revenues since, in contrast to the University, such contributions are fundamental to the core mission of the campus foundations. Foundation grants to the University are recognized as operating expenses by the foundations. Private gift or capital gift revenues associated with campus foundation grants to the University are recorded by the University as gifts when the foundations transfer the gifts to the University.

Nonoperating revenues and expenses include state educational appropriations, state financing appropriations, state hospital fee grants, Build America Bonds federal interest subsidies, federal Pell Grants, private gifts for other than capital purposes, investment income, net appreciation in the fair value of investments, interest expense and the loss on the disposal of capital assets.

State capital appropriations, capital gifts and grants and gifts for endowment purposes are classified as other changes in net position.

**Student tuition and fees.** Substantially, all student tuition and fees provide for the current operations of the University. A small portion of the student fees, reported as capital gifts and grants, is required for debt service associated with student union and recreational centers.

The University recognizes scholarship allowances as the difference between the stated charge for tuition and fees, housing and dining charges, recreational center and other fees, and the amount that is paid by the student and third parties on behalf of the student. Payments of financial aid made directly to students are classified as scholarship and fellowship expenses.

Scholarship allowances are netted against student tuition and fees in the statement of revenues, expenses and changes in net position for the year ended June 30, 2014 as follows:

<i>(in thousands of dollars)</i>	
	<b>2014</b>
Student tuition and fees	\$1,075,948
Auxiliary enterprises	205,340
Other operating revenues	28,836
<b>Scholarship allowances</b>	<b>\$1,310,124</b>

**State appropriations.** The state of California provides appropriations to the University on an annual basis. State educational appropriations are recognized as nonoperating revenue; however, the related expenses for educational or other specific operating purposes are reported as operating expenses. State financing appropriations provide for principal and interest payments associated with lease-purchase agreements with the State Public Works Board and are also reported as nonoperating revenue. State appropriations for capital projects are recorded as revenue under other changes in net position when the related expenditures are incurred. Special state appropriations for AIDS, tobacco and breast cancer research are reported as grant operating revenue.

**Grant and contract revenue.** The University receives grant and contract revenue from governmental and private sources. The University recognizes revenue associated with the direct costs of sponsored programs as the related expenditures are incurred. Recovery of facilities and administrative costs of federally sponsored programs is at cost reimbursement rates negotiated with the University's federal cognizant agency, the U.S. Department of Health and Human Services.

For the year ended June 30, 2014, the facilities and administrative cost recovery totaled \$982.5 million, \$712.6 million from federally sponsored programs and \$269.9 million from other sponsors.

**Medical center revenue.** Medical center revenue is reported at the estimated net realizable amounts from patients and third-party payors, including Medicare, Medi-Cal and others, for services rendered, as well as estimated retroactive adjustments under reimbursement agreements with third-party payors. Laws and regulations governing Medicare and Medi-Cal are complex and subject to interpretation. Retroactive adjustments are accrued on an estimated basis in the period the related services are rendered and adjusted in future periods as final settlements are determined. It is reasonably possible that estimated amounts accrued could change significantly based upon settlement, or as additional information becomes available.

**Pension obligations.** The University records pension obligations equal to the net pension liability for its defined benefit plans. The net pension liability is measured as the total pension liability, less the amount of the pension plan's fiduciary net position. The fiduciary net position and changes in net position of the defined benefit plans has been measured consistent with the accounting policies used by the plans. The total pension liability is determined based upon discounting projected benefit payments based on the benefit terms and legal agreements existing at the pension plan's fiscal year end. Projected benefit payments are discounted using a single rate that reflects the expected rate of return on investments, to the extent that plan assets are available to pay benefits, and a tax-exempt, high-quality municipal bond rate when plan assets are not available.

Pension expense is recognized for benefits earned during the period, interest on the unfunded liability and changes in benefit terms. The differences between expected and actual experience and changes in assumptions about future economic or demographic factors are reported as deferred inflows or outflows and are recognized over the average expected remaining service period for employees eligible for pension benefits. The differences between expected and actual returns are reported as deferred inflows or outflows and are recognized over five years.

Both current employees and retirees at LBNL participate in UCRP. The University makes contributions to UCRP for LBNL employees and is reimbursed by the DOE based upon rates that are identical to those authorized by The Regents for campus and medical center employees. The University also makes contributions to UCRP for LANL and LLNL retirees and terminated vested members, whose benefits were retained in UCRP at the time the joint ventures were formed. The University records a receivable for the net pension liability that is expected to be collected from the DOE. The University deposits funds in UCRP when the DOE makes payments for these contributions. The contributions from the DOE and deposits into UCRP on behalf of DOE are included as DOE laboratory expense and revenue, respectively, in the statement of revenues, expenses and changes in net position.

**Retiree health benefits and obligations for retiree health benefits.** The University's cost for campus and medical center retiree health benefits expense is based upon the annual required contribution to the retiree health plan, as actuarially determined. Campus and medical center contributions toward retiree health benefits, at rates determined by the University, are made to UCRHBT and reduce the obligation for retiree health benefits in the statement of net position.

LBNL participates in the University's retiree health plans. The annual required contribution for LBNL is actuarially determined independently from the University's campuses and medical centers, and is included with the DOE laboratory expense in the statement of revenues, expenses and changes in net position. The University directly pays health care insurers and administrators amounts currently due under the University's retiree health benefit plans for retirees who previously worked at LBNL, and is reimbursed by the DOE. These contributions, in the form of direct payments, also reduce the University's obligation for retiree health benefits in the statement of net position. The reimbursement from the DOE is included as DOE laboratory revenue in the statement of revenues, expenses and changes in net position.

The University records a receivable from the DOE for the DOE's portion of the University's obligation for retiree health benefits attributable to LBNL. The University does not have any obligation for LANL or LLNL retiree health benefit costs since they do not participate in the University's retiree health plans.

Campus and medical center contributions toward retiree health costs made to UCRHBT, the University's LBNL-related payments made directly to health care insurers and administrators and the corresponding reimbursements from the DOE are operating activities in the statement of cash flows. Cash flows resulting from retiree health contributions from retirees are shown as noncapital financing activities in the statement of cash flows.

**University of California Retiree Health Benefit Trust.** UCRHBT receives the University's contributions toward retiree health benefits from campuses, medical centers and University affiliates. The University receives retiree health contributions from University affiliates and campus and medical center retirees that are deducted from their UCRP benefit payments. The University also remits these retiree contributions to UCRHBT.

The University acts as a third-party administrator on behalf of UCRHBT and pays health care insurers and administrators amounts currently due under the University's retiree health benefit plans for retirees who previously worked at a campus or medical center. UCRHBT reimburses the University for these amounts.

LBNL does not participate in UCRHBT; therefore, the DOE has no interest in the Trust's assets.

**Compensated absences.** The University accrues annual leave, including employer-related costs, for employees at rates based upon length of service and job classification and compensatory time based upon job classification and hours worked.

**Endowment spending.** Under provisions of California law, the Uniform Prudent Management of Institutional Funds Act allows for investment income, as well as a portion of realized and unrealized gains, to be expended for the operational requirements of University programs.

**Tax exemption.** The University is recognized as a tax-exempt organization under Section 501(c)(3) of the Internal Revenue Code (IRC). Because the University is a state institution, related income received by the University is also exempt from federal tax under IRC Section 115(a). In addition, the University is exempt from state income taxes imposed under the California Revenue and Taxation Code. UCRS plans are qualified under IRC Section 401(a) and the related trusts are tax-exempt

under Section 501(c)(3). The campus foundations are exempt under Section 501(c)(3). CHRCO and its component unit, the Children's Hospital and Research Center Foundation, are exempt under Section 501(c)(3). Income received by UCRHBT is tax-exempt under Section 115(a).

*Use of estimates.* The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenditures during the reporting period. Although management believes the estimates and assumptions are reasonable, they are based upon information available at the time the estimate or judgment is made and actual amounts could differ from those estimates.

## 1. CASH AND CASH EQUIVALENTS

The University maintains centralized management for substantially all of its cash and cash equivalents. Cash in demand deposit accounts is minimized by sweeping available cash balances into investment accounts on a daily basis.

Under University policy, deposits are only held at financial institutions that maintain an issuer rating on long-term debt of A3 or higher by Moody's, A- or higher by Standard & Poor's or an Asset Peer Group rating of 65 or higher as defined by Sheshunoff Bank Rating Reports. In 2012, ratings for one of the University's banks were lowered below these thresholds. The University approved an exception for this institution and continues to monitor the institution's financial condition. At June 30, 2014, the carrying amount of the University's demand deposits, generally held in five nationally recognized banking institutions, was \$140.4 million compared to bank balances of \$110.3 million. Deposits in transit and cash awaiting investment are the primary differences. The University's deposits are uninsured and uncollateralized.

The University does not have significant exposure to foreign currency risk in demand deposit accounts. Accounts held in foreign countries maintain minimum operating balances with the intent to reduce potential foreign exchange risk while providing an adequate level of liquidity to meet the obligations of the academic programs established abroad. The equivalent U.S. dollar balances required to support research groups and education abroad programs in foreign countries was \$4.2 million at June 30, 2014.

The carrying amount of the discretely presented component units' cash and cash equivalents at June 30, 2014 was \$182.3 million compared to bank balances of \$142.6 million. Deposits in transit and cash awaiting investment are the primary differences. Included in bank balances are deposits in the University's Short Term Investment Pool of \$28.7 million at June 30, 2014, with the remaining uncollateralized bank balances insured by the Federal Deposit Insurance Corporation (FDIC). Bank balances include \$2.7 million in excess of the FDIC limits and were not collateralized at June 30, 2014. The discretely presented component units do not have exposure to foreign currency risk in their cash and cash equivalents.

## 2. INVESTMENTS

The Regents, as the governing Board, is responsible for the oversight of the University's, UCRS' and UCRHBT's investments and establishes investment policy, which is carried out by the Chief Investment Officer. These investments are associated with the Short Term Investment Pool (STIP), Total Return Investment Pool (TRIP), General Endowment Pool (GEP), UCRS, UCRHBT and other investment pools managed by the Chief Investment Officer, or are separately invested. Pursuant to The Regents' policies on campus foundations, the Board of Trustees for each campus foundation may determine that all or a portion of their investments will be managed by the Chief Investment Officer. Asset allocation guidelines are provided to the campus foundations by the Investment Committee of The Regents.

STIP allows participants to maximize the returns on their short-term cash balances by taking advantage of the economies of scale of investing in a large pool with a broad range of maturities and is managed to maximize current earned income. Cash to provide for payroll, construction expenditures and other operating expenses for campuses and medical centers is invested in STIP. The available cash in UCRS or endowment investment pools awaiting investment, or cash for administrative expenses, is also invested in STIP.

Investments authorized by The Regents for STIP include fixed-income securities with a maximum maturity of five and one-half years. In addition, for STIP, The Regents has also authorized loans, primarily to faculty members residing in California, under the University's Mortgage Origination Program with terms up to 40 years.

TRIP allows participant campuses the opportunity to maximize the return on their long-term working capital by taking advantage of the economies of scale of investing in a large pool across a broad range of asset classes. TRIP is managed to a total return objective and is intended to supplement STIP. Investments authorized by The Regents for TRIP include a diversified portfolio of equity and fixed-income securities.

GEP is an investment pool in which a large number of individual endowments participate in order to benefit from diversification and economies of scale. GEP is a balanced portfolio and the primary investment vehicle for endowed gift funds. Where donor agreements place constraints on allowable investments, assets associated with endowments are invested in accordance with the terms of the agreements.

Other investment pools primarily facilitate annuity and life income arrangements. Separate investments are those that cannot be pooled due to investment restrictions or income requirements.

Investments authorized by The Regents for GEP, UCRS, other investment pools and separate investments include equity securities, fixed-income securities and certain other asset classes. The equity portion of the investment portfolios include both domestic and foreign common and preferred stocks which may be included in actively or passively managed strategies, along with a modest exposure to private equities. The University's investment portfolios may include foreign currency denominated equity securities. The fixed-income portion of the investment portfolios may include both domestic and foreign securities, along with certain securitized investments, including mortgage-backed and asset-backed securities. Fixed-income investment guidelines permit the use of futures and options on fixed-income instruments in the ongoing management of the portfolios. Real estate investments are authorized for both GEP and UCRS. Absolute return strategies, which may incorporate short sales, plus derivative positions to implement or hedge an investment position, are also authorized for GEP and UCRS.

Derivative instruments, including futures, forward contracts, options and swap contracts are authorized for portfolio rebalancing in accordance with The Regents' asset allocation policy and as substitutes for physical securities. Derivatives are not used for speculative purposes.

The Regents has also authorized certain employee account balances in defined contribution plans included as part of UCRS' investments to be invested in mutual funds. The participants' interests in mutual funds is not managed by the Chief Investment Officer and totaled \$5.0 billion at June 30, 2014.

Investments authorized by The Regents for the UCRHBT are restricted to a portfolio of high-quality money market instruments in a commingled fund that is managed externally. The average credit quality of the portfolio is A-1/P-1 with an average maturity of 41 days at June 30, 2014. The fair value of UCRHBT's investment in this portfolio was \$37.1 million at June 30, 2014.

The composition of investments, by investment type at June 30, 2014, is as follows:

(in thousands of dollars)

	UNIVERSITY OF CALIFORNIA	DISCRETELY PRESENTED COMPONENT UNITS	UNIVERSITY OF CALIFORNIA RETIREMENT SYSTEM
<i>Equity securities:</i>			
Domestic	\$ 1,996,677	\$ 266,321	\$ 15,013,705
Foreign	1,661,853	20,507	9,105,760
<b>Equity securities</b>	<b>3,658,530</b>	<b>286,828</b>	<b>24,119,465</b>
<i>Fixed- or variable-income securities:</i>			
U.S. government guaranteed:			
U.S. Treasury bills, notes and bonds	673,558	217,439	2,602,611
U.S. Treasury strips	90,350	172	562,694
U.S. TIPS	154,120		3,484,007
U.S. government-backed securities		939	11,288
U.S. government-backed–asset-backed securities		710	
<b>U.S. government guaranteed</b>	<b>918,028</b>	<b>219,260</b>	<b>6,660,600</b>
Other U.S. dollar denominated:			
Corporate bonds	5,500,224	85,929	3,070,552
Commercial paper	1,932,764		
U.S. agencies	1,058,009	3,999	2,886,644
U.S. agencies–asset-backed securities	88,096	80,374	2,258,922
Corporate–asset-backed securities	35,014	90,562	1,119,923
Supranational/foreign	1,906,414	12,005	1,754,917
Other	12,740	10,358	24,846
<b>Other U.S. dollar denominated</b>	<b>10,533,261</b>	<b>283,227</b>	<b>11,115,804</b>
Foreign currency denominated:			
Corporate	2,174		17,840
<b>Foreign currency denominated</b>	<b>2,174</b>		<b>17,840</b>
<i>Commingled funds:</i>			
Absolute return funds	3,094,059	1,618,057	3,457,058
Non-U.S. equity funds	1,976,805	943,892	6,475,644
Private equity	918,186	501,079	3,791,134
Money market funds	692,512	583,660	3,768,742
U.S. equity funds	849,020	647,610	3,118,198
Real estate investment trusts	290,589	58,479	451,697
Real assets	240,773		1,377,695
U.S. bond funds	188,223	115,058	1,126,897
Non-U.S. bond funds	28,415	57,683	158,339
Balanced funds		1,065,668	
<b>Commingled funds</b>	<b>8,278,582</b>	<b>5,591,186</b>	<b>23,725,404</b>
Investment derivatives	13,044	(3,369)	41,036
Publicly traded real estate investment trusts	342,674	1,218	104,867
Mortgage loans	133,556	91	
Insurance contracts			119,797
Real estate	653,449	127,163	2,842,791
Other investments	13,145	198,766	
Discretely presented component units' investments with the University	(1,352,725)		
UCRS investment in STIP	(1,652,665)		
<b>Total investments</b>	<b>21,541,053</b>	<b>6,704,370</b>	<b>\$68,747,604</b>
Less: Current portion	(3,334,675)	(473,756)	
<b>Noncurrent portion</b>	<b>\$18,206,378</b>	<b>\$6,230,614</b>	

## Investment Risk Factors

There are many factors that can affect the value of investments. Some, such as custodial credit risk, concentration of credit risk and foreign currency risk may affect both equity and fixed-income securities. Equity securities respond to such factors as economic conditions, individual company earnings performance and market liquidity, while fixed-income securities are particularly sensitive to credit risks and changes in interest rates. Alternative investment strategies and their underlying assets and rights are subject to an array of economic and market vagaries that can limit or erode value.

### **Credit Risk**

Fixed-income securities are subject to credit risk, which is the chance that a bond issuer will fail to pay interest or principal in a timely manner, or that negative perceptions of the issuer's ability to make these payments will cause security prices to decline. These circumstances may arise due to a variety of factors such as financial weakness, bankruptcy, litigation and/or adverse political developments.

A bond's credit quality is an assessment of the issuer's ability to pay interest on the bond and, ultimately, to pay the principal. Credit quality is evaluated by one of the independent bond rating agencies, for example Moody's Investors Service (Moody's) or Standard and Poor's (S&P). The lower the rating, the greater the chance, in the rating agency's opinion, that the bond issuer will default, or fail to meet its payment obligations. Generally, the lower a bond's credit rating, the higher its yield should be to compensate for the additional risk.

Certain fixed-income securities, primarily obligations of the U.S. government or those explicitly guaranteed by the U.S. government, are considered to have minimal credit risk.

Asset-backed securities are debt obligations that represent claims to the cash flows from pools of commercial, mortgage, credit card or student loans. Mortgage-backed securities issued by Ginnie Mae are backed by the full faith and credit of the U.S. government. Effective September 2008, Fannie Mae and Freddie Mac were placed under the conservatorship of the Federal Housing Finance Agency. At the same time, the U.S. Treasury put in place a set of financing agreements to ensure Fannie Mae and Freddie Mac have the ability to fulfill their obligations to holders of bonds that they have issued or guaranteed.

The investment guidelines for STIP recognize that a limited amount of credit risk, properly managed and monitored, is prudent and provides incremental risk adjusted return over its benchmark (the benchmark for STIP is the two-year Treasury). No more than 5 percent of the total market value of the STIP portfolio may be invested in securities rated below investment grade (BB, Ba or lower). The average credit quality of STIP must be A or better and commercial paper must be rated at least A-1, P-1 or F-1.

The University recognizes that credit risk is appropriate in balanced investment pools such as TRIP, UCRS and GEP by virtue of the benchmarks chosen for the fixed-income portion of those pools.

Fixed-income benchmarks for TRIP include the Barclays Capital Aggregate Credit Index, the JP Morgan Emerging Markets Bond Index Global Diversified, the Merrill Lynch High-Yield Cash Pay Index and the Barclays Capital Aggregate Government Index. The TRIP fixed-income benchmark is comprised of 66.1 percent high grade corporate bonds and government bonds, and 16.9 percent below investment grade securities, all of which carry some degree of credit risk. The remaining 17.0 percent is emerging market debt.

The fixed-income benchmark for UCRS and GEP, Barclays Capital U.S. Aggregate Bond Index, is comprised of 29.4 percent high grade corporate bonds and 31.4 percent mortgage/asset-backed securities, all of which carry some degree of credit risk. The remaining 39.2 percent is government-issued bonds.

Credit risk in TRIP, UCRS and GEP is managed primarily by diversifying across issuers. In addition, portfolio guidelines for UCRS and GEP mandate that no more than 10 percent of the market value of fixed-income securities may be invested in issues with credit ratings below investment grade. Further, the weighted average credit rating must be A or higher.

In addition, the investment policy for both UCRP and GEP allows for dedicated allocations to non-investment grade and emerging market bonds, an investment which entails credit, default and/or sovereign risk.

The credit risk profile for fixed- or variable-income securities at June 30, 2014 is as follows:

(in thousands of dollars)

	UNIVERSITY OF CALIFORNIA	DISCRETELY PRESENTED COMPONENT UNITS	UNIVERSITY OF CALIFORNIA RETIREMENT SYSTEM
<i>Fixed- or variable-income securities:</i>			
U.S. government guaranteed	\$ 918,028	\$219,260	\$6,660,600
Other U.S. dollar denominated:			
AAA	1,262,960	49,549	6,017,280
AA	1,068,822	99,622	261,055
A	3,047,350	35,412	922,851
BBB	2,462,057	37,891	1,855,949
BB	438,244	9,474	937,227
B	221,338	1,680	668,780
CC or below	82,203	29,404	424,520
A-1 / P-1/ F-1	1,932,764		
Not rated	17,523	20,195	28,142
Foreign currency denominated:			
BB	20		166
B	1,153		9,463
C or below	1,001		8,211
<i>Investment Derivatives:</i>			
AAA	(3,615)		
<i>Commingled funds:</i>			
U.S. bond funds: Not rated	188,223	115,058	1,126,897
Non-U.S. bond funds: Not rated	28,415	57,683	158,339
Money market funds: Not rated	692,512	583,660	3,768,742
<i>Mortgage loans: Not rated</i>	133,556	91	
<i>Insurance contracts: Not rated</i>			119,797

### **Custodial Credit Risk**

Custodial credit risk is the risk that in the event of the failure of the custodian, the investments may not be returned.

Substantially all of the University's, the discretely presented component units' and UCRS' securities are registered in the University's name by the custodial bank as an agent for the University. Other types of investments represent ownership interests that do not exist in physical or book-entry form. As a result, custodial credit risk for such investments is remote.

### **Concentration of Credit Risk**

Concentration of credit risk is the risk associated with a lack of diversification, such as having substantial investments in a few individual issuers, thereby exposing the organization to greater risks resulting from adverse economic, political, regulatory, geographic or credit developments.

The U.S. and non-U.S. equity portions of the University and UCRS portfolios may be managed either passively or actively. For the portions managed passively, the concentration of individual securities is exactly equal to their concentration in the benchmark. While some securities have a larger representation in the benchmark than others, the University considers that passive management results in an absence of concentration of credit risk. For the portions managed actively, asset class guidelines do not specifically address concentration risk, but do state that the U.S. equity asset class, in the aggregate, will be appropriately diversified to control overall risk and will exhibit portfolio characteristics similar to the asset class benchmark (including concentration of credit risk). Concentration risk for individual portfolios is monitored relative to their individual benchmarks and agreed-upon risk parameters in their guidelines.

Investment guidelines addressing concentration of credit risk related to the investment grade fixed-income portion of the University and UCRS portfolios include a limit of no more than 3 percent of each portfolio's market value to be invested in any single issuer (except for securities issued by the U.S. government or its agencies). These same guidelines apply to STIP. For high-yield and emerging market debt, the corresponding limit is 5 percent.

Each discretely presented component unit may have its own individual investment policy designed to limit exposure to a concentration of credit risk. Securities issued or explicitly guaranteed by the U.S. government, mutual funds, external investment pools, other investment pools or investments that are invested by the University for the discretely presented component units are not subject to concentration of credit risk. Most of the discretely presented component units that hold other types of investments have policies to limit the exposure to an individual issuer.

Investments in issuers other than U.S. government guaranteed securities that represent 5 percent or more of investments held at June 30, 2014 are as follows:

*(in thousands of dollars)*

	DISCRETELY PRESENTED COMPONENT UNITS
Silchester International Value Equity Trust	\$56,700

### ***Interest Rate Risk***

Interest rate risk is the risk that the value of fixed-income securities will decline because of changing interest rates. The prices of fixed-income securities with a longer time to maturity, measured by effective duration, tend to be more sensitive to changes in interest rates and, therefore, more volatile than those with shorter durations. Effective duration is the approximate change in price of a security resulting from a 100 basis point (1 percentage point) change in the level of interest rates. It is not a measure of time.

Interest rate risk for STIP is managed by constraining the maturity of all individual securities to be less than five and one-half years. There is no restriction on weighted average maturity of the portfolio as it is managed relative to the liquidity demands of the investors. The nature and maturity of individual securities in STIP allow for the use of weighted average maturity as an effective risk management tool, rather than the more complex measure, effective duration.

Portfolio guidelines for the fixed-income portion of TRIP limit weighted average effective duration to the effective duration of the benchmarks (Barclays Capital Aggregate Credit Index, JP Morgan Emerging Markets Bond Index Global Diversified, the Merrill Lynch High-Yield Cash Pay Index and Barclays Capital Aggregate Government Index), plus or minus 10 percent. Similarly, portfolio guidelines for the fixed-income portion of UCRS and GEP limit weighted average effective duration to the effective duration of their benchmarks (Citigroup Large Pension Fund Index and Barclay's Capital US Aggregate Index), plus or minus 20 percent. These portfolio guidelines constrain the potential price movement due to interest rate changes of the portfolio to be similar to that of the benchmark. There are similar restrictions for the high-yield and emerging market debt portfolios relative to their benchmarks.

The effective durations for fixed- or variable-income securities at June 30, 2014 are as follows:

	UNIVERSITY OF CALIFORNIA	DISCRETELY PRESENTED COMPONENT UNITS	UNIVERSITY OF CALIFORNIA RETIREMENT SYSTEM
<i>Fixed- or variable-income securities:</i>			
U.S. government guaranteed:			
U.S. Treasury bills, notes and bonds	1.4	2.9	1.5
U.S. Treasury strips	8.8		8.3
U.S. TIPS	6.7		5.9
U.S. government-backed securities		2.9	4.4
U.S. government-backed–asset-backed securities		3.4	
Other U.S. dollar denominated:			
Corporate bonds	3.2	4.2	5.0
Commercial paper	0.0		
U.S. agencies	2.1	4.2	2.5
U.S. agencies-asset-backed securities	3.7	3.6	4.1
Corporate-asset-backed securities	2.0	0.9	2.8
Supranational/foreign	4.3	1.7	6.2
Other	17.5	6.3	16.7
Foreign currency denominated:			
Corporate	1.5		1.5
<i>Commingled funds:</i>			
U.S. bond funds*	4.4	5.0	5.3
Non-U.S. bond funds		5.3	
Money market funds**	0.0	2.2	2.2
<i>Investment derivatives</i>	0.9		
<i>Insurance contracts</i>			0.0

\* University considers the modified durations for commingled funds

\*\* Foundation and UCRS investment in STIP

The University considers the effective durations for commercial paper, mortgage loans, insurance contracts and money market funds to be zero. The terms of the mortgage loans include variable interest rates. Insurance contracts can be liquidated without loss of principal and money market funds consist of underlying securities that are of a short-term, liquid nature.

Investments also include various mortgage-backed securities, collateralized mortgage obligations, structured notes, variable-rate securities and callable bonds that may be considered to be highly sensitive to changes in interest rates due to the existence of prepayment or conversion features, although the effective durations of these securities may be low.

At June 30, 2014, the fair values of such investments are as follows:

(in thousands of dollars)

	UNIVERSITY OF CALIFORNIA	DISCRETELY PRESENTED COMPONENT UNITS	UNIVERSITY OF CALIFORNIA RETIREMENT SYSTEM
Mortgage-backed securities	\$ 93,052	\$ 53,782	\$2,613,162
Collateralized mortgage obligations		49,728	214,735
Other asset-backed securities	30,186	40,386	490,351
Variable-rate securities	52,608		131,005
Callable bonds	1,599,256	12,740	3,487,903
Convertible bonds	706		5,413
<b>Total</b>	<b>\$1,775,808</b>	<b>\$156,636</b>	<b>\$6,942,569</b>

*Mortgage-Backed Securities.* These securities are issued primarily by Fannie Mae, Ginnie Mae and Freddie Mac, and various commercial entities and include short embedded prepayment options. Unanticipated prepayments by the obligees of the underlying asset reduce the total expected rate of return.

*Collateralized Mortgage Obligations.* Collateralized mortgage obligations (CMOs) generate a return based upon either the payment of interest or principal on mortgages in an underlying pool. The relationship between interest rates and prepayments makes the fair value highly sensitive to changes in interest rates. In falling interest rate environments, the underlying mortgages are subject to a higher propensity of prepayments. In rising interest rate environments, the opposite is true.

*Other Asset-Backed Securities.* Other asset-backed securities also generate a return based upon either the payment of interest or principal on obligations in an underlying pool, generally associated with auto loans or credit cards. As with CMOs, the relationship between interest rates and prepayments makes the fair value highly sensitive to changes in interest rates.

*Variable-Rate Securities.* These securities are investments with terms that provide for the adjustment of their interest rates on set dates and are expected to have fair values that will be relatively unaffected by interest rate changes. Variable-rate securities may have limits on how high or low the interest rate may change. These constraints may affect the market value of the security.

*Callable Bonds.* Although bonds are issued with clearly defined maturities, an issuer may be able to redeem, or call, a bond earlier than its maturity date. The University must then replace the called bond with a bond that may have a lower yield than the original. The call feature causes the fair value to be highly sensitive to changes in interest rates.

At June 30, 2014, the effective durations for these securities are as follows:

	UNIVERSITY OF CALIFORNIA	DISCRETELY PRESENTED COMPONENT UNITS	UNIVERSITY OF CALIFORNIA RETIREMENT SYSTEM
Mortgage-backed securities	3.8	2.4	4.1
Collateralized mortgage obligations		1.1	3.1
Other asset-backed securities	1.7	1.3	1.5
Variable-rate securities	4.5		4.8
Callable bonds	3.7	2.4	3.3
Convertible bonds	6.7		7.0

### **Foreign Currency Risk**

The University's strategic asset allocation policy for TRIP, UCRS and GEP includes allocations to non-U.S. equities and non-dollar denominated bonds. The benchmarks for these investments are not hedged; therefore foreign currency risk is an essential part of the investment strategies. Portfolio guidelines for U.S. investment-grade fixed-income securities also allow exposure to non-U.S. dollar denominated bonds up to 10 percent of the total portfolio market value. Exposure to foreign currency risk from these securities is permitted and it may be fully or partially hedged using forward foreign currency exchange contracts. Under the University's investment policies, such instruments are not permitted for speculative use or to create leverage. Similar limits on foreign exchange exposure apply to the high-yield debt and emerging market debt portfolios (10 percent and 20 percent, respectively).

At June 30, 2014, the foreign currency risk expressed in U.S. dollars, organized by currency denomination and investment type, is as follows:

(in thousands of dollars)

	UNIVERSITY OF CALIFORNIA	DISCRETELY PRESENTED COMPONENT UNITS	UNIVERSITY OF CALIFORNIA RETIREMENT SYSTEM
<i>Equity securities:</i>			
Euro	\$ 468,620		\$ 2,674,506
British pound	298,175	\$ 1,858	1,769,937
Japanese yen	284,806		1,577,457
Canadian dollar	104,600	546	651,190
Swiss franc	128,303	4,326	792,002
Australian dollar	79,876		499,441
Hong Kong dollar	103,754		314,471
Swedish krona	41,289		239,421
Singapore dollar	33,735		165,002
Danish krone	16,949		104,944
Norwegian krone	16,561		82,108
South Korean won	11,676		42,059
Brazilian real	21,860		44,096
Other	51,649	13,777	149,126
<b>Subtotal</b>	<b>1,661,853</b>	<b>20,507</b>	<b>9,105,760</b>
<i>Fixed-income securities:</i>			
Euro	1,772		14,168
Other	402		3,672
<b>Subtotal</b>	<b>2,174</b>		<b>17,840</b>
<i>Commingled funds (various currency denominations):</i>			
Non-U.S. equity funds	1,976,805	687,937	6,475,644
Balanced funds		229,473	
U.S. bond funds		8,788	
Non-U.S. bond funds	28,415	43,788	158,339
Real estate investment trusts		10,889	
Absolute Return funds		64,971	
<b>Subtotal</b>	<b>2,005,220</b>	<b>1,045,846</b>	<b>6,633,983</b>
<i>Investment derivatives:</i>			
Australian dollar	(14)		(359)
Canadian dollar	175		278
British pound	112		(29)
Japanese yen	319		809
Hong Kong dollar	165		143
Other	(101)		(33)
<b>Subtotal</b>	<b>656</b>		<b>809</b>
<i>Private equity:</i>			
Euro	18,602	10,468	105,329
Other	3,491	3,697	19,780
<i>Publicly traded real estate investment trusts</i>			
Australian dollar	44,190		13,489
Euro	22,680		6,924
British pound	18,558		5,665
Japanese yen	10,279		3,138
South African rand	8,250		2,519
Singapore dollar	6,580		2,009
Canadian dollar	5,046		
Mexican peso	4,209		1,285
Other	5,224		3,135
<b>Subtotal</b>	<b>147,109</b>	<b>14,165</b>	<b>163,273</b>
<b>Total exposure to foreign currency risk</b>	<b>\$3,817,012</b>	<b>\$1,080,518</b>	<b>\$15,921,665</b>

## Liquidity Risks

Alternative investments are subject to liquidity risk. Alternative investments include hedge funds, limited partnerships, private equity, venture capital funds, real estate and real assets funds. Additionally, certain asset-backed securities are thinly traded and subject to liquidity risk.

Alternative investments include ownership interests in a wide variety of vehicles including partnerships and corporations that may be domiciled in the United States or offshore. Generally, there is little or no regulation of these investment vehicles by the Securities and Exchange Commission or the applicable state agencies. Managers of these investments employ a wide variety of strategies and have areas of concentration including absolute return, venture capital or early stage investing, private equity or later stage investing and the underlying investments may be leveraged to enhance the total investment return. Each asset class has guidelines and policies regarding the use of leverage. Such underlying investments may include financial assets such as marketable securities, non-marketable securities, derivatives and other synthetic and structured investments as well as tangible and intangible assets.

Alternative investments do not have a ready market and ownership interests in these investment vehicles may not be traded without the approval of the general partner or fund management. These investments are subject to the risks generally associated with equities and fixed-income instruments with additional risks due to leverage and the lack of a ready market for acquisition or disposition of ownership interests.

The University's portfolio includes the following investments subject to liquidity risk as of June 30, 2014:

(in thousands of dollars)

	UNIVERSITY OF CALIFORNIA	DISCRETELY PRESENTED COMPONENT UNITS	UNIVERSITY OF CALIFORNIA RETIREMENT SYSTEM
Absolute return funds	\$3,094,059	\$1,618,057	\$ 3,457,058
Private equity funds	918,186	501,079	3,791,134
Real estate funds	653,449	127,163	2,842,791
Real assets funds	240,773		1,377,695
<b>Total</b>	<b>\$4,906,467</b>	<b>\$2,246,299</b>	<b>\$11,468,678</b>

## The University's Investment Pools

The composition of the University's investments at June 30, 2014, by investment pool, is as follows:

(in thousands of dollars)

	UNIVERSITY OF CALIFORNIA				
	STIP	TRIP	GEP	OTHER	TOTAL
<i>Equity securities:</i>					
Domestic		\$ 1,057,374	\$ 841,524	\$ 97,779	\$ 1,996,677
Foreign		809,780	817,068	35,005	1,661,853
<i>Fixed- or variable-income securities:</i>					
U.S. government guaranteed	\$ 326,297	344,209	238,629	8,893	918,028
Other U.S. dollar denominated	7,919,885	2,016,480	572,019	24,877	10,533,261
Foreign currency denominated			2,174		2,174
Commingled funds	1,690	2,997,569	4,055,380	64,984	7,119,623
Investment derivatives		9,813	6,828	(3,597)	13,044
Publicly traded real estate investment trusts		328,320	14,354		342,674
Private equity		791	899,617	17,778	918,186
Mortgage loans	76,888			56,668	133,556
Real assets			240,773		240,773
Real estate			625,668	27,781	653,449
Other investments				13,145	13,145
<b>Subtotal</b>	<b>8,324,760</b>	<b>7,564,336</b>	<b>8,314,034</b>	<b>343,313</b>	<b>24,546,443</b>
Discretely presented component units' investments with the University	(554,053)	(10,109)	(648,621)	(139,942)	(1,352,725)
UCRS investment in STIP	(1,652,665)				(1,652,665)
<b>Total investments</b>	<b>\$6,118,042</b>	<b>\$7,554,227</b>	<b>\$7,665,413</b>	<b>\$203,371</b>	<b>\$21,541,053</b>

The total investment return based upon unit values, representing the combined income plus net appreciation or depreciation in the fair value of investments, for the year ended June 30, 2014, was 14.7 percent for TRIP, 19.0 percent for GEP and 16.7 percent for UCRS. The investment return for STIP distributed to participants, representing combined income and realized gains or losses, during the same period, was 1.6 percent. Other investments consist of numerous, small portfolios of investments or individual securities, each with its individual rate of return.

### Related Party Relationships with the University

UCRS and the discretely presented component units may invest available cash in STIP. Shares are purchased or redeemed in STIP at a constant value of \$1 per share. Actual income earned, including any realized gains or losses on the sale of STIP investments, is allocated to UCRS and the discretely presented component units based upon the number of shares held. Unrealized gains and losses associated with the fluctuation in the fair value of investments included in STIP are recorded by the University as the manager of the pool. The net asset value for STIP is held at a constant value of \$1 and is not adjusted for unrealized gains and losses associated with the fluctuation in the fair value of investments included in STIP.

The discretely presented component units may purchase or redeem shares in GEP, TRIP or other investment pools at the unitized value of the portfolio at the time of purchase or redemption. Actual income earned is allocated to the discretely presented component units based upon the number of shares held.

### UCRS

UCRS had \$1.7 billion invested in STIP at June 30, 2014. These investments are excluded from the University's statement of net position and are included in UCRS' statement of plans' fiduciary net position. They are categorized as commingled money market funds in the composition of investments for UCRS. STIP investment income in the University's statement of revenues, expenses and changes in net position is net of income earned by, and distributed to, UCRS totaling \$23.5 million for the year ended June 30, 2014.

### Discretely Presented Component Units

The discretely presented component units' cash and cash equivalents and investments that are invested with the University and managed by the Chief Investment Officer are excluded from the University's statement of net position and included in the discretely presented component units' statement of net position. Under the accounting policies elected by each discretely presented component unit, certain component units classify all or a portion of their investment in STIP and TRIP as cash and cash equivalents, rather than investments. Substantially, all of the discretely presented component units' investments managed by the Chief Investment Officer are categorized as commingled funds or commingled money market funds by the discretely presented component units in the composition of investments.

The fair value of the discretely presented component units' cash and cash equivalents and investments that are invested with the University, by investment pool at June 30, 2014 is as follows:

<i>(in thousands of dollars)</i>	<b>2014</b>
STIP	\$ 554,053
TRIP	10,109
GEP	648,621
Other investment pools	139,942
<b>Discretely presented component units' investments with the University</b>	<b>1,352,725</b>
Classified as cash and cash equivalents by discretely presented component units	(27,776)
<b>Classified as investments by discretely presently component units</b>	<b>\$1,324,949</b>

Endowment investment income in the University's statement of revenues, expenses and changes in net position is net of income earned by, and distributed to, the discretely presented component units totaling \$17.6 million for the year ended June 30, 2014.

### Agency Relationships with the University

STIP and GEP are external investment pools and include investments on behalf of external organizations that are associated with the University, although not financially accountable to the University. These organizations are not required to invest in these pools. Participants purchase or redeem shares in STIP at a constant value of \$1 per share and purchase or redeem shares in GEP at the unitized value of the portfolio at the time of purchase or redemption. Actual income earned is allocated to participants based upon the number of shares held.

The fair value of these investments in each investment pool and the related liability associated with these organizations that are included in the University's statement of net position at June 30, 2014 are as follows:

<i>(in thousands of dollars)</i>	<b>2014</b>
<i>Short-term investments:</i>	
STIP	\$ 84,411
GEP	216,964
Other investment pools	17,949
<b>Total agency assets</b>	<b>\$319,324</b>
<b>Funds held for others</b>	<b>\$319,324</b>

The composition of the net position at June 30, 2014 for STIP and GEP is as follows:

<i>(in thousands of dollars)</i>	<b>STIP</b>	<b>GEP</b>
Investments	\$ 8,324,760	\$8,314,034
Investment of cash collateral	218,971	381,673
Securities lending collateral	(218,916)	(381,576)
Other assets (liabilities), net	1,162,560	(130,747)
<b>Net position</b>	<b>\$9,487,375</b>	<b>\$8,183,384</b>

Other assets include amounts receivable for pension benefits from the campuses and medical centers at \$1.1 billion as of June 30, 2014.

The changes in net position for STIP and GEP for the years ending June 30, 2014 are as follows:

<i>(in thousands of dollars)</i>	<b>STIP</b>	<b>GEP</b>
Net position, beginning of year	\$10,608,027	\$6,999,293
Investment income	159,607	80,525
Net appreciation (depreciation) in fair value of investments	(128,857)	1,255,060
Transfer to TRIP	(2,226,959)	
Participant contributions (withdrawals), net	1,075,557	(151,494)
<b>Net position, end of year</b>	<b>\$9,487,375</b>	<b>\$8,183,384</b>

### 3. SECURITIES LENDING

The University and UCRS jointly participate in a securities lending program as a means to augment income. The discretely presented component units' investments that are invested with the University and managed by the Chief Investment Officer are included in the University's investment pools that participate in the securities lending program.

The campus foundations' allocated share of the program's cash collateral received, investment of cash collateral and collateral held for securities lending is determined based upon their equity in the investment pools. The Board of Trustees for each campus foundation may also authorize participation in a direct securities lending program.

Securities are lent to selected brokerage firms for which collateral received equals or exceeds the fair value of such investments lent during the period of the loan. Securities loans immediately terminate upon notice by either the University or the borrower. Collateral may be cash or securities issued by the U.S. government or its agencies, or the sovereign or provincial debt of foreign countries. Securities collateral cannot be pledged or sold by the University unless the borrower defaults.

Loans of domestic equities and all fixed-income securities are initially collateralized at 102 percent of the fair value of securities lent. Loans of foreign equities are initially collateralized at 105 percent. All borrowers are required to provide additional collateral by the next business day if the value of the collateral falls to less than 100 percent of the fair value of securities lent.

Cash collateral received from the borrower is invested by lending agents, as agents for the University, in investment pools in the name of the University, with guidelines approved by the University. These investments are shown as investment of cash

collateral in the statement of net position. At June 30, 2014, the securities in these pools had a weighted average maturity of 30 days and 44 days, respectively. The University records a liability for the return of the cash collateral shown as collateral held for securities lending in the statement of net position. Securities collateral received from the borrower is held in investment pools by the University's custodial bank.

At June 30, 2014, the University had insignificant exposure to borrowers because the amounts the University owed the borrowers were substantially the same as the amounts the borrowers owed the University. The University is indemnified by its lending agents against any losses incurred as a result of borrower default.

The composition of the securities lending programs at June 30, 2014 is as follows:

(in thousands of dollars)

	UNIVERSITY OF CALIFORNIA	DISCRETELY PRESENTED COMPONENT UNIT	UNIVERSITY OF CALIFORNIA RETIREMENT SYSTEM
<b>SECURITIES LENT</b>			
<i>For cash collateral:</i>			
Equity securities:			
Domestic	\$ 403,073		\$ 1,890,525
Foreign	126,557		656,426
Fixed-income securities:			
U.S. government guaranteed	67,567		2,112,140
Other U.S. dollar denominated	642,358		1,754,525
Discretely presented component unit	(51,249)	\$51,249	
<b>Lent for cash collateral</b>	<b>1,188,306</b>	<b>51,249</b>	<b>6,413,616</b>
<i>For securities collateral:</i>			
Equity securities:			
Domestic	188,486		1,209,300
Foreign	186,121		954,801
Fixed-income securities:			
U.S. government guaranteed	117,036		3,694,573
Other U.S. dollar denominated	12,722		17,246
<b>Lent for securities collateral</b>	<b>504,365</b>		<b>5,875,920</b>
<b>Total securities lent</b>	<b>\$1,692,671</b>	<b>\$51,249</b>	<b>\$12,289,536</b>
<b>COLLATERAL RECEIVED</b>			
Cash	\$1,269,083		\$ 6,561,614
Discretely presented component units' share	(51,249)	\$51,249	
<b>Total cash collateral received</b>	<b>1,217,834</b>	<b>51,249</b>	<b>6,561,614</b>
Securities	544,981		6,349,117
<b>Total collateral received</b>	<b>\$1,762,815</b>	<b>\$51,249</b>	<b>\$12,910,731</b>
<b>INVESTMENT OF CASH COLLATERAL</b>			
<i>Fixed-income securities:</i>			
Other U.S. dollar denominated:			
Corporate bonds	\$ 141,554		\$ 731,891
Commercial paper	102,751		531,262
Repurchase agreements	380,043		1,964,957
Corporate-asset-backed securities	280,122		1,448,330
Certificates of deposit/time deposits	106,966		553,052
Supranational/foreign	252,637		1,306,222
Other assets (liabilities), net*	5,330		27,558
Discretely presented component units' share	(51,249)	\$51,249	
<b>Investment of cash collateral</b>	<b>1,218,154</b>	<b>51,249</b>	<b>\$6,563,272</b>
Less: Current portion	(848,436)	(35,695)	
<b>Noncurrent portion</b>	<b>\$ 369,718</b>	<b>\$15,554</b>	

\* Other assets (liabilities), net is comprised of pending settlements of cash collateral investments.

The University earns interest and dividends on the collateral held during the loan period, as well as a fee from the brokerage firm, and is obligated to pay a fee and rebate to the borrower. The University receives the net investment income. The securities lending income and fees and rebates for the year ended June 30, 2014 are as follows:

(in thousands of dollars)

	UNIVERSITY OF CALIFORNIA	DISCRETELY PRESENTED COMPONENT UNITS	UNIVERSITY OF CALIFORNIA RETIREMENT SYSTEM
Securities lending income	\$8,237	\$431	\$47,664
Securities lending fees and rebates	(1,288)	(68)	(7,454)
<b>Securities lending investment income, net</b>	<b>\$6,949</b>	<b>\$363</b>	<b>\$40,210</b>

### Investment Risk Factors

There are a variety of potential risk factors involved in a securities lending program. Risks associated with the investment of cash collateral may include the credit risk from fixed-income securities, concentration of credit risk, interest rate risk and foreign currency risk. In addition, there may be custodial credit risk associated with both cash and securities received as collateral for securities lent.

The University's and UCRS' investment policies and other information related to each of these risks are summarized below. Discretely presented component units that participate in a securities lending program may have their own individual investment policies designed to limit the same risks.

### Credit Risk

The University's and UCRS' investment policies for the investment of cash collateral maintained in separately managed collateral pools restrict the credit rating of issuers to no less than A-1, P-1 or F-1 for short-term securities and no less than A2/A for long-term securities. Asset-backed securities must have a rating of AAA.

The credit risk profile for fixed- or variable-income securities associated with the investment of cash collateral at June 30, 2014 is as follows:

(in thousands of dollars)

	UNIVERSITY OF CALIFORNIA	DISCRETELY PRESENTED COMPONENT UNITS	UNIVERSITY OF CALIFORNIA RETIREMENT SYSTEM
<i>Fixed- or variable-income securities:</i>			
Other U.S. dollar denominated:			
AAA	\$285,038		\$1,473,753
AA+	24,358		125,939
AA	5,346		27,639
AA-	192,176		993,620
A+	85,278		440,918
A	66,443		343,534
A-1 / P-1 / F-1	605,434		3,130,311
Commingled funds:			
Money market funds: Not rated			
Other assets (liabilities), net* : Not rated	5,330		27,558
Discretely presented component units' share	(51,249)	\$51,249	

\* Other assets (liabilities), net is comprised of pending settlements of cash collateral investments.

### Custodial Credit Risk

Cash collateral received for securities lent is invested in pools by the University's lending agents. The University and UCRS securities related to the investment of cash collateral are registered in the University's name by the lending agents. Securities collateral received for securities lent are held in investment pools by the University's lending agents. As a result, custodial credit risk is remote.

### Concentration of Credit Risk

The University's and UCRS' investment policy with respect to the concentration of credit risk associated with the investment of cash collateral in the separately managed collateral pools restricts investments in any single issuer of corporate debt securities, time deposits, certificates of deposit, bankers acceptances and money market funds to no more than 5 percent of the portfolio

value at the time of purchase. Discretely presented component units that directly participate in a securities lending program do not have specific investment policies related to concentration of credit risk, although the lending agreements with the agents establish restrictions for the type of investments and minimum credit ratings.

Investments in issuers other than U.S. government guaranteed securities that represent 5 percent or more of the total investment of cash collateral held by individual component units at June 30, 2014 are as follows:

*(in thousands of dollars)*

	UNIVERSITY OF CALIFORNIA	UNIVERSITY OF CALIFORNIA RETIREMENT SYSTEM
Citibank	\$91,499	\$473,081

### **Interest Rate Risk**

The nature of individual securities in the collateral pools allows for the use of weighted average maturity as an effective risk management measure. The University's and UCRS' investment policy with respect to the interest rate risk associated with the investment of cash collateral in the separately managed collateral pools requires the weighted average maturity of the entire collateral pool to be less than 120 days. The maturity of securities issued by the U.S. government and asset-backed securities must be less than five years, corporate debt obligations must be less than two years and time deposits must be less than 190 days. Floating rate debt may be used, but it is limited to 65 percent of the market value of the portfolio.

The weighted average maturity expressed in days for fixed- or variable-income securities associated with the investment of cash collateral at June 30, 2014 is as follows:

*(in days)*

	UNIVERSITY OF CALIFORNIA	UNIVERSITY OF CALIFORNIA RETIREMENT SYSTEM
<i>Fixed- or variable-income securities:</i>		
<i>Other U.S. dollar denominated:</i>		
Corporate bonds	37	37
Commercial paper	29	29
Repurchase agreements	7	7
Corporate-asset-backed securities	20	20
Certificates of deposit/time deposits	87	87
Supranational/foreign	51	51

Investment of cash collateral may include various asset-backed securities, structured notes and variable-rate securities that may be considered to be highly sensitive to changes in interest rates due to the existence of prepayment or conversion features, although the weighted average maturity may be short.

At June 30, 2014, the fair value of investments that are considered to be highly sensitive to changes in interest rates is as follows:

*(in thousands of dollars)*

	UNIVERSITY OF CALIFORNIA	DISCRETELY PRESENTED COMPONENT UNITS	UNIVERSITY OF CALIFORNIA RETIREMENT SYSTEM
Other asset-backed securities	\$297,469		\$1,538,022
Variable-rate investments	141,555		731,891
Discretely presented component units' share	(17,965)	\$17,965	
<b>Total</b>	<b>\$421,059</b>	<b>\$17,965</b>	<b>\$2,269,913</b>

At June 30, 2014, the weighted average maturity expressed in days for asset-backed securities was 19 days and for variable-rate investments was 37 days.

### **Foreign Currency Risk**

The University's and UCRS' investment policy with respect to the foreign currency risk associated with the investment of cash collateral maintained in separate collateral pools restricts investments to U.S. dollar denominated securities. Therefore, there is no foreign currency risk.

#### 4. DERIVATIVE FINANCIAL INSTRUMENTS

The University may use derivatives including futures, forward contracts, options and interest rate swap contracts as a substitute for investment in equity and fixed-income securities, to reduce the effect of fluctuating foreign currencies on foreign currency-denominated investments, or to limit its exposure of variable-rate bonds to changes in market interest rates. The Board of Trustees for each campus foundation may also authorize derivatives in its investment policy.

The University enters into futures contracts for the purpose of acting as a substitute for investment in equity and fixed-income securities. A futures contract is an agreement between two parties to buy and sell a security or financial index, interest rate or foreign currency at a set price on a future date. They are standardized contracts that can be easily bought and sold and are exchange-traded. Upon entering into such a contract, the University is required to pledge to the broker an amount of cash or securities equal to the minimum initial margin requirements of the exchange on which the contract is traded. Pursuant to the contract, the University agrees to receive from, or pay to, the counterparty an amount of cash equal to the daily fluctuation in the value of the contract. These contracts are settled on a daily basis, with the resulting realized gain or loss included in the statement of revenues, expenses and changes in net position. The settlement amount at the end of each day for each of the contracts, or variation margin, is included in investments and represents the fair value of the contracts.

Forward contracts are similar to futures contracts, although they are not exchange-traded. Foreign currency exchange contracts are forward contracts used to hedge against foreign currency exchange rate risks on non-U.S. dollar denominated investment securities and to increase or decrease exposure to various foreign currencies.

An option contract gives the University the right, but not the obligation, to buy or sell a specified security or index at a fixed price during a specified period for a nonrefundable fee (the "premium"). The maximum loss to the University is limited to the premium originally paid for covered options. The University initially records premiums paid for the purchase of these options in the statement of net position as an investment which is subsequently adjusted to reflect the fair value of the options, with unrealized gains and losses included in the statement of revenues, expenses and changes in net position.

Rights and warrants provide the holder with the right, but not the obligation, to buy a stock at a predetermined price for a finite period of time. Warrants usually have a longer time period to expiration. The holder of a right or warrant is permitted to buy at a price that may be below the actual market price for that stock. Warrants and rights cease to exist and become worthless if not used by their expiration date.

A swap is a contractual agreement entered into between the University and a counterparty under which each agrees to exchange periodic fixed or variable payments for an agreed period of time based upon a notional amount of principal or value of the underlying contract. The payments correspond to an equity index, an interest rate or to currency. The University considers its futures, forward contracts, options, rights, warrants and certain interest rate swaps to be investment derivatives.

As a means to lower the University's borrowing costs, when compared against fixed-rate bonds at the time of issuance, the University entered into interest rate swap agreements in connection with certain of its variable-rate Medical Center Pooled Revenue Bonds and General Revenue Bonds. The University has determined that certain of its interest rate swaps are derivative instruments that meet the criteria for an effective hedge. Certain of the interest rate swaps are considered hybrid instruments since, at the time of execution, the fixed rate on each of the swaps was off-market and the University received an up-front payment. As such, these swaps are comprised of a derivative instrument, an at-the-market swap that is an effective hedge, and a companion instrument, a borrowing represented by the up-front payment. The unamortized amount of the borrowing under the companion instruments was \$42.1 million at June 30, 2014.

The fair value balances and notional amounts of derivative instruments outstanding at June 30, 2014, categorized by type, and the changes in fair value of such derivatives for the years then ended are as follows:

## University of California

(in thousands of dollars)

CATEGORY	NOTIONAL AMOUNT	FAIR VALUE-POSITIVE (NEGATIVE)		CHANGES IN FAIR VALUE	
		CLASSIFICATION	2014	CLASSIFICATION	2014
<b>INVESTMENT DERIVATIVES</b>					
<i>Futures contracts:</i>					
<i>Domestic equity futures:</i>					
Long positions	705,119	Investments	\$ 765	Net appreciation (depreciation)	\$148,657
Short positions	(463)	Investments	(7)	Net appreciation (depreciation)	(79)
<i>Foreign futures:</i>					
Long positions	68,905	Investments	91	Net appreciation (depreciation)	10,484
<b>Futures contracts, net</b>			<b>849</b>		<b>159,062</b>
<i>Foreign currency exchange contracts, net:</i>					
Long positions	1,021,285	Investments	456	Net appreciation (depreciation)	(1,921)
Short positions	(28,599)	Investments	(158)	Net appreciation (depreciation)	(8,435)
<b>Foreign currency exchange contracts, net</b>			<b>298</b>		<b>(10,356)</b>
<i>Swaps:</i>					
Fixed interest rate swaps	500,000	Investments	(3,505)	Net appreciation (depreciation)	2,066
Total return swaps equity	6,382	Investments	(5)	Net appreciation (depreciation)	(66)
<b>Swaps, net</b>			<b>(3,510)</b>		<b>2,000</b>
Stock rights/warrants	1,772	Investments	11,353	Net appreciation (depreciation)	2,565
Options/swaptions	11,046	Investments	4,054	Net appreciation (depreciation)	1,923
<b>Total investment derivatives</b>			<b>\$13,044</b>		<b>\$155,194</b>
<b>CASH FLOW HEDGES</b>					
<i>Effective interest rate swaps:</i>					
Pay fixed, receive variable	851,995	Other assets (liabilities)	\$(75,240)	Deferred (inflows) outflows	\$ (29,481)

## University of California Discretely Presented Component Units

(in thousands of dollars)

CATEGORY	NOTIONAL AMOUNT	FAIR VALUE-POSITIVE (NEGATIVE)		CHANGES IN FAIR VALUE	
		CLASSIFICATION	2014	CLASSIFICATION	2014
<b>INVESTMENT DERIVATIVES</b>					
<i>Futures contracts:</i>					
Domestic commodity futures:					
Short positions	(2,800)	Investments	\$(3,603)	Net appreciation (depreciation)	\$ (8)
Foreign equity futures:					
Long positions		Investments		Net appreciation/ (depreciation)	(1,118)
Short positions		Investments		Net appreciation/ (depreciation)	(2,974)
<b>Futures contracts, net</b>			<b>(3,603)</b>		<b>(4,100)</b>
<i>Options/swaptions</i>	19,950	Investments	234	Net appreciation/ (depreciation)	(1,234)
<b>Total investment derivatives</b>			<b>\$(3,369)</b>		<b>\$(5,334)</b>

## University of California Retirement System

(in thousands of dollars)

CATEGORY	NOTIONAL AMOUNT	FAIR VALUE-POSITIVE (NEGATIVE)		CHANGES IN FAIR VALUE	
		CLASSIFICATION	2014	CLASSIFICATION	2014
<b>INVESTMENT DERIVATIVES</b>					
<i>Futures contracts:</i>					
Domestic equity futures:					
Long positions	1,548,947	Investments	\$ 1,647	Net appreciation (depreciation)	\$311,241
Short positions	(1,807)	Investments	(12)	Net appreciation (depreciation)	(118)
Foreign equity futures:					
Long positions	175,412	Investments	315	Net appreciation (depreciation)	26,328
<b>Futures contracts, net</b>			<b>1,950</b>		<b>337,451</b>
<i>Foreign currency exchange contracts, net:</i>					
Long positions	2,574,058	Investments	619	Net appreciation (depreciation)	4,809
Short positions	(190,984)	Investments	(1,019)	Net appreciation (depreciation)	(7,170)
<b>Foreign currency exchange contracts, net</b>			<b>(400)</b>		<b>(2,361)</b>
<i>Swaps:</i>					
Fixed interest rate swaps		Investments	1,194	Net appreciation (depreciation)	673
Total return swaps equity	7,818	Investments	(6)	Net appreciation (depreciation)	(81)
<b>Swaps, net</b>			<b>1,188</b>		<b>592</b>
Stock rights/warrants	4,550	Investments	33,332	Net appreciation (depreciation)	7,215
Options/swaptions	13,534	Investments	4,966		2,355
<b>Total investment derivatives</b>			<b>\$41,036</b>		<b>\$345,252</b>

## Objectives and Terms of Hedging Derivative Instruments

The objectives and terms of the hedging derivative instruments outstanding at June 30, 2014, along with the credit rating of the associated counterparty, are as follows:

(in thousands of dollars)

TYPE	OBJECTIVE	NOTIONAL AMOUNT	EFFECTIVE DATE	MATURITY DATE	CASH PAID OR RECEIVED	TERMS	COUNTERPARTY CREDIT RATING	FAIR VALUE
<b>UNIVERSITY OF CALIFORNIA</b>								
Pay fixed, receive variable interest rate swap	Hedge of changes in cash flows on variable-rate Medical Center Pooled Revenue Bonds	77,220	2007	2032	None	Pay fixed 3.5897%; receive 58% of 1-Month LIBOR* plus 0.48%	A2/A	\$(10,862)
Pay fixed, receive variable interest rate swap	Hedge of changes in cash flows on variable-rate Medical Center Pooled Revenue Bonds	124,775	2008	2030 through 2043	None	Pay fixed 4.6359%;** receive 67% of 3-Month LIBOR* plus 0.69%**	A2/A	(35,966)
Pay fixed, receive variable interest rate swap	Hedge of changes in cash flows on variable-rate Medical Center Pooled Revenue Bonds	24,250	2013	2045	None	Pay fixed 4.741 percent; receive 67 percent of 3-Month LIBOR* + 0.79 percent	A2/A	(8,400)
Pay fixed, receive variable interest rate swap	Hedge of changes in cash flows on variable-rate Medical Center Pooled Revenue Bonds	25,750	2013	2047	None	Pay fixed 4.741 percent; receive 67 percent of 3-Month LIBOR* + 0.79 percent	A2/A	(9,156)
Pay fixed, receive variable interest rate swap	Hedge of changes in cash flows on variable-rate General Revenue Bonds	400,000	2013	2023	None	Pay fixed 1.8982%; receive 70% of 1-Month LIBOR*	Aa2/AA-	(7,196)
Pay fixed, receive variable interest rate swap	Hedge of changes in cash flows on variable-rate General Revenue Bonds	100,000	2013	2023	None	Pay fixed 1.9057%; receive 70% of 1-Month LIBOR*	Aa2/AA-	(1,863)
Pay fixed, receive variable interest rate swap	Hedge of changes in cash flows on variable-rate General Revenue Bonds	100,000	2013	2023	None	Pay fixed 1.8980%; receive 70% of 1-Month LIBOR*	Aa3/AA-	(1,797)
<b>Interest rate swaps, net</b>		<b>851,995</b>						<b>\$(75,240)</b>

\* London Interbank Offered Rate (LIBOR)

\*\*Weighted average spread

## Hedging Derivative Financial Instrument Risk Factors

### Credit Risk

The University could be exposed to credit risk if the interest rate swap counterparties to the contracts are unable to meet the terms of the contracts. Contracts with positive fair values are exposed to credit risk. The University faces a maximum possible loss equivalent to the amount of the derivative's fair value, less any collateral held by the University provided by the counterparty. Contracts with negative fair values are not exposed to credit risk.

Although the University has entered into the interest rate swaps with creditworthy financial institutions to hedge its variable-rate debt, there is credit risk for losses in the event of non-performance by counterparties or unfavorable interest rate movements.

There are no collateral requirements related to the interest rate swap with the \$77.2 million notional amount. Depending on the fair value related to the swap with the \$174.8 million notional amount, the University may be entitled to receive collateral from the counterparty to the extent the positive fair value exceeds \$15.0 million, or be obligated to provide collateral to the counterparty if the negative fair value of the swap exceeds \$125.0 million or the cash and investments held by the medical centers fall below \$250.0 million.

Depending on the fair value related to the swaps with the counterparty that is currently rated Aa2/AA- with combined notional amount of 500.0 million and the swap with the counterparty that is currently rated Aa3/AA- with a notional amount of 100.0 million, the University may be entitled to receive collateral from each counterparty to the extent the positive fair value of the swap or swaps with each counterparty swap exceeds \$30.0 million. As of June 30, 2014, there was no collateral required.

### ***Interest Rate Risk***

There is a risk the value of the interest rate swaps will decline because of changing interest rates. The values of the interest rate swaps with longer maturities tend to be more sensitive to changing interest rates and, therefore, more volatile than those with shorter maturities.

### ***Basis Risk***

There is a risk that the basis for the variable payment received on interest rate swaps will not match the variable payment on the bonds. This exposes the University to basis risk whenever the interest rates on the bonds are reset. The interest rate on the bonds is a tax-exempt interest rate, while the basis of the variable receipt on the interest rate swaps is taxable. Tax-exempt interest rates can change without a corresponding change in the LIBOR rate due to factors affecting the tax-exempt market which do not have a similar effect on the taxable market. However, there is no basis or tax risk related to the swap with the 149.1 million notional amount since the variable rate the University pays to the bond holders matches the variable-rate payments received from the swap counterparty and the interest rates are reset at the same intervals.

### ***Termination Risk***

There is termination risk for interest rate swaps associated with variable-rate bonds in the event of non-performance by counterparties in an adverse market resulting in cancellation of the synthetic interest rate and returning the interest rate payments to the variable interest rates on the bonds. In addition, depending on the agreement, certain interest rate swaps may be terminated if a counterparty's credit quality rating, as issued by Moody's or Standard & Poor's, falls below certain thresholds. For the interest rate swap with the 77.2 million notional amount, the termination threshold is reached when the credit quality rating for either the underlying Medical Center Pooled Revenue Bonds or swap counterparty falls below Baa2 or BBB. For the swap with the 174.8 million notional amount, the termination threshold is reached when the credit quality rating for the underlying Medical Center Pooled Revenue Bonds falls below Baa3/BBB-, or the interest rate swap counterparty's rating falls below Baa2 or BBB.

For the swaps with notional amounts of 400.0 million and 100.0 million with the counterparty that is currently rated Aa2/AA-, the termination threshold is reached when the credit quality rating for the underlying General Revenue Bonds falls below Baa2 or BBB, or the swap counterparty's rating falls below A3 or A-. For the swap with a notional amount of \$100 million with the counterparty that is currently rated Aa3/AA-, the termination threshold is reached when the credit quality rating for the underlying General Revenue Bonds falls below Baa2 or BBB, or the swap counterparty's rating falls below A3 or A-. Upon termination, the University may also owe a termination payment if there is a realized loss based on the fair value of each interest rate swap.

### ***Rollover Risk***

The University is exposed to rollover risk on hedging derivative instruments that are hedges of debt that mature or may be terminated prior to the maturity of the hedged debt. When these hedging derivative instruments terminate, the University will be re-exposed to the risks being hedged by the hedging derivative instruments. The University is exposed to rollover risk on the interest rate swaps that mature in October 2023 because the hedged debt is scheduled to mature in May 2048.

## 5. INVESTMENTS HELD BY TRUSTEES

The University has entered into agreements with trustees to maintain trusts for the University's self-insurance programs, long-term debt requirements, capital projects and certain other requirements. In addition, the state of California retained on deposit certain proceeds from the sale of lease-revenue bonds to be used for capital projects.

### Self-Insurance Programs

Investments held by trustees for self-insurance programs include separate trusts for the workers' compensation and professional medical and hospital liability programs. Securities are held by the trustees in the name of the University. The trust agreements permit the trustees to invest in equity securities, long-term and short-term debt securities, commercial paper and other securities including any common or commingled trust funds.

The composition of cash and investments and the modified duration associated with fixed-income securities for self-insurance programs at June 30, 2014 are as follows:

*(in thousands of dollars)*

	INVESTMENTS AT FAIR VALUE	MODIFIED DURATION
Cash	\$ (368)	
Commingled funds:		
U.S. bond funds	535,515	6.0
Money market funds	71,289	
U.S. equity funds	103,898	
<b>Total</b>	<b>\$710,334</b>	

Self-insurance investments are held in externally-managed commingled funds with underlying credit ratings ranging from B to AAA, where applicable.

### Long-Term Debt

Investments held by trustees for future payment of principal and interest in accordance with various Indenture and other long-term debt requirements totaled \$2.9 million at June 30, 2014. Securities held by trustees are held in the name of the University and these trust agreements permit trustees to invest in U.S. and state government or agency obligations, commercial paper or other corporate obligations meeting certain credit rating requirements.

### Capital Projects

Proceeds from the sale of the state of California's lease revenue bonds to be used for financing certain University capital projects were deposited in a commingled U.S. bond fund managed by the state of California Treasurer's Office, as trustee, and distributed to the University as the projects are constructed. The fair value of these deposits was \$109.2 million at June 30, 2014.

In addition, proceeds from the sale of bonds and certain University funds are held by trustees to be used for financing and operating third-party capital projects. The fair value of these investments was \$63.1 million at June 30, 2014. Substantially, all of these investments are of a highly liquid, short-term nature.

## 6. ACCOUNTS RECEIVABLE

Accounts receivable and the allowance for doubtful accounts at June 30, 2014 are as follows:

*(in thousands of dollars)*

	UNIVERSITY OF CALIFORNIA						TOTAL	DISCRETELY PRESENTED COMPONENT UNITS
	STATE AND FEDERAL GOVERNMENT	MEDICAL CENTERS	INVESTMENT INCOME	PRIVATE GRANTS AND CONTRACTS	MEDICAL PROFESSIONAL FEES	OTHER		
Accounts receivable	\$577,852	\$ 1,753,698	\$63,320	\$297,441	\$279,510	\$351,697	\$ 3,323,518	\$115,755
Allowance for doubtful accounts	(2,333)	(251,066)		(5,605)	(37,272)	(26,469)	(322,745)	(12,702)
Accounts receivable, net	<b>\$575,519</b>	<b>\$1,502,632</b>	<b>\$63,320</b>	<b>\$291,836</b>	<b>\$242,238</b>	<b>\$325,228</b>	<b>\$ 3,000,773</b>	<b>\$103,053</b>

The University's other accounts receivable are primarily related to investment sales, tuition and fees, auxiliary enterprises, insurance rebates and legal settlements. Other receivables includes \$58.1 million loaned by the University to CHRCO.

The campus foundations' accounts receivable are primarily related to investment income. CHRCO's receivables primarily include patient receivables.

The expense for doubtful accounts have either increased (decreased) the following revenues for the year ended June 30, 2014:

<i>(in thousands of dollars)</i>	
Student tuition and fees	\$ (2,257)
Grants and contracts:	
Federal	(208)
State	342
Private	(1,113)
Local	7
Medical centers	(309,085)
Educational activities	(8,595)
Auxiliary enterprises	(501)
Other operating revenues	(1,960)
<b>Expense for doubtful accounts</b>	<b>\$ (323,370)</b>

## Retirement System Contribution

The state of California agreed to make contributions related to certain prior years to the University for UCRP in annual installments over 30 years. During the year ended June 30, 2014, under the terms of these agreements, the state of California contributed \$6.1 million, including interest at rates ranging from 8.0 percent to 8.5 percent. At June 30, 2014, the remaining amount owed to UCRP by the state was \$24.3 million. These amounts are recorded in the University's statement of net position as a receivable from the state of California and as a liability owed to UCRP.

## 7. PLEDGES RECEIVABLE

The composition of pledges receivable at June 30, 2014 is summarized as follows:

<i>(in thousands of dollars)</i>	UNIVERSITY OF CALIFORNIA	DISCRETELY PRESENTED COMPONENT UNITS
Total pledges receivable outstanding	\$85,542	\$1,064,170
Less: Unamortized discount to present value	(2,754)	(112,116)
Allowance for uncollectible pledges	(21,175)	(87,186)
<b>Total pledges receivable, net</b>	<b>61,613</b>	<b>864,868</b>
Less: Current portion of pledges receivable	(26,833)	(222,531)
<b>Noncurrent portion of pledges receivable</b>	<b>\$34,780</b>	<b>\$ 642,337</b>

Future receipts under pledge agreements for each of the five fiscal years subsequent to June 30, 2014 and thereafter are as follows:

<i>(in thousands of dollars)</i>	UNIVERSITY OF CALIFORNIA	DISCRETELY PRESENTED COMPONENT UNITS
<i>Year Ending June 30</i>		
2015	\$40,993	\$ 250,222
2016	26,385	119,586
2017	8,834	153,698
2018	4,693	72,119
2019	1,037	59,232
2020 - 2024	2,350	258,093
Beyond 2024	1,250	151,220
<b>Total payments on pledges receivable</b>	<b>\$85,542</b>	<b>\$1,064,170</b>

Adjustments to the allowance for uncollectible pledges for the University have increased (decreased) the following revenues for the year ended June 30, 2014:

<i>(in thousands of dollars)</i>	
Private gifts	\$(11,526)
Capital gifts and grants	353

## 8. NOTES AND MORTGAGES RECEIVABLE

Notes and mortgages receivable at June 30, 2014, along with the allowance for uncollectible amounts, are as follows:

	UNIVERSITY OF CALIFORNIA				DISCRETELY PRESENTED COMPONENT UNITS	
	CURRENT	NONCURRENT		TOTAL	CURRENT	NONCURRENT
		NOTES	MORTGAGES			
Notes and mortgages receivable	\$49,986	\$317,930	\$24,713	\$342,643	\$5	\$1,296
Allowance for uncollectible amounts	(6,489)	(20,838)	(140)	(20,978)		
<b>Notes and mortgages receivable, net</b>	<b>\$43,497</b>	<b>\$297,092</b>	<b>\$24,573</b>	<b>\$321,665</b>	<b>\$5</b>	<b>\$1,296</b>

## 9. DOE NATIONAL LABORATORY CONTRACTS

### Los Alamos National Security, LLC (LANS)

LANS operates and manages the DOE's LANL. LANS' current earnings or losses are dependent on the percentage of base and incentive fees earned under the terms of the contract, offset by any unallowable or disallowed costs. While the University has a 50 percent membership interest in LANS, its equity in the current earnings or losses is subject to certain limitations and special allocations of both the fees and costs. As a result, the University's equity in the current earnings or losses may range from 17.0 to 50.0 percent. For the year ended June 30, 2014, the University recorded \$12.7 million, as its equity in the current earnings of LANS and received \$13.3 million in cash distributions in 2014.

### Lawrence Livermore National Security, LLC (LLNS)

LLNS manages and operates the DOE's LLNL. LLNS' current earnings or losses are dependent on the percentage of base and incentive fees earned under the terms of the contract, offset by any unallowable or disallowed costs. While the University has a 50 percent membership interest in LLNS, its equity in the current earnings or losses is 36.3 percent as of June 30, 2014. For the year ended June 30, 2014, the University recorded \$12.4 million, as its equity in the current earnings of LLNS and received \$12.0 million in cash distributions.

## 10. CAPITAL ASSETS

The University's capital asset activity for the year ended June 30, 2014 is as follows:

(in thousands of dollars)

	2013	ADDITIONS	DISPOSALS	2014
<b>ORIGINAL COST</b>				
Land	\$ 840,050	\$ 11,825	\$ (4,710)	\$ 847,165
Infrastructure	585,270	18,349	(51)	603,568
Buildings and improvements	29,514,691	1,509,912	(27,254)	30,997,349
Equipment, software and intangibles	6,237,146	494,632	(337,050)	6,394,728
Libraries and collections	3,699,125	138,486	(20,178)	3,817,433
Special collections	354,109	28,304	(136)	382,277
Construction in progress	2,898,206	718,076		3,616,282
<b>Capital assets, at original cost</b>	<b>\$44,128,597</b>	<b>\$2,919,584</b>	<b>\$(389,379)</b>	<b>\$46,658,802</b>
	2013	DEPRECIATION AND AMORTIZATION	DISPOSALS	2014
<b>ACCUMULATED DEPRECIATION AND AMORTIZATION</b>				
Infrastructure	\$ 284,911	\$ 20,454	\$ (22)	\$ 305,343
Buildings and improvements	10,958,368	1,099,747	(13,615)	12,044,500
Equipment, software and intangibles	4,007,422	463,231	(297,079)	4,173,574
Libraries and collections	2,698,011	126,240	(50,391)	2,773,860
<b>Accumulated depreciation and amortization</b>	<b>\$17,948,712</b>	<b>\$1,709,672</b>	<b>\$(361,107)</b>	<b>\$19,297,277</b>
<b>Capital assets, net</b>	<b>\$26,179,885</b>			<b>\$27,361,525</b>

Service concession arrangements, reported as buildings and improvements, are \$48.3 million of original cost and \$17.6 million of accumulated depreciation at June 30, 2014.

The discretely presented component units' capital asset activity for the year ended June 30, 2014 is as follows:

(in thousands of dollars)

	2013	ADDITIONS	DISPOSALS	2014
<b>ORIGINAL COST</b>				
Land	\$ 16,290			\$ 16,290
Buildings and improvements	255,317	\$ 15,320	\$ (70)	270,567
Equipment, software and intangibles	141,973	104,947	(4,191)	242,729
Construction in progress	74,215	64,032	(112,364)	25,883
<b>Capital assets, at original cost</b>	<b>\$487,795</b>	<b>\$184,299</b>	<b>\$(116,625)</b>	<b>\$555,469</b>
	2013	DEPRECIATION AND AMORTIZATION	DISPOSALS	2014
<b>ACCUMULATED DEPRECIATION AND AMORTIZATION</b>				
Buildings and improvements	\$142,483	\$ 7,940	\$ (50)	\$150,373
Equipment, software and intangibles	103,439	22,000	(3,975)	121,464
<b>Accumulated depreciation and amortization</b>	<b>\$245,922</b>	<b>\$29,940</b>	<b>\$ (4,025)</b>	<b>\$271,837</b>
<b>Capital assets, net</b>	<b>\$241,873</b>			<b>\$283,632</b>

## 11. SELF-INSURANCE, OBLIGATIONS UNDER LIFE INCOME AGREEMENTS AND OTHER LIABILITIES

The University's self-insurance and other liabilities at June 30, 2014 are as follows:

(in thousands of dollars)

	UNIVERSITY OF CALIFORNIA		DISCRETELY PRESENTED COMPONENT UNITS	
	CURRENT	NONCURRENT	CURRENT	NONCURRENT
Self-insurance programs	\$ 201,538	<b>\$443,040</b>		<b>\$ 16,091</b>
Obligations under life income agreements	1,010	<b>\$ 28,700</b>	\$20,710	<b>\$140,818</b>
Other liabilities:				
Compensated absences	485,105	262,593	21,149	
UCRP*	3,258	20,925		
Accrued interest	92,142			
Fair value of interest rate swaps		75,239		
Payable to University			2,205	55,915
Other	490,350	151,490	26,675	25,762
<b>Total</b>	<b>\$1,273,403</b>	<b>\$510,247</b>	<b>\$70,739</b>	<b>\$ 81,677</b>

\* UCRP has an equivalent amount recorded as a contribution receivable from the University in its statement of fiduciary net position.

CHRCO's long-term debts were defeased or retired with advances from the University's commercial paper program in June 2014.

### Self-Insurance Programs

Changes in liabilities for self-insurance or insured through a wholly-owned captive insurance company changed as follow for the year ended June 30, 2014:

(in thousands of dollars)

	MEDICAL MALPRACTICE	WORKERS' COMPENSATION	EMPLOYEE & STUDENT HEALTH CARE	GENERAL LIABILITY	TOTAL
<i>Year Ended June 30, 2014</i>					
Liabilities at June 30, 2013	\$190,594	\$311,581	\$ 39,685	\$89,938	\$631,798
Claims incurred and changes in estimates	41,522	74,114	329,892	21,663	467,191
Claim payments	(55,411)	(73,981)	(301,195)	(23,824)	(454,411)
<b>Liabilities at June 30, 2014</b>	<b>\$176,705</b>	<b>\$311,714</b>	<b>\$ 68,382</b>	<b>\$87,777</b>	<b>\$644,578</b>
<b>Discount rate</b>	<b>5.0%</b>	<b>5.0%</b>	<b>Undiscounted</b>	<b>2.0%</b>	
<i>Year Ended June 30, 2013</i>					
Liabilities at June 30, 2012	\$178,289	\$299,193	\$ 34,876	\$86,818	\$599,176
Claims incurred and changes in estimates	63,767	87,378	250,270	20,417	421,832
Claim payments	(51,462)	(74,990)	(245,461)	(17,297)	(389,210)
<b>Liabilities at June 30, 2013</b>	<b>\$190,594</b>	<b>\$311,581</b>	<b>\$ 39,685</b>	<b>\$89,938</b>	<b>\$631,798</b>
<b>Discount rate</b>	<b>5.0%</b>	<b>5.0%</b>	<b>Undiscounted</b>	<b>2.0%</b>	

Changes in self-insurance or insured liabilities for the discretely presented component units for the year ended June 30, 2014 are as follows:

(in thousands of dollars)

	MEDICAL MALPRACTICE	WORKERS' COMPENSATION	EMPLOYEE HEALTH CARE	TOTAL
<i>Year Ended June 30, 2014</i>				
Liabilities at June 30, 2013	\$4,078	\$7,523	\$ 1,872	\$13,473
Claims incurred and changes in estimates	700	4,113	10,247	15,060
Claim payments	(159)	(2,295)	(9,988)	(12,442)
<b>Liabilities at June 30, 2014</b>	<b>\$4,619</b>	<b>\$9,341</b>	<b>\$ 2,131</b>	<b>\$16,091</b>
<b>Discount rate</b>	<b>5.0%</b>	<b>5.0%</b>	<b>Undiscounted</b>	
<i>Year Ended June 30, 2013</i>				
Liabilities at June 30, 2012	\$4,050	\$5,229	\$ 2,077	\$11,356
Claims incurred and changes in estimates	244	4,993	9,919	15,156
Claim payments	(216)	(2,699)	(10,124)	(13,039)
<b>Liabilities at June 30, 2013</b>	<b>\$4,078</b>	<b>\$7,523</b>	<b>\$ 1,872</b>	<b>\$13,473</b>
<b>Discount rate</b>	<b>5.0%</b>	<b>5.0%</b>	<b>Undiscounted</b>	

### Obligations Under Life Income Agreements

Changes in current and noncurrent obligations under life income agreements for the year ended June 30, 2014 are as follows:

(in thousands of dollars)

	UNIVERSITY OF CALIFORNIA		DISCRETELY PRESENTED COMPONENT UNITS	
	ANNUITIES	LIFE BENEFICIARIES	ANNUITIES	LIFE BENEFICIARIES
<i>Year Ended June 30, 2014</i>				
Balance at June 30, 2013	\$14,271	\$15,530	\$53,388	\$116,379
New obligations to beneficiaries and change in liability, net	1,265	3,097	5,491	4,862
Payments to beneficiaries	(1,978)	(2,475)	(6,907)	(11,685)
<b>Obligations under life income agreements at June 30, 2014</b>	<b>13,558</b>	<b>16,152</b>	<b>51,972</b>	<b>109,556</b>
Less: Current portion	(540)	(470)	(7,050)	(13,660)
<b>Noncurrent portion at June 30, 2014</b>	<b>\$13,018</b>	<b>\$15,682</b>	<b>\$44,922</b>	<b>\$95,896</b>

## 12. DEBT

The University directly finances the construction, renovation and acquisition of facilities and equipment, or for such other purposes as are authorized by The Regents through the issuance of debt obligations or indirectly through structures that involve legally separate entities reported as blended component units. Commercial paper and bank loans provide for interim financing. Long-term financing includes revenue bonds, capital lease obligations and other borrowings.

The University's outstanding debt at June 30, 2014 is as follows:

(in thousands of dollars)

	WEIGHTED AVERAGE INTEREST RATE	INTEREST RATE RANGE	MATURITY YEARS	2014
<b>INTERIM FINANCING:</b>				
Commercial paper		0.1 - 0.2%	2014	\$ 993,650
<b>LONG-TERM FINANCING:</b>				
University of California General Revenue Bonds				
Fixed Rate	4.4%	0.2 - 7.6%	2015-2112	9,222,595
Variable Rate	0.3%	0.0 - 0.7%	2037-2048	1,250,000
University of California Limited Project Revenue Bonds				
University of California Medical Center Pooled Revenue Bonds				
Fixed Rate	5.6%	2.0 - 6.6%	2015-2049	2,523,200
Variable Rate	0.5%	0.0 - 1.0%	2015-2047	257,545
University of California Medical Center Revenue Bonds				
Adjusted by: Unamortized bond premium	5.2%	4.0 - 5.0%	2015-2039	47,265
				595,728
<b>University of California revenue bonds</b>	<b>4.3%</b>			<b>15,862,983</b>
Capital lease obligations		0.0 - 7.8%	2014-2042	113,224
Other University borrowings		Various	2014-2049	362,823
Blended component unit revenue bonds, net	5.7%	4.0 - 6.5%	2015-2049	698,069
<b>Total outstanding debt</b>				<b>18,030,749</b>
Less: Commercial paper				(993,650)
Current portion of outstanding debt				(1,186,884)
<b>Noncurrent portion of outstanding debt</b>				<b>\$ 15,850,215</b>

Interest expense associated with financing projects during construction, net of any investment income earned on tax-exempt bond proceeds during construction, is capitalized. Total interest expense during the year ended June 30, 2014 was \$692.4 million. Interest expense, net of investment income, totaling \$76.8 million was capitalized during the year ended June 30, 2014. The remaining \$615.6 million in 2014 is reported as interest expense in the statement of revenues, expenses and changes in net position.

### Outstanding Debt Activity

The activity with respect to the University's current and noncurrent debt, including the revenue bonds associated with blended component units, for the year ended June 30, 2014 is as follows:

(in thousands of dollars)

	UNIVERSITY REVENUE BONDS	CAPITAL LEASE OBLIGATIONS	OTHER UNIVERSITY BORROWINGS	BLENDED COMPONENT UNIT REVENUE BONDS	TOTAL
<i>Year Ended June 30, 2014</i>					
Long-term debt and capital leases at June 30, 2013	\$12,233,122	\$2,582,411	\$395,279	\$705,413	\$15,916,225
New obligations	4,078,840	36,470	13,904		4,129,214
Bond premium	185,534				185,534
Refinancing or prepayment of outstanding debt	(28,295)	(2,389,830)	(15,383)		(2,433,508)
Scheduled principal payments	(564,085)	(115,827)	(30,977)	(6,706)	(717,595)
Amortization of bond premium	(42,133)			(638)	(42,771)
<b>Long-term debt and capital leases at June 30, 2014</b>	<b>15,862,983</b>	<b>113,224</b>	<b>362,823</b>	<b>698,069</b>	<b>17,037,099</b>
Less: Current portion	(1,128,456)	(24,602)	(25,738)	(8,088)	(1,186,884)
<b>Noncurrent portion at June 30, 2014</b>	<b>\$14,734,527</b>	<b>\$ 88,622</b>	<b>\$337,085</b>	<b>\$689,981</b>	<b>\$15,850,215</b>

## Commercial Paper

The University has available a \$2.0 billion commercial paper program, issued in two series, with tax-exempt and taxable components. Commercial paper may be issued for interim/permanent financing for capital projects, interim financing of equipment, financing of working capital for the medical centers, standby or interim financing for gift financed projects and working capital for the University. Proceeds from commercial paper of \$58.1 million were loaned to CHRCO by the University as of June 30, 2014.

The program's liquidity is supported by available investments in STIP and TRIP. Commercial paper is collateralized by a pledge of the revenues derived from the ownership or operation of the projects financed and constitute limited obligations of the University. There is no encumbrance, mortgage or other pledge of property securing commercial paper and the paper does not constitute general obligations of the University.

Commercial paper outstanding, including interest rates, at June 30, 2014 is as follows:

*(in thousands of dollars)*

	INTEREST RATES	OUTSTANDING
Taxable	0.08-0.2%	\$993,650
<b>Total outstanding</b>		<b>\$993,650</b>

The expectation is that the University will continue to utilize available investments for liquidity support for the commercial paper program. Alternatively, the University may utilize lines of credit from external banks for the purpose of providing additional liquidity support for the commercial paper program. As of June 30, 2014, the University has two revolving credit agreements totaling \$700.0 million. There were no borrowings against the revolving credit lines as of June 30, 2014. In August 2014, the University amended its existing revolving credit agreement totaling \$400.0 million that provides additional liquidity support to the University's commercial paper program to extend the termination date to December 7, 2016.

## University of California Revenue Bonds

Revenue bonds have financed various auxiliary, administrative, academic, medical center and research facilities of the University. They generally have annual principal and semiannual or monthly interest payments, serial and term maturities, contain sinking fund requirements and may have optional redemption provisions. Revenue bonds are not collateralized by any encumbrance, mortgage or other pledge of property, except pledged revenues, and do not constitute general obligations of The Regents. Revenue Bond Indentures require the University to use the facilities in a way which will not cause the interest on the tax-exempt bonds to be included in the gross income of the bondholders for federal tax purposes.

General Revenue Bonds are collateralized solely by General Revenues as defined in the Indenture. General Revenues are certain operating and nonoperating revenues of the University consisting of gross student tuition and fees; facilities and administrative cost recovery from contracts and grants; revenues from educational, auxiliary and other activities; and other revenues, including unrestricted investment income. The General Revenue Bond Indenture requires the University to set rates, charges and fees each year sufficient for General Revenues to pay for the annual principal and interest on the bonds and certain other financial covenants. General Revenues, as defined in the Indenture, have been amended to include certain state appropriations as to secure payment of the General Revenue Bonds. General Revenues for the year ended June 30, 2014 were \$13.0 billion. The pledge of General Revenues for interest rate swap agreements is on a parity basis with the University's General Revenue Bonds.

Limited Project Revenue Bonds are issued to finance auxiliary enterprises and are collateralized by a pledge consisting of the sum of the gross revenues of the specific projects. The Indenture requires the University to achieve the sum of gross project revenues equal to 1.1 times debt service and maintain certain other financial covenants. Pledged revenues for the year ended June 30, 2014 were \$729.8 million.

Medical Center Pooled Revenue Bonds are issued to finance the University's medical center facilities and are collateralized by a joint and several pledge of the gross revenues of all five of the University's medical centers. Medical center gross revenues are excluded from General Revenues. The Medical Center Pooled Revenue Bond Indenture requires the medical centers to set rates, charges and fees each year sufficient for the medical center gross revenues to pay for the annual principal and interest on the bonds and certain other financial covenants. Gross revenues of the medical centers for the year ended June 30, 2014 was \$8.6 billion.

Medical Center Revenue Bonds have also financed certain facilities of one of the University's five medical centers and are collateralized by a pledge of the specific gross revenues associated with the medical center. The Medical Center Revenue Bond Indenture requires one medical center to achieve debt service coverage of 1.1 times, set limitations on encumbrances, indebtedness, disposition of assets and transfer services, as well as maintain certain other financial covenants.

The pledge of revenues under Limited Project Revenue Bonds is subordinate to the pledge of revenues associated with General Revenue Bonds, but senior to pledges under commercial paper agreements or bank loans.

Medical center gross revenues are pledged under the Indentures for the Medical Center Pooled Revenue Bonds and the UCLA Medical Center Revenue Bonds and certain interest rate swap agreements. The pledge of medical center revenues under Medical Center Pooled Revenue Bonds is subordinate to the specific Medical Center Revenue Bonds.

The pledge of medical center revenues for interest rate swap agreements may be at parity with or subordinate to specific Medical Center Revenue Bonds and Medical Center Pooled Revenue Bonds.

All Indentures permit the University to issue additional bonds as long as certain conditions are met.

### **2014 Activity**

In August 2013, tax-exempt Medical Center Pooled Revenue Bonds totaling \$649.9 million, including \$618.6 million of fixed-rate bonds and \$31.3 million of variable-rate demand bonds, were issued to finance and refinance certain facilities and projects of the medical centers. Proceeds, including a bond premium of \$6.3 million, were used to pay for project construction, issuance costs and refund \$28.3 million of outstanding Medical Center Revenue Bonds. The fixed-rate bonds mature at various dates through 2048 and the variable-rate bonds mature in 2047. The interest rates on the variable-rate demand bonds reset weekly and an interest rate swap, previously classified as an investment derivative, is being used to limit exposure to changes in market interest rates. In the event of a failed remarketing, the variable-rate demand bonds can be put back to The Regents for tender. The tax-exempt bonds have a stated weighted average interest rate of 5.0 percent. The deferred premium will be amortized as a reduction to interest expense over the term of the bonds. The refinancing and refunding of previously outstanding Medical Center Revenue Bonds resulted in cash flow savings of \$5.1 million and an economic gain of \$3.6 million.

In October 2013, General Revenue Bonds totaling \$2.5 billion, including \$1.1 billion tax-exempt bonds, \$712.3 million taxable bonds, and \$600.0 million tax-exempt variable-rate demand bonds, were used to refinance debt issued by the state of California or for such other purposes as authorized by The Regents. Proceeds, including a bond premium of \$124.9 million, were used to pay \$2.4 billion in Lease Revenue Bonds issued by the State Public Works Board of the state of California, which are reported as lease-purchase agreements by the University and bond issuance costs. The fixed-rate bonds mature at various dates through 2048 and the variable-rate demand bonds mature at 2048. The tax-exempt bonds have a stated weighted average interest rate of 3.5 percent. The taxable bonds have a stated weighted average interest rate of 4.3 percent. The interest rates on the variable-rate demand bonds reset weekly and, in the event of a failed remarketing, can be put back to The Regents for tender. Interest rate swap agreements were executed to limit exposure to changes in market interest rates. General Revenues, as defined in the Indenture, have been amended to include certain state appropriations to secure payment of the General Revenue Bonds. The refunding resulted in a loss of \$253.5 million, recorded as a deferred inflow of resources, that will be amortized as interest expense over the remaining life of the refunded bonds. The refinancing and refunding of previously outstanding Lease Revenue Bonds issued by the State Public Works Board of the state of California resulted in additional cash flow requirements of \$1.0 billion and an economic gain of \$27.7 million. Bonds were issued to achieve estimated annual cash flow savings of \$17.6 million to \$100.0 million between 2014 and 2030.

In April 2014, General Revenue Bonds totaling \$970.4 million, including \$559.2 million tax-exempt bonds and \$411.2 million taxable bonds, were used to finance and refinance certain facilities and projects of the University. The bonds mature at various dates through 2049. The tax-exempt bonds have a stated weighted average interest rate of 4.9 percent. The taxable bonds have a stated weighted average interest rate of 3.9 percent. The deferred premium will be amortized as a reduction to interest expense over the term of the bonds.

In April 2014, the University remarketed General Revenue Bonds totaling \$500.0 million of taxable bonds which were used to finance pension contributions to UCRP and operating costs on an interim basis. The scheduled mandatory tender for purchase is on July 1, 2017. The University pays an interest rate equal to 1-month LIBOR plus of 0.50% on these remarketed bonds starting on May 1, 2014.

## Capital Leases

The University entered into lease-purchase agreements with the state of California that were recorded as capital leases. The state sold lease revenue bonds to finance construction of certain state-owned buildings to be used by the University. At the conclusion of the lease term, ownership transferred to the University.

In October 2013, the University refinanced all the lease revenue bonds issued by the state of California with University General Revenue Bonds and ownership of all the properties transferred to the University.

The state of California financing appropriation to the University under the terms of the lease-purchase agreements, recorded as nonoperating revenue, for the year ended June 30, 2014 was \$0.5 million. The scheduled principal and interest, including accrued interest, reported in the University's financial statements for the year ended June 30, 2014 contain amounts related to these lease-purchase agreements with the state of California as follows:

<i>(in thousands of dollars)</i>	
Capital lease principal	\$16,910
Capital lease interest	7,942
<b>Total</b>	<b>\$24,852</b>

Capital leases entered into with other lessors, typically for equipment, totaled \$36.5 million for the year ended June 30, 2014.

## Other University Borrowings

Other University borrowings consist of contractual obligations resulting from the acquisition of land or buildings and the construction and renovation of certain facilities, along with the borrowing component associated with a hybrid derivative instrument.

The University may use uncollateralized revolving lines of credit with commercial banks for general corporate purposes and to provide interim financing for buildings and equipment. Lines of credit commitments for general corporate purposes, with various expiration dates through February 15, 2017, totaled \$345.0 million at June 30, 2014. Outstanding borrowings under these bank lines totaled \$262.0 million at June 30, 2014. Lines of credit that provide interim financing for buildings and equipment, with various expiration dates through April 30, 2016, totaled \$3.0 million. There were no outstanding borrowings under these bank lines at June 30, 2014.

Certain of the interest rate swaps are considered hybrid instruments. As such, the interest rate swaps are comprised of a derivative instrument and a companion instrument recorded as a borrowing. The unamortized amount of the borrowing was \$42.1 million at June 30, 2014.

## Blended Component Unit Revenue Bonds

### *Student Housing*

The University has entered into ground leases with a legally separate, non-profit corporation that develops and owns student housing projects and related amenities and improvements on a University campus through the use of a single-project limited liability corporation (LLC). The LLC manages the premises. The University's reversionary interest in the land is not subordinated. All costs associated with the ownership, operation and management of the improvements are the obligation of the LLC. Student rental rates are established in order to provide for operating expenses and maintain the required debt service coverage ratios. The University is not responsible for any payments related to the ownership, operation or financing of the student housing. However, under GASB requirements, the financial position and operating results of this legally separate organization are blended into the University's financial reporting entity.

The LLC, through its conduit issuer, has outstanding Student Housing LLC Revenue Bonds to finance the construction of the student housing facilities. The bonds generally have annual principal and semiannual interest payments, serial and term maturities, certain sinking fund requirements and optional redemption provisions. They are not collateralized by any encumbrance, mortgage or other pledge of property, except pledged revenues of the student housing projects, and do not constitute general obligations of The Regents.

At June 30, 2014, the LLC, through its conduit issuer, has outstanding Student Housing LLC Revenue Bonds totaling \$410.5 million. The bonds mature at various dates through 2040 and have a weighted average interest rate of 5.5 percent.

## ***Research Facilities***

The University has a public/private partnership, for the purpose of developing, constructing and managing a neuroscience research laboratory building with a legally separate, non-profit corporation. In connection with the research laboratory building, the University entered into a ground lease with the corporation. The corporation has entered into a sub-ground lease with a developer to construct, own and manage the building. The University agreed to lease all of the space in the building from the developer. The University's base rent payments are equal to the principal and interest payments on the bonds issued by the corporation to finance the construction of the building. As security on the bonds, the developer has assigned all payments received from the University for the space lease to the bond trustee.

All of the board members of the non-profit corporation are appointed by the University and the University has the authority to determine the budget for the corporation. Under GASB requirements, the financial position and operating results of this legally separate organization are blended into the University's financial reporting entity.

The corporation, through a conduit issuer, has outstanding tax-exempt revenue bonds totaling \$19.7 million and taxable revenue bonds totaling \$188.0 million. The tax-exempt revenue bonds mature at various dates from 2021 through 2025 and have a weighted average interest rate of 5.0 percent. They generally have annual serial maturities, semi-annual interest payments and optional redemption provisions. The taxable bonds mature in 2049 and have an interest rate of 6.5 percent. The taxable bonds were issued as Build America Bonds, under which the U.S. Treasury is expected to send the non-profit corporation 35.0 percent of the semi-annual interest cost on the taxable bonds, making the net interest rate 4.2 percent post-subsidy. The bonds have a term maturity with various certain annual sinking fund requirements, semi-annual interest payments and optional redemption provisions.

In addition, the University entered into a ground lease with a legally separate, non-profit corporation (the Consortium). The Consortium entered into an agreement with a developer to develop and own a research laboratory facility designed to expand collaborative work in stem cell research and facilitate its translation into tools and techniques to diagnose and treat degenerative diseases and other ailments. The developer constructed the research laboratory facility. All costs associated with the ownership, operation and management of the laboratory research facility are the obligation of the Consortium. The University, along with the other collaborative research partners, will lease space in the building.

Under GASB requirements, the financial position and operating results of this legally separate organization are blended into the University's financial reporting entity.

The Consortium, through its conduit issuer, has outstanding revenue bonds totaling \$58.7 million. The bonds mature at various dates through 2040 and have a weighted average interest rate of 4.9 percent. The bonds generally have annual principal and semiannual interest payments, serial and term maturities, certain sinking fund requirements and optional redemption provisions. Lease payments from the occupants of the building are pledged as collateral on the bonds. To the extent the lease payments are not sufficient to pay the debt service, the University is obligated to pay the shortfall.

## Future Debt Service and Hedging Derivative Interest Rate Swaps

Future debt service payments for the University's fixed- and variable-rate debt and net receipts or payments on associated hedging derivative instruments for each of the five fiscal years subsequent to June 30, 2014, and thereafter are as presented below. Although not a prediction by the University of the future interest cost of the variable-rate bonds or the impact of the hedging derivative interest rate swaps, these amounts assume that current interest rates on variable-rate bonds and the current reference rates of the hedging derivative interest rate swaps will remain the same. As these rates vary, variable-rate bond interest payments and net hedging derivative interest rate swap payments will vary.

(in thousands of dollars)

	COMMERCIAL PAPER	UNIVERSITY REVENUE BONDS	CAPITAL LEASES	OTHER UNIVERSITY BORROWINGS	BLENDED COMPONENT UNIT REVENUE BONDS	TOTAL PAYMENTS	PRINCIPAL	INTEREST
<i>Year Ending June 30</i>								
2015	\$993,949	\$ 1,010,992	\$ 28,517	\$ 26,821	\$ 47,209	\$ 2,107,488	\$ 1,354,174	\$ 753,314
2016		1,003,850	18,933	280,969	48,122	1,351,874	618,672	733,202
2017		984,069	12,065	12,403	62,752	1,071,289	351,497	719,792
2018		971,795	6,995	4,109	48,209	1,031,108	324,945	706,163
2019		972,149	4,284	3,469	48,210	1,028,112	335,277	692,835
2020-2024		5,131,037	20,624	7,633	255,276	5,414,570	2,235,999	3,178,571
2025-2029		4,598,977	24,687	7,226	258,561	4,889,451	2,323,474	2,565,977
2030-2034		4,219,740	30,036	7,414	255,994	4,513,184	2,552,944	1,960,240
2035-2039		3,812,376	36,543	7,282	237,770	4,093,971	2,818,450	1,275,521
2040-2044		2,992,474	22,291	6,064	93,047	3,113,876	2,460,920	652,956
2045-2049		1,426,220		1,846	66,923	1,494,989	1,174,951	320,038
2050-2112		3,513,779				3,513,779	880,430	2,633,349
<b>Total future debt service</b>	<b>993,949</b>	<b>30,637,458</b>	<b>204,975</b>	<b>365,236</b>	<b>1,422,073</b>	<b>33,623,691</b>	<b>\$17,431,733</b>	<b>\$16,191,958</b>
Less: Interest component of future payments	(299)	(15,370,203)	(88,591)	(2,413)	(730,452)	(16,191,958)		
<b>Principal portion of future payments</b>	<b>993,650</b>	<b>15,267,255</b>	<b>116,384</b>	<b>362,823</b>	<b>691,621</b>	<b>17,431,733</b>		
Adjusted by:								
Unamortized bond premium		595,728			6,448	602,176		
Present value of net minimum leases included in long-term debt			(3,160)			(3,160)		
<b>Total debt</b>	<b>\$993,650</b>	<b>\$15,862,983</b>	<b>\$113,224</b>	<b>\$362,823</b>	<b>\$698,069</b>	<b>\$18,030,749</b>		

Long-term debt does not include \$2.3 billion of defeased liabilities at June 30, 2014. Investments that have maturities and interest rates sufficient to fund retirement of these liabilities are being held in irrevocable trusts for the debt service payments. Neither the assets of the trusts nor the outstanding obligations are included in the University's statement of net position.

Medical Center Pooled Revenue Bonds of \$108.5 million are variable-rate demand bonds which give the debt holders the ability to tender the bonds back to the University upon demand. In connection with \$77.2 million of these outstanding variable-rate demand bonds, the University has entered into a bank standby bond purchase agreement to provide funds for the purchase of the bonds that have been tendered and not remarketed. The standby bond purchase agreement is scheduled to terminate on June 30, 2015. The University is required to repurchase any bonds held by the bank on the termination date of the agreement. The University has classified \$31.3 million of these bonds as current liabilities as of June 30, 2014.

General Revenue Bonds of \$750.0 million are variable-rate demand bonds which reset weekly and, in the event of a failed remarketing, can be put back to The Regents for tender. The University has classified \$750.0 million of these bonds as current liabilities as of June 30, 2014.

As rates vary, variable-rate bond interest payments and net swap payments will vary. Although not a prediction by the University of the future interest cost of the variable-rate bonds or the impact of the interest rate swaps, using rates as of June 30, 2014, combined debt service requirements of the variable-rate debt and net swap payments are as follows:

(in thousands of dollars)

	VARIABLE-RATE BONDS		INTEREST RATE SWAP, NET	TOTAL PAYMENTS
	PRINCIPAL	INTEREST		
<i>Year Ending June 30</i>				
2015	\$ 3,110	\$ 1,385	\$ 19,688	\$ 24,183
2016	3,230	1,384	19,594	24,208
2017	3,340	1,384	19,496	24,220
2018	3,465	1,384	19,395	24,244
2019	3,590	1,383	19,290	24,263
2020-2024	26,895	6,887	87,441	121,223
2025-2029	44,065	6,345	34,992	85,402
2030-2034	41,725	5,755	27,202	74,682
2035-2039	31,580	4,791	20,993	57,364
2040-2044	163,910	3,063	12,815	179,788
2045-2049	532,635	534	1,669	534,838
<b>Total</b>	<b>\$857,545</b>	<b>\$34,295</b>	<b>\$282,575</b>	<b>\$1,174,415</b>

### 13. DEFERRED OUTFLOWS AND INFLOWS OF RESOURCES

The composition of deferred outflows and inflows of resources at June 30, 2014 is summarized as follows:

(in thousands of dollars)

	SERVICE CONCESSION ARRANGEMENTS	NET PENSION LIABILITY	LOSS ON DEBT REFUNDING	INTEREST RATE SWAP AGREEMENTS	TOTAL
<i>At June 30, 2014</i>					
Deferred outflows of resources		\$3,285,031	\$339,743	\$75,240	\$3,700,014
Deferred inflows of resources	\$ 30,701	7,047,208			7,077,909

The deferred inflows and outflows of resources for the discretely presented component units are related to the net pension liability for the CHRCO pension plan.

## 14. THE UNIVERSITY OF CALIFORNIA RETIREMENT SYSTEM (UCRS)

Most University employees participate in UCRS. UCRS consists of UCRP, a defined benefit plan funded with University and employee contributions; UCRSP that includes defined contribution plans with options to participate in internally or externally managed investment portfolios generally funded with employee non-elective and elective contributions; PERS-VERIP, a defined benefit plan for University employees who were members of PERS and who elected early retirement; and the Children's Hospital & Research Center at Oakland (CHRCO) Pension Plan, a defined benefit plan fully funded with CHRCO contributions. The Regents has the authority to establish and amend the benefit plans except for the CHRCO Pension Plan. Administration authority with respect to UCRS plans is vested with the President of the University as plan administrator and the President has redelegated that authority within UCRS to the Vice President – Human Resources. CHRCO administers the CHRCO Pension Plan as the Sponsor and plan assets are held by Union Bank, N.A. (the Trustee).

Condensed financial information related to each plan in UCRS and the changes in pension liability for UCRP, PERS-VERIP, and the CHRCO Pension Plan for the year ended June 30, 2014 is as follows:

(in thousands of dollars)

	UNIVERSITY OF CALIFORNIA					DISCRETELY PRESENTED COMPONENT UNITS
	UNIVERSITY OF CALIFORNIA RETIREMENT PLAN	UNIVERSITY OF CALIFORNIA PERS-VERIP	SUBTOTAL	UNIVERSITY OF CALIFORNIA RETIREMENT SAVINGS PROGRAM	TOTAL	CHILDREN'S HOSPITAL & RESEARCH CENTER AT OAKLAND PENSION PLAN
<b>CONDENSED STATEMENT OF PLAN FIDUCIARY NET POSITION</b>						
Investments at fair value	\$53,515,771	\$ 71,288	\$53,587,059	\$15,160,545	\$68,747,604	\$320,064
Participants' interests in mutual funds				5,044,424	5,044,424	
Investment of cash collateral	4,162,452	5,564	4,168,016	2,395,256	6,563,272	
Other assets	261,451	87	261,538	201,873	463,411	
<b>Total assets</b>	<b>57,939,674</b>	<b>76,939</b>	<b>58,016,613</b>	<b>22,802,098</b>	<b>80,818,711</b>	<b>320,064</b>
Collateral held for securities lending	4,161,400	5,563	4,166,963	2,394,651	6,561,614	
Other liabilities	994,407	1,414	995,821	363,293	1,359,114	
<b>Total liabilities</b>	<b>5,155,807</b>	<b>6,977</b>	<b>5,162,784</b>	<b>2,757,944</b>	<b>7,920,728</b>	
<b>Net position held in trust</b>	<b>\$52,783,867</b>	<b>\$ 69,962</b>	<b>\$52,853,829</b>	<b>\$20,044,154</b>	<b>\$72,897,983</b>	<b>\$320,064</b>
<b>CONDENSED STATEMENT OF CHANGES IN PLANS' FIDUCIARY NET POSITION</b>						
Contributions	\$ 2,158,342		\$ 2,158,342	\$ 1,057,370	\$ 3,215,712	\$ 14,500
Net appreciation (depreciation) in fair value of investments	7,170,667	\$ 9,874	7,180,541	1,957,077	9,137,618	
Investment and other income, net	839,313	1,161	840,474	506,618	1,347,092	48,704
<b>Total additions</b>	<b>10,168,322</b>	<b>11,035</b>	<b>10,179,357</b>	<b>3,521,065</b>	<b>13,700,422</b>	<b>63,204</b>
Benefit payment and participant withdrawals	2,687,540	5,169	2,692,709	1,260,155	3,952,864	6,994
Other deductions	37,641	6	37,647	8,804	46,451	718
<b>Total deductions</b>	<b>2,725,181</b>	<b>5,175</b>	<b>2,730,356</b>	<b>1,268,959</b>	<b>3,999,315</b>	<b>7,712</b>
<b>Increase in net position held in trust</b>	<b>7,443,141</b>	<b>5,860</b>	<b>7,449,001</b>	<b>2,252,106</b>	<b>9,701,107</b>	<b>55,492</b>
<b>Net position held in trust</b>						
Beginning of year	45,340,726	64,102	45,404,828	17,792,048	63,196,876	264,572
<b>End of year</b>	<b>\$52,783,867</b>	<b>\$ 69,962</b>	<b>\$52,853,829</b>	<b>\$20,044,154</b>	<b>\$72,897,983</b>	<b>\$320,064</b>
<b>CHANGES IN TOTAL PENSION LIABILITY</b>						
Service cost	\$ 1,519,183		\$ 1,519,183			\$ 9,274
Interest	4,316,728	\$ 2,857	4,319,585			22,453
Difference between expected and actual experience	(320,624)	(436)	(321,060)			2,487
Changes of benefit terms						142
Benefits paid, including refunds of employee contributions	(2,687,540)	(5,169)	(2,692,709)			(6,994)
<b>Net change in total pension liability</b>	<b>2,827,747</b>	<b>(2,748)</b>	<b>2,824,999</b>			<b>27,362</b>
<b>Total pension liability</b>						
Beginning of year	57,701,585	41,108	57,742,693			303,914
<b>End of year</b>	<b>\$60,529,332</b>	<b>\$ 38,360</b>	<b>\$60,567,692</b>			<b>\$331,276</b>
<b>Net pension liability (asset), end of year</b>	<b>\$ 7,745,465</b>	<b>\$(31,602)</b>	<b>\$ 7,713,863</b>			<b>\$ 11,212</b>

Additional information on the retirement plans can be obtained from the 2013-2014 annual reports of the University of California Retirement System which can be obtained at <http://reportingtransparency.universityofcalifornia.edu/>.

## University of California Retirement Plan

UCRP provides lifetime retirement income, disability protection, death benefits, and post-retirement and pre-retirement survivor benefits to eligible employees of the University, and its affiliates. Membership is required in UCRP for all employees appointed to work at least 50 percent time for one year or more or for an indefinite period or for a definite period of a year or more. An employee may also become eligible by completing 1,000 hours within a 12-month period. Generally, five years of service are required for entitlement to plan benefits. The amount of pension benefit is determined under the basic formula of covered compensation times age factor times years of service credit. The maximum monthly benefit cannot exceed 100 percent of the employee's highest average plan compensation over a 36-month period, subject to certain limits imposed under the Internal Revenue Code. Annual cost-of-living adjustments (COLAs) are made to monthly benefits according to a specified formula based on the Consumer Price Index. Ad hoc COLAs may be granted subject to funding availability.

The University's membership in UCRP consisted of the following at June 30, 2014:

	CAMPUSES AND MEDICAL CENTERS	DOE NATIONAL LABORATORIES	UNIVERSITY OF CALIFORNIA
Retirees and beneficiaries receiving benefits	51,249	12,942	64,191
Inactive members entitled to , but not receiving benefits	66,424	11,805	78,229
Active members:			
Vested	74,276	1,672	75,948
Nonvested	43,661	959	44,620
<b>Total active members</b>	<b>117,937</b>	<b>2,631</b>	<b>120,568</b>
<b>Total membership</b>	<b>235,610</b>	<b>27,378</b>	<b>262,988</b>

## Contributions

Contributions to UCRP are based upon rates determined by The Regents. The Regents' funding policy provides for contributions at rates to maintain UCRP on an actuarially sound basis. While the University's independent actuary annually determines the total funding policy contributions, the University is not required to contribute an amount equal to the total funding contribution. The actual contributions and the contribution rates of the University and employees are based on numerous factors, including the availability of funds to the University, the impact of employee contributions on the competitiveness of the University's total remuneration package, and collective bargaining agreements.

The Regents determines the portion of the total contribution to be made by the employer and by the employees, and employee contribution rates for represented employees are subject to collective bargaining. Effective July 1, 2013, employee member and employer contributions were 6.5 percent and 12 percent, respectively. Member contributions for the employees in the new benefit tier applicable to employees hired on or after July 1, 2013 are 7.0 percent, and the employer rate is uniform across all members. Effective July 1, 2014, employee member and employer contributions were 8.0 percent and 14.0 percent, respectively.

Employee contributions to UCRP are accounted for separately and currently accrue interest at 6.0 percent annually. Upon termination, members may elect a refund of their contributions plus accumulated interest; vested terminated members who are eligible to retire may also elect monthly retirement income or a lump sum equal to the present value of their accrued benefits.

Both current employees and retirees at LBNL participate in UCRP. The University makes contributions to UCRP for LBNL employees and is reimbursed by the DOE based upon rates that are identical to those authorized by The Regents for campus and medical center employees. The University also makes contributions to UCRP for LANL and LLNL retirees and terminated vested members, whose benefits were retained in UCRP at the time the joint ventures were formed. The contributions for the LANL and LLNL are actuarially determined based upon a contractual arrangement with the DOE that incorporates a formula targeted to maintain the LANL and LLNL segments within UCRP at a 100 percent funded level. The University is reimbursed by the DOE for these contributions. To the extent the University has recorded a net pension liability, deferred inflows of resources and deferred outflows of resources that will be reimbursed under DOE contracts, a receivable from the DOE is recorded. As of June 30, 2014, the University reported \$1.2 billion as other non-current Department of Energy receivables for pension liabilities.

## Net Pension Liability

All UCRP assets are available to pay any member's benefit. However, assets and liabilities for the campus and medical center segment of UCRP are internally tracked separately from the DOE national laboratory segments of UCRP. The net pension liability for UCRP as of June 30, 2014 was as follows:

<i>(in thousands of dollars)</i>	CAMPUSES AND MEDICAL CENTERS	DOE NATIONAL LABORATORIES	UNIVERSITY OF CALIFORNIA
UCRP net position	\$ 44,484,494	\$8,299,373	\$ 52,783,867
Total pension liability	51,509,640	9,019,692	60,529,332
<b>Net pension liability</b>	<b>\$ 7,025,146</b>	<b>\$ 720,319</b>	<b>\$ 7,745,465</b>

The University's net pension liability was measured as of June 30, 2014 based upon rolling forward the results of the actuarial valuations as of July 1, 2013. Actuarial valuations represent a long-term perspective and involve estimates of the value of reported benefits and assumptions about the probability of occurrence of events far into the future. The University's net pension liability was calculated using the following methods and assumptions:

Inflation	3.5 %
Investment rate of return	7.5
Projected salary increases	4.3 - 6.8
Cost-of-living adjustments	2.0

For active members, inactive members and healthy retirees, the RP-2000 Combined Healthy Mortality Table, projected with scale AA to 2025, with ages set back two years is used. For disabled members, rates are based on the RP-2000 Disabled Retiree Mortality Table, projected with Scale AA to 2025, with ages set back two years for males.

Actuarial assumptions are subject to periodic revisions as actual results are compared with past expectations and new estimates are made about the future. The actuarial assumptions used in the July 1, 2013 valuations are based upon the results of an experience study conducted for the period of July 1, 2006 through June 30, 2010.

The long-term expected investment rate of return assumption for UCRP was determined based on a building-block method in which best-estimate ranges of expected future real rates of return (expected returns, net of inflation) are developed for each major asset class. These ranges are combined to produce the long-term expected rate of return by weighting the expected future real rates of return by the target asset allocation adopted by The Regents and by adding expected inflation. The target allocation and projected arithmetic real rates of return, after deducting inflation, but before investment expenses, used in the derivation of the long-term expected investment rate of return assumption for each major asset class are as follows:

Asset class	TARGET ALLOCATION	LONG-TERM EXPECTED REAL RATE OF RETURN
U.S. Equity	23.0%	6.8%
Developed International Equity	24.0	6.9
Emerging Market Equity	5.0	9.3
Core Fixed Income	12.0	1.5
High Yield Bonds	2.5	3.7
Emerging Market Debt	2.5	4.0
TIPS	8.0	1.3
Real Estate	7.0	5.4
Private Equity	6.0	10.4
Absolute Return/Hedge Funds/Real Assets	10.0	4.1
<b>Total</b>	<b>100.0 %</b>	

### Discount rate

The discount rate used to estimate the net pension liability as of June 30, 2014 was 7.5 percent. To calculate the discount rate, cash flows into and out of UCRP were projected in order to determine whether UCRS has sufficient cash in future periods for projected benefit payments for current members. For this purpose, University contributions that are intended to fund benefits of current plan members and their beneficiaries are included. Projected University contributions that are intended to fund the service costs of future plan members and their beneficiaries, as well as projected contributions of future plan members, are not included. UCRP was projected to have assets sufficient to make projected benefit payments for current members for all future years as of June 30, 2014.

### Sensitivity of the Net Pension Liability to the Discount Rate Assumption

The following presents the current-period net pension liability of the University calculated using the current-period discount rate assumption of 7.5 percent, as well as what the net pension liability would be if it were calculated using a discount rate different than the current assumption:

<i>(in thousands of dollars)</i>	1% DECREASE (6.5%)	CURRENT DISCOUNT (7.5%)	1% INCREASE (8.5%)
UCRP	\$15,123,395	\$7,745,465	\$1,540,576
PERS-VERIP	(29,387)	(31,602)	(33,590)

### Deferred Outflows of Resources and Deferred Inflows of Resources

Deferred outflows of resources and deferred inflows of resources for pensions were related to the following sources for the year ended June 30, 2014:

<i>(in thousands of dollars)</i>	CAMPUSES AND MEDICAL CENTERS	DOE NATIONAL LABORATORIES	TOTAL UCRP	PERS-VERIP	TOTAL
<b>DEFERRED OUTFLOWS OF RESOURCES</b>					
Difference between expected and actual experience		\$ 3,418	\$ 3,418		\$ 3,418
Changes of assumptions or other inputs	\$2,072,600		2,072,600		2,072,600
Net difference between projected and actual earnings on pension plan investments	995,092	212,012	1,207,104	\$1,909	1,209,013
<b>Total</b>	<b>\$3,067,692</b>	<b>\$215,430</b>	<b>\$3,283,122</b>	<b>\$1,909</b>	<b>\$3,285,031</b>
<b>DEFERRED INFLOWS OF RESOURCES</b>					
Difference between expected and actual experience	\$ 407,640		\$ 407,640		\$ 407,640
Changes of assumptions or other inputs	1,874,132		1,874,132		1,874,132
Net difference between projected and actual earnings on pension plan investments	4,018,023	\$ 740,671	4,758,694	\$6,742	4,765,436
<b>Total</b>	<b>\$6,299,795</b>	<b>\$740,671</b>	<b>\$7,040,466</b>	<b>\$6,742</b>	<b>\$7,047,208</b>

The net amount of deferred outflows of resources and deferred inflows of resources related to pensions that will be recognized in pension expense during the next five years and thereafter is as follows:

<i>(in thousands of dollars)</i>	CAMPUSES AND MEDICAL CENTERS	DOE NATIONAL LABORATORIES	TOTAL UCRP	PERS-VERIP	TOTAL
2015	\$ (480,867)	\$ (89,152)	\$ (570,019)	\$ (865)	\$ (570,884)
2016	(480,867)	(92,570)	(573,437)	(865)	(574,302)
2017	(998,780)	(198,578)	(1,197,358)	(1,819)	(1,199,177)
2018	(1,236,612)	(144,941)	(1,381,553)	(1,284)	(1,382,837)
2019	(34,977)		(34,977)		(34,977)
<b>Total</b>	<b>\$(3,232,103)</b>	<b>\$(525,241)</b>	<b>\$(3,757,344)</b>	<b>\$(4,833)</b>	<b>\$(3,762,177)</b>

### ***Defined Contribution Plan***

The University makes DC Plan retirement contributions on the summer or equivalent term salaries of eligible academic employees who teach, conduct research or provide administrative service during the summer session or an equivalent term. To be eligible, employees must hold academic year appointments and be active members of UCRP or another defined benefit plan to which the University contributes. The contribution rate is 7.0 percent of eligible salary, of which 3.5 percent is University-paid and 3.5 percent is employee-paid, both on a pretax basis. The University may also contribute on behalf of eligible senior managers. Employer contributions to the DC Plan was \$4.7 million for the year ended June 30, 2014.

The DC Plan Pretax Account also includes mandatory contributions from part-time, seasonal and temporary employees at the University who are not currently participating in UCRP or another defined benefit plan to which the University contributes (Safe Harbor participants). Safe Harbor participation includes certain University student employees and resident aliens with F-1 and J-1 visa status. Safe Harbor participants contribute 7.5 percent of their gross salary (up to the Social Security wage base) to the Plan in lieu of deductions for Social Security taxes.

All University employees, except students who normally work fewer than 20 hours per week, are eligible to make voluntary contributions to the DC Plan After-Tax Account and defer taxation on the earnings until the accumulations are withdrawn. The maximum amount participants may contribute annually to the After-Tax Account is determined by the IRC §415(c) limit. The University may also make DC Plan contributions on behalf of eligible senior managers.

The Supplemental Defined Contribution Plan (SDC Plan) accepts employer contributions in behalf of certain designated employees. Employer contributions are fully vested and there is no provision for employee contributions. There were no assets or employer contributions to the SDC Plan for the year ended June 30, 2014.

### ***Tax Deferred 403(b) Plan***

The University's Tax-Deferred 403(b) Plan (403(b) Plan) accepts pretax employee contributions. The University may also make contributions in behalf of certain members of management. Employer contributions to the 403(b) Plan were \$1.5 million for the year ended June 30, 2014.

### ***457(b) Deferred Compensation Plan***

The University's 457(b) Deferred Compensation Plan (457(b) Plan) accepts pretax employee contributions. The University may also make contributions in behalf of certain members of management. There were no employer contributions to the 457(b) Plan for the year ended June 30, 2014.

Participants in the DC Plan, the SDC Plan, the 403(b) Plan and the 457(b) Plan may direct their elective and nonelective contributions to investment funds managed by the Chief Investment Officer. They may also invest account balances in certain mutual funds. The participants' interests in mutual funds is shown separately in the statement of plans' fiduciary net position.

### ***University of California PERS-VERIP***

The University of California PERS-VERIP is a defined benefit pension plan providing lifetime supplemental retirement income and survivor benefits to UC-PERS members who elected early retirement under provisions of the plan.

The University contributed to PERS on behalf of these UC-PERS members. As of July 1, 2014, there are 614 retirees or beneficiaries receiving benefits under this voluntary early retirement program.

The University and the DOE laboratories previously made contributions to the plan sufficient to maintain the promised benefits. The annual required contribution, net obligation to PERS-VERIP and any changes or adjustments to that obligation are all zero for the year ended June 30, 2014.

### *Children's Hospital and Research Center at Oakland Pension Plan*

CHRCO has a noncontributory defined benefit plan subject to the single employer defined benefit under ERISA rules that substantially covers all full-time employees if they work 1,000 hours or more in a twelve-month eligibility period.

The net pension liability for the plan was calculated based upon the following assumptions: 3.0 percent inflation, 7.2 percent investment rate of return, 3.5 percent projected salary increases and no cost-of-living adjustments.

Membership in the CHRCO Plan consisted of the following at June 30, 2014:

Retirees and beneficiaries receiving benefits	671
Inactive members entitled to, but not yet receiving benefits	1,033
Active members:	
Vested	1,820
Nonvested	286
Total active members	2,106
<b>Total membership</b>	<b>3,810</b>

### *Contributions*

Employer contributions are determined under IRC Section 430. Employees are not required or permitted to contribute to the plan.

### *Net Pension Liability*

The target allocation and projected arithmetic real rates of return, after deducting inflation, but before investment expenses, used in the derivation of the long-term expected investment rate of return assumption for each major asset class are as follows:

	PORTFOLIO PERCENTAGE	PROJECTED REAL RATE OF RETURN
Asset class		
U.S. Equity	58.5%	5.3%
Developed International Equity	9.4%	5.4%
Emerging Market Equity	8.5%	6.6%
Core Fixed Income	23.6%	2.4%
<b>Total</b>	<b>100.0%</b>	

### *Discount rate*

The discount rate used to measure the total pension liability was 7.25%. To calculate the discount rate, the projection of cash flows into and out of the plan were used to determine whether there was sufficient cash available to make all projected future benefit payments of current active and inactive employees.

### *Sensitivity of the Net Pension Liability to the Discount Rate Assumption*

The following presents the current-period net pension liability calculated using the current-period discount rate assumption of 7.25 percent, as well as what the net pension liability would be if it were calculated using a discount rate different than the current assumption:

<i>(in thousands of dollars)</i>	1% DECREASE (6.25%)	CURRENT DISCOUNT (7.25%)	1% INCREASE (8.25%)
Net pension liability (asset)	\$56,893	\$11,212	\$(26,643)

### Deferred Outflows of Resources and Deferred Inflows of Resources

As of June 30, 2014, deferred outflows of resources of \$5,445 represents the difference between expected and actual experience. Deferred inflows of resources for pensions of \$30,653 represents changes in benefit terms and net difference between projected and actual earnings on pension plan investments.

The net amount of deferred outflows of resources and deferred inflows of resources related to pensions that will be recognized in pension expense during the next five years and thereafter is as follows:

<i>(in thousands of dollars)</i>	
<i>Year Ending June 30</i>	
2015	\$(7,192)
2016	(7,192)
2017	(7,192)
2018	(4,508)
2019	806
Thereafter	70

## 15. RETIREE HEALTH BENEFIT COSTS AND OBLIGATIONS

The University administers single-employer health and welfare plans to provide health and welfare benefits, primarily medical, dental and vision, to eligible retirees and their eligible family members (retirees) of the University of California and its affiliates. The Regents has the authority to establish and amend the plans.

Membership in UCRP is required to become eligible for retiree health benefits. Participation in the retiree health benefit plans consisted of the following at July 1, 2013, the date of the latest actuarial valuation:

	CAMPUSES AND MEDICAL CENTERS	LBNL	UNIVERSITY OF CALIFORNIA
Retirees who are currently receiving benefits	37,207	1,727	38,934
Employees who may receive benefits at retirement	117,723	3,312	121,035
<b>Total membership</b>	<b>154,930</b>	<b>5,039</b>	<b>159,969</b>

### Contribution Policy

The contribution requirements of the University and eligible retirees are established and may be amended by the University. The contribution requirements are based upon projected pay-as-you-go financing. University and retiree contributions toward premiums made under purchased plan arrangements are determined by applying the health plan contract rates across the number of participants in the respective plans. Premium rates for the self-insured plan contributions are set by the University based upon a trend analysis of the historic cost, utilization, demographics and administrative expenses to provide for the claims incurred and the actuarially determined level of incurred but not reported liability.

Contributions toward medical and dental benefits are shared between the University and the retiree. Contributions toward wellness benefits are made by the University. The University does not contribute toward the cost of other benefits available to retirees. Retirees who are employed by the University after July 1, 2013, and retire at the age of 56 or older, become eligible for a percentage of the University's contribution based on age and years of service. Retirees are eligible for the maximum University contribution at age 65 with 20 or more years of service. Retirees employed by the University prior to 1990 and not rehired after that date are eligible for the University's maximum contribution if they retire before age 55 and have at least 10 years of service, or if they retire at age 55 or later and have at least 5 years of service. Retirees employed by the University after 1989 are subject to graduated eligibility provisions that generally require 10 years of service before becoming eligible for 50 percent of the maximum University contribution, increasing to 100 percent after 20 years of service.

Active employees do not make any contributions toward the retiree health benefit plans. Retirees pay the excess, if any, of the premium over the applicable portion of the University's contribution.

In addition to the explicit University contribution provided to retirees, there is an "implicit subsidy." The gross premiums for members that are not currently eligible for Medicare benefits are the same for active employees and retirees, based on a blend of their health costs. Retirees, on average, are expected to have higher health care costs than active employees. This is primarily

due to the older average age of retirees. Since the same gross premiums apply to both groups, the premiums paid for active employees by the University are subsidizing the premiums for retirees. This effect is called the implicit subsidy. The implicit subsidy associated with retiree health costs paid during the past year is also considered to be a contribution from the University.

### **Retiree Health Benefit Expense and Obligation for Retiree Health Benefits**

The University's retiree health benefit expense is independently calculated for the campuses and medical centers and LBNL based upon the actuarially determined annual required contribution. The annual required contribution represents the level of funding that, if paid on an ongoing basis, is projected to cover the normal cost each year and amortize unfunded actuarial liabilities over a period of up to 30 years.

The University's annual retiree health benefit expense and related information for the year ended June 30, 2014, segregated between the University and the DOE responsibility, is as follows:

*(in thousands of dollars)*

	CAMPUSES AND MEDICAL CENTERS	LBNL	UNIVERSITY OF CALIFORNIA
Actuarial valuation date	July 1, 2013	July 1, 2013	July 1, 2013
Annual required contribution	\$1,639,263	\$ 55,310	\$ 1,694,573
Interest on obligations for retiree health benefits	404,837	11,918	416,755
Adjustment to annual required contribution	(827,452)	(24,290)	(851,742)
<b>Annual retiree health benefit cost</b>	<b>1,216,648</b>	<b>42,938</b>	<b>1,259,586</b>
University contributions:			
To UCRHBT	(294,899)		(294,899)
To health care insurers and administrators		(12,643)	(12,643)
Implicit subsidy	(85,192)	(3,915)	(89,107)
<b>Total contributions</b>	<b>(380,091)</b>	<b>(16,558)</b>	<b>(396,649)</b>
<b>Increase in obligations for retiree health benefits</b>	<b>836,557</b>	<b>26,380</b>	<b>862,937</b>
<b>Obligations for retiree health benefits</b>			
Beginning of year	7,360,673	216,693	7,577,366
<b>End of year</b>	<b>\$8,197,230</b>	<b>\$243,073</b>	<b>\$ 8,440,303</b>
<b>Retiree health care reimbursement from the DOE during the year</b>		<b>12,643</b>	<b>12,643</b>
<b>DOE receivable for obligations for retiree health benefits</b>			
Noncurrent		243,073	243,073
<b>Total</b>		<b>\$243,073</b>	<b>\$ 243,073</b>

The annual retiree health benefit cost, percentage of the annual retiree health benefit cost contributed to the retiree health benefit plan and the net obligation for retiree health benefits for the University for the year ended June 30, 2014, and the preceding years are as follows:

*(in thousands of dollars)*

	CAMPUSES AND MEDICAL CENTERS	DOE NATIONAL LABORATORIES	UNIVERSITY OF CALIFORNIA
<i>Annual retiree health benefit cost:</i>			
June 30, 2014	\$1,216,648	\$42,938	\$1,259,586
June 30, 2013	1,409,198	48,644	1,457,842
June 30, 2012	1,498,962	53,301	1,552,263
<i>Percentage of annual cost contributed:</i>			
June 30, 2014	31.2%	38.6%	31.6%
June 30, 2013	22.1	34.8	22.6
June 30, 2012	23.2	29.4	23.4
<i>Net obligation to the health benefit plan:</i>			
June 30, 2014	\$8,197,230	\$243,073	\$8,440,303
June 30, 2013	7,360,673	216,693	7,577,366
June 30, 2012	6,262,682	184,996	6,447,678

## Funded Status

Actuarial valuations represent a long-term perspective and involve estimates of the value of reported amounts and assumptions about the probability of occurrence of events far into the future. Examples include assumptions about future employment, mortality, investment return and health care cost trends. The projection of benefits does not explicitly incorporate the potential effects of the results of collective bargaining discussions on the contribution rate. Actuarially determined amounts are subject to periodic revisions as actual rates are compared with past expectations and new estimates are made about the future.

The funded status of the plan as of July 1, 2013 was as follows:

(in thousands of dollars)

	CAMPUSES AND MEDICAL CENTERS	LBNL	UNIVERSITY OF CALIFORNIA
Actuarial value of plan assets	\$ 44,301		\$ 44,301
Actuarial accrued liability	(13,253,215)	\$(472,033)	(13,725,248)
<b>Unfunded actuarial accrued liability</b>	<b>\$(13,208,914)</b>	<b>\$(472,033)</b>	<b>\$(13,680,947)</b>
Value of the implicit subsidy included in the actuarial accrued liability	\$ 2,571,587	\$ 95,290	\$ 2,666,877
Funded ratio	0.3%	0.0%	0.3%
Covered payroll	\$ 8,569,794	\$ 266,976	\$ 8,836,770
Unfunded actuarial accrued liability as a percentage of covered payroll	(154.1%)	(176.8%)	(154.8%)

The schedule of funding progress, presented as required supplementary information following the notes to the financial statements, includes multi-year trend information about whether the actuarial value of plan assets is increasing or decreasing over time relative to the actuarial accrued liabilities for benefits.

## Actuarial Methods and Assumptions

Projections of benefits for financial reporting purposes are based upon the plan as understood by the University and plan members, and include the types of benefits provided at the time of each valuation and the historical cost pattern of sharing of benefit costs between the University and plan members to that point. The actuarial methods and assumptions used include techniques that are designed to reduce short-term volatility in actuarial accrued liabilities and the actuarial value of assets, consistent with the long-term perspective of the calculations.

Significant actuarial methods and assumptions used in the valuation were:

- assumed return on investment of 5.5 percent per year, representing the return on the University's assets expected to be used to finance benefits;
- market value of assets smoothed over a five-year period;
- health care cost trend rate ranging from 7.5 to 10.5 percent for non-Medicare and 6.8 to 8.5 percent for Medicare initially, depending on the type of plan, reduced by increments to an ultimate rate of 5 percent over 15 years;
- projected inflation at 3.5 percent;
- amortization of the initial unfunded actuarial accrued liability over 30 years as a flat dollar amount on a closed basis;
- amortization of future actuarial gains and losses over 15 years as a flat dollar amount on a closed basis;
- amortization of the effects of changes in the plan design, or changes in assumptions, over 30 years as a flat dollar amount on a closed basis;
- entry age normal level-dollar actuarial cost method;
- future life expectancy based upon recent group mortality experience; and
- assumed retirement ages, employee turnover and disability rates based on actual plan experience and future expectations.

## 16. ENDOWMENTS AND GIFTS

Endowments and gifts are held and administered either by the University or by the discretely presented component units.

### University of California

The value of endowments and gifts held and administered by the University, exclusive of income distributed to be used for operating purposes, at June 30, 2014 is as follows:

(in thousands of dollars)

	UNIVERSITY OF CALIFORNIA			
	RESTRICTED NONEXPENDABLE	RESTRICTED EXPENDABLE	UNRESTRICTED	TOTAL
<i>At June 30, 2014</i>				
Endowments	\$1,108,300	\$2,551,694	\$ 5,040	\$3,665,034
Funds functioning as endowments		2,388,077	1,774,858	4,162,935
Annuity and life income	12,068	17,233		29,301
Gifts		1,098,390	25,188	1,123,578
<b>University endowments and gifts</b>	<b>\$1,120,368</b>	<b>\$6,055,394</b>	<b>\$1,805,086</b>	<b>\$8,980,848</b>

The University's endowment income distribution policies are designed to preserve the value of the endowment in real terms (after inflation) and to generate a predictable stream of spendable income. Endowment investments are managed to achieve the maximum long-term total return. As a result of this emphasis on total return, the proportion of the annual income distribution provided by dividend and interest income and by capital gains may vary significantly from year to year. The University's policy is to retain the realized and unrealized appreciation with the endowment after the annual income distribution has been made. The net appreciation available to meet future spending needs, subject to the approval of The Regents, amounted to \$2.1 billion at June 30, 2014.

The portion of investment returns earned on endowments held by the University and distributed at the end of each year to support current operations for the following year is based upon a rate that is approved by The Regents. The annual income distribution transferred to the campuses from endowments held by the University was \$228.7 million for the year ended June 30, 2014. The portion of this annual income distribution from accumulated capital gains, in addition to the dividend and interest income earned during the year, was \$197.8 million for the year ended June 30, 2014. Accumulated endowment income available for spending in the future, including the annual income distribution, was \$527.4 million at June 30, 2014.

### Discretely presented component units

The value of endowments and gifts held by the discretely presented component units and administered by each of their independent Board of Trustees at June 30, 2014 are as follows:

(in thousands of dollars)

	DISCRETELY PRESENTED COMPONENT UNITS			
	RESTRICTED NONEXPENDABLE	RESTRICTED EXPENDABLE	UNRESTRICTED	TOTAL
<i>At June 30, 2014</i>				
Endowments	\$3,081,899	\$1,205,117		\$4,287,016
Funds functioning as endowments		1,333,831		1,333,831
Annuity and life income	98,041	57,342		155,383
Gifts		1,251,864	\$272,054	1,523,918
<b>Discretely presented component units' endowments and gifts</b>	<b>\$3,179,940</b>	<b>\$3,848,154</b>	<b>\$272,054</b>	<b>\$7,300,148</b>

## 17. SEGMENT INFORMATION

The University's significant identifiable activities for which revenue bonds may be outstanding where revenue is pledged in support of revenue bonds are related to the University's medical centers, including the discretely presented CHRCO. The medical centers' operating revenues and expenses consist primarily of revenues associated with patient care and the related costs of providing that care.

Condensed financial statement information related to each of the University's medical centers for the year ended June 30, 2014 is as follows:

(in thousands of dollars)

	UNIVERSITY OF CALIFORNIA MEDICAL CENTERS					DISCRETELY PRESENTED COMPONENT UNIT
	DAVIS	IRVINE	LOS ANGELES	SAN DIEGO	SAN FRANCISCO	CHRCO
<i>Year Ended June 30, 2014</i>						
Revenue bonds outstanding	\$ 318,059	\$ 281,310	\$ 719,140	\$ 668,847	\$ 840,654	
Related debt service payments	\$33,198	\$23,885	\$72,696	\$33,413	\$54,214	
Bonds due serially through	2047	2049	2049	2048	2049	
<b>CONDENSED STATEMENT OF NET POSITION</b>						
Current assets	609,403	460,431	1,230,184	588,347	894,050	\$129,743
Capital assets, net	1,044,562	734,373	1,871,926	1,117,283	1,913,427	283,632
Other assets	20,638	3,232	45,603	231,812	16,703	217,857
<b>Total assets</b>	<b>1,674,603</b>	<b>1,198,036</b>	<b>3,147,713</b>	<b>1,937,442</b>	<b>2,824,180</b>	<b>631,232</b>
<b>Total deferred outflows of resources</b>	<b>251,415</b>	<b>124,238</b>	<b>329,765</b>	<b>139,639</b>	<b>256,587</b>	<b>5,445</b>
Current liabilities	259,435	231,659	308,007	167,397	283,370	82,943
Long-term debt	323,879	285,473	820,828	677,705	837,536	
Other noncurrent liabilities	600,375	301,596	787,976	353,201	681,826	91,927
<b>Total liabilities</b>	<b>1,183,689</b>	<b>818,728</b>	<b>1,916,811</b>	<b>1,198,303</b>	<b>1,802,732</b>	<b>174,870</b>
<b>Total deferred inflows of resources</b>	<b>418,123</b>	<b>214,356</b>	<b>457,905</b>	<b>262,977</b>	<b>495,366</b>	<b>30,653</b>
Net investment in capital assets	697,588	431,649	1,042,789	634,869	1,075,700	282,434
Restricted		3,232	12,670		9,959	55,216
Unrestricted	(373,382)	(145,691)	47,303	(19,068)	(302,990)	93,504
<b>Total net position</b>	<b>\$ 324,206</b>	<b>\$ 289,190</b>	<b>\$1,102,762</b>	<b>\$ 615,801</b>	<b>\$ 782,669</b>	<b>\$431,154</b>

(in thousands of dollars)

	UNIVERSITY OF CALIFORNIA MEDICAL CENTERS					DISCRETELY PRESENTED COMPONENT UNIT
	DAVIS	IRVINE	LOS ANGELES	SAN DIEGO	SAN FRANCISCO	CHRCO
<b>CONDENSED STATEMENT OF REVENUES, EXPENSES AND CHANGES IN NET POSITION</b>						
Operating revenues	\$ 1,585,658	\$ 888,775	\$ 1,988,037	\$ 1,292,864	\$ 2,390,273	\$ 428,176
Operating expenses	(1,442,984)	(746,475)	(1,738,753)	(1,089,799)	(2,132,346)	(454,066)
Depreciation expense	(85,928)	(65,366)	(126,069)	(56,149)	(98,523)	(29,940)
<b>Operating income (loss)</b>	<b>56,746</b>	<b>76,934</b>	<b>123,215</b>	<b>146,916</b>	<b>159,404</b>	<b>(55,830)</b>
Nonoperating revenues (expenses), net	(9,761)	(10,940)	(20,098)	(2,810)	22,400	26,474
<b>Income (loss) before other changes in net position</b>	<b>46,985</b>	<b>65,994</b>	<b>103,117</b>	<b>144,106</b>	<b>181,804</b>	<b>(29,356)</b>
Health systems support	(38,256)	(60,386)	(117,082)	(57,007)	(61,279)	
Transfers from University, net	(5,077)	(546)		(8,530)		
Changes in allocation for pension payable to University	(29)	44	(4,759)	(645)	8,973	
Other, including donated assets	944	36,339	7,592	17,230	254,529	41,628
<b>Increase (decrease) in net position</b>	<b>4,567</b>	<b>41,445</b>	<b>(11,132)</b>	<b>95,154</b>	<b>384,027</b>	<b>12,272</b>
Net position – beginning of year						
Beginning of year, as previously reported	1,081,724	629,431	1,927,153	985,016	1,322,592	418,882
Cumulative effect of accounting change	(762,085)	(381,686)	(813,259)	(464,369)	(923,950)	
Beginning of year, as restated	319,639	247,745	1,113,894	520,647	398,642	418,882
<b>Net position – June 30, 2014</b>	<b>\$ 324,206</b>	<b>\$ 289,190</b>	<b>\$ 1,102,762</b>	<b>\$ 615,801</b>	<b>\$ 782,669</b>	<b>\$ 431,154</b>
<b>CONDENSED STATEMENT OF CASH FLOWS</b>						
Net cash provided (used) by:						
Operating activities	\$ 181,224	\$ 223,315	\$ 357,784	\$ 196,541	\$ 287,903	\$ (15,152)
Noncapital financing activities	(50,406)	(60,923)	(116,865)	(65,537)	(61,279)	9,194
Capital and related financing activities	(101,334)	(70,113)	(135,114)	154,569	(170,831)	(38,223)
Investing activities	13,912	20,923	14,550	(216,465)	26,082	22,503
<b>Net increase (decrease) in cash and cash equivalents</b>	<b>43,396</b>	<b>113,202</b>	<b>120,355</b>	<b>69,108</b>	<b>81,875</b>	<b>(21,678)</b>
Cash and cash equivalents* – June 30, 2013	254,609	158,830	700,743	185,552	413,486	33,352
<b>Cash and cash equivalents* – June 30, 2014</b>	<b>\$ 298,005</b>	<b>\$ 272,032</b>	<b>\$ 821,098</b>	<b>\$ 254,660</b>	<b>\$ 495,361</b>	<b>\$ 11,674</b>

\* Cash and cash equivalents on the medical centers' financial statements are included in the University's Short Term Investment Pool, except for CHRCO as of June 30, 2014

Summarized financial information for each medical center is from their separately issued audited financial statements. Certain revenue, such as financial support from the state for clinical teaching programs, is classified as state educational appropriations rather than medical center revenue in the University's statement of revenues, expenses and changes in net position. However, in the medical centers' audited financial statements and for segment reporting purposes, these revenues are classified as operating revenue. Additional information on the individual University of California medical centers can be obtained from their audited financial statements which are available at <http://reportingtransparency.universityofcalifornia.edu/>.

Multiple purpose and housing system projects (including student and faculty housing, parking facilities, student centers, recreation and events facilities, student health service facilities and certain academic and administrative facilities) are also financed by revenue bonds; however, assets and liabilities are not required to be accounted for separately.

## 18. DISCRETELY PRESENTED COMPONENT UNIT INFORMATION

Under University policies approved by The Regents, each individual campus may establish a separate foundation to provide valuable assistance in fundraising, public outreach and other support for the missions of the campus and the University. Although independent boards govern these foundations, their assets are dedicated for the benefit of the University of California.

On January 1, 2014, The Regents became the sole corporate member of CHRCO, now known as UCSF Benioff Children's Hospital Oakland. CHRCO operates a 190-bed inpatient acute care hospital, a NICU at another medical facility, and outpatient facilities and clinics throughout the Bay Area, as well as a pediatric research institute. CHRCO serves as the primary safety net health care provider for children in Contra Costa and Alameda counties, and is one of only two pediatric trauma centers in the greater San Francisco Bay Area. Following its affiliation with UCSF, CHRCO has retained its separate corporate status, its federal and state tax exemptions, separate hospital licensure, and Medicare and Medi-Cal enrollment. San Francisco Medical Center also provides certain management services to CHRCO.

Condensed financial statement information related to the University's discretely presented component units, including their allocated share of the assets and liabilities associated with securities lending transactions in the University's investment pools, for the year ended June 30, 2014 is as follows:

(in thousands of dollars)

	UNIVERSITY OF CALIFORNIA CAMPUS FOUNDATIONS					DISCRETELY PRESENTED COMPONENT UNIT	
	BERKELEY	SAN FRANCISCO	LOS ANGELES	ALL OTHER	SUBTOTAL	CHRCO	TOTAL
<b>CONDENSED STATEMENT OF NET POSITION</b>							
Current assets	\$ 95,977	\$ 194,935	\$ 398,955	\$ 213,885	\$ 903,752	\$ 129,743	\$ 1,033,495
Noncurrent assets	1,767,054	1,107,410	2,157,731	1,684,617	6,716,812	501,489	7,218,301
<b>Total assets</b>	<b>1,863,031</b>	<b>1,302,345</b>	<b>2,556,686</b>	<b>1,898,502</b>	<b>7,620,564</b>	<b>631,232</b>	<b>8,251,796</b>
<b>Total deferred outflows of resources</b>						<b>5,445</b>	<b>5,445</b>
Current liabilities	15,823	65,550	227,458	58,949	367,780	82,943	450,723
Noncurrent liabilities	77,200	15,644	33,646	31,381	157,871	91,927	249,798
<b>Total liabilities</b>	<b>93,023</b>	<b>81,194</b>	<b>261,104</b>	<b>90,330</b>	<b>525,651</b>	<b>174,870</b>	<b>700,521</b>
<b>Total deferred inflows of resources</b>						<b>30,653</b>	<b>30,653</b>
Net investment of capital assets						282,434	282,434
Restricted	1,769,961	1,220,718	2,191,645	1,792,838	6,975,162	55,216	7,030,378
Unrestricted	47	433	103,937	15,334	119,751	93,504	213,255
<b>Total net position</b>	<b>\$1,770,008</b>	<b>\$1,221,151</b>	<b>\$2,295,582</b>	<b>\$1,808,172</b>	<b>\$7,094,913</b>	<b>\$431,154</b>	<b>\$7,526,067</b>
<b>CONDENSED STATEMENT OF REVENUES, EXPENSES AND CHANGES IN NET POSITION</b>							
Operating revenues	\$ 113,007	\$ 283,049	\$ 284,583	\$ 112,843	\$ 793,482	\$ 428,176	\$ 1,221,658
Operating expenses	(142,268)	(399,134)	(238,890)	(199,814)	(980,106)	(484,006)	(1,464,112)
<b>Operating income (loss)</b>	<b>(29,261)</b>	<b>(116,085)</b>	<b>45,693</b>	<b>(86,971)</b>	<b>(186,624)</b>	<b>(55,830)</b>	<b>(242,454)</b>
Nonoperating revenues	201,198	125,832	201,134	234,048	762,212	26,474	788,686
<b>Income (loss) before other changes in net position</b>	<b>171,937</b>	<b>9,747</b>	<b>246,827</b>	<b>147,077</b>	<b>575,588</b>	<b>(29,356)</b>	<b>546,232</b>
Permanent endowments	89,467	38,467	74,000	71,569	273,503		273,503
Other						41,628	41,628
<b>Increase in net position</b>	<b>261,404</b>	<b>48,214</b>	<b>320,827</b>	<b>218,646</b>	<b>849,091</b>	<b>12,272</b>	<b>861,363</b>
Net position – June 30, 2013	1,508,604	1,172,937	1,974,755	1,589,526	6,245,822	418,882	6,664,704
<b>Net position – June 30, 2014</b>	<b>\$1,770,008</b>	<b>\$1,221,151</b>	<b>\$2,295,582</b>	<b>\$1,808,172</b>	<b>\$7,094,913</b>	<b>\$431,154</b>	<b>\$7,526,067</b>
<b>CONDENSED STATEMENT OF CASH FLOWS</b>							
Net cash provided (used) by:							
Operating activities	\$ (40,660)	\$ (222,490)	\$ (85,375)	\$ (73,913)	\$ (422,438)	\$ (15,152)	\$ (437,590)
Noncapital financing activities	78,311	33,547	62,714	52,266	226,838	9,194	236,032
Capital and related financing activities						(38,223)	(38,223)
Investing activities	(38,690)	222,584	23,145	19,382	226,421	22,503	248,924
<b>Net increase (decrease) in cash and cash equivalents</b>	<b>(1,039)</b>	<b>33,641</b>	<b>484</b>	<b>(2,265)</b>	<b>30,821</b>	<b>(21,678)</b>	<b>9,143</b>
Cash and cash equivalents – June 30, 2013	2,743	99,099	2,131	35,814	139,787	33,352	173,139
<b>Cash and cash equivalents – June 30, 2014</b>	<b>\$ 1,704</b>	<b>\$ 132,740</b>	<b>\$ 2,615</b>	<b>\$ 33,549</b>	<b>\$ 170,608</b>	<b>\$ 11,674</b>	<b>\$ 182,282</b>

Additional information on the foundations or CHRCO can be obtained from the annual reports, which can be obtained by contacting the individual foundation or CHRCO.

## 19. COMMITMENTS AND CONTINGENCIES

### Contractual Commitments

Amounts committed but unexpended for construction projects totaled \$2.0 billion at June 30, 2014.

The University and UCRS have also made commitments to make investments in certain investment partnerships pursuant to provisions in the various partnership agreements. These commitments at June 30, 2014 totaled \$3.6 billion: \$0.7 billion and \$2.9 billion for the University and UCRS, respectively.

The University leases land, buildings and equipment under agreements recorded as operating leases. Operating lease expenses for the year ended June 30, 2014 were \$242.1 million. The terms of operating leases extend through June 2047.

Future minimum payments on operating leases with an initial or remaining non-cancelable term in excess of one year are as follows:

*(in thousands of dollars)*

	UNIVERSITY OF CALIFORNIA	DISCRETELY PRESENTED COMPONENT UNITS
<i>Year Ending June 30</i>		
2015	\$ 201,522	\$ 4,111
2016	171,073	3,394
2017	143,710	2,953
2018	119,043	1,875
2019	97,091	1,223
2020-2024	241,749	13
2025-2029	105,492	
2030-2034	99,958	
2035-2039	100,563	
2040-2044	96,158	
2045-2049	54,145	
<b>Total</b>	<b>\$1,430,504</b>	<b>\$13,569</b>

### Contingencies

Substantial amounts are received and expended by the University, including its medical centers, under federal and state programs and are subject to audit by cognizant governmental agencies. This funding relates to research, student aid, medical center operations and other programs. University management believes that any liabilities arising from such audits will not have a material effect on the University's financial position.

The University and the discretely presented component units are contingently liable in connection with certain other claims and contracts, including those currently in litigation, arising in the normal course of its activities. Although there are inherent uncertainties in any litigation, University management and general counsel are of the opinion that the outcome of such matters will not have a material effect on the University's financial position.

As of June 30, 2014, CHRCO had no amounts outstanding under its revolving credit facility for \$25.0 million. The interest rate on the credit is 1.4 percent as of June 30, 2014 and the facility expires on August 31, 2015.

## REQUIRED SUPPLEMENTARY INFORMATION

### UCRP

The schedule of changes in net pension liability includes multi-year trend information about whether the pension assets are increasing or decreasing over time relative to the pension liabilities. The University's schedule of changes in the net pension liability for UCRP as of June 30 is:

<i>(in thousands of dollars)</i>	<b>2014</b>	<b>2013</b>	<b>2012</b>
<b>TOTAL PENSION LIABILITY</b>			
Service cost	\$ 1,519,183	\$ 1,456,761	\$ 1,531,094
Interest on the total pension liability	4,316,728	4,112,461	3,871,146
Difference between expected and actual experience	(320,624)	(183,253)	(212,758)
Changes of assumptions or other inputs		(3,312,815)	4,923,778
Benefits paid, including refunds of employee contributions	(2,687,540)	(2,487,369)	(2,273,071)
<b>Net change in total pension liability</b>	<b>2,827,747</b>	<b>\$ (414,215)</b>	<b>\$7,840,189</b>
Total pension liability - beginning of year	57,701,585	58,115,800	50,275,611
<b>Total pension liability - end of year</b>	<b>60,529,332</b>	<b>57,701,585</b>	<b>58,115,800</b>
<b>PLAN NET POSITION</b>			
Contributions - employer	1,580,876	810,056	1,851,460
Contributions - member	577,466	415,641	272,420
Net investment income	8,009,980	4,833,339	115,863
Benefits paid, including refunds of employee contributions	(2,687,540)	(2,487,369)	(2,273,071)
Administrative expense	(37,641)	(37,427)	(32,839)
Other changes			
<b>Net change in plan net position</b>	<b>7,443,141</b>	<b>3,534,240</b>	<b>(66,167)</b>
Plan net position - beginning of year	45,340,726	41,806,486	41,872,653
<b>Plan net position - end of year</b>	<b>52,783,867</b>	<b>45,340,726</b>	<b>41,806,486</b>
<b>Net pension liability - end of year</b>	<b>\$ 7,745,465</b>	<b>\$12,360,859</b>	<b>\$16,309,314</b>

The University's schedule of net pension liability for UCRP as of June 30 is:

<i>(in thousands of dollars)</i>	<b>2014</b>	<b>2013</b>	<b>2012</b>
Total pension liability	\$60,529,332	\$57,701,585	\$58,115,800
Plan net position	52,783,867	45,340,726	41,806,486
<b>Net pension liability</b>	<b>\$ 7,745,465</b>	<b>\$12,360,859</b>	<b>\$16,309,314</b>
Ratio of plan net position to total pension liability	87%	79%	72%
Covered-employee payroll	\$9,372,583	\$8,921,077	\$8,594,147
Net pension liability as a percentage of covered-employee payroll	82.6%	138.6%	189.8%

The University's schedule of employer contributions for UCRP as of June 30 is:

(in thousands of dollars)

Year Ended June 30	Actuarially Determined Contributions	Contributions in Relation to Actuarial Contributions	Contribution Deficiency (Excess)	Covered-Employee Payroll	Contributions as a Percentage of Covered-Employee Payroll
2014	\$2,472,697	\$1,580,876	\$ 891,821	\$9,372,583	17%
2013	2,062,022	810,056	1,251,966	8,921,077	9%
2012	1,806,205	1,851,460	(45,255)	8,594,147	22%
2011	1,695,137	1,677,921	17,216	8,140,629	21%
2010	454	148,445	(147,991)	7,973,921	2%
2009	2,657	454	2,203	7,468,809	0%
2008	23,934	2,657	21,277	7,612,726	0%
2007		23,934	(23,934)	8,258,985	0%
2006				8,149,640	0%
2005				7,835,249	0%

**Notes to Schedule**

Valuation date: Actuarially calculated contribution rates are calculated as of July 1, two years prior to the end of the fiscal year in which contributions are reported.

Methods and assumptions used to determine contribution rates:

Actuarial cost method	Entry age actuarial cost method
Amortization method	Level dollar, closed
Remaining amortization period	24.26 years
	The July 1, 2010 amortization bases were combined into a single amortization base and amortized over 30 years. Any changes in UAAL due to actuarial experience gains or losses after July 1, 2010 will be separately amortized over a fixed (closed) 30-year period effective with that valuation. Any changes in UAAL due to a change in actuarial assumptions or plan provisions will be separately amortized over a fixed (closed) 15-year period.
Asset valuation method	The market value of asset less unrecognized returns in each of the last five years. Unrecognized return is equal to the difference between the actual and the expected returns on a market value basis and is recognized over a five-year period.
Inflation	3.50%
Investment rate of return	7.50%, net of investment expenses, includes inflation
Projected salary increases	4.30 - 6.75%, includes inflation
Cost-of-living adjustments	2.00%
Mortality	Healthy: RP-2000 Combined Healthy Mortality Table projected with scale AA to 2025, set back two years. Disabled: RP-2000 Disabled Retiree Mortality Table projected with scale AA to 2025. Ages are set back two years for males.
Other assumptions	Same as those used in the July 1, 2013 funding actuarial valuation and were also used in the July 1, 2012 funding actuarial valuation.

## PERS - VERIP

The University's schedule of changes in net pension liability for PERS-PLUS 5 Plan as of June 30 is:

<i>(in thousands of dollars)</i>	2014	2013	2012
<b>TOTAL PENSION LIABILITY</b>			
Interest on the total pension liability	\$ 2,857	\$ 3,052	\$ 3,227
Changes of benefit terms			11,186
Difference between expected and actual experience	(436)	(241)	172
Changes of assumptions or other inputs			1,267
Benefits paid, including refunds of employee contributions	(5,169)	(5,278)	(5,368)
<b>Net change in total pension liability</b>	<b>(2,748)</b>	<b>(2,467)</b>	<b>10,484</b>
Total pension liability - beginning of year	41,108	43,575	33,091
<b>Total pension liability - end of year</b>	<b>38,360</b>	<b>41,108</b>	<b>43,575</b>
<b>PLAN NET POSITION</b>			
Net investment income	11,035	7,144	90
Benefits paid, including refunds of employee contributions	(5,169)	(5,278)	(5,368)
Administrative expense	(6)	(7)	(7)
<b>Net change in plan net position</b>	<b>5,860</b>	<b>1,859</b>	<b>(5,285)</b>
Plan net position - beginning of year	64,102	62,243	67,528
<b>Plan net position - end of year</b>	<b>69,962</b>	<b>64,102</b>	<b>62,243</b>
<b>Net pension liability (asset) - end of year</b>	<b>\$(31,602)</b>	<b>\$(22,994)</b>	<b>\$(18,668)</b>

The University's schedule of net pension liability (asset) for PERS-PLUS 5 Plan as of June 30 is:

<i>(in thousands of dollars)</i>	2014	2013	2012
Total pension liability	\$ 38,360	\$ 41,108	\$ 43,575
Plan net position	69,962	64,102	62,243
<b>Net pension liability (asset)</b>	<b>\$(31,602)</b>	<b>\$(22,994)</b>	<b>\$(18,668)</b>
Ratio of plan net position to total pension liability (asset)	182.4%	155.9%	142.8%

The University is not required to make contributions to the PERS-Plus 5 Plan due to its fully funded status.

## CHRCO PENSION PLAN

The schedule of changes in the net pension liability for the CHRCO Pension Plan as of June 30, 2014 is:

<i>(in thousands of dollars)</i>	<b>JUNE 30, 2014</b>
<b>TOTAL PENSION LIABILITY</b>	
Service cost	\$ 9,274
Interest on the total pension liability	22,453
Changes of benefit terms	142
Difference between expected and actual experience	2,487
Changes of assumptions or other inputs	
Benefits paid, including refunds of employee contributions	(6,994)
Other Changes	
<b>Net change in total pension liability</b>	<b>27,362</b>
Total pension liability - beginning of year	303,914
<b>Total pension liability - end of year</b>	<b>331,276</b>
<b>PLAN NET POSITION</b>	
Contributions - employer	14,500
Contributions - member	
Net investment income	48,704
Benefits paid, including refunds of employee contributions	(6,994)
Administrative expense	(718)
Other changes	
<b>Net change in plan net position</b>	<b>55,492</b>
Total plan net position - beginning of year	264,572
<b>Total plan net position - end of year</b>	<b>320,064</b>
<b>Net pension liability - end of year</b>	<b>\$ 11,212</b>

The schedule of net pension liability for the CHRCO Pension Plan as of June 30, 2014 is:

<i>(in thousands of dollars)</i>	<b>JUNE 30, 2014</b>
Total pension liability	\$331,276
Plan net position	320,064
<b>Net pension liability</b>	<b>\$ 11,212</b>
Ratio of plan net position to total pension liability	96.6%
Covered-employee payroll	\$175,189
Net pension liability as a percentage of covered-employee payroll	6.4%

The schedule of employer contributions for the CHRCO Pension Plan as of June 30, 2014 is:

<i>(in thousands of dollars)</i>	<b>JUNE 30, 2014</b>
Actuarially calculated employer contributions	\$ 21,300
Contributions in relation to the actuarially calculated employer contribution	14,500
<b>Annual contribution deficiency</b>	<b>\$ 6,800</b>
Covered-employee payroll	\$175,189
Actual contributions as a percentage of covered-employee payroll	8.3%

**Notes to Schedule**

Valuation date:

Actuarially calculated contributions are calculated as of January 1 of the end of the fiscal year in which contributions are reported.

Methods and assumptions used to determine contribution rates:

Actuarially determined contribution	The Plan is subject to funding requirements under ERISA. The contribution shown is the IRC Section 430 minimum contribution prior to offset by credit balances. For 2014, the amount shown is the most recent contribution estimate since the valuation will be completed in September 2014; the amount has been prorated for the number of months in the fiscal year.
Contributions in relation to the actuarially determined contribution	The amount shown is equal to the contributions contributed to the Plan during the fiscal year shown.
Actuarial cost method	Unit Credit Actuarial Cost Method.
Amortization method	Level dollar, closed amortization.
Remaining amortization period	Seven years for changes in unfunded liabilities that occur each valuation date.
Asset valuation method	The actuarial value of assets is equal to the two-year average of Plan asset values as of the valuation date. The two-year average is the average of the two prior years' adjusted market value of assets and the current year's market value of assets. For this purpose, the prior years' market value of assets is adjusted to reflect benefit payments, administrative expenses, contributions and expected returns for the prior years. The resulting actuarial value of assets is adjusted to be within 10% of the market value of assets at the valuation date, as required by IRC Section 430.
Inflation	3.00%.
Investment rate of return	7.25%, net of pension plan investment expenses, including inflation.
Projected salary increases	3.5%, including inflation.
Cost-of-living adjustments	N/A.
Mortality	RP-2000 Healthy Annuitant Mortality Table for Males or Females, as appropriate, with generational adjustments for mortality improvements based on Scale AA.

## Retiree Health Plan

(in thousands of dollars)

ACTUARIAL VALUATION DATE	ACTUARIAL VALUE OF ASSETS	ACTUARIAL ACCRUED LIABILITY	(DEFICIT)	FUNDED RATIO	COVERED PAYROLL	(DEFICIT) PAYROLL	IMPLICIT SUBSIDY INCLUDED IN ACTUARIAL ACCRUED LIABILITY
University of California							
July 1, 2013	\$44,301	\$13,725,248	\$(13,680,947)	0.3%	\$8,836,770	(154.8)%	\$2,666,877
July 1, 2012	97,435	15,070,721	(14,973,286)	0.6%	8,598,114	(174.1)%	2,784,276
July 1, 2011	77,907	15,267,829	(15,189,922)	0.5%	8,163,021	(186.1)%	2,338,593
Campuses and Medical Centers							
July 1, 2013	\$44,301	\$13,253,215	\$(13,208,914)	0.3%	\$8,569,794	(154.1)%	\$2,571,587
July 1, 2012	97,435	14,559,017	(14,461,582)	0.7%	8,333,654	(173.5)%	2,686,521
July 1, 2011	77,907	14,726,665	(14,648,758)	0.5%	7,899,551	(185.4)%	2,259,855
DOE National Laboratories							
July 1, 2013		\$472,033	\$(472,033)	0.0%	\$266,976	(176.8)%	\$95,290
July 1, 2012		511,704	(511,704)	0.0%	264,460	(193.5)%	97,755
July 1, 2011		541,164	(541,164)	0.0%	263,470	(205.4)%	78,738



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**Independent Auditors' Report on Internal Control over Financial Reporting and on Compliance and Other Matters Based on an Audit of Financial Statements Performed in Accordance with *Government Auditing Standards***

The Board of Regents  
University of California:

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States, the financial statements of the University of California (the University), a component unit of the state of California, its aggregate discretely component units, the University of California Retirement System, and the University of California Retiree Health Benefit Trust, as of and for the year ended June 30, 2014, and the related notes to the financial statements, which collectively comprise the University's basic financial statements and have issued our report thereon dated November 5, 2014. Our report includes a reference to other auditors who audited the financial statements of the University of California San Francisco Foundation, a discretely presented component unit of the University, as described in our report on the University's financial statements. The financial statements of the University's discretely presented component units were not audited in accordance with *Government Auditing Standards*.

**Internal Control over Financial Reporting**

In planning and performing our audit of the financial statements, we considered the University's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the University's internal control. Accordingly, we do not express an opinion on the effectiveness of the University's internal control.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A material weakness is a deficiency, or combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected, on a timely basis. A significant deficiency is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies and therefore, material weaknesses or significant deficiencies may exist that were not identified. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified. We did identify certain deficiencies in internal control, described in the schedule of findings and questioned costs, as items 2014-001 to 2014-003, that we consider to be significant deficiencies.



### **Compliance and Other Matters**

As part of obtaining reasonable assurance about whether the University's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

### **The University's Responses to Findings**

The University's responses to the findings identified in our audit are described in the accompanying schedule of findings and questioned costs. The University's responses were not subjected to the auditing procedures applied in the audit of the financial statements and, accordingly, we express no opinion on the responses.

### **Purpose of this Report**

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the University's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the University's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

**KPMG LLP**

Orange County, California  
November 5, 2014



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**Independent Auditors' Report on Compliance for Each Major Federal Program; Report on Internal Control over Compliance; and Report on Schedule of Expenditures of Federal Awards Required by OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations***

The Board of Regents  
University of California:

**Report on Compliance for Each Major Federal Program**

We have audited the University of California's (the University) compliance with the types of compliance requirements described in the *OMB Circular A-133 Compliance Supplement* that could have a direct and material effect on each of University's major federal programs for the year ended June 30, 2014. The University's major federal programs are identified in the summary of auditors' results section of the accompanying schedule of findings and questioned costs. The University's financial statements include the operations of the University of California, San Francisco Benioff Children's Hospital Oakland, which received \$20,364,000 in federal awards, which is not included in the schedule of expenditures of federal awards for the year ended June 30, 2014. Our audit, described below, did not include the operations of the University of California, San Francisco Benioff Children's Hospital Oakland because the entity engaged other auditors to perform an audit in accordance with OMB Circular A-133.

***Management's Responsibility***

Management is responsible for compliance with the requirements of laws, regulations, contracts, and grants applicable to its federal programs.

***Auditors' Responsibility***

Our responsibility is to express an opinion on compliance for each of the University's major federal programs based on our audit of the types of compliance requirements referred to above. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the University's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance for each major federal program. However, our audit does not provide a legal determination of the University's compliance.

***Opinion on Each Major Federal Program***

In our opinion, University of California complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2014.

### ***Other Matters***

The results of our auditing procedures disclosed instances of noncompliance, which are required to be reported in accordance with OMB Circular A-133 and which are described in the accompanying schedule of findings and questioned costs as items 2014-004 to 2014-007. Our opinion on each major federal program is not modified with respect to these matters.

The University's responses to the noncompliance findings identified in our audit are described in the accompanying schedule of findings and questioned costs. The University's responses were not subjected to the auditing procedures applied in the audit of compliance and, accordingly, we express no opinion on the responses.

### **Report on Internal Control over Compliance**

Management of the University is responsible for establishing and maintaining effective internal control over compliance with the types of compliance requirements referred to above. In planning and performing our audit of compliance, we considered the University's internal control over compliance with the types of requirements that could have a direct and material effect on each major federal program to determine the auditing procedures that are appropriate in the circumstances for the purpose of expressing an opinion on compliance for each major federal program and to test and report on internal control over compliance in accordance with OMB Circular A-133, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the University's internal control over compliance.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. A material weakness in internal control over compliance is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis. A significant deficiency in internal control over compliance is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies and therefore, material weaknesses or significant deficiencies may exist that were not identified. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, we identified a deficiency in internal control over compliance, as described in the accompanying schedule of findings and questioned costs as item 2014-005, that we consider to be a significant deficiency.

The University's response to the internal control over compliance finding identified in our audit is described in the accompanying schedule of findings and questioned costs. The University's response was not subjected to the auditing procedures applied in the audit of compliance and, accordingly, we express no opinion on the response.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of OMB Circular A-133. Accordingly, this report is not suitable for any other purpose.



### **Report on Schedule of Expenditures of Federal Awards Required by OMB Circular A-133**

We have audited the financial statements of the business-type activities of the University, its discretely presented component units, the University of California Retirement System and the University of California Retiree Health Benefit Trust, as of and for the year ended June 30, 2014, and the related notes to the financial statements, which collectively comprise the University's basic financial statements, and have issued our report thereon dated November 5, 2014, which contained an unmodified opinion on those basic financial statements. Our audit was conducted for the purpose of forming an opinion on the basic financial statements as a whole. The accompanying schedule of expenditures of federal awards is presented for purposes of additional analysis as required by OMB Circular A-133 and is not a required part of the basic financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the basic financial statements. The information has been subjected to the auditing procedures applied in the audit of the basic financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the basic financial statements or to the basic financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the schedule of expenditures of federal awards is fairly stated in all material respects in relation to the basic financial statements as a whole.

**KPMG LLP**

Orange County, California  
February 27, 2015

**UNIVERSITY OF CALIFORNIA**  
**Schedule of Expenditures of Federal Awards**  
**For the Fiscal Year Ended June 30, 2014**

	<u>Federal CFDA #</u>	<u>Total Expenditures</u>
<b>Student Financial Assistance Cluster</b>		
Department of Education		
Federal Supplemental Educational Opportunity Grant	84 007	\$ 8,172,112
Federal Work-Study Program	84 033	16,514,203
Federal Pell Grants	84 063	365,473,558
Federal Direct Student Loans Program	84 268	1,084,783,414
Federal Perkins Loan Outstanding	84 038	219,684,751
Federal Perkins Loan Principal Cancellation	84 037	876,387
Federal Perkins Loan Program Administrative Cost Allowance	84 038	2,471,139
Teacher Education Assistance for College and Higher Education Grants (TEACH)	84 379	234,109
Total Department of Education		<u>1,698,209,673</u>
Department of Health And Human Services		
Scholarships for Students from Disadvantaged Background	93 925	1,804,945
Health Professions Student Loan Program/Loans for Disadvantaged Students/Primary Care Loan Outstanding	93 342	48,148,108
Nursing Student Loan Outstanding	93 364	1,306,385
Nursing Faculty Loan Outstanding	93 264	1,157,237
ARRA- Nursing Faculty Loan Outstanding	93 408	224,038
Total Department of Health And Human Services		<u>52,640,713</u>
Total Student Financial Assistance Cluster		<u>1,750,850,386</u>
<b>Research and Development Cluster:</b>		
Direct Awards:		
Agency for International Development	98 001	29,630,858
Department of Agriculture	10 RD	48,591,460
Department of Commerce	11 RD	28,000,602
ARRA-Department of Commerce	11 RD	847,020
Total Department of Commerce		<u>28,847,622</u>
Department of Defense		
Department of Air Force	12 RD	32,586,920
Department of Army	12 RD	92,514,592
Department of Navy	12 RD	78,056,069
Advanced Research Projects	12 RD	26,110,671
Separate Agencies	12 RD	17,552,973
Total Department of Defense		<u>246,821,225</u>
Department of Education	84 RD	18,739,189
Department of Energy	81 RD	109,891,528
ARRA-Department of Energy	81 RD	8,116,873
Total Department of Energy		<u>118,008,401</u>

**UNIVERSITY OF CALIFORNIA**  
**Schedule of Expenditures of Federal Awards**  
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	Federal CFDA #	Total Expenditures
Department of Homeland Security	97 RD	\$ 1,985,976
Department of Interior	15 RD	18,243,625
ARRA-Department of Interior	15 RD	6,795
Total Department of Interior		18,250,420
Department of Justice	16 RD	1,620,348
Department of Labor	17 RD	52,974
Department of State	19 RD	2,204,174
Department of Transportation	20 RD	3,445,470
Environmental Protection Agency	66 RD	4,887,708
Department of Health and Human Services		
Health and Human Services Office of the Secretary	93 RD	1,109,197
ARRA-Health and Human Services Office of the Secretary	93 RD	1,025,422
National Institutes of Health Aging	93 RD	83,034,601
ARRA-National Institutes of Health Aging	93 RD	381,513
National Institutes of Health Alcohol Abuse and Alcoholism	93 RD	20,206,075
National Institutes of Health Allergy and Infectious Diseases	93 RD	6,581
National Institutes of Health Arthritis, Musculoskeletal & Skin Diseases	93 RD	30,977,417
ARRA-National Institutes of Health Arthritis, Musculoskeletal & Skin Diseases	93 RD	324,335
National Institutes of Health Biomedical Imaging and Bioengineering (NIBIB)	93 RD	27,042,210
ARRA-National Institutes of Health Biomedical Imaging and Bioengineering (NIBIB)	93 RD	412,199
National Institutes of Health Center for Scientific Review	93 RD	7,352,308
National Institutes of Health Child Health & Human Development	93 RD	59,152,454
ARRA-NIH Child Health & Human Development	93 RD	11,488
National Institute on National Institutes of Health Deafness & Other Communication Disorders	93 RD	21,164,203
National Institutes of Health Dental and Craniofacial Research (NIDCR)	93 RD	26,400,461
ARRA-National Institutes of Health Dental and Craniofacial Research (NIDCR)	93 RD	153,408
National Institutes of Health Diabetes, Digestive & Kidney Diseases	93 RD	91,535,212
ARRA National Institute of National Institutes of Health Diabetes, Digestive & Kidney Diseases	93 RD	8,833
National Institutes of Health Drug Abuse (NIDA)	93 RD	66,018,967
ARRA-National Institutes of Health Drug Abuse (NIDA)	93 RD	161,504
National Institutes of Health Environmental Health Sciences	93 RD	39,364,112
ARRA-National Institutes of Health Environmental Health Sciences	93 RD	77,013
National Institutes of Health General Medical Science	93 RD	220,043,088
National Institutes of Health Heart, Lung & Blood	93 RD	145,481,449
ARRA-National Institutes of Health Heart, Lung & Blood	93 RD	(1,723)
National Institutes of Health John F. Fogarty International Center	93 RD	5,117,732
National Institutes of Health National Institute of Medicine	93 RD	3,489,204
ARRA-National Institutes of National Library of Health Medicine	93 RD	142,451
National Institutes of Health Mental Health (NIMH)	93 RD	113,491,626
ARRA National Institute of National Institutes of Health Mental Health (NIMH)	93 RD	(2,739)
National Institutes of Health National Cancer Institute (NCI)	93 RD	173,534,043
ARRA-National Institutes of Health National Cancer Institute (NCI)	93 RD	281,023
National Institutes of Health National Center Complementary & Alternative Medicine	93 RD	5,239,366
National Institutes of Health National Center Research Resources	93 RD	26,176,282
ARRA-National Institutes of Health National Center Research Resources	93 RD	(459)
National Institutes of Health National Center for Advancing Translational Sciences	93 RD	41,680,904
ARRA-National Institutes of Health National Center for Advancing Translational Sciences	93 RD	479,785
National Institutes of Health National Center on Minority Health and Health Disparities	93 RD	3,371,615
ARRA-National Institutes of Health Natl Ctr on Minority Health and Health Disparities	93 RD	126,636

**UNIVERSITY OF CALIFORNIA**  
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	<u>Federal CFDA #</u>	<u>Total Expenditures</u>
National Institutes of Health National Eye Institute	93 RD	\$ 60,959,372
National Institutes of Health Natl Human Genome Research Institute	93 RD	11,204,378
National Institutes of Health National Institute of Allergy and Infectious Diseases	93 RD	237,742,702
ARRA-National Institutes of Health National Institutes of Allergy and Infectious Diseases	93 RD	673,142
National Institutes of Health National Institute of Child Health and Human Services	93 RD	343,106
National Institutes of Health National Institute of Environmental Health Sciences	93 RD	333,225
National Institutes of Health National Institute of General Medical Sciences	93 RD	715,517
National Institutes of Health Neurological Disorders & Stroke	93 RD	116,896,208
ARRA-National Institutes of Health Neurological Disorders & Stroke	93 RD	124,663
National Institutes of Health Nursing Research (NINR)	93 RD	5,717,912
National Institutes of Health Office of the Director	93 RD	28,412,148
National Institutes of Health/other Agencies & Departments	93 RD	2,038,383
ARRA-National Institutes of Health/other Agencies & Departments	94 RD	22,626,032
Office of Human Development Services	93 RD	3,254,655
Public Health System Substance Abuse and Mental Health Services Adm (SAMHSA)	93 RD	7,810,677
Public Health System/Agency for Healthcare Research & Quality	93 RD	7,381,108
ARRA-Public Health System/Agency for Healthcare Research & Quality	93 RD	4,573,605
Public Health System/Center for Disease Control	93 RD	37,415,813
Public Health System/Food & Drug Administration	93 RD	3,563,875
Public Health System/Health Resources & Services Administration	93 RD	12,362,414
ARRA-Public Health System/Health Resources & Services Administration	93 RD	436,262
Public Health System/Other	93 RD	<u>135,438</u>
 Total Department of Health and Human Services		 <u>1,779,290,431</u>
 Housing & Urban Development	14 RD	 69,092
Library of Congress	42 RD	50,451
National Aeronautics & Space Administration	43 RD	99,835,683
National Foundation Arts & Humanities	45 RD	1,691,992
 National Science Foundation	47 RD	 456,687,417
ARRA-National Science Foundation	47 RD	<u>15,606,542</u>
Total National Science Foundation		<u>472,293,959</u>
 Nuclear Regulatory Commission	77 RD	 583,298
Smithsonian Institute	85 RD	5,412,070
Veterans Affairs	64 RD	7,633,896
Other Agencies	99 RD	337,335
 Total Direct Awards – Research and Development Cluster		 <u>2,890,284,032</u>
 Pass Through Awards – Research and Development Cluster		
Abratech Corporation (A9019)	93 859	17,407
ABT Associates, Inc. (Abt 31667 PSA 40085 to 1)	99 unknown	2,756
Academic Pediatric Association (B0234)	93 unknown	14,911
Academic Pediatric Association (B0838)	93 unknown	6,559
Academic Pediatric Association (B2786)	93 847	(2,607)
Academyhealth (SUB NONE (HS19564))	93 715	20,705
Accelerated Medical Diagnostics, LLC (23696)	93 unknown	28,382
Accelerated Medical Diagnostics, LLC (20130127)	93 unknown	202,060
Acoustic Medsystems, Inc. (A8328)	93 394	32,973

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	<u>Federal CFDA #</u>	<u>Total Expenditures</u>
Adams Communication & Engineering Technology (R23GICD030)	12 unknown \$	36,311
Addis Ababa University (SUB NONE (T8HA21124-01-00))	93 unknown	16,560
Admetech Foundation (20133436)	12 420	39,527
Advanced Bioscience Laboratories, Inc. (DGSOM-14005-0165)	99 unknown	10,008
Advanced Brain Monitoring, Inc. (SUB NONE(MH097303))	93 242	281
Advanced Brain Monitoring, Inc. (B 2013-1069 (W31P4Q-12-C-0200))	12 910	96,986
Advanced Cell Diagnostics, Inc. (B1017)	93 394	195,055
Advanced Cooling Technologies, Inc. (14052)	81 049	1,989
Advanced Genetic Systems, Inc. (B0793)	93 855	9,470
Advanced Materials and Devices, Inc. (20133655 (W911QX-13-C-007))	10 212	35,190
Advanced Scientific Concepts, Inc. (ASC-STTR-N12A-021-0333)	12 unknown	23,271
Advanced Systems & Technologies, Inc. (UCI1210-CYC1)	12 unknown	30,000
Adyb Engineered for Life, Inc. (20133950 (W911QX-13-C-0155))	12 114	24,658
Aeon Imaging, LLC (036273)	93 867	7,070
Aero Institute (AERO 615)	99 unknown	18,582
Aero Institute (D-13-IFS-014)	99 unknown	34,410
Aerodyne Research, Inc. (ARI107822)	81 unknown	8,130
Aerodyne Research, Inc. (ARI108611)	93 unknown	77,198
Aerodyne Research, Inc. (SUB ARI-10667-2 (DE-SC0004577))	81 049	1,341
Aerophysics, Inc. (100380-CYC1)	43 unknown	29,614
Aerosol Dynamics, Inc. (3329)	81 124	6,717
Aerosol Dynamics, Inc. (2685)	81 unknown	105,715
ARRA-Aerosol Dynamics, Inc. (2972)	93 701	20,185
Aerospace Corporation (PO#4600004626:02)	99 unknown	57,148
Aerpio Therapeutics (UB 20111282 (W81XWH-11-2-0096))	12 420	19,740
Aethlon Medical, Inc. (201300086)	12 unknown	25,468
Afasci, Inc. (201224712)	93 866	45,843
Afasci, Inc. (20134376)	93 279	172,129
Agflex, Inc. (200911511)	10 912	3,564
Agri Analysis, LLC (201015055)	93 unknown	269
Agrofresh, Inc. (201012989)	10 309	11,424
Akonni Biosystems (CRA # 80391A-001)	93 unknown	51,874
Alaska, State of (COOP-10-078)	15 426	6,317
Alcatel Lucent Bell Labs (2011002)	12 800	54,305
Alhambra Unified School District (PT122)	99 unknown	20,203
Allen Institute for Brain Science (08002394)	93 unknown	(5,873)
Allen/Loeb Associates (B2317)	93 242	96,755
Alliance for Sustainable Energy, LLC (1-11315-01 (DE-AC36-08G028308))	81 unknown	(2,718)
Alliance for Sustainable Energy, LLC (4-23068-01 (DE-AC36-08GO28308))	81 087	65,501
Alliance for Sustainable Energy, LLC (XGB-2-11460-01-CYC2)	81 unknown	74,229
Alliance for Sustainable Energy, LLC (XFC-4-23067-01-CYC1)	81 unknown	13,290
ARRA-Alliance for Sustainable Energy, LLC (ARRAZFT04062401)	81 unknown	1,170
Almond Board of California (22294)	10 250	6,788
Almond Board of California (LH1302)	10 unknown	5,858
Altamed Health Services Corporation (R13HD-UCLA-01)	93 865	10,477
Altum, Incorporated (B2766)	93 unknown	24,323
Amc Cancer Research Center (A7601)	93 396	(739)
American Burn Association (201121884)	12 unknown	7,583
American Burn Association (201016367)	12 unknown	3,273
American Burn Association (201119157)	12 unknown	(984)
American Burn Association (200912769)	12 420	79,373
American Burn Association (SUB NONE(W81XWH-10-1-0527))	12 420	189,789

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	Federal CFDA #	Total Expenditures
American College of Radiology (A7095)	93 394	\$ 938,934
American College of Radiology (A7438)	93 unknown	87,537
American College of Radiology (A8575)	93 394	9,862
American College of Radiology (A9289)	93 395	284
American College of Radiology (B0374)	93 394	23,001
American College of Radiology (B0976)	93 unknown	40,897
American College of Radiology (B2546)	93 395	273,075
American College of Radiology (87928)	93 unknown	21,729
American College of Radiology (20091895)	93 395	(235)
American College of Radiology (1571)	93 399	37,190
American College of Radiology (58940)	93 394	19,094
American College of Radiology (20081933)	93 394	3,916
American College of Radiology (SUB 4240 (CA80098))	93 394	8,726
American College of Radiology (82594)	93 395	99,227
American College of Radiology (SUB 6698 (NCI))	93 394	5,826
American College of Radiology (89696)	93 unknown	(901)
American College of Radiology (1314-CYC1)	93 701	111,100
American College of Radiology (7896-CYC1)	93 395	998
ARRA-American College of Radiology (ACRIN 4701)	93 394	144
ARRA-American College of Radiology (78633)	93 701	(984)
ARRA-American College of Radiology (ACR-51858-CYC2)	93 394	12,580
American Educational Research Association (201400493)	47 unknown	2,426
American Institute for Research (merged with New American Schools) (D1410.082)	11 900	488
American Institute for Research (merged with New American Schools) (01157-01410.092)	11 900	126,995
American Society for Biochemistry and Molecular Biology (SUB 20140224)	47 074	1,929
American Society for Biochemistry and Molecular Biology (SUB 2013-0040(MCB-1217007))	47 074	112
American Society for Cell Biology (89687)	93 859	(701)
American Sociological Association (SB140036)	47 unknown	2,012
Americaview, Inc. (AV13CA01)	15 815	5,163
Americaview, Inc. (AV08CA01)	15 unknown	8,393
Ames Laboratory (SC13395)	81 unknown	55,247
Angstrom Designs Engrg Servs (NASA STTR UCSB 07012013-01)	43 unknown	37,045
Ann & Robert H. Lurie Children's Hospital of Chicago (A8406)	93 847	354,779
Ann & Robert H. Lurie Children's Hospital of Chicago (901460-UCLA:A3)	93 853	103,485
Anteana Therapeutics, Inc. (API-53788-CYC1)	93 279	56,198
Antigen Discovery, Inc. (ADI-52318-CYC1)	93 855	91,251
Applied Nanotech, Inc. (201302945)	12 800	21,995
Applied Nanotech, Inc. (W911NF1310492)	93 361	37,277
Applied Nanotech, Inc. (201401520)	12 unknown	2,733
Applied Nanotech, Inc. (32375)	12 unknown	35,884
Applied Technology Council (782-22-646)	83 532	24,985
Applied Technology Council (SUB 980-24-334 (SB134107CQ000))	11 609	7,440
Appliflex, LLC (030566)	81 036	27,416
Apricity Therapeutics, Inc. (B1469)	93 unknown	23,549
Aradigm Corporation (B2266)	93 unknown	5,922
Arcadia Bioscience, Inc. (201120291)	81 unknown	220,418
Argonne National Laboratory (006037)	81 unknown	28,878
Argonne National Laboratory (1F-32422)	81 unknown	41,169
Argonne National Laboratory (DOE GOCO Lab operated by Univ of Chicago) (3F30841)	81 unknown	65,919
Argonne National Laboratory (DOE GOCO Lab operated by Univ of Chicago) (1F30841)	81 unknown	70,939
Argonne National Laboratory (DOE GOCO Lab operated by Univ of Chicago) (B 3M-31621 (DE-AC02-06CH11357))	81 unknown	18,000
ARRA-Argonne National Laboratory (95002)	81 unknown	(2)

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	Federal CFDA #	Total Expenditures
Argos Therapeutics, Inc. (201013996)	93 unknown \$	61,309
Ariston Medical, Inc. (201303399)	93 846	18,017
Arizona State University/Tempe (14-423)	81 121	12,026
Arizona State University/Tempe (09-206)	81 122	168,699
Arizona State University/Tempe (10-232)	12 800	136,366
Arizona State University/Tempe (10-249)	12 351	54,753
Arizona State University/Tempe (1R01GM10047101)	93 859	25,519
Arizona State University/Tempe (11597)	93 279	33,721
Arizona State University/Tempe (14-461)	93 110	6,494
Arizona State University/Tempe (13-205)	99 unknown	170,361
Arizona State University/Tempe (12-819)	47 041	33,700
Arizona State University/Tempe (006560)	43 001	39,146
Arizona State University/Tempe (006585)	47 050	66,804
Arizona State University/Tempe (004460-009)	93 242	208,087
Arizona State University/Tempe (87121)	47 049	(70)
Arizona State University/Tempe (12-848-002)	93 859	36,386
Arkansas Children's Hospital Research Institute (A9465)	93 865	82,493
Arkansas Children's Hospital Research Institute (83285)	93 849	10,419
Arkansas Children's Hospital Research Institute (87538)	93 847	16,186
Armorworks, LLC (87602)	12 431	(14,570)
Array Information Technology, Inc. (SUB A012-2010)	81 unknown	32,559
Association for Academic Minority Physicians (201401550)	93 unknown	37,029
ARRA-Association of Asian Pacific Community Health Organizations (20121107)	93 420	60,212
Association of Maternal and Child Health Programs (201304042501)	93 283	14,881
Association of Occupational and Environmental Clinics (AOEC-43431-CYC5)	93 161	30,858
Association of Occupational and Environmental Clinics (AOEC-103427-CYC1)	93 161	32,625
Association of Universities for Research in Astronomy (HST-GO-12463.02-A)	43 unknown	2,079
Association of Universities for Research in Astronomy (HST-HF-51309.01-A)	43 unknown	121,046
Association of Universities for Research in Astronomy (HST-HF-51304.01-A)	43 unknown	126,849
Association of Universities for Research in Astronomy (HST-GO-13008.02-A)	43 unknown	2,404
Association of Universities for Research in Astronomy (HST-AR-12823.01-A)	43 unknown	30,359
Association of Universities for Research in Astronomy (HST-AR-12847.01-A)	43 unknown	11,890
Association of Universities for Research in Astronomy (HST-GO-12880.03-A)	43 unknown	9,331
Association of Universities for Research in Astronomy (HST-GO-13029.01-A)	43 unknown	4,241
Association of Universities for Research in Astronomy (HST-AR-12850.02-A)	43 unknown	41,064
Association of Universities for Research in Astronomy (HST-GO-12514.06-A)	43 002	27,494
Association of Universities for Research in Astronomy (HST-GO-12531.01-A)	43 unknown	(34,489)
Association of Universities for Research in Astronomy (HST-GO-12530.01-A)	43 unknown	63,143
Association of Universities for Research in Astronomy (85654)	43 unknown	45,000
Association of Universities for Research in Astronomy (HST-AR-12140.01-A)	43 unknown	23,044
Association of Universities for Research in Astronomy (HST-GO-12099.03-A)	43 unknown	4
Association of Universities for Research in Astronomy (HST-AR-12142.06-A)	43 unknown	3,701
Association of Universities for Research in Astronomy (HST-GO-12245.02-A)	43 unknown	13,164
Association of Universities for Research in Astronomy (HST-GO-12187.03-A)	43 unknown	14,817
Association of Universities for Research in Astronomy (HST-GO-12360.001-A)	43 unknown	44,406
Association of Universities for Research in Astronomy (HST-AR-12160.01-A)	43 unknown	2,874
Association of Universities for Research in Astronomy (HST-GO-12119.03-A)	43 unknown	21,513
Association of Universities for Research in Astronomy (HST-GO-12225.01-A)	43 unknown	53,851
Association of Universities for Research in Astronomy (HST-GO-12307.01-A)	43 unknown	218
Association of Universities for Research in Astronomy (HST-GO-12437.01-A)	43 unknown	22
Association of Universities for Research in Astronomy (HST-HF-51294.01-A)	43 unknown	158,548
Association of Universities for Research in Astronomy (HST-GO-12247.07-A)	43 unknown	32

**UNIVERSITY OF CALIFORNIA**  
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	<u>Federal CFDA #</u>	<u>Total Expenditures</u>
Association of Universities for Research in Astronomy (HST-GO-12469.01-A)	43 unknown \$	401
Association of Universities for Research in Astronomy (HST-AR-12623.01-A)	43 unknown	74,390
Association of Universities for Research in Astronomy (HST-GO-12612.02-A)	43 unknown	394
Association of Universities for Research in Astronomy (HST-GO-12576.01-A)	43 unknown	54,574
Association of Universities for Research in Astronomy (HST-GO-12526.01-A)	43 unknown	5,446
Association of Universities for Research in Astronomy (HST-GO-12281.02-A)	43 unknown	7,150
Association of Universities for Research in Astronomy (HST-GO-12177.021-A)	43 unknown	906
Association of Universities for Research in Astronomy (HSTAR1284301A)	43 unknown	65,092
Association of Universities for Research in Astronomy (HSTGO12947.04A)	43 unknown	16,204
Association of Universities for Research in Astronomy (HSTGO12800.03A)	43 unknown	757
Association of Universities for Research in Astronomy (HSTGO12889.04A)	43 unknown	2,600
Association of Universities for Research in Astronomy (HSTAR1283302A)	43 unknown	583
Association of Universities for Research in Astronomy (HSTGO1289801A)	43 unknown	58,694
Association of Universities for Research in Astronomy (26006)	43 unknown	31,143
Association of Universities for Research in Astronomy (HSTGO1317701A)	43 unknown	38,645
Association of Universities for Research in Astronomy (HSTAR1323501A)	43 unknown	6,173
Association of Universities for Research in Astronomy (HSTAR1323802A)	43 unknown	58,183
Association of Universities for Research in Astronomy (HSTAR1326602A)	43 unknown	22,047
Association of Universities for Research in Astronomy (HSTGO1334301A)	43 unknown	12,394
Association of Universities for Research in Astronomy (HSTGO12659.03A)	43 unknown	2,644
Association of Universities for Research in Astronomy (32298)	43 unknown	10,441
Association of Universities for Research in Astronomy (33859)	43 unknown	16,030
Association of Universities for Research in Astronomy (HSTAR12135.01A)	43 unknown	15,505
Association of Universities for Research in Astronomy (HSTGO1220301A)	43 unknown	4,710
Association of Universities for Research in Astronomy (HSTGO11710.12A)	43 unknown	9,121
Association of Universities for Research in Astronomy (HST-GO-11677.07-A:2)	99 unknown	9,603
Association of Universities for Research in Astronomy (0084699-GEM00436)	99 unknown	43,077
Association of Universities for Research in Astronomy (HST-GO-12659.02-A)	99 unknown	22,115
Association of Universities for Research in Astronomy (HST-GO-12568.01-A)	99 unknown	50,482
Association of Universities for Research in Astronomy (HST-GO-12266.01-A)	99 unknown	13,778
Association of Universities for Research in Astronomy (HST-GO-12597.01-A)	99 unknown	964
Association of Universities for Research in Astronomy (HST-GO-12971.01-A)	99 unknown	17,805
Association of Universities for Research in Astronomy (HST-GO-13612.001-A)	99 unknown	2,064
Association of Universities for Research in Astronomy (HST-GO-12933.01-A)	99 unknown	13,031
Association of Universities for Research in Astronomy (HST-GO-13475.01-A)	99 unknown	3,612
Association of Universities for Research in Astronomy (HST-GO-12527.05-A)	99 unknown	26,780
Association of Universities for Research in Astronomy (HST-GO-12498.11-A)	99 unknown	6,256
Association of Universities for Research in Astronomy (HST-GO-13453.01-A)	99 unknown	37,557
Association of Universities for Research in Astronomy (HST-GO-13459.09-A)	99 unknown	13,018
Association of Universities for Research in Astronomy (HST-AR-12124.02-A)	99 unknown	148
Association of Universities for Research in Astronomy (HST-GO-12593.01-A)	99 unknown	23,999
Association of Universities for Research in Astronomy (HST-GO-11671.01-A)	99 unknown	159,791
Association of Universities for Research in Astronomy (HST-GO-12182.02-A)	99 unknown	24,737
Association of Universities for Research in Astronomy (HST-GO-12959.01-A)	99 unknown	52,229
Association of Universities for Research in Astronomy (HST-GO-12911.04-A)	99 unknown	71,320
Association of Universities for Research in Astronomy (HST-GO-12902-A)	99 unknown	18,055
Association of Universities for Research in Astronomy (HST-GO-12226.01-A)	99 unknown	6,474
Association of Universities for Research in Astronomy (HST-GO-12283.01-A)	99 unknown	29,302
Association of Universities for Research in Astronomy (HST-GO-13037.04-A)	43 unknown	17,843
Association of Universities for Research in Astronomy (HST-GO-12602.03-A)	99 unknown	1,319
Association of Universities for Research in Astronomy (HST-GO-12305.01-A)	99 unknown	5,054
Association of Universities for Research in Astronomy (HST-GO-12435.01-A)	99 unknown	310

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Association of Universities for Research in Astronomy (HST-GO-12290.03-A)	99 unknown \$	5,258
Association of Universities for Research in Astronomy (004974)	43 unknown	84,234
Association of Universities for Research in Astronomy (005255)	43 unknown	27,102
Association of Universities for Research in Astronomy (005418)	43 unknown	20,763
Association of Universities for Research in Astronomy (005553)	43 unknown	2,943
Association of Universities for Research in Astronomy (005584)	43 unknown	26,095
Association of Universities for Research in Astronomy (005608-004)	43 unknown	2,200
Association of Universities for Research in Astronomy (005609)	43 unknown	11,161
Association of Universities for Research in Astronomy (005610)	43 unknown	20,597
Association of Universities for Research in Astronomy (005774)	43 unknown	44,706
Association of Universities for Research in Astronomy (006218-002)	43 unknown	57,082
Association of Universities for Research in Astronomy (006219-002)	43 unknown	27,921
Association of Universities for Research in Astronomy (006516)	43 unknown	30,035
Association of Universities for Research in Astronomy (006562)	43 unknown	27,221
Association of Universities for Research in Astronomy (006577)	43 unknown	16,000
Association of Universities for Research in Astronomy (006578)	43 unknown	7,899
Association of Universities for Research in Astronomy (006768)	43 unknown	7,487
Association of Universities for Research in Astronomy (HST-GO-12272.03-A)	43 unknown	267
Association of Universities for Research in Astronomy (HST-GO-12217.01-A)	43 unknown	(167)
Association of Universities for Research in Astronomy (HST-AR-12645.01-A)	43 unknown	(10,610)
Association of Universities for Research in Astronomy (HST-AR-12649.01-A(NONE))	43 unknown	30,933
Association of Universities for Research in Astronomy (58297)	43 001	1,159
Association of Universities for Research in Astronomy (58298)	43 001	84,000
Association of Universities for Research in Astronomy (58299)	43 001	5,068
Association of Universities for Research in Astronomy (58300)	43 001	1,172
Association of Universities for Research in Astronomy (HST-GO-12055.03-A)	43 001	35,055
Association of Universities for Research in Astronomy (HST-GO-12060.10-A-2)	43 001	126,665
Association of Universities for Research in Astronomy (HST-GO-12289.02)	99 unknown	5,352
Association of Universities for Research in Astronomy (HST-HF-51278.01-A)	43 001	35,427
Association of Universities for Research in Astronomy (HST-GO-12251.05-A)	43 001	763
Association of Universities for Research in Astronomy (HST-GO-12214.01-A)	99 unknown	13,488
Association of Universities for Research in Astronomy (HST-GO-12248.04-A)	99 unknown	30,444
Association of Universities for Research in Astronomy (HST-GO-12177.05-A-002)	43 001	20,964
Association of Universities for Research in Astronomy (HST-GO-12254.08-A)	43 001	2,188
Association of Universities for Research in Astronomy (HST-AR-12633.02-A)	43 001	21,418
Association of Universities for Research in Astronomy (HST-GO-12549.02-A-001)	43 001	25,717
Association of Universities for Research in Astronomy (HST-GO-12473.10-A)	43 001	30,602
Association of Universities for Research in Astronomy (HST-GO-12759.02-A)	43 001	11,564
Association of Universities for Research in Astronomy (HST-GO-12555.02-A)	43 001	4,889
Association of Universities for Research in Astronomy (HST-HF-51302.01-A)	43 001	107,271
Association of Universities for Research in Astronomy (HST-AR-12822.03-A)	43 unknown	25,075
Association of Universities for Research in Astronomy (HST-GO-13040.07-A)	43 001	6,923
Association of Universities for Research in Astronomy (HST-GO-12863.05-A)	43 001	14,736
Association of Universities for Research in Astronomy (HST-AR-12834.06-A)	43 001	7,012
Association of Universities for Research in Astronomy (HST-GO-13048.02-A)	43 001	3,944
Association of Universities for Research in Astronomy (HST-GO-13033.06-A)	43 001	3,965
Association of Universities for Research in Astronomy (HST-GO-12523.03-A)	43 001	18,077
Association of Universities for Research in Astronomy (HST-GO-13039.01-A)	43 001	52,747
Association of Universities for Research in Astronomy (HST-GO-13013.04-A)	43 001	54,465
Association of Universities for Research in Astronomy (HST-AR-13256)	43 001	48,824
Association of Universities for Research in Astronomy (HST-HF-51332.01-A)	43 001	123,363
Association of Universities for Research in Astronomy (HST-HF-51331.01-A)	43 001	92,238

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Association of Universities for Research in Astronomy (HST-AR-13265.02-A)	43 001	\$ 50,837
Association of Universities for Research in Astronomy (HST-GO-13420.04-A)	43 001	44,007
Association of Universities for Research in Astronomy (HST-AR-13275.01-A)	43 001	27,470
Association of Universities for Research in Astronomy (HST-GO-13028.18-A)	43 001	11,033
Association of Universities for Research in Astronomy (HST-AR-13252.01-A)	43 001	93,393
Association of Universities for Research in Astronomy (HST-GO-13364.26-A)	43 001	8,481
Association of Universities for Research in Astronomy (HST-GO-13309.08-A)	43 001	17,063
Association of Universities for Research in Astronomy (HST-GO-13467.03-A)	43 001	14,409
Association of Universities for Research in Astronomy (HST-GO-12925.008-A)	43 001	11,460
Association of Universities for Research in Astronomy (HST-GO-13423.001-A)	43 001	14,084
Association of Universities for Research in Astronomy (0084699-GEM00433-19)	47 unknown	113,265
Association of Universities for Research in Astronomy (63265)	47 unknown	(1,635)
Association of Universities for Research in Astronomy (HST-GO-12572.08-A)	99 unknown	73,242
Association of Universities for Research in Astronomy (HST-GO-12591.02-A)	43 unknown	13,126
Association of Universities for Research in Astronomy (HST-GO-12533.01-A)	43 unknown	22,684
Association of Universities for Research in Astronomy (HST-GO-12889.07-A)	43 unknown	14,762
Association of Universities for Research in Astronomy (HST-GO-12905.07-A)	43 unknown	27,073
Association of Universities for Research in Astronomy (HST-GO-12292.01-A)	43 unknown	52,027
Association of Universities for Research in Astronomy (HST-GO-12866.13-A-CYC1)	43 unknown	2
Association of Universities for Research in Astronomy (HST-GO-12864.01-A-CYC1)	43 unknown	23,363
Association of Universities for Research in Astronomy (HST-GO-12549.12-A-CYC1)	43 unknown	6,284
Association of Universities for Research in Astronomy (HST-GO-13045-CYC2)	43 unknown	2,940
Association of Universities for Research in Astronomy (HST-GO-12182.12-A-CYC4)	43 unknown	18,868
Association of Universities for Research in Astronomy (HST-GO-12547.01-A-CYC1)	43 unknown	50,034
Association of Universities for Research in Astronomy (HST-GO-13399.01-A-CYC1)	43 unknown	1
Association of Universities for Research in Astronomy (HST-GO-12922.01-A-CYC1)	43 unknown	50,624
Association of Universities for Research in Astronomy (HST-GO-13343.09-A-CYC1)	43 unknown	14,711
Association of Universities for Research in Astronomy (HST-AR-12836.02-A-CYC1)	43 unknown	11,619
ARRA-Association of Universities for Research in Astronomy (005443)	43 unknown	64,505
Astraea National Lesbian Action Foundation (GLHRP-13-2)	98 001	113,396
Astraea Therapeutics, LLC (2012-UCI-033744-CYC2)	93 279	11,428
Atmospheric & Space Technology Research Associates (ASTRA 118-01)	99 unknown	35,000
Aurrioin, LLC (SB120043)	12 910	647,496
Avanti Tech, LLC (SUB 20102247 (F2-5568))	12 800	44,079
Avita Medical Americas, LLC (201119925)	12 unknown	7,234
Bae Systems (Great Britain) (316063)	12 800	607,611
Bae Systems (Great Britain) (809863)	93 307	161,205
Bae Systems (Great Britain) (846660)	12 unknown	249,627
Bae Systems (Great Britain) (822373)	12 431	25,127
Bahr Management, Inc. (A9516)	93 unknown	71,503
Bakeraecom (UCSD-PTS-003)	97 022	277,736
Banyan Biomarkers (SUB ATO-06 (W81XWH-10-C-0251))	12 420	(7,972)
Bastyr University (SUB H38B11-03 (NS070202))	93 273	15,608
Bates College (005615)	47 076	4,408
Battelle Memorial Institute (00126578)	81 unknown	151,496
Battelle Memorial Institute (00101461)	81 unknown	36,258
Battelle Memorial Institute (236817)	93 800	222,209
Battelle Memorial Institute (00118203)	81 121	154,812
Battelle Memorial Institute (120399)	81 unknown	542,583
Battelle Memorial Institute (88762)	81 unknown	17,575
Battelle Memorial Institute (US001-0000385256)	93 unknown	134,900
Battelle Memorial Institute (00119430)	81 unknown	226,204

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Battelle Memorial Institute (00118545)	81 unknown \$	297,286
Battelle Memorial Institute (00102215)	81 unknown	257,705
Battelle Memorial Institute (00080440)	81 unknown	5,146
Battelle Memorial Institute (00093542)	81 unknown	1,897
Battelle Memorial Institute (00120569-CYC1)	81 unknown	260,462
Battelle Memorial Institute (00107827-CYC5)	81 unknown	66,644
Bay Area Environmental Research Institute (NASA-NNX12AD05A)	43 001	47,323
Bay Area Research Corporation (1-24-06-13)	43 unknown	99,961
Baylor College of Medicine (101854810)	10 586	36,265
Baylor College of Medicine (A7833)	93 838	92,197
Baylor College of Medicine (A9657)	93 393	86,728
Baylor College of Medicine (20126701519424)	10 310	31,208
Baylor College of Medicine (101524520)	93 389	24,288
Baylor College of Medicine (101235648)	93 837	38,602
Baylor College of Medicine (5600716512:02)	93 865	666,937
Baylor College of Medicine (5600491721:04)	93 846	56,204
Baylor College of Medicine (5600557963:3)	93 395	1,771
Baylor College of Medicine (5600480795:4)	93 395	20,574
Baylor College of Medicine (87492)	93 867	14
Baylor College of Medicine (SUB 101693305 (EY016525))	93 867	74,523
Baylor College of Medicine (SUB 101835646 (EY016525))	93 867	606,545
Baylor College of Medicine (SUB 101835723 (AI105101))	93 855	11,462
Baylor College of Medicine (101703436-CYC1)	93 867	22,075
Baylor College of Medicine (101836530-CYC1)	93 867	424,868
Baylor University Medical Center (41010191301)	93 855	31,521
Baylor University Medical Center (63244)	99 unknown	221
Behavioral Tech Research, Inc. (MH093071-01A1)	93 242	15,773
Benaroya Research Institute at Virginia Mason (B2761)	93 855	889,658
Benaroya Research Institute at Virginia Mason (B2973)	93 855	6,335
Benaroya Research Institute at Virginia Mason (B2975)	93 855	177
Benaroya Research Institute at Virginia Mason (B2984)	93 855	10,269
Benaroya Research Institute at Virginia Mason (B2993)	93 855	1,559
Benaroya Research Institute at Virginia Mason (SUB FY11.010.03346-01.A55905(N))	93 unknown	34,609
Berkeley, City of (8944)	20 505	18,864
Bermuda Institute of Ocean Sciences (133559)	47 050	77,214
Beth Israel Deaconess Medical Center (B1770)	93 853	80
Beth Israel Deaconess Medical Center (SUB NONE (DK080665))	93 847	9,691
Beth Israel Deaconess Medical Center (SUB NONE (HL094555))	93 839	122,858
Beth Israel Deaconess Medical Center (01026253-CYC1)	93 121	79,044
Biopico Systems (BPS-101559-CYC1)	47 041	11,621
Biopico Systems (BPS-53551-CYC1)	93 113	25,111
Biopico Systems (BPS-53603-CYC1)	93 113	15,615
Biopico Systems (BPS-54576-CYC1)	93 837	16,906
Blood Systems, Inc. dba Blood Systems Research Institute (A9373)	12 420	233,698
Blood Systems, Inc. dba Blood Systems Research Institute (B1259)	93 855	52,860
Blood Systems, Inc. dba Blood Systems Research Institute (B1978)	93 unknown	2,815
Blood Systems, Inc. dba Blood Systems Research Institute (B2238)	93 855	49,929
Blood Systems, Inc. dba Blood Systems Research Institute (B2467)	93 855	14,231
Blue Road Research (78169)	47 041	(10,721)
Boeing Company, The (869480)	12 300	29,432
Boeing Company, The (79148)	12 300	90,721
Booz Allen Hamilton, Inc. (T-12010)	20 unknown	79,663

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Booz Allen Hamilton, Inc. (T-14020)	20 unknown \$	9,399
Booz Allen Hamilton, Inc. (005893)	20 unknown	43,526
Booz Allen Hamilton, Inc. (006565)	20 unknown	17,598
Booz Allen Hamilton, Inc. (58892)	20 unknown	(99,980)
Booz Allen Hamilton, Inc. (005626)	20 unknown	310,650
Boston College (5001828-1)	12 800	94,134
Boston College (005917)	47 049	28,942
Boston Fusion (BF-5008-SK002)	12 910	7,520
Boston Medical Center Corporation (SUB 0310101 (DA032082))	93 279	24,740
Boston University (4500000129)	47 050	23,323
Boston University (4500001209)	93 865	16,423
Boston University (4500000638)	93 113	146,386
Boston University (A9996)	93 846	22,989
Boston University (B0916)	93 846	54,159
Boston University (30680)	93 866	(1,441)
Boston University (4500001008)	93 866	306,792
Boston University (RA205800NGQ)	93 866	255
Boston University (4500000823:2)	12 420	122,808
Boston University (450000211:1)	93 394	8,605
Boston University (SUB 4500001406 (AG018384))	93 866	142,658
Boston University (9500229100)	93 unknown	18,600
Boston University (4500001274)	47 050	8,513
Boston University (4500001129-CYC2)	93 113	12,867
Boston University (4500001345-CYC1)	93 143	137,562
Boston University (4500001545-CYC1)	93 143	10,315
Brain Trauma Foundation, Inc. (B0479)	12 unknown	69,032
Brandeis University (SUB 402255 (MH102841))	93 242	143,032
Breakaway, Ltd. (20132843)	99 unknown	1,190
Brentwood Biomedical Research Institute (20111179:02)	93 273	156,380
Brentwood Biomedical Research Institute (20064207:06)	93 855	77,648
Brentwood Biomedical Research Institute (20114265:01)	93 853	64,367
Brentwood Biomedical Research Institute (58216)	93 853	90,926
Brentwood Biomedical Research Institute (58219)	93 853	84,816
Brentwood Biomedical Research Institute (20132325)	93 396	39,100
Brentwood Biomedical Research Institute (20132299)	93 395	47,676
Brentwood Biomedical Research Institute (20121469)	93 847	28,038
Brentwood Biomedical Research Institute (20111881:02)	93 273	52,248
Brentwood Biomedical Research Institute (20140254)	93 701	69,499
Brentwood Biomedical Research Institute (20084197:05)	93 242	352,359
Brentwood Biomedical Research Institute (20113480:01)	93 273	5,070
Brentwood Biomedical Research Institute (20103090:02)	93 242	14,703
Brigham and Women's Hospital (108402)	93 855	68,163
Brigham and Women's Hospital (A7319)	93 855	(3,435)
Brigham and Women's Hospital (A8044)	93 855	17,384
Brigham and Women's Hospital (A8182)	93 855	145,038
Brigham and Women's Hospital (A8928)	93 399	7,520
Brigham and Women's Hospital (A9121)	93 855	17,824
Brigham and Women's Hospital (A9464)	93 838	138,794
Brigham and Women's Hospital (A9631)	93 855	66,434
Brigham and Women's Hospital (A9820)	93 855	84,527
Brigham and Women's Hospital (A9879)	93 855	201,593
Brigham and Women's Hospital (B0073)	93 855	8,045

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	Federal CFDA #	Total Expenditures
Brigham and Women's Hospital (B0252)	93 855	\$ 16,937
Brigham and Women's Hospital (B0538)	93 393	10,096
Brigham and Women's Hospital (B0567)	93 838	19,468
Brigham and Women's Hospital (B0702)	93 855	13,892
Brigham and Women's Hospital (B1191)	93 855	133,474
Brigham and Women's Hospital (B1226)	93 846	29,919
Brigham and Women's Hospital (B1390)	93 unknown	224
Brigham and Women's Hospital (B1465)	93 unknown	86,913
Brigham and Women's Hospital (B1480)	93 855	10,639
Brigham and Women's Hospital (B1850)	93 394	145,727
Brigham and Women's Hospital (B1883)	93 855	25,802
Brigham and Women's Hospital (B2134)	93 846	14
Brigham and Women's Hospital (B2209)	93 855	32,560
Brigham and Women's Hospital (B2242)	93 855	85,634
Brigham and Women's Hospital (B2614)	93 172	33,530
Brigham and Women's Hospital (B2971)	93 855	47,799
Brigham and Women's Hospital (1P50MH082679)	93 242	34,339
Brigham and Women's Hospital (20143967)	93 855	3,972
Brigham and Women's Hospital (108402)	93 855	181,544
Brigham and Women's Hospital (108364)	93 855	1,420
Brigham and Women's Hospital (108561)	93 855	90,844
Brigham and Women's Hospital (105963:4)	93 837	83,895
Brigham and Women's Hospital (108488)	93 855	5,395
Brigham and Women's Hospital (59719)	93 286	3,591
Brigham and Women's Hospital (110008)	93 855	125,180
Brigham and Women's Hospital (PS#105087/CTOT-11:02)	93 855	1,540
Brigham and Women's Hospital (108079:01)	93 855	138,393
Brigham and Women's Hospital (107288:1)	93 393	219,132
Brigham and Women's Hospital (SUB 106370 (EB005149) CORE 2)	93 286	11,570
Brigham and Women's Hospital (SUB 106369 (EB005149) CORE 1B)	93 286	54,484
Brigham and Women's Hospital (SUB NONE (HL098297))	93 839	25,428
Brigham and Women's Hospital (SUB 108561 (AI068636))	93 855	44,002
Brigham and Women's Hospital (SUB NONE (AI68636))	93 unknown	739
Brigham and Women's Hospital (SUB 108685 (NS 079201))	93 853	228,925
Brigham and Women's Hospital (SUB 108264 (AI068636))	93 885	309,876
Brigham and Women's Hospital (SUB 108364 (AI068636))	93 855	77,174
Brigham and Women's Hospital (SUB NONE (AI068636))	93 856	9,767
Brigham and Women's Hospital (BWH-54101-CYC2)	93 unknown	6,500
Brigham and Women's Hospital (108685-CYC1)	93 853	368,116
Brigham and Women's Hospital (BWH-101848-CYC1)	93 837	277
ARRA-Brigham and Women's Hospital (A7483)	93 unknown	12,385
Bristol Harbor Group, Inc. (005902)	99 unknown	5,523
Broad Institute, Inc. (5230121-5500000600)	93 310	98,533
Broad Institute, Inc. (87908)	93 172	71,439
Brookhaven National Laboratory/Brookhaven Science Associates, LLC (39554)	81 unknown	385,559
Brookhaven National Laboratory/Brookhaven Science Associates, LLC (200238)	81 unknown	7
Brookhaven National Laboratory/Brookhaven Science Associates, LLC (198731:3)	99 unknown	78,791
Brookhaven National Laboratory/Brookhaven Science Associates, LLC (233044)	99 unknown	108,817
Brookhaven National Laboratory/Brookhaven Science Associates, LLC (238854)	99 unknown	92,343
Brookhaven National Laboratory/Brookhaven Science Associates, LLC (241533:01)	99 unknown	128,975
Brookhaven National Laboratory/Brookhaven Science Associates, LLC (186731-005)	99 unknown	224,946
Brookhaven National Laboratory/Brookhaven Science Associates, LLC (223656)	99 unknown	59,855

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Brookhaven National Laboratory/Brookhaven Science Associates, LLC (267696-CYC1)	81 unknown \$	76,905
Brown University (00000552)	12 800	156,669
Brown University (00000502)	12 300	144,140
Brown University (00000485)	12 300	154,494
Brown University (SUB 00000613 (AA019072))	93 273	25,481
Brown University (00000531-CYC2)	93 846	101,751
Buck Institute for Research on Aging (2050)	93 866	188,306
Buck Institute for Research on Aging (B2327)	93 866	266,613
Burke Rehabilitation Hospital (B2596)	93 853	26,674
ARRA-Cal H&W Office of Health Information Integrity (B1117)	93 719	(3,410)
Cal-Pure Pistachios, Inc. (33236)	10 215	114,290
Calance Corporation (20120766)	99 unknown	157,565
California Association for Research in Astronomy (11678)	47 049	36,826
California Association for Research in Astronomy (11934)	47 049	4,780
California Association for Research in Astronomy (63087)	99 unknown	(446)
California Association for Research in Astronomy (11334)	47 049	25,797
California Association of Nurseries and Garden Centers (frmly CAN) (32074)	10 170	1,290
California Child Development Division (CN130196)	93 575	801,273
California Coastal Conservancy (12065)	66 126	36,349
California Department of Aging (83336)	93 778	(45,653)
California Department of Education (84446)	84 367	7,621
California Department of Education (83371)	10 unknown	(8,913)
California Department of Education (NCLB10-CSP-UCSB)	84 367	27,275
California Department of Education Curriculum and Instruction (NCLB10-CWLP-UCB)	84 367	22,574
California Department of Education Curriculum and Instruction (NCLB10-CHSSP-UCB)	84 367	47,050
California Department of Education Curriculum and Instruction (NCLB10-CMP-UCSB)	84 367	21,699
California Department of Education Curriculum and Instruction (NCLB10-CWP-UCSB)	84 367	36,934
California Department of Education Curriculum and Instruction (18286)	84 367	6,789
California Department of Education Curriculum and Instruction (18287)	84 367	149
ARRA-California Department of Education (96504)	93 708	23,883
California Department of Fish and Wildlife (P1382003)	15 615	104,174
California Department of Fish and Wildlife (33541)	15 605	(3,717)
California Department of Fish and Wildlife (84054)	11 438	54,589
California Department of Fish and Wildlife (P1382008)	99 unknown	126,230
California Department of Food and Agriculture (12-0548-SF)	10 025	33,940
California Department of Food and Agriculture (SCB13056)	10 170	2,102
California Department of Food and Agriculture (11-0448-SA)	10 025	48,901
California Department of Food and Agriculture (SCB12050)	10 170	68,008
California Department of Food and Agriculture (120444SA)	10 unknown	31,367
California Department of Food and Agriculture (SCB12064)	10 170	65,418
California Department of Food and Agriculture (SCB12066)	10 170	33,711
California Department of Food and Agriculture (SCB12063)	10 170	88,723
California Department of Food and Agriculture (SCB12062)	10 170	121,201
California Department of Food and Agriculture (SCB12068)	10 170	165,439
California Department of Food and Agriculture (SCB12067)	10 170	31,003
California Department of Food and Agriculture (SCB12065)	10 170	82,045
California Department of Food and Agriculture (23844)	10 170	7,404
California Department of Food and Agriculture (SCB12038)	10 170	132,488
California Department of Food and Agriculture (SCB13055)	10 170	16,148
California Department of Food and Agriculture (SCB13048)	10 170	52,925
California Department of Food and Agriculture (SCB13052)	10 170	67,320
California Department of Food and Agriculture (SCB13019)	10 170	33,628

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California Department of Food and Agriculture (SCB13059)	10 170	\$ 4,552
California Department of Food and Agriculture (SCB13061)	10 170	21,346
California Department of Food and Agriculture (SCB13049)	10 170	2,397
California Department of Food and Agriculture (SCB13057)	10 170	13,095
California Department of Food and Agriculture (SCB13013)	10 170	19,851
California Department of Food and Agriculture (SCB11058)	10 170	211,283
California Department of Food and Agriculture (32065)	10 170	62,120
California Department of Food and Agriculture (SCB11018)	10 170	218,353
California Department of Food and Agriculture (SCB11020)	10 170	68,287
California Department of Food and Agriculture (SCB11065)	10 170	53,348
California Department of Food and Agriculture (SCB11054)	10 170	173,862
California Department of Food and Agriculture (SCB11017)	10 170	259,368
California Department of Food and Agriculture (SCB11013)	10 170	218,698
California Department of Food and Agriculture (SCB11068)	10 170	23,931
California Department of Food and Agriculture (32083)	10 170	953
California Department of Food and Agriculture (SCB11063)	10 170	135,984
California Department of Food and Agriculture (SCB11067)	10 170	103,703
California Department of Food and Agriculture (SCB11066)	10 170	12,922
California Department of Food and Agriculture (SCB11028)	10 170	32,295
California Department of Food and Agriculture (SCB11055)	10 170	43,043
California Department of Food and Agriculture (SCB12039)	10 170	78,430
California Department of Food and Agriculture (SCB12052)	10 170	38,127
California Department of Food and Agriculture (SCB11064)	10 170	78,127
California Department of Food and Agriculture (SCB11030)	10 170	24,367
California Department of Food and Agriculture (33300)	10 170	7,512
California Department of Food and Agriculture (33302)	10 170	41,924
California Department of Food and Agriculture (83616)	10 170	(149)
California Department of Food and Agriculture (100278)	10 200	7,382
California Department of Food and Agriculture (83680)	10 170	94,532
California Department of Food and Agriculture (83859)	10 170	1,502
California Department of Food and Agriculture (83862)	10 170	21,084
California Department of Food and Agriculture (83865)	10 170	2,807
California Department of Food and Agriculture (83868)	10 170	304
California Department of Food and Agriculture (83873)	10 170	38,796
California Department of Food and Agriculture (SCB13063)	10 170	29,693
California Department of Food and Agriculture (SCB13060)	10 170	27,494
California Department of Food and Agriculture (SCB13058)	10 170	13,045
California Department of Food and Agriculture (SCB13064)	10 170	1,042
California Department of Food and Agriculture (88239)	10 170	2,553
California Department of Food and Agriculture (005504)	10 170	133,421
California Department of Food and Agriculture (005507)	10 170	28,893
California Department of Food and Agriculture (005508)	10 170	76,446
California Department of Food and Agriculture (005881)	10 170	144,496
California Department of Food and Agriculture (18994)	10 170	2,654
California Department of Food and Agriculture (005936)	10 170	111,309
California Department of Food and Agriculture (005954)	10 170	152,914
California Department of Food and Agriculture (005956)	10 170	33,189
California Department of Food and Agriculture (006502)	10 170	9,077
California Department of Food and Agriculture/miscellaneous Agencies (SCB12046)	10 170	100,010
California Department of Forestry and Fire Protection (8CA12404)	10 675	117,938
California Department of Forestry and Fire Protection (8CA11400)	10 680	35,216
California Department of Forestry and Fire Protection (005885)	10 680	64,368

**UNIVERSITY OF CALIFORNIA**  
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	Federal CFDA #	Total Expenditures
California Department of Forestry and Fire Protection (005888)	10 680	\$ 149,788
California Department of Health Care Services (A8802)	93 unknown	896,806
California Department of Health Care Services (1289548)	93 251	132,167
California Department of Health Care Services (1110082)	66 458	291,793
California Department of Health Care Services (83888)	93 251	136
California Department of Health Care Services (18299)	99 unknown	5,251
California Department of Health Care Services (18839)	93 778	(1,535)
California Department of Industrial Relations (C8954517)	15 unknown	8,808
California Department of Pesticide Regulation (006508)	66 605	25,744
California Department of Pesticide Regulation (006735)	66 unknown	22
California Department of Public Health (Sub 11-10231)	99 unknown	257,329
California Department of Public Health (77948)	93 153	(10,087)
California Department of Public Health (A8156)	93 unknown	143,715
California Department of Public Health (A9669)	93 unknown	2,575,955
California Department of Public Health (B1726)	10 unknown	2,564,829
California Department of Public Health (B1886)	93 unknown	1,637,670
California Department of Public Health (B2057)	93 994	211,481
California Department of Public Health (B2494)	93 unknown	1,419,879
California Department of Public Health (1320588)	66 unknown	118,011
California Department of Public Health (1320566)	93 945	15,443
California Department of Public Health (1320561)	93 283	24,816
California Department of Public Health (1110690)	93 940	73,344
California Department of Public Health (1110173)	66 468	200,256
California Department of Public Health (1210128)	93 283	511,798
California Department of Public Health (1110931)	93 103	22,586
California Department of Public Health (1110267)	10 561	4,130
California Department of Public Health (1210111)	10 561	1,415,449
California Department of Public Health (SUB 13-20934 (NONE))	93 unknown	50,592
California Department of Public Health (18861)	10 unknown	691
California Department of Rehabilitation (28680)	84 126	111,069
California Department of Social Services (11-2021)	93 558	712,347
California Department of Social Services (112027)	93 unknown	712,836
California Department of Social Services (18212)	93 658	1,391
California Department of Social Services (00008307)	93 658	952,260
California Department of Transportation (65A0491)	20 unknown	184,974
California Emergency Management Agency (FEMA-1884-DR-CA, PROJECT #PL23)	97 039	63,078
California Emergency Management Agency (SUB 6036-1 (NONE))	97 067	4,374
California Emergency Management Agency (000-92086 (2011-0077))	97 unknown	227,579
California Employment Development Department (K391539-CYC1)	17 258	161,668
California Energy Commission (50010032)	81 unknown	47
California Health Benefit Exchange (12-E9132)	93 525	381,504
California Health Benefit Exchange (13-E9001)	93 525	710,574
California Health Benefit Exchange (18501)	93 525	975
California Institute of Technology (21B-1094977)	93 859	2,289
California Institute of Technology (44A-1085101)	43 002	1,093,921
California Institute of Technology (70-1089871)	47 049	22,848
California Institute of Technology (B0993)	12 910	185,407
California Institute of Technology (27503)	47 unknown	16,814
California Institute of Technology (68D1086057)	47 049	27,067
California Institute of Technology (19GM1087680)	93 286	469,533
California Institute of Technology (HST-GO-13031.05-A)	93 867	33,050
California Institute of Technology (21B-1088934:03)	93 395	1,692,541

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California Institute of Technology (68-1093781:01)	93 395	\$ 61,757
California Institute of Technology (68-1090126:02)	93 397	757,402
California Institute of Technology (7E-1089348:03)	47 041	161,092
California Institute of Technology (52-1092313:02)	12 800	160,021
California Institute of Technology (005428)	47 041	88,622
California Institute of Technology (DE-SC0004949)	81 049	11,311
California Institute of Technology (SUB 1089976 (GM094610))	93 859	23,630
California Institute of Technology (SUB NONE (DS-SC0004993))	81 049	110,673
California Institute of Technology (65L-1092404)	47 049	142,103
California Institute of Technology (SUB 19GM-1093586 (CA164803))	93 396	68,641
California Institute of Technology (SUB 68C-1093852 (GM094610))	93 859	1,511,646
California Institute of Technology (SUB 82-1094663 (GM070923))	93 859	33,734
California Institute of Technology (SUB 56Q-1094584 (DE-SC0010574))	81 049	45,493
California Institute of Technology (2-1090925-001)	43 001	112,962
California Institute of Technology (19-1089396)	93 859	129,163
California Institute of Technology (44Q-1092164)	47 049	246,727
California Institute of Technology (44E-1093366-CYC1)	47 049	8,703
California Institute of Technology (44A-1088684-CYC5)	43 unknown	47,387
California Institute of Technology (68J-1090279-CYC5)	81 unknown	146,208
California Office of Traffic Safety (AL1369)	20 608	10,970,351
California Office of Traffic Safety (PT1345)	20 602	354,875
California Office of Traffic Safety (PS1305)	20 600	339,913
California Office of Traffic Safety (AL1319)	20 600	167,973
California Office of Traffic Safety (TR1307)	20 610	71,892
California Office of Traffic Safety (MC1302)	20 610	23,312
California Office of Traffic Safety (TR1404)	20 610	164,961
California Office of Traffic Safety (AL1410)	20 608	55,786
California Office of Traffic Safety (PT1426)	20 616	775,410
California Office of Traffic Safety (MC1401)	20 610	112,138
California Office of Traffic Safety (18356)	20 600	(769)
California Office of Traffic Safety (18357)	20 600	(1,853)
California Office of Traffic Safety (18882)	20 600	(734)
California Office of Traffic Safety (OP1310)	20 600	102,063
California Office of Traffic Safety (OP1409)	20 616	247,906
California Pacific Medical Center (SUB 280201005-S175 (AR065268))	93 846	5,227
California Pacific Medical Center Research Institute (A6930)	93 866	(47)
California Pacific Medical Center Research Institute (A8392)	93 866	81,690
California Pacific Medical Center Research Institute (B0201)	93 233	28,645
California Pacific Medical Center Research Institute (B0344)	93 866	136,124
California Pacific Medical Center Research Institute (B0487)	93 866	137,109
California Pacific Medical Center Research Institute (B2116)	93 846	149,976
California Pacific Medical Center Research Institute (2803264-S132:2)	93 867	42,609
California Pacific Medical Center Research Institute (2803209-S103 114441 (HL071194))	93 839	108,914
California Parks and Recreation, Department of (20414)	15 904	197
California Parks and Recreation, Department of (20445)	15 904	6,903
California Postsecondary Education Commission (ITQ-10-709)	84 367	237,716
California Postsecondary Education Commission (ITQ097600)	84 367	676,144
California Postsecondary Education Commission (ITQ10704)	84 367	140,658
California Postsecondary Education Commission (ITQ-11-808-CYC2)	84 367	170,149
California Postsecondary Education Commission (ITQ-10-710-CYC10)	84 367	145,396
ARRA-California Postsecondary Education Commission (96503)	93 713	24,608
California State Beekeepers Association, Inc. (23891)	10 170	4,010

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	Federal CFDA #	Total Expenditures
California State Library (20419)	45 310	\$ 14,090
California State Library (006326)	45 310	261,524
California State University Fullerton/CSU Fullerton Auxiliary Services (20113841130536)	10 217	13,127
California State University Fullerton/CSU Fullerton Auxiliary Services (S-4707-UCIDOE-CYC4)	47 082	16,717
California State University, Fresno Foundation (11100713)	10 unknown	42,662
California State University, Long Beach Foundation (S125510100)	93 279	14,284
California State University, Long Beach Foundation (07-372810A-CYC1)	81 121	102,982
California State University, Northridge (F-11-2870UCLA:01)	43 001	42,075
ARRA-California State University, Northridge (F-08-2347-1.0/40013948UCLA:4)	47 082	17,365
California State University, San Diego State University (56280AP38127802)	47 074	70,813
California State University, San Diego State University (57387A P3013 7802 211)	47 079	118,916
California State University, San Diego State University (83007)	93 unknown	(35,615)
California State University, San Diego State University (820A P3019 7803 211 (MH081023))	93 242	15,640
California State University, San Diego State University (60001-P1350-7802-211(DP001917))	93 135	39,586
California State University, San Diego State University (SUB 55665C 7802 (HL092136))	93 839	35,106
California State University, San Diego State University (SUB 54051C P3531(HD047736))	93 865	51,090
California State University, San Diego State University (87448)	93 873	(19)
California State University, San Diego State University (586A P3013 7802 211 (GM095384))	93 859	17,496
California State University, San Diego State University (E0008397)	84 116	8,848
California State University, San Diego State University (56800A P3060 7803 211)	12 300	139,876
California State University, San Diego State University (48 7802 212 (N00244-10-1-0054))	12 300	149,412
California State University, San Diego State University (87943)	93 865	(31)
California State University, San Diego State University (88679)	93 279	11,806
California State University, San Diego State University (SUB SA0000289 (MH098124))	93 242	60,802
California State University, San Diego State University (SUB SA0000320 (HL085577))	93 837	504,013
California State University, San Diego State University (SUB SA0000322 (HL085577))	93 837	220,232
California State University, San Diego State University (SUB SA0000338 (AI105185))	93 855	131,749
California State University, San Diego State University (56825A-P1623-7804-211)	47 076	5,192
California State University, San Francisco State University (S13-0007)	47 049	86,426
California State University, San Francisco State University (A7217)	93 242	30,128
California State University, San Francisco State University (A7931)	93 242	126,365
California State University, San Francisco State University (A9524)	93 242	3,178
California State University, San Francisco State University (S110006)	11 419	111,055
California State University, San Francisco State University (S110022)	15 512	56,562
California State University, San Jose State University Foundation (1108-UCI-CYC1)	20 701	16,764
California Transportation Commission (005682)	20 299	116,172
Cancer Prevention Institute of California (A8204)	93 unknown	5,160
Cancer Prevention Institute of California (A9881)	93 113	77,377
Care New England Health Systems (9094-8335 (NR012005))	93 361	19,075
Care New England Health Systems (SUB 9090 (MH086513))	93 242	12,649
Care New England Health Systems (SUB 9199-8335 (DA031369))	93 279	34,905
Carnegie Foundation for the Advancement of Teaching (The) (58967)	17 002	7,918
Carnegie Institution (4-10469-18)	81 unknown	55,076
Carnegie Institution (4-10469-12)	81 112	45,318
Carnegie Institution (B1296)	93 859	39,927
Carnegie Institution (4-10469-07)	81 112	84,602
Carnegie Institution (DTM 3250-09)	43 unknown	24,139
Carnegie Mellon University (1141221-320624)	12 300	66,667
Carnegie Mellon University (1150107-284354)	12 800	32,130
Carnegie Mellon University (1120953207367)	47 unknown	76,753
Carnegie Mellon University (1090339-274246:1)	93 867	244,154
Carnegie Mellon University (1150114-293600)	12 910	260,967

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Carnegie Mellon University (1100031-232428)	84 305	\$ (333)
Cary Institute of Ecosystem Studies (3161200201406)	47 074	33,025
Case Western Reserve University (A9609)	93 855	6,814
Case Western Reserve University (RES504476)	47 049	32,971
Case Western Reserve University (RES507432)	93 853	11,157
Case Western Reserve University (RES506229:3)	93 121	151,779
Case Western Reserve University (SUB CWRU-N01-DK-6-2203 (DK3782))	93 847	257
Case Western Reserve University (82505)	93 unknown	(8,087)
Case Western Reserve University (87522)	93 389	55,843
Case Western Reserve University (SUB RES506705 (DK094157))	93 847	174,393
Case Western Reserve University (SUB 2013-0191 (CMMI-1134953))	47 041	18,648
Case Western Reserve University (SUB RES507959 (EY023196))	93 867	162,589
Case Western Reserve University (SUB RES508624 (DK101074))	93 847	9,599
Case Western Reserve University (RES504477)	47 049	32,589
Case Western Reserve University (78632)	93 855	21
Case Western Reserve University (RES508200-CYC1)	93 855	108,030
ARRA-Case Western Reserve University (RES504242)	47 082	(55,736)
Cbrite, Inc. (W15P7T-08-C-P410-04)	93 239	77,845
Cdm Federal Programs Corporation (006283)	99 unknown	10,798
Cdm Federal Programs Corporation (58332)	99 unknown	13,269
Cedars-Sinai Medical Center (A8449)	93 845	47,654
Cedars-Sinai Medical Center (0000927441)	93 837	57,384
Cedars-Sinai Medical Center (0000960874)	93 837	233,440
Cedars-Sinai Medical Center (59354)	93 273	8,538
Cedars-Sinai Medical Center (0000964070)	93 847	138,742
Cedars-Sinai Medical Center (0000881779:001)	93 865	263
Cedars-Sinai Medical Center (0000574020)	93 847	311,819
Cellerant Therapeutics, Inc. (SUB CLT008-02 (HHSO1002010000))	93 unknown	72,308
Cellsite Technologies (B0526)	93 unknown	49,560
Center for Clinical Care and Research in Nigeria (B0219)	93 unknown	195,638
Center for Comprehensive Care & Diagnosis of Inherited Blood Disorders (23303)	10 unknown	1,584
Center for Comprehensive Care & Diagnosis of Inherited Blood Disorders (CIBDIX2011CDCUCD1)	10 unknown	22,783
Center for Human Services (FY13-A01-7014)	19 704	137,991
Center for International Rehabilitation (201120561)	12 unknown	27,682
Center for Land-based Learning (33318)	10 170	(52)
Central Coast Agriculture Network (33314)	10 170	1,250
Centro de Investigacion Cientifica de Ensenada (004915-006)	12 800	4,484
Centro de Investigacion en Materiales Avanzados (SB110033)	12 unknown	82,791
Cepand, Inc. (1001-CYC1)	47 041	26,614
Ceramatec, Inc. (DE-AR0000328)	81 unknown	116,959
Cfd Research Corporation (20120164)	93 unknown	80,500
Ch2m Hill (1306090)	12 unknown	32,703
Chapman University (2011-2701)	10 310	(1,519)
Charles R. Drew University of Medicine and Science (12-13-JV-G0077100-UCLA-MW)	93 307	47,205
Charles R. Drew University of Medicine and Science (13-14-DM-G0987A00-UCLA)	93 307	500,472
Charles R. Drew University of Medicine and Science (12-13-JV-G0077100-UCLA-IG)	93 307	41,976
Charles R. Drew University of Medicine and Science (12-13-JV-G0077100-UCLA-MG)	93 307	100,781
Charles R. Drew University of Medicine and Science (59036)	93 397	(49)
Charles R. Drew University of Medicine and Science (59385)	93 307	(21,218)
Charles R. Drew University of Medicine and Science (13-14-JV-G0811JS1-UCLA-SN)	99 unknown	25,750
Charles R. Drew University of Medicine and Science (13-14-DC-F0033700-UCLA-SN)	93 307	31,318
Charles R. Drew University of Medicine and Science (59561)	93 307	(231)

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Charles R. Drew University of Medicine and Science (79431)	93 307	\$ (6,636)
Charles R. Drew University of Medicine and Science (12-13-JV-G0077100-UCLA-RP)	93 307	86,693
Charles R. Drew University of Medicine and Science (12-13-JV-G0077100-UCLA-SM)	93 307	54,224
Charles River Analytics (SC1315901)	12 300	109,816
Charles River Analytics (SC1003701)	12 910	315,977
Charles River Analytics (SC1315902 (APRIL)-CYC1)	12 910	67,814
Charles Stark Draper Laboratory, Inc. (SC001-0000000485)	12 431	16,066
Charlotte-Mecklenburg Hospital Authority, The (85574)	93 226	(7)
Chemat Technology, Inc. (88440)	12 300	33
Chemat Technology, Inc. (UB 20132452 (FA9453-13-C-0113))	12 800	12,879
Chemonics International Consulting Division (59234)	93 001	13,649
Chemonics International Consulting Division (59235)	93 001	11,288
Chesapeake Perl, Inc. (1U43CA167593-01)	93 394	48,983
Chevron Corporation (CW793025 (FORMERLY 15019674))	89 089	14,237
Chicago Association for Research and Education in Science (A7919)	93 unknown	189,336
Chicago Association for Research and Education in Science (CSP LUO 0001)	93 853	(116,059)
Children's Hospital and Research Center at Oakland (A9943)	93 283	12,711
Children's Hospital and Research Center at Oakland (B0931)	93 103	11,476
Children's Hospital and Research Center at Oakland (128059)	12 unknown	15,088
Children's Hospital Corp. (The), Boston, Mass. (B0963)	93 865	15,516
Children's Hospital Corp. (The), Boston, Mass. (B1019)	93 855	5,000
Children's Hospital Corp. (The), Boston, Mass. (B1213)	93 855	2,500
Children's Hospital Corp. (The), Boston, Mass. (B2151)	93 853	54,607
Children's Hospital Corp. (The), Boston, Mass. (74159)	93 838	924
Children's Hospital Corp. (The), Boston, Mass. (PO#RSTFD0000541264:2)	93 853	453,313
Children's Hospital Corp. (The), Boston, Mass. (85253:1)	93 855	64,684
Children's Hospital Corp. (The), Boston, Mass. (0000517510)	93 855	135,710
Children's Hospital Corp. (The), Boston, Mass. (20102338)	12 420	185,421
Children's Hospital Corp. (The), Boston, Mass. (0000482802:02)	93 855	70,858
Children's Hospital Corp. (The), Boston, Mass. (PO#RSTFD0000542189:2)	93 853	1,341
Children's Hospital Corp. (The), Boston, Mass. (SUB NONE (FD003523))	93 103	93,795
ARRA-Children's Hospital Corp. (The), Boston, Mass. (A8565)	93 726	8,893
Children's Hospital Medical Center of Cincinnati (B0636)	93 865	44,517
Children's Hospital Medical Center of Cincinnati (B0684)	93 853	5,566
Children's Hospital Medical Center of Cincinnati (B0758)	93 847	14,382
Children's Hospital Medical Center of Cincinnati (B1684)	93 867	261,331
Children's Hospital Medical Center of Cincinnati (106277:03)	93 310	15,956
Children's Hospital Medical Center of Cincinnati (131124)	93 855	20,720
Children's Hospital Medical Center of Cincinnati (107759:3)	93 853	8,967
Children's Hospital Medical Center of Cincinnati (HHSN275200900018C)	93 853	168,435
Children's Hospital Medical Center of Cincinnati (106802:6)	99 unknown	107,236
Children's Hospital Medical Center of Cincinnati (82303)	93 853	(3,025)
Children's Hospital Medical Center of Cincinnati (SUB 107759 (NS045911))	93 853	82,501
Children's Hospital Medical Center of Cincinnati (130474 (HD000850))	93 865	88,428
Children's Hospital of Los Angeles (A9554)	93 395	29,845
Children's Hospital of Los Angeles (A9735)	93 395	224,763
Children's Hospital of Los Angeles (B0586)	93 847	540
Children's Hospital of Los Angeles (B0892)	93 395	120,430
Children's Hospital of Los Angeles (B1815)	93 847	540
Children's Hospital of Los Angeles (8018-RGF008095-00)	93 839	107,002
Children's Hospital of Los Angeles (8018-RGF008024-00)	93 395	23,537
Children's Hospital of Los Angeles (492A2)	93 092	(4,163)

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Children's Hospital of Los Angeles (531)	93 865	\$ 3,584
Children's Hospital of Los Angeles (P2013-0010:01)	93 273	31,546
Children's Hospital of Los Angeles (P2012-0274 UCLA:01)	93 859	20,551
Children's Hospital of Los Angeles (82420)	93 110	9
Children's Hospital of Los Angeles (B 8029-RGF007034-00 (MH087563))	93 242	114,672
Children's Hospital of Los Angeles (SUB P2013-0259 (AI106716))	93 855	61,653
Children's Hospital of Los Angeles (013-0259-UCSD2_14_1 (AI106716))	93 855	21,784
Children's Hospital of Los Angeles (CHLA-102413-CYC1)	93 847	540
Children's Hospital of Orange County (5R01HD059967)	93 865	(504)
Children's Hospital of Philadelphia (A9818)	93 395	204,282
Children's Hospital of Philadelphia (B0359)	93 395	26,524
Children's Hospital of Philadelphia (B0382)	93 unknown	15,000
Children's Hospital of Philadelphia (B0724)	93 395	44,820
Children's Hospital of Philadelphia (B0727)	93 867	11,710
Children's Hospital of Philadelphia (B0841)	93 395	189
Children's Hospital of Philadelphia (B0843)	93 395	29,277
Children's Hospital of Philadelphia (B0855)	93 395	15,000
Children's Hospital of Philadelphia (B1199)	93 395	1,200
Children's Hospital of Philadelphia (B1832)	93 395	32,714
Children's Hospital of Philadelphia (B2111)	93 395	15,074
Children's Hospital of Philadelphia (B2274)	93 865	9,978
Children's Hospital of Philadelphia (B2388)	93 unknown	16,029
Children's Hospital of Philadelphia (960664RSUB)	93 110	11,828
Children's Hospital of Philadelphia (320896)	93 110	418
Children's Hospital of Philadelphia (3210050618)	93 865	3,318
Children's Hospital of Philadelphia (20132358)	99 unknown	2,610
Children's Hospital of Philadelphia (FP7528-03-01)	93 213	7,738
Children's Hospital of Philadelphia (FP00013013 (CA098543))	93 393	93,715
Children's Hospital of Philadelphia (P00013013_SUB339_01 (CA098543))	93 396	33,568
Children's Hospital of Philadelphia (SUB FP13013 (CA098543))	93 396	45,619
Children's Hospital of Philadelphia (88569)	93 395	1
Children's Hospital of Philadelphia (P00013013_SUB540-01 (CA098543))	93 395	33,505
Children's Hospital of Philadelphia (30175-CYC1)	93 865	219,813
Children's Hospital of Philadelphia (3017X-CYC1)	93 865	244,802
ARRA-Children's Hospital of Philadelphia (88472)	93 701	48
Children's Hospital of Pittsburgh (0026567 1 19337-01 (HD064407))	93 865	26,260
Children's Hospital of San Diego Research Center (SUB 20090363 (90CA1768.01))	93 670	44,238
Children's Hospital of San Diego Research Center (88305)	93 242	140
Children's Mercy Hospital (A6888)	93 849	20,183
Children's Mercy Hospital (B0002)	93 846	6,202
Children's Mercy Hospital (B0244)	93 unknown	12,666
Children's Mercy Hospital (100003)	93 865	43,078
Children's Mercy Hospital (05-0012:10)	93 849	43,753
Children's Mercy Hospital (SUB 04-0036 (DK066143))	93 unknown	11,204
Children's Mercy Hospital (SUB 07-005 (DK066143))	93 849	14,135
Children's National Medical Center (B2435)	93 173	33,009
Children's National Medical Center (300013771201)	12 420	58,340
Children's National Medical Center (30000520-12-04:03)	93 865	91,789
Children's National Medical Center (30000707-13-01:02)	99 unknown	57,038
Children's National Medical Center (SUB 30001387 (LM011320))	93 879	56,200
Children's National Medical Center (30000417-13-09)	93 865	28,396
Children's Research Institute (08381202)	93 846	26,389

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Childrens' Hospital Colorado (87766)	93 838	\$ 287
Chromologic (57754)	99 unknown	23,209
Citrus Research and Development Foundation, Inc. (13002NU781)	10 309	299,942
Citrus Research and Development Foundation, Inc. (006137)	10 309	3,791
City and County of San Francisco (VPPL-6328(054))	20 200	133,656
City and County of San Francisco (A9368)	93 unknown	810,984
City and County of San Francisco (A9486)	93 unknown	1,978,856
City of Hope (50800914673962)	93 855	171,124
City of Hope (214159148296692)	93 395	39,661
City of Hope (50040.914961.6694:01)	93 393	3,253
City of Hope (22390.914991.6699:1)	93 393	7,575
City of Hope (B22746.914995.66922 (GM093325))	93 859	42,999
City of Hope (50297.919900.6693-CYC1)	93 393	66,910
City of Hope (50297.919901.6693-CYC1)	93 393	331,902
City of Hope (22524.915837.6695-CYC10)	93 393	472,055
City of Santa Ana (A-2012-015-CYC1)	17 258	45,039
ARRA-City University of New York (47832 B)	81 135	30,943
Clare Foundation, Inc. (20114621)	93 243	31,350
Claremont Colleges (87539)	93 393	174
Clemson University (15512062008582)	47 049	104,382
Clemson University (88620)	81 057	(599)
Clemson University Research Foundation (SUB 1649-206-2009147 (CMMI-12))	47 041	140,301
Cleveland Clinic Foundation (P20HL113452)	93 837	246,607
Cleveland Clinic Foundation (U01DK066597)	93 847	104,889
Cleveland Clinic Foundation (SUB 312-SUB (NS082083))	93 853	69,802
Cni Federal Services, LLC (SUB CNIIT-711-245-001-1 (HHSM))	93 unknown	4,084,399
Coaching Corps (20131518)	94 023	5,668
Coalition of Orange County Community Clinics, The (COCCC-55480-CYC1)	93 107	(5)
Codar Ocean Sensors (SB120053)	11 unknown	59,554
Cold Spring Harbor Laboratory (SUB 54570113 (MH087988))	93 242	2,584
Cold Spring Harbor Laboratory (52380112)	47 049	105,232
Collaborative Drug Discovery, Inc. (B0058)	93 855	14,899
College of William and Mary (740441-UCLA:002)	81 049	32,322
Colorado School of Mines (400361)	47 049	55,467
Colorado State University (G-3232-2)	47 unknown	133,579
Colorado State University (G-4600-2)	93 855	25,824
Colorado State University (G-9191-1)	10 310	46,155
Colorado State University (G-3624-5)	47 050	50,000
Colorado State University (22142)	10 200	8,075
Colorado State University (22391)	47 074	19,190
Colorado State University (G40232)	47 074	220,187
Colorado State University (G965018)	98 unknown	360,139
Colorado State University (G14201)	10 303	20,689
Colorado State University (G-3624-10:02)	47 050	72,387
Colorado State University (79667)	43 001	(347)
Colorado State University (G-3624-9)	47 500	96,556
Colorado State University (SUB 20101139 (ATM0425247))	47 050	247,350
Colorado State University (SUB G-00201-1 (IIP-1343456))	47 041	10,905
Colorado State University (G-3062-7)	47 076	411,087
Colorado State University (G-7230-1)	12 351	89,102
Colorado, State of (UC01)	90 403	2,729
Columbia University (1 (GG007778))	93 846	101,163

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Columbia University (3(GG009550))	12 910	\$ 86,725
Columbia University (1 (GG003850))	93 846	33,157
Columbia University (A6987)	93 853	5,720
Columbia University (A7218)	93 847	8,041
Columbia University (A7925)	93 113	5,346
Columbia University (A8236)	93 879	82,383
Columbia University (A8268)	93 837	83,683
Columbia University (A8927)	93 855	104,763
Columbia University (27644)	93 unknown	3,422
Columbia University (25260)	93 242	(45,105)
Columbia University (4 (GG006906-11))	93 853	1,331
Columbia University (3 (GG006897):1)	47 049	94,576
Columbia University (2 (GG004883))	93 838	5,962
Columbia University (4 (GG006750-02))	93 837	18,297
Columbia University (SUB 5 GG006326 (NS078059))	93 853	(2,865)
Columbia University (4 (GG006997))	93 242	15,728
Columbia University (SUB 1 (GG005583-03) (DK061734))	93 847	580,115
Columbia University (87845)	93 847	(11,330)
Columbia University (SUB 1 GG006272 (MH 086385))	93 242	164,560
Columbia University (B 2 ACCT. # 5-30237 (CA155973))	93 395	43,348
Columbia University (SUB 1 (GG007512) (HL112388))	93 837	354,556
Columbia University (88422)	93 847	79,247
Columbia University (SUB GG10046 (HD072878))	93 865	28,876
Columbia University (1 (GG008523))	47 050	190,667
Columbia University (SUB GG005583-02 (DK061734))	93 847	349,237
Columbia University (4 (GG006282)-CYC2)	93 865	19,573
Columbia University (1 (GG007543)-CYC2)	93 859	90,171
Columbia University (2 (GG010353)-CYC1)	93 172	35,698
Columbia University (CU-54167-CYC1)	81 unknown	101,737
Columbia University (CU-53010-CYC6)	47 049	584,605
Columbia University (1 (GG006490)-CYC1)	93 867	99,599
Columbia University (6-CYC6)	93 113	364
ARRA-Columbia University (ARRA2539621)	93 701	12,992
Computing Research Association (CIF-E-010)	47 070	67,948
Computing Research Association (CIF-D-010)	47 070	10,394
Connecticut Childrens Medical Center (A8980)	93 847	286
Connecticut Childrens Medical Center (12-179296-17)	93 847	162
Consortium for Ocean Leadership (T340A95)	47 050	5,022
Consortium for Ocean Leadership (T339A38)	47 050	5,527
Consortium for Ocean Leadership (BA-110)	47 050	2,652
Consortium for Ocean Leadership (P.O.#T350A110)	47 050	41,992
Consortium for Ocean Leadership (006450)	47 050	6,343
Consortium for Ocean Leadership (SA 9-08)	47 050	5,090,451
Consortium for Ocean Leadership (87183)	47 050	266,436
Consortium for Ocean Leadership (T340A22)	47 050	3,060
Consortium for Ocean Leadership (T342A22)	47 050	34,524
Consortium for Ocean Leadership (T348A22)	47 050	44,081
Consortium for Ocean Leadership (T342B22)	47 050	8,201
Consortium for Ocean Leadership (62206)	47 050	36,494
Consortium for Ocean Leadership (T327B7-001)	47 050	12,722
Consortium for Ocean Leadership (T343B7-002)	47 050	7,036
Consortium for Ocean Leadership (T342A7-002)	47 050	3,723

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Consortium for Ocean Leadership (SAF-12-03)	47 050	\$ 4,876
Consortium for Ocean Leadership (T344A07)	47 050	16,728
Consortium for Ocean Leadership (T343C7-001)	47 050	13,843
Consortium for Ocean Leadership (T350A44)	47 050	43,162
ARRA-Consortium for Ocean Leadership (SA 9-08 ARRA)	47 050	17,658
Consortium of Univ for Research in Earthquake Engineering (NEHRP-TO-21-10)	11 600	17,934
Consortium of Univ for Research in Earthquake Engineering (NEHRP-TO 25)	11 616	12,896
Consortium of Univ for Research in Earthquake Engineering (SUB 07 (UNR-08-12/CMMI-072139))	47 074	35,153
Consortium of Univ for the Advancement of Hydrologic Science, Inc. (SUB 20095935 (EAR-0949196))	47 050	241,645
Consortium of Univ for the Advancement of Hydrologic Science, Inc. (88062)	47 050	69,133
Consortium of Univ for the Advancement of Hydrologic Science, Inc. (SUB 20123297 (OCI-1234680))	47 080	25,138
Copenhagen University (W911NS-10-1-0330)	12 910	207,921
Cornell University (63519-10076)	93 172	120,898
Cornell University (61468 9517)	47 074	7,118
Cornell University (63519-9682)	93 172	169,135
Cornell University (B2428)	47 074	19,230
Cornell University (26240)	10 025	11,681
Cornell University (6718310110)	47 074	284,724
Cornell University (11091561)	93 867	150,862
Cornell University (33257)	10 310	29,826
Cornell University (84069)	47 070	15,484
Cornell University (557058822)	10 303	4,634
Cornell University (12121765:2)	93 847	377,459
Cornell University (13010007-1)	93 393	21,560
Cornell University (11111987-2)	93 279	6,191
Cornell University (78586)	12 431	14,398
Cornell University (SUB 67350-9962 (DE-NA0001836))	81 112	140,655
Cornell University (44771-7475)	47 041	1,039,959
Cornell University (65291-9851)	12 300	28,931
Cornell University (55179-8767)	12 431	40,935
Cornell University (13081139-CYC1)	93 837	17,293
Cornell University (78628)	93 837	(23,945)
Cornell University (13081088-CYC1)	93 837	84,557
Cornell University (58634-9147-CYC5)	47 074	84,970
Cornell University (60348-9393-CYC4)	47 074	10,393
ARRA-Cornell University (8084-10024 (ECCS-0941561) ARRA)	47 082	12,138
Council of Graduate Schools (CGS-53515-CYC1)	47 076	8,242
CPWR - The Center for Construction Research and Training (85883)	93 262	69,338
CPWR - The Center for Construction Research and Training (3004-020-01)	93 262	182,202
CPWR - The Center for Construction Research and Training (A7547)	93 262	153,999
CRDF Global (RUP17077EK12)	47 079	7,572
CRDF Global (UKE2-7035-KV-11)	47 079	8,674
Creative Engineering Solutions (20131752)	99 unknown	72,301
Creighton University (A9053)	93 846	24,875
CSU San Bernardino/University Enterprises Corporation (GT10316-A1:1)	12 630	41,741
CSU San Marcos/University Auxiliary & Research Services Corporation (B2965)	93 859	5,974
CSU San Marcos/University Auxiliary & Research Services Corporation (UARSC-85776-YO)	47 080	1,987
CSU San Marcos/University Auxiliary & Research Services Corporation (SUB 85889-01GD)	43 001	21,145
ARRA-Curesearch for Children's Cancer (85948)	93 701	298
ARRA-Daimler-Chrysler Ag (ARRASUBDEEE00027208)	81 unknown	69,290
Dana-Farber Cancer Institute (A9263)	93 853	286,394
Dana-Farber Cancer Institute (B2131)	93 853	67,190

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	<u>Federal CFDA #</u>	<u>Total Expenditures</u>
Dana-Farber Cancer Institute (1008408)	93 393	\$ 22,542
Danforth Foundation (SUB 22707-UCSD ( MCB-1330562))	47 074	26,745
Dartmouth College (509)	43 001	130,545
Dartmouth College (A7262)	93 393	28,923
Dartmouth College (1152)	93 855	31,052
Dartmouth College (1 C1 CMS331029-02-00)	93 610	407,365
Dartmouth College (001480)	93 859	47,718
Dartmouth College (006706)	93 859	7,760
Dartmouth College (511-(3))	43 001	226,886
Dartmouth College (63119)	93 855	(3)
Dartmouth College (941-CYC4)	93 853	(6,062)
Data Tactics Corporation (SCT14004)	12 unknown	52,810
Data Tactics Corporation (26741)	12 unknown	69,646
Dauphin Island Sea Lab (2507JK-UCSB-01)	47 050	1,451
Dcs Corporation (NONE (W911NF-10-2-0022))	12 431	1,535,052
Dcs Corporation (APX02-N001 TASK ORDER 0001)	12 unknown	28,056
Dcs Corporation (APX02-N001 TASK ORDER 0002)	12 unknown	232,305
Defense Group, Inc. (PO 13-0004876)	99 unknown	23,165
Deployable Space Systems (DSS0195)	43 unknown	63,798
Deton Corporation (SUB 20130284 (AI102418))	93 856	123,846
Device Farm (036031)	47 041	49,547
Digital Artefacts, LLC (20124041 (1R4MH099964-01))	10 212	137,778
ARRA-Donald Danforth Plant Science Center (79636)	81 087	(3)
Dr Technologies, Inc. (78564)	12 630	(12,508)
Drake University (SUB 103220(AR060469))	93 846	33,269
Drexel University (232564)	93 unknown	58,177
Drexel University (232564DCC)	93 113	52,929
Drexel University (06001727)	93 113	319,502
Drexel University (14030399-UCLA)	99 unknown	13,688
Drexel University (239785-6913)	99 unknown	68,638
Drexel University (SUB 232545 (CA168856))	93 394	26,244
Drexel University (239785-CYC1)	93 865	54,586
Drexel University (239959-CYC1)	93 unknown	121,185
Drugs for Neglected Diseases Initiative (A9978)	93 855	6,988
Duke University (13-ONR-1111)	12 300	85,818
Duke University (10-ARO-1049)	12 431	203,384
Duke University (303-4499)	93 853	16,417
Duke University (10-NSF-1065)	47 074	139,144
Duke University (12-NSF-1065)	47 050	25,955
Duke University (A7182)	93 838	21,028
Duke University (A7564)	93 855	11,762
Duke University (A7773)	93 394	14,374
Duke University (A7940)	93 853	17,239
Duke University (A8171)	93 855	22,175
Duke University (A8324)	93 unknown	12
Duke University (A8577)	93 855	22,083
Duke University (B0399)	93 855	42,757
Duke University (B0504)	93 855	(1,239)
Duke University (B0696)	93 226	27,914
Duke University (B0740)	93 866	(1,031)
Duke University (B0807)	93 unknown	27,085
Duke University (B0981)	93 838	115,929

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Duke University (B1048)	93 855	\$ 249,693
Duke University (B1051)	93 855	3,439
Duke University (B2262)	93 855	1,314
Duke University (B2664)	93 361	40,214
Duke University (B2862)	93 394	30,644
Duke University (58011112008)	10 290	7,790
Duke University (13USDA1072)	10 290	4,556
Duke University (13USDA1064)	10 290	8,332
Duke University (13USDA1065)	10 290	2,716
Duke University (SITE407)	93 839	676
Duke University (HHSN272200900023C:1)	99 unknown	61,736
Duke University (09-NIH-1068:5)	93 866	916
Duke University (2039361:1)	93 866	8,012
Duke University (58627)	93 395	(250)
Duke University (2033846)	93 855	57,493
Duke University (59291)	93 855	115,409
Duke University (79017)	93 838	1,175
Duke University (HHSN272201100025C)	99 unknown	24,546
Duke University (79356)	99 unknown	(67)
Duke University (82232)	93 839	1,144
Duke University (83156)	93 279	15,302
Duke University (83181)	93 unknown	59,658
Duke University (83225)	93 395	3,397
Duke University (09-ONR-1114)	12 300	550,383
Duke University (11-HDR-1096)	12 300	90,252
Duke University (SUB NONE (HHSN275201000003I))	93 unknown	21,825
Duke University (SUB178785 (HHSN275201000003L))	93 unknown	17,137
Duke University (SUB 2039304 (HHSN275201000003I))	93 unknown	(1,478)
Duke University (203-9449 (HHSN275201000003I))	93 unknown	221,589
Duke University (88361)	93 unknown	11
Duke University (12-URC-1045)	98 unknown	19,392
Duke University (2039526)	93 unknown	47,389
Duke University (SUB NONE (HL105448))	93 837	1,455
Duke University (14-HDR-1023)	12 unknown	276,686
Duke University (09-ONR-1115)	12 300	154,901
Duke University (78513)	93 859	25,189
Duke University (203-3111-CYC1)	93 853	55,499
Duke University (2033819-CYC1)	93 855	11,161
Duke University (203-1359-CYC4)	93 395	18,751
ARRA-Duke University (A7313)	93 701	18,537
ARRA-Duke University (A8954)	93 701	630
ARRA-Duke University (161411/173530)	93 701	154
ARRA-Duke University (SUB 173530 (HL098237))	93 701	9,089
ARRA-Duke University (161411-CYC4)	93 701	15,559
Dxterity Diagnostics (20141449)	93 395	6,375
Dyncorp System and Solutions (59568)	12 300	1,760
Dystonia Coalition (20131740 (NS067501))	93 273	30,695
Earth and Space Research (2013-183 UCSD)	43 unknown	25,791
East Carolina University (A13-0184)	43 001	4,209
Eastern Kentucky University (45228413266)	97 005	178,671
ARRA-Ecotality North America (32194)	81 unknown	(21,373)
Edboost (20103499)	84 305	124,384

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Eduardo Mondlane University (SUB CC003FUEM2010 (TW008910))	93 989	\$ 157,901
Eduardo Mondlane University (SUB CC002FUEM2010 (TW008908))	93 989	556,250
Educational Testing Service (UCLA-IES 305A:5)	84 305	52,328
Electric Power Research Institute (EP-P39749/C17641)	81 122	62,361
Electric Power Research Institute (EP-P42069/C18398)	10 912	30,867
Electricore, Inc. (SUB 20133837 (DEEE0006339))	81 112	9,042
Electricore, Inc. (104273-CYC1)	81 087	6,259
Electrozyme, LLC (DK097989)	93 unknown	29,618
Elintrix (87679)	12 420	3
Embry-Riddle Aeronautical University (61351-01-CYC2)	43 001	27,862
Emmes Corporation, The (A7412)	93 393	138,102
Emmes Corporation, The (A7530)	93 395	265,090
Emmes Corporation, The (B1178)	93 395	547,825
Emmes Corporation, The (201014435)	93 unknown	293
Emmes Corporation, The (82569)	93 unknown	1,400
Emmes Corporation, The (83163)	93 unknown	13,091
Emmes Corporation, The (SUB NONE (CA121947))	93 395	220,741
Emmes Corporation, The (SUB NONE (NS062835))	93 853	16,088
ARRA-Emmes Corporation, The (20101609:04)	93 701	3,080
Emory University (S788605)	93 837	72,144
Emory University (CHE-1205646)	47 049	89,711
Emory University (S903312)	93 865	7,728
Emory University (S662646)	43 001	104,171
Emory University (A8211)	93 853	25,632
Emory University (A8563)	93 855	346,390
Emory University (S794753:07)	93 855	62,519
Emory University (S880501)	47 049	177,060
Emory University (S642368:2)	93 855	112,353
Emory University (SUB S899904 (AI090023))	93 855	207,380
Emory University (SUB S726754 (DK094346))	93 847	90,526
Emory University (SUB T039807 (DA036233))	93 279	33,642
Emory University (SUB T190800 (AR064307))	93 846	496
Emory University (S383526-CYC4)	93 853	2,730
Emory University (T099143-CYC1)	47 049	24,707
Emory University (S880504-CYC1)	47 049	15,969
Emory University (T020352-CYC1)	93 268	30,456
Emory University (S664033-CYC3)	93 853	26,534
Endocrine Society, The (SUB 10001 (GM095349))	93 859	10,284
Engineering Acoustics, Inc. (20104014)	12 420	2,681
Environmental Defense Fund, Incorporated (36630)	10 unknown	4,150
Eon Research Corporation (09001289)	12 unknown	20,656
Epigen Biosciences, Inc. (201302623)	93 853	1,869
Epigen Biosciences, Inc. (88225)	93 853	13,044
Erc, Incorporated (RS130039)	99 unknown	25,155
Erc, Incorporated (57525)	99 unknown	(9,007)
Erc, Incorporated (RS111738)	99 unknown	5,054
Erc, Incorporated (PO NO. RS140000)	12 300	23,387
Erc, Incorporated (RS111000)	99 unknown	20,523
Erc, Incorporated (RS131107)	12 300	26,872
Erc, Incorporated (RS131108)	12 300	34,190
Erc, Incorporated (RS131109)	99 unknown	55,524
Ernest Gallo Clinic and Research Center (A8358)	93 279	7,756

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Ernest Gallo Clinic and Research Center (B1603)	12 420	\$ 175,343
Ernest Gallo Clinic and Research Center (58227)	93 273	(1,733)
Ethiopian Public Health Association (SUB NONE (GH000763))	93 unknown	31,343
Evolved Machines, Inc. (87175)	12 910	153
Exploratorium (201122018)	47 076	2,618
Fermi Research Alliance, LLC (FRA) (incl Fermilab-DOE GOCO) (27157)	81 unknown	27,463
Fermi Research Alliance, LLC (FRA) (incl Fermilab-DOE GOCO) (014272)	81 unknown	18,409
Fermi Research Alliance, LLC (FRA) (incl Fermilab-DOE GOCO) (58231)	99 unknown	4,045
Fermi Research Alliance, LLC (FRA) (incl Fermilab-DOE GOCO) (005494)	81 unknown	33,006
Fermi Research Alliance, LLC (FRA) (incl Fermilab-DOE GOCO) (610042)	81 unknown	15,960
Fermi Research Alliance, LLC (FRA) (incl Fermilab-DOE GOCO) (610588)	81 unknown	46,431
Ffa Sciences, LLC (83248)	93 849	(20,912)
Fhi 360 (B1417)	93 unknown	226,553
Fhi 360 (4405)	98 unknown	28,912
Fhi 360 (W0678)	98 unknown	2,450,801
Fhi 360 (UMI AI068619)	93 855	29,439
Fhi 360 (UB ID 0080.0141/952 (AI068619))	93 855	35,104
Flextech Alliance (RFP13-161)	12 431	82,767
Flir Systems, Inc. (SUB GHC-14000-004 (NONE))	97 unknown	8,648
Florida International University (B1101)	93 279	3,643
Florida International University (800002699-02)	93 865	15,514
Florida State University (SUB R01240 (AI074805))	93 856	235,399
Fluential (B0929)	93 847	28,824
Ford Motor Company (RQ12-334R09)	81 135	1,212,867
Fordham University (FISHER FELLOW)	93 279	369
Fordham University (88050)	92 279	(4,003)
Fordham University (SUB 20121926 (DA031608))	92 279	7,884
Fordham University (SUB NONE (DA031608))	93 279	23,730
Fordham University (FU-55330-CYC1)	93 279	1,089
Forsyth Institute, The (B1298)	93 855	215,019
Foundation Fighting Blindness, The (87114)	12 431	99
Foundation for California Community Colleges (B2554)	93 610	176,418
Foundations Fund for Research in Psychiatry (B0579)	99 unknown	741,321
Franklin & Marshall College (13-001)	47 050	13,843
Fred Hutchinson Cancer Research Center (A9422)	93 393	56,732
Fred Hutchinson Cancer Research Center (B2528)	93 393	9,312
Fred Hutchinson Cancer Research Center (0000776480)	93 395	62,632
Fred Hutchinson Cancer Research Center (0000777461)	93 113	110,209
Fred Hutchinson Cancer Research Center (0000745218)	93 859	53,167
Fred Hutchinson Cancer Research Center (33573)	93 395	(8,414)
Fred Hutchinson Cancer Research Center (87881)	93 859	(15,338)
Fred Hutchinson Cancer Research Center (0000753442)	93 393	16,218
Fred Hutchinson Cancer Research Center (0000762017:01)	93 855	35,933
Fred Hutchinson Cancer Research Center (SUB 795124 (AG032699))	93 866	6,121
Fred Hutchinson Cancer Research Center (0000768988-CYC1)	93 855	35,190
Fred Hutchinson Cancer Research Center (78504)	93 855	96
Fred Hutchinson Cancer Research Center (0000798455-CYC1)	93 855	19,403
Freedom Photonics, LLC (59213)	12 910	1,553
Freedom Photonics, LLC (SB140032)	12 300	46,339
Friends Research Inst., Inc. (11337-1)	93 928	19,571
Friends Research Inst., Inc. (11338-1)	93 279	26,723
Functional Genetics, Inc. (33403)	93 unknown	316

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Gaia Medical Institute (UB 20140528 (W81XWH-13-C-0225))	12 431	\$ 21,484
Gallaudet University (33233)	47 075	152,212
Gallaudet University (SUB NONE (SBE-1041725))	47 075	91,903
Gallaudet University (SUB 0000022681 ( SBE-1041725))	47 075	69,541
Gallaudet University (SUB 0000022680 ( SBE-1041725))	47 075	71,355
Gas Technology Institute (11122-20)	81 unknown	118,052
Gate Fuels, Inc. (006552)	47 041	93,146
Geisinger Health System (B0366)	93 172	(4,760)
General Atomics (4500041234)	99 unknown	226,451
General Atomics (45000047909)	99 unknown	394,724
General Atomics (4500030522 (DE-FG02-95ER54309))	81 049	95,623
General Atomics (87949)	81 049	(9)
General Atomics (88210)	81 049	18
General Atomics (PO 4500040195)	81 unknown	46,122
General Atomics (SUB4500040893 (DE-NA0001808))	81 049	36,124
General Atomics (SUB 4500041247 (DE-FC02-04ER5))	81 112	28,048
General Atomics (4500043096 (DE-FC02-08ER54977))	81 unknown	60,963
General Atomics (UB PO4500046534 (DE-NA0001803))	81 049	50,423
General Atomics (B PO4500047216 (DE-NA00002026))	81 unknown	46,100
General Atomics (4500048901 (DE-FC02-04ER54698))	81 049	46,432
General Atomics (4500049493 (DE-FC02-08ER54977))	81 unknown	26,933
General Atomics (4500027197-CYC1)	81 unknown	65,948
General Atomics (4500048355-CYC1)	81 049	88,720
ARRA-General Atomics (87368)	81 049	164
General Dynamics Corporation (SUB 20114546 (NONE))	12 unknown	147,833
General Dynamics Corporation (2013-01227)	12 unknown	78,907
General Electric Company (incl GE Healthcare, GE Lighting Inst.) (B0685)	93 unknown	66,049
Geneva Foundation (33901)	12 unknown	41,749
Geneva Foundation (S-1326-01)	12 420	135,326
George Washington University (13-M107)	93 262	28,469
George Washington University (A7501)	93 865	(25,557)
George Washington University (A8934)	93 855	(2,215)
George Washington University (A9032)	93 847	9,995
George Washington University (B0290)	93 847	108,484
George Washington University (B0391)	93 855	50,068
George Washington University (B0830)	93 865	343,508
George Washington University (B1302)	93 847	50,783
George Washington University (B2380)	93 242	10,820
George Washington University (12-M56:01)	93 855	131,180
George Washington University (58157)	93 855	(5,350)
George Washington University (58531)	81 049	(8,010)
George Washington University (SUB GWU (DK061230))	93 unknown	18,851
George Washington University (SUB S-GRD1213-KR40 (DK098246))	93 847	32,544
George Washington University (SUB S-DPP1314-JB03 (DK048489))	93 847	20,982
Georgetown University (A7353)	93 395	112,286
Georgetown University (A8429)	93 395	44,448
Georgetown University (58597)	93 853	(3,599)
Georgetown University (58725)	93 393	(124)
Georgetown University (RX4235-406-UC)	47 075	14,181
Georgetown University (80761)	93 853	(713)
Georgetown University (82292)	93 866	1
Georgia Institute of Technology (RB914-G1)	93 855	(168)

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Georgia Institute of Technology (RE181-G1)	93 855	\$ 46,373
Georgia Institute of Technology (RA972-G1)	43 unknown	59,798
Georgia Institute of Technology (RB307-S3)	93 unknown	346,301
Georgia Institute of Technology (RC051-S9)	15 812	9,004
Georgia Institute of Technology (RC137-G1)	93 855	133,855
Georgia Institute of Technology (RB307S2)	93 unknown	338,106
Georgia Institute of Technology (R9867-G3)	43 unknown	1,116
Georgia Institute of Technology (RE023-G2)	12 300	29,462
Georgia Institute of Technology (R9758-61)	47 049	77,669
Georgia Institute of Technology (G-32-6HH-G2)	93 989	199,715
Georgia Institute of Technology (RB011-G6)	47 049	42,317
Georgia Institute of Technology (RB267-G4)	12 800	295,887
Georgia Institute of Technology (RB267-G5)	12 800	408,892
Georgia Institute of Technology (R7570-G2)	12 300	231,321
Georgia Institute of Technology (RC051-S8)	15 unknown	133,870
Georgia Institute of Technology (RC850-G2)	47 041	33,177
Georgia Regents University (B0764)	93 847	36,494
Georgia Regents University (257304)	93 847	79,579
Georgia Regents University (27388)	93 867	9,598
Georgia Regents University (23069-44)	93 855	8,985
Georgia Regents University (79852)	93 855	25,550
Georgia Regents University (SUB 25732-32 (DK076169))	93 847	21,849
Georgia Regents University (27087A-1-CYC1)	93 853	86,037
Georgia State University (SP00011356-03)	12 300	52,211
ARRA-Ginkgo Bioworks (030180)	81 135	27,762
Gk Materials, Inc. (GKM-55479-CYC1)	47 041	67,500
Gladstone Institutes (A7646)	93 855	378,614
Gladstone Institutes (A9614)	93 837	(837)
Gladstone Institutes (A9774)	93 855	416,689
Gladstone Institutes (B0157)	93 837	308,520
Gladstone Institutes (B0363)	93 389	5,640
Gladstone Institutes (B0524)	93 853	18,067
Gladstone Institutes (B0704)	93 853	26,859
Gladstone Institutes (22943)	93 855	7,422
Gladstone Institutes (R01071)	93 855	189,074
Gladstone Institutes (20105403)	93 853	57,168
Gladstone Institutes (82583)	93 866	(1,361)
Gladstone Institutes (SUB R2187 (AG022074))	93 unknown	(1,299)
Gladstone Institutes (83089)	93 unknown	8,717
Gladstone Institutes (SUB R002823-C (NS057715))	93 853	22,711
Gladstone Institutes (SUB R01709-A (AG011385))	93 866	68,008
Glint Photonics, Inc. (034767)	81 135	70,563
Global Aids Interfaith Alliance (A9709)	98 unknown	138,154
Global Viral Forecasting Initiative (A7975)	12 unknown	12,100
Global Viral Forecasting Initiative (B0949)	10 unknown	69,531
Glycohub, Inc. (201400362)	93 unknown	65,198
Good Samaritan Shelter (SB130033)	93 243	30,003
Grassroots Biotechnology, Inc. (200910894)	47 unknown	105,025
Greenville Hospital System (GHS-48219-CYC2)	12 420	6,413
Group Health Cooperative (A9876)	93 393	1,138,390
Group Health Cooperative (B2010)	93 unknown	74,509
Group Health Cooperative (25891)	93 unknown	6,076

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Group Health Cooperative (27113)	93 393	\$ 28,848
Group Health Cooperative (27165)	93 unknown	210,582
Group Health Cooperative (27166)	93 unknown	18,918
Group Health Cooperative (2014118673)	93 393	7,632
Group Health Cooperative (CA154292)	93 393	42,909
Group Health Cooperative (SUB 2014104424 (AG006781))	93 866	1,791
Gynecologic Oncology Group (A9382)	93 395	696
Gynecologic Oncology Group (57650)	93 395	72,897
Gynecologic Oncology Group (27469-46-CYC31)	93 395	204,808
Gynecologic Oncology Group (27469-33-CYC1)	93 395	9,706
Gynecologic Oncology Group (GOG-101462-CYC1)	93 395	36,984
Gynecologic Oncology Group (GOG-46471-CYC5)	93 393	27,574
H. Lee Moffitt Cancer Center & Research Institute, Inc. (B1308)	93 396	171,164
Hampton University (HU-130003-UCLA)	43 001	21,208
Hartnell College (SC-12-28-002)	84 031	238,562
Hartnell College (SC-12-69)	47 076	6,862
Harvard Pilgrim Health Care (HPHC-50423-CYC3)	93 283	178,162
Harvard Pilgrim Health Care (HPHC-53299-CYC2)	93 unknown	163,572
Harvard Pilgrim Health Care (1R18HS021424-01-CYC2)	93 226	38,628
Harvard Pilgrim Health Care (PH000391A-CYC3)	93 855	12,526
Harvard University (152188.5052439.0004)	93 865	60,441
Harvard University (A7027)	93 866	34,266
Harvard University (A7542)	93 121	81,990
Harvard University (A8158)	93 865	217,158
Harvard University (B1305)	93 361	37,688
Harvard University (1490475063029)	93 855	42,552
Harvard University (14424401)	47 074	74,829
Harvard University (1511630002)	93 855	91,517
Harvard University (116519-5042060)	93 866	15,558
Harvard University (5061518-116528)	93 866	7,272
Harvard University (123799-5070801)	47 070	27,708
Harvard University (114074.0879.5048379)	93 865	1,294
Harvard University (123559-5025668:04)	93 121	104,446
Harvard University (59635)	93 837	1,044
Harvard University (123641:04)	93 837	60,377
Harvard University (123572)	12 800	209,968
Harvard University (87262)	47 078	(101)
Harvard University (SUB 114074.0828.5041147 (HD05))	93 865	510,423
Harvard University (87418)	93 865	14,695
Harvard University (SUB 148893 (HG005550))	93 172	165,631
Harvard University (114074.0830.5041149 (HD052102))	93 865	515,601
Harvard University (SUB 5060404 (W81XWH-12-2-0113))	12 420	16,136
Harvard University (SUB 90056 (AR060816))	93 846	44,516
Harvard University (152175.0206-003)	93 310	133,728
Harvard University (108077-5062223-CYC2)	84 305	55,225
Harvard University (108095-5038631-CYC3)	84 305	69,332
Haskins Laboratories, Inc. (B2702)	93 865	10,191
Haskins Laboratories, Inc. (B2706)	93 865	10,355
Health Effects Institute (4787-RFA09-4/10-3-CYC5)	97 108	151,858
Health Research and Education Trust (80797)	99 unknown	28,668
Health Research, Inc. (A7511)	93 393	173,190
Health Research, Inc. (B2489)	93 393	7,808

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Health Research, Inc. (471701)	93 113	\$ 102,246
Health Research, Inc. (SUB55-0979-01(CA132951))	93 393	185,639
Healthright 360 (2012-13)	93 243	71,563
Healthright 360 (20114625)	93 243	84,968
Hebrew Seniorlife, Inc. (B1050)	93 846	27,237
Hemcon Medical Technologies, Inc. (1 L-I-LYP-L (W81XWH-08-2-0078))	12 unknown	(162)
Henry Ford Health System (A9139)	93 855	528,870
Henry Ford Health System (20091399)	93 395	126,150
Henry M. Jackson Foundation for the Advancement of Military Medicine (B2879)	98 855	32,422
Henry M. Jackson Foundation for the Advancement of Military Medicine (22369)	93 855	101,108
Henry M. Jackson Foundation for the Advancement of Military Medicine (760210)	12 420	17,566
Henry M. Jackson Foundation for the Advancement of Military Medicine (708961:01)	12 420	221,729
Henry M. Jackson Foundation for the Advancement of Military Medicine (708140/2163:05)	12 420	42,357
Henry M. Jackson Foundation for the Advancement of Military Medicine (80421)	12 420	(800)
Henry M. Jackson Foundation for the Advancement of Military Medicine (2196 / PO # 735721(MH087981))	93 242	897,905
Hewlett-Packard (HPL-MM-UCSB-0007-1)	12 910	573,942
Hi-Z Technology, Inc. (SUB 7500-2 (W9132T-12-C-0001))	12 431	81,826
High-Test Laboratories, Inc. (SUB 2103-001 (NONE))	12 300	58,850
Honeywell, Inc. (3500412409)	81 unknown	75,000
Honeywell, Inc. (NON11008)	12 unknown	77,709
Honeywell, Inc. (PO4203850099E)	20 unknown	303,903
House Ear Institute (20100971)	93 173	1
Hrl Laboratories, LLC (11014202630DS)	12 800	29,162
Hrl Laboratories, LLC (20113843)	99 unknown	109,565
Hrl Laboratories, LLC (PO NO. 702086-BS)	99 unknown	124,400
Hrl Laboratories, LLC (PO NO. 702085-BS)	99 unknown	60,316
Hrl Laboratories, LLC (79380)	93 685	(1,210)
Hrl Laboratories, LLC (UB 901819-BS(HR0011-09-C-0126))	12 910	22,369
Hrl Laboratories, LLC (SUB 11014-101836 (FA8650-07-C))	12 910	99,537
Hrl Laboratories, LLC (SUB 11014-101837 (FA8650-07-C))	12 910	81,042
Hrl Laboratories, LLC (SUB 12022-201841-DS(W91-CRB-1))	93 839	137,663
Hrl Laboratories, LLC (10008-002328-CYC6)	12 910	146,153
Hrl Laboratories, LLC (10043-002937-CYC1)	12 unknown	298,372
Hudsonalpha Institute for Biotechnology (HAI-53148-CYC1)	93 172	193,826
Humacyte, Inc. (B2655)	93 837	20,155
Hydroikos, Ltd. (201303360)	10 unknown	23,032
Hypres, Inc. (B 20132488 (#FA9550-13-C-0019))	12 300	176,036
Icahn School of Medicine at Mount Sinai (A7123)	93 847	184,616
Icahn School of Medicine at Mount Sinai (A7721)	93 113	41,860
Icahn School of Medicine at Mount Sinai (B1979)	93 unknown	4,871
Icahn School of Medicine at Mount Sinai (B2348)	93 855	412,232
Icahn School of Medicine at Mount Sinai (025499214609)	93 866	485,725
Icahn School of Medicine at Mount Sinai (025498914609)	93 866	369,280
Icahn School of Medicine at Mount Sinai (025835614609)	93 unknown	601,588
Icahn School of Medicine at Mount Sinai (0255-1357-4609)	93 855	39
Icahn School of Medicine at Mount Sinai (0255-1358-4609)	93 855	48,426
Icahn School of Medicine at Mount Sinai (0255-5001-4609:6)	93 847	53,973
Icahn School of Medicine at Mount Sinai (SUB 0255-4221-4609(CA158914))	93 395	28,498
Icahn School of Medicine at Mount Sinai (SUB 0254-3241-4609 (AI106754))	93 855	291,764
Icahn School of Medicine at Mount Sinai (0255-3341-4609-CYC4)	93 866	9,571
Idacorp (100403)	81 unknown	210
Idaho National Laboratory (00119253)	81 unknown	126,204

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Idaho National Laboratory (00126925)	81 105	\$ 177,937
Idaho National Laboratory (120907-CYC3)	81 036	14,182
Idaho National Laboratory (00133047)	99 unknown	27,691
Illinois Applied Research Associates, LLC (20131791)	99 unknown	13,509
Illinois Institute of Technology (SA542-0127-8756-CYC1)	10 310	250
Immune Deficiency Foundation (A9641)	93 855	34,269
Immunogene, Inc. (79007)	93 395	(7,484)
Incorporated Research Institutions for Seismology (37-GSN)	47 050	4,796
Incorporated Research Institutions for Seismology (71-TA)	47 050	638,756
Incorporated Research Institutions for Seismology (72-TA)	47 050	93,419
Incorporated Research Institutions for Seismology (43-GSN/DMS)	47 050	1,204,418
Incorporated Research Institutions for Seismology (89-TA)	47 050	10,584
Incorporated Research Institutions for Seismology (IRIS UCSD 65-GSM)	99 unknown	137,057
Incorporated Research Institutions for Seismology (09-UCSD (MD)-SAGE)	47 050	382,492
Incorporated Research Institutions for Seismology (08-UCSD-SAGE)	47 050	2,502,086
Incorporated Research Institutions for Seismology (UB 92-UCSD-ECBB (ICER-1343709))	47 050	2,303
Incorporated Research Institutions for Seismology (IRIS 56-OMO)	47 050	2,175,512
ARRA-Incorporated Research Institutions for Seismology (82-TA)	47 082	16,581
Indian Health Council, Inc. (SUB NONE (HS300292))	93 866	43,258
Indian Health Council, Inc. (83305)	93 866	1,540
Indian Health Council, Inc. (87604)	93 866	(142)
Indian Health Council, Inc. (87982)	93 933	(213)
Indian Health Council, Inc. (SUB NONE (ISH300292))	93 933	53,187
Indian Health Council, Inc. (SUB NONE (HS008101))	93 866	15,548
Indian Health Council, Inc. (SUB 102313 (IHS0081-01-00))	93 933	1,506
Indiana University (IN-4685252-UCB)	93 855	5,618
Indiana University (IN-4685290-UCB)	93 855	44,053
Indiana University (A8133)	93 855	448,461
Indiana University (B0026)	66 509	21,825
Indiana University (B1625)	93 855	811
Indiana University (BL4635304UCD)	93 859	73,823
Indiana University (DBI0820451)	47 074	208,659
Indiana University (20050655:4)	93 865	75,160
Indiana University (IUB-4812439-UCSD (OCI-0910812))	47 unknown	126,061
Indiana University (SUB BL-4629708-UCSD (HG007078))	93 172	64,147
Indigenous Education Institute (033847)	43 001	5,485
Inferlink Corporation (1003)	12 431	11,642
Information Systems Laboratories, Inc. (6052; P.O. 1130130)	12 300	20,479
Information Technology and Innovation Foundation (2013002)	90 403	59,841
Innosense, LLC (IS-55054-CYC1)	12 unknown	22,652
Innosys, Inc. (I13UCSB1)	12 800	28,947
Innovative Scientific Solutions, Inc. (21877)	12 unknown	1,413
Innovative Scientific Solutions, Inc. (SB20142)	12 800	13,199
Innovaega, Inc. (88184)	12 910	78
Institute for Black Parenting (A7900)	12 unknown	80,154
ARRA-Institute for Healthcare Improvement (IHI-49308-CYC2)	93 726	30,757
Institute for Systems Biology (SUB 2009.0017 (272200800058C))	93 unknown	63,513
Institute of International Education (201401627)	19 401	12,919
Institute of International Education (DFG-9-APS-UCLA)	98 001	82,476
Inston, Inc. (20133964)	47 041	12,694
Integrated Ocean Drilling Program (IODP-MI-05-04)	47 050	85,469
Intel Corporation (SUB CW1924105 (DE-SC0008717))	81 049	264,962

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Intelligent Optical Systems, Inc. (3236 NIH-NEURONANO)	99 unknown \$	17,484
Intelligent Optical Systems, Inc. (IOS #3235-CYC1)	93 865	34,000
International Aids Society (A8607)	93 855	166,515
International Aids Vaccine Initiative, Inc. (SUB NONE (AI090970))	93 856	91,836
International Business Machines Corporation (78000)	12 630	27,419
International Business Machines Corporation (5004140691)	12 630	48,213
International Business Machines Corporation (W145790)	99 unknown	261,114
International Business Machines Corporation (SUB 20133515 (UNKNOWN))	81 112	135,459
International Business Machines Corporation (4911027561-CYC1)	97 044	393,918
International Computer Science Institute (361UCB)	47 070	135,263
International Computer Science Institute (SUB 804UCSD (W911NF-12-C-0022))	12 910	141,507
International Computer Science Institute (B 804UCSD-2 (W911NF-12-C-0022))	12 910	130,716
International Food Policy Research Institute (005891)	98 001	9,727
International Maize and Wheat Center (016258)	98 unknown	619,352
International Maize and Wheat Center (006017)	98 001	(3,852)
International Potato Center (201300797)	98 unknown	132,322
Iowa State University (420-40-45D)	47 074	58,712
Iowa State University (96400)	47 041	1,517
Iowa State University (4164079A)	10 310	107,821
Iowa State University (33325)	81 135	332,835
Iowa State University (430-40-01A:01)	93 855	213,272
Iowa State University (005700)	12 300	32,758
Iowa State University (005867)	12 431	40,670
Iowa State University (420-72-25-CYC7)	47 041	307,152
Iris Ao, Inc. (1152710-LAO)	47 041	43,040
Isthmus Biosciences (100512-CYC1)	47 041	49,066
Iti Health, Inc. (A7859)	93 394	(2,326)
J&F Enterprise (001-CYC1)	47 050	38,430
J. Craig Venter Institute (HHSN272200900007C)	99 unknown	31,161
J. Craig Venter Institute (JCVI-12-005:1)	93 859	35,716
Jackson Laboratory, The (20133882)	93 859	26,465
Jackson Laboratory, The (SUB TBI (HG007497))	93 172	39,213
Jackson State University (633156-UCSB)	47 049	47,254
Jaeb Center for Health Research, Inc. (A7888)	93 867	1,196
Jaeb Center for Health Research, Inc. (A8865)	93 867	504
Jaeb Center for Health Research, Inc. (B0015)	93 867	607
Jaeb Center for Health Research, Inc. (EY11751)	93 867	19,393
Jaeb Center for Health Research, Inc. (U10 EY11751)	93 867	12,106
Jaeb Center for Health Research, Inc. (77960)	93 867	6,071
Jaeb Center for Health Research, Inc. (SUB NONE (EY11751))	93 867	943
Jaeb Center for Health Research, Inc. (JCHR-42099-CYC4)	93 867	(10)
Jaeb Center for Health Research, Inc. (JAEB-55146-CYC1)	93 867	1,436
James Madison University (V08-138-02/#529155:001)	43 001	27,906
Jazz Semiconductor (SUB 20104645 (HR0011-10-3-0006))	12 910	(2,697)
Jet Propulsion Laboratory (1465360)	43 unknown	66,443
Jet Propulsion Laboratory (1466422)	43 unknown	30,817
Jet Propulsion Laboratory (1474320)	43 001	96,425
Jet Propulsion Laboratory (1483242)	43 unknown	109,113
Jet Propulsion Laboratory (1495707)	43 unknown	3,611
Jet Propulsion Laboratory (1499810)	43 unknown	1,173
Jet Propulsion Laboratory (1492856)	43 001	57,639
Jet Propulsion Laboratory (1505588)	43 unknown	66,762
Jet Propulsion Laboratory (1356389)	43 unknown	401,586

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Jet Propulsion Laboratory (1362993)	43 unknown \$	3,278
Jet Propulsion Laboratory (1356970)	43 unknown	45,275
Jet Propulsion Laboratory (96170)	43 unknown	3,910
Jet Propulsion Laboratory (1473032)	43 unknown	108,632
Jet Propulsion Laboratory (1473024)	43 unknown	2,932
Jet Propulsion Laboratory (23187)	43 unknown	19,509
Jet Propulsion Laboratory (1478371)	43 unknown	30
Jet Propulsion Laboratory (1470132)	43 unknown	6,424
Jet Propulsion Laboratory (1490690)	43 unknown	103,432
Jet Propulsion Laboratory (1494138)	43 unknown	4,497
Jet Propulsion Laboratory (1439211)	43 unknown	30,784
Jet Propulsion Laboratory (1450061)	43 unknown	89,149
Jet Propulsion Laboratory (1460648)	43 unknown	501
Jet Propulsion Laboratory (1431095)	43 unknown	23,267
Jet Propulsion Laboratory (1388398)	43 unknown	18,359
Jet Propulsion Laboratory (1472475)	99 unknown	51,694
Jet Propulsion Laboratory (1470415)	99 unknown	166,873
Jet Propulsion Laboratory (1482414)	43 001	66,696
Jet Propulsion Laboratory (1488118)	99 unknown	78,461
Jet Propulsion Laboratory (1499223)	99 unknown	4,438
Jet Propulsion Laboratory (1446040:02)	99 unknown	37,492
Jet Propulsion Laboratory (1498871)	99 unknown	29,804
Jet Propulsion Laboratory (1486596)	99 unknown	53,635
Jet Propulsion Laboratory (1278932:08)	99 unknown	1,547
Jet Propulsion Laboratory (1491368)	99 unknown	37,417
Jet Propulsion Laboratory (1497342)	99 unknown	11,474
Jet Propulsion Laboratory (1497949)	99 unknown	40,443
Jet Propulsion Laboratory (1500062)	99 unknown	24,320
Jet Propulsion Laboratory (1505330)	99 unknown	7,746
Jet Propulsion Laboratory (1424022)	99 unknown	150,617
Jet Propulsion Laboratory (1401886:02)	99 unknown	13,029
Jet Propulsion Laboratory (57969)	99 unknown	(763)
Jet Propulsion Laboratory (1456431:2)	99 unknown	20,703
Jet Propulsion Laboratory (1378780)	99 unknown	2,434
Jet Propulsion Laboratory (1496081)	99 unknown	30,131
Jet Propulsion Laboratory (1436227)	99 unknown	270,818
Jet Propulsion Laboratory (1457403:01)	99 unknown	28,820
Jet Propulsion Laboratory (1385250:09 & 10)	99 unknown	50,014
Jet Propulsion Laboratory (1388828:09)	99 unknown	69,445
Jet Propulsion Laboratory (1409809)	43 unknown	294,337
Jet Propulsion Laboratory (1409806:08)	43 001	91,514
Jet Propulsion Laboratory (1437103)	99 unknown	35,761
Jet Propulsion Laboratory (1441406:04)	99 unknown	87,952
Jet Propulsion Laboratory (1439526)	99 unknown	5,894
Jet Propulsion Laboratory (1460641:01)	99 unknown	112,701
Jet Propulsion Laboratory (1464202)	99 unknown	23,725
Jet Propulsion Laboratory (1481133)	99 unknown	25,500
Jet Propulsion Laboratory (1484418)	99 unknown	127,876
Jet Propulsion Laboratory (1471717)	99 unknown	38,845
Jet Propulsion Laboratory (1472433)	99 unknown	25,253
Jet Propulsion Laboratory (1501388)	99 unknown	13,225
Jet Propulsion Laboratory (1479301)	99 unknown	27,119
Jet Propulsion Laboratory (1493403)	99 unknown	28,981

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	<u>Federal CFDA #</u>	<u>Total Expenditures</u>
Jet Propulsion Laboratory (1491269)	99 unknown \$	17,251
Jet Propulsion Laboratory (1495545)	99 unknown	26,338
Jet Propulsion Laboratory (1486376)	99 unknown	458,619
Jet Propulsion Laboratory (1492812)	99 unknown	1,161
Jet Propulsion Laboratory (1497431)	99 unknown	26,773
Jet Propulsion Laboratory (1501135)	99 unknown	24,703
Jet Propulsion Laboratory (1428057:04)	99 unknown	47,730
Jet Propulsion Laboratory (1428059:04)	43 unknown	73,133
Jet Propulsion Laboratory (1500760)	99 unknown	48,258
Jet Propulsion Laboratory (1346587:17)	99 unknown	16,097
Jet Propulsion Laboratory (1457047:01)	43 001	(496)
Jet Propulsion Laboratory (1446882)	43 001	138,611
Jet Propulsion Laboratory (1414838)	99 unknown	526
Jet Propulsion Laboratory (005749)	99 unknown	68,512
Jet Propulsion Laboratory (005927)	43 unknown	28,221
Jet Propulsion Laboratory (RSA NO. 1371600)	99 unknown	28,419
Jet Propulsion Laboratory (005246)	43 unknown	215
Jet Propulsion Laboratory (005461-004)	43 unknown	51,407
Jet Propulsion Laboratory (005461)	43 unknown	4,096
Jet Propulsion Laboratory (005488)	43 unknown	11,457
Jet Propulsion Laboratory (UB 1395059 (NM0711101 A DO-C9))	43 unknown	9,561
Jet Propulsion Laboratory (SUB 1436169(NASA 711043))	43 unknown	4,528
Jet Propulsion Laboratory (SUB 1450442)	43 unknown	152,828
Jet Propulsion Laboratory (SUB 1468851 (NNN13D043T))	43 unknown	1,972
Jet Propulsion Laboratory (SUB 1472388)	43 unknown	91,605
Jet Propulsion Laboratory (1479652)	43 unknown	74,884
Jet Propulsion Laboratory (59968)	43 001	151
Jet Propulsion Laboratory (63000)	43 001	7,620
Jet Propulsion Laboratory (1417183)	43 unknown	2,012
Jet Propulsion Laboratory (1438930)	99 unknown	30,307
Jet Propulsion Laboratory (1438947)	99 unknown	27,225
Jet Propulsion Laboratory (1438944)	99 unknown	3,821
Jet Propulsion Laboratory (1477319)	99 unknown	7,244
Jet Propulsion Laboratory (RSA 1477318)	99 unknown	22,279
Jet Propulsion Laboratory (RSA NO. 1447318)	99 unknown	10,457
Jet Propulsion Laboratory (1481588)	99 unknown	280,472
Jet Propulsion Laboratory (1468115)	43 unknown	67,588
Jet Propulsion Laboratory (1388406)	43 unknown	94,313
Jet Propulsion Laboratory (1360781)	43 unknown	17,552
Jet Propulsion Laboratory (1471138)	43 unknown	9,579
Jet Propulsion Laboratory (1468484)	43 unknown	29,322
Jet Propulsion Laboratory (1469723)	43 unknown	121,545
Jet Propulsion Laboratory (1492976)	43 unknown	187,415
Jet Propulsion Laboratory (1501561)	12 910	44,751
Jet Propulsion Laboratory (1367008)	43 unknown	6,800
Jet Propulsion Laboratory (1495267-CYC1)	43 unknown	32,315
Jet Propulsion Laboratory (1473072-CYC1)	43 unknown	107,979
Jet Propulsion Laboratory (1496837-CYC1)	43 unknown	7,117
Jet Propulsion Laboratory (1478315-CYC1)	43 unknown	13,941
Jet Propulsion Laboratory (1473073-CYC1)	43 001	12,480
Jet Propulsion Laboratory (1456070-CYC5)	43 001	12,727
Jet Propulsion Laboratory (RSA-1463789-CYC1)	43 unknown	26,826
Jet Propulsion Laboratory (1440951-CYC2)	43 unknown	38,111

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	<u>Federal CFDA #</u>	<u>Total Expenditures</u>
Jet Propulsion Laboratory (1456907-CYC4)	43 unknown \$	7,375
Jet Propulsion Laboratory (1460711-CYC2)	43 001	32,473
Jet Propulsion Laboratory (1480144-CYC1)	43 unknown	43,193
Jet Propulsion Laboratory (1475268-CYC1)	43 unknown	17,386
Jet Propulsion Laboratory (1450249-CYC4)	43 unknown	6,362
Jet Propulsion Laboratory (1447872-CYC2)	43 unknown	110,757
Jet Propulsion Laboratory (1373199-CYC6)	43 001	29,689
Jet Propulsion Laboratory (1434991-CYC5)	43 unknown	54,380
Jet Propulsion Laboratory (1420806-CYC1)	43 unknown	195,375
Jet Propulsion Laboratory (1427184-CYC4)	43 001	49,600
Jewish Community Federation (SF, Peninsula, Marin & Sonoma Counties) (B1633)	93 866	1,792
John Wayne Institute for Cancer Treatment and Research (1039A:25)	93 395	488,747
Johns Hopkins University (5U54CA143868-03)	93 397	15,903
Johns Hopkins University (975268)	43 unknown	6,582,004
Johns Hopkins University (2001049549)	93 989	44,939
Johns Hopkins University (2001377399)	47 050	99,376
Johns Hopkins University (A7196)	93 395	43,817
Johns Hopkins University (A7360)	93 393	34,306
Johns Hopkins University (A7442)	93 286	69,788
Johns Hopkins University (A7740)	93 838	146,364
Johns Hopkins University (A7864)	93 242	48,284
Johns Hopkins University (A8030)	93 242	37,299
Johns Hopkins University (A8467)	93 855	60,805
Johns Hopkins University (A8790)	93 865	5,034
Johns Hopkins University (A8853)	93 867	90,810
Johns Hopkins University (A9432)	93 395	25,877
Johns Hopkins University (A9759)	93 847	9,636
Johns Hopkins University (A9888)	93 867	41,237
Johns Hopkins University (B0473)	93 242	67,258
Johns Hopkins University (B0494)	93 121	155,919
Johns Hopkins University (B0735)	12 unknown	107,306
Johns Hopkins University (B0950)	93 855	19,515
Johns Hopkins University (B1436)	93 855	65,004
Johns Hopkins University (2001646276)	93 847	18,612
Johns Hopkins University (25399)	47 049	33,929
Johns Hopkins University (2001007644)	93 837	101,213
Johns Hopkins University (08003877)	93 113	19,647
Johns Hopkins University (2001676030)	93 242	16,071
Johns Hopkins University (2001586402)	93 855	106,067
Johns Hopkins University (2001560371:2)	93 242	19,374
Johns Hopkins University (HHSN275200800033C)	99 unknown	97,435
Johns Hopkins University (2001638125:01)	93 242	4,071
Johns Hopkins University (58403)	93 855	(1,164)
Johns Hopkins University (PO#2001778128)	93 242	8,505
Johns Hopkins University (2000540077:6)	93 867	42,834
Johns Hopkins University (2000767379)	93 853	190
Johns Hopkins University (2000540077:8)	93 867	206
Johns Hopkins University (2001680040)	93 242	4,538
Johns Hopkins University (118127)	99 unknown	10,155
Johns Hopkins University (110284:1)	47 050	42,207
Johns Hopkins University (2001653080:2)	93 867	50,917
Johns Hopkins University (2001653082:1)	93 121	51,392
Johns Hopkins University (2001318908:1)	93 855	166,785

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	<u>Federal CFDA #</u>	<u>Total Expenditures</u>
Johns Hopkins University (2001603363:02)	93 279	\$ 141,830
Johns Hopkins University (82585)	93 unknown	(25,190)
Johns Hopkins University (SUB NONE (EY 08057))	93 867	72,568
Johns Hopkins University (83203)	93 867	6,640
Johns Hopkins University (SUB NONE (DK061730))	93 847	94,811
Johns Hopkins University (2012-0171(FD003898))	93 103	4,866
Johns Hopkins University (SUB 2001298882 (HG003233))	93 172	24,408
Johns Hopkins University (SUB2001589439(EY014660))	93 867	30,031
Johns Hopkins University (SUB NONE (EY022680))	93 867	292,178
Johns Hopkins University (2001377393 (HHSA290201100007C))	93 185	93,529
Johns Hopkins University (SUB NONE (AG042187))	93 866	203,054
Johns Hopkins University (SUB 2001646387 (DK061730))	93 847	58,518
Johns Hopkins University (SUB NONE (AI68632))	93 242	4,003
Johns Hopkins University (2001596933 (AI068632))	93 242	123,955
Johns Hopkins University (2001596532 (AI068632))	93 242	14,185
Johns Hopkins University (2001602913 (AI068632))	93 242	19,639
Johns Hopkins University (SUB 2001596550 (AI068632))	93 242	24,254
Johns Hopkins University (SUB NONE (AI068632))	93 242	519,372
Johns Hopkins University (SUB 20015696533 (AI068632))	93 242	92,140
Johns Hopkins University (SUB 2001929066 (ERFI-1332344))	47 041	130
Johns Hopkins University (118122)	12 unknown	2,934
Johns Hopkins University (JHU-APL 120069)	12 unknown	60,608
Johns Hopkins University (2001356027-01)	93 396	2,050
Johns Hopkins University (2001622119)	12 800	50,957
Johns Hopkins University (2001561731)	12 630	80,467
Johns Hopkins University (2001573675-CYC2)	12 910	215,224
Johns Hopkins University (2001419273-CYC1)	93 887	126,399
Johns Hopkins University (2002178513-CYC1)	93 865	6,341
ARRA-Johns Hopkins University (A8615)	93 701	(5,802)
ARRA-Johns Hopkins University (A9381)	93 unknown	1,206
Jumpstart for Young Children, Inc. (023953)	94 006	104
Jumpstart for Young Children, Inc. (492012-CYC4)	94 006	162,806
Juvenile Diabetes Research Foundation International (6851SC-CYC2)	93 396	7,815
Kaiser Permanente Division of Research (9098)	93 113	10,220
Kaiser Permanente Division of Research (5962-UCB)	93 853	128,804
Kaiser Permanente Division of Research (A6875)	93 unknown	72,506
Kaiser Permanente Division of Research (A7207)	93 273	24,529
Kaiser Permanente Division of Research (A7817)	93 279	(397)
Kaiser Permanente Division of Research (A8469)	93 847	51,572
Kaiser Permanente Division of Research (A8709)	93 849	(1,359)
Kaiser Permanente Division of Research (A8769)	93 242	264,511
Kaiser Permanente Division of Research (A9540)	93 847	182,844
Kaiser Permanente Division of Research (A9583)	93 847	1,679
Kaiser Permanente Division of Research (A9977)	93 113	111,036
Kaiser Permanente Division of Research (B0297)	93 393	18,430
Kaiser Permanente Division of Research (B0522)	93 847	49,953
Kaiser Permanente Division of Research (B0854)	93 172	77,232
Kaiser Permanente Division of Research (B1054)	93 847	35,409
Kaiser Permanente Division of Research (B1272)	93 853	330,449
Kaiser Permanente Division of Research (B1799)	84 unknown	289,122
Kaiser Permanente Division of Research (B2491)	93 242	2,368
Kaiser Permanente Division of Research (B2605)	93 847	2,709
Kaiser Permanente Division of Research (1159341UCD01)	93 unknown	29,344

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	Federal CFDA #	Total Expenditures
Kaiser Permanente Division of Research (115958502)	93 113	\$ 180,536
Kaiser Permanente Division of Research (115923801)	93 847	51,321
Kaiser Permanente Division of Research (08CCHAO-05-UCLA:4)	93 394	(113)
Kaiser Permanente Division of Research (63031)	93 226	2
Kaiser Permanente Division of Research (115-9375-02-CYC1)	93 866	56,874
Kaiser Permanente Division of Research (115-9306-01-CYC3)	93 unknown	704,237
ARRA-Kaiser Permanente Division of Research (B2055)	93 701	5,780
Kansas State University (S12256)	10 310	33,903
Kansas State University (S13108-CYC1)	47 050	21,107
Kean University (20102184)	93 279	34,860
Kent State University (443166-UCSB)	93 121	9,689
Kinemed, Inc. (82395)	93 unknown	476
Kinetic Ceramics, Inc. (20141073)	93 837	30,886
Kitware, Inc. (K000841-00-S01)	93 853	9,181
Kootenai Tribe of Idaho (16333)	81 unknown	62,100
Krell Institute (30731)	81 unknown	19,918
L-3 Communications (86877)	12 unknown	165
La Jolla Institute for Allergy and Immunology (081-44-381 (HHSN2722012200010C))	93 unknown	175,746
La Jolla Institute for Allergy and Immunology (SUB LIAI #25069-44-381 (HHSN2))	93 unknown	42,337
La Jolla Institute for Allergy and Immunology (SUB 23002-44-361 (AI071922))	93 855	76,728
La Jolla Institute for Allergy and Immunology (SUB 22592-44-393 (HL055798))	93 837	681,557
La Jolla Institute for Allergy and Immunology (SUB 25072-44-381 (HHSN2722009))	93 unknown	14,476
La Jolla Institute for Allergy and Immunology (SUB 26622-44-381 (AI100275))	93 855	229,270
La Jolla Institute for Allergy and Immunology (SUB 26244-44-382 (AI109976))	93 855	2,826
La Jolla Institute for Allergy and Immunology (UB 1315965 (HHSN272200900048C))	93 unknown	10,451
Laser Primitives, LLC (036429)	47 041	3,287
Laval University (ON095556-1-CYC6)	93 856	127,565
Lawrence Livermore National Security, LLC (B601554)	81 unknown	12,243
Lawrence Livermore National Security, LLC (B602933)	81 unknown	32,384
Lawrence Livermore National Security, LLC (85586)	81 unknown	(1)
Lawrence Livermore National Security, LLC (B602360)	81 unknown	70,466
Lawrence Livermore National Security, LLC (B603870)	81 unknown	126,253
Lawrence Livermore National Security, LLC (B604236)	81 unknown	66,178
Lawrence Livermore National Security, LLC (B604983)	81 unknown	22,529
Lawrence Livermore National Security, LLC (B606468)	81 unknown	52,128
Lawrence Livermore National Security, LLC (B606152)	81 unknown	20,057
Lawrence Livermore National Security, LLC (B606806)	81 unknown	26,867
Lawrence Livermore National Security, LLC (B607304)	81 unknown	23,453
Lawrence Livermore National Security, LLC (B607779)	81 unknown	16,316
Lawrence Livermore National Security, LLC (B607780)	81 unknown	16,226
Lawrence Livermore National Security, LLC (B608177)	81 unknown	18,850
Lawrence Livermore National Security, LLC (B586434)	81 unknown	2,996
Lawrence Livermore National Security, LLC (B596506)	81 unknown	56,086
Lawrence Livermore National Security, LLC (B598283)	81 unknown	4,382
Lawrence Livermore National Security, LLC (B598372)	81 unknown	75,264
Lawrence Livermore National Security, LLC (B599753)	81 unknown	5,259
Lawrence Livermore National Security, LLC (A7702)	81 unknown	106,123
Lawrence Livermore National Security, LLC (B603231)	81 unknown	96,913
Lawrence Livermore National Security, LLC (B606713)	81 unknown	34,242
Lawrence Livermore National Security, LLC (B607073)	81 unknown	44,775
Lawrence Livermore National Security, LLC (B607046)	81 unknown	22,773
Lawrence Livermore National Security, LLC (B607045)	81 unknown	38,275
Lawrence Livermore National Security, LLC (B605619)	81 unknown	48,323

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	Federal CFDA #	Total Expenditures
Lawrence Livermore National Security, LLC (B607471)	81 unknown \$	12,818
Lawrence Livermore National Security, LLC (B606643)	93 859	50,000
Lawrence Livermore National Security, LLC (B595932)	81 unknown	4,058
Lawrence Livermore National Security, LLC (B598223)	93 unknown	11,669
Lawrence Livermore National Security, LLC (33234)	81 unknown	46,393
Lawrence Livermore National Security, LLC (B592888)	81 unknown	14,577
Lawrence Livermore National Security, LLC (B594322)	81 unknown	104,280
Lawrence Livermore National Security, LLC (B608001)	99 unknown	40,493
Lawrence Livermore National Security, LLC (87464)	81 049	12,720
Lawrence Livermore National Security, LLC (SUB B593220 (NONE))	81 unknown	44,287
Lawrence Livermore National Security, LLC (B600667)	81 unknown	70,066
Lawrence Livermore National Security, LLC (SUB B604993 (AC52-06NA25396))	81 unknown	19,863
Lawrence Livermore National Security, LLC (SUB B607903 (B599552))	81 unknown	25,177
Lawrence Livermore National Security, LLC (B602802-CYC1)	81 unknown	62,806
Lawrence Livermore National Security, LLC (B600450-CYC2)	81 unknown	2,333
Lawrence Livermore National Security, LLC (B606579-CYC1)	81 unknown	112,695
Lehigh University (42549-78002 (N00014-11-1-0678))	12 300	271,734
Leidos Biomedical Research, Inc. (A7719)	93 unknown	349,414
Leidos Biomedical Research, Inc. (A9098)	93 unknown	198,002
Leidos Biomedical Research, Inc. (A9311)	93 unknown	424,899
Leidos Biomedical Research, Inc. (A9747)	93 unknown	56,144
Leidos Biomedical Research, Inc. (B2097)	93 unknown	6,868
Leidos Biomedical Research, Inc. (B2373)	93 unknown	2,310
Leidos Biomedical Research, Inc. (B2692)	93 unknown	22,985
Leidos Biomedical Research, Inc. (B2959)	93 unknown	43,965
Leidos Biomedical Research, Inc. (13XS197)	93 398	21,329
Leidos Biomedical Research, Inc. (13XS091STO1)	99 unknown	3,460
Leidos Biomedical Research, Inc. (14X111)	99 unknown	16,204
Leidos Biomedical Research, Inc. (SUB 14X069 (HHSN261200800001E))	93 395	13,202
ARRA-Leidos Biomedical Research, Inc. (A8584)	93 701	390,529
Leidos, Inc. (P010123388 R5)	20 200	377,390
Leidos, Inc. (P010123388R3)	20 200	6,211
Leidos, Inc. (P010123388R2)	20 200	83,287
Leidos, Inc. (14X102)	93 unknown	4,867
Leidos, Inc. (32720)	93 unknown	(1,938)
Leidos, Inc. (77961)	99 unknown	(103)
Leidos, Inc. (77968)	99 unknown	(245)
Leidos, Inc. (B 20110568 (DE-FG36-07GO17002))	81 049	34,986
Leidos, Inc. (20120034)	12 300	(180)
Leidos, Inc. (87879)	12 300	284,810
Leidos, Inc. (87896)	12 910	344,983
Leidos, Inc. (13XS025 (HHSN261200800001E))	93 395	15,433
Leidos, Inc. (145017 (NHRC W911QY-11-D-0057))	12 301	272,742
Leidos, Inc. (88706)	12 910	26,436
Leidos, Inc. (B PO1014827 (N62645-12-C-4100))	12 350	19,975
ARRA-Leidos, Inc. (ARRA13XS230)	93 701	11,223
ARRA-Leidos, Inc. (11ST1015-008)	99 unknown	3,319,071
Lemon Grove School District (B 20134296 (DOD DEPENDENT SCH))	12 556	11,803
Librede, Inc. (20111609)	93 859	224,284
Librede, Inc. (20121721)	93 859	105,825
Life Lab Science Program (33311)	10 170	45,517
Life Sciences Research Foundation (LSRF-STEPHAN)	81 049	60,609
Lifecel Technology, LLC (SB100073)	12 000	9,439

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	Federal CFDA #	Total Expenditures
Liquilume Diagnostics, Inc. (SC-13-29)	93 350	\$ 12,812
Livermore Instruments, Inc. (B0723)	93 855	83,015
Lockheed Martin Corporation (4100464902)	43 unknown	821
Lockheed Martin Corporation (B0055)	43 unknown	136,723
ARRA-Logos Technologies, Inc. (85699)	81 087	736
Loma Linda University (incl Natl Medical Technology Testbed, Inc.) (A7649)	43 unknown	188,970
Loma Linda University (incl Natl Medical Technology Testbed, Inc.) (08-NSCOR08)	99 unknown	33,876
Loma Linda University (incl Natl Medical Technology Testbed, Inc.) (SUB 20103998 (NNX11AE41G))	43 unknown	12,016
Loma Linda University (incl Natl Medical Technology Testbed, Inc.) (SC-12-01-003)	93 286	207,373
Loma Linda University (incl Natl Medical Technology Testbed, Inc.) (LLU-48391-CYC7)	43 unknown	325,961
ARRA-Loma Linda University (incl Natl Medical Technology Testbed, Inc.) (33789)	93 701	(49)
Los Alamos National Security, LLC (219971-1)	81 unknown	28,243
Los Alamos National Security, LLC (240479-1)	81 unknown	7,009
Los Alamos National Security, LLC (B0806)	64 unknown	87,432
Los Alamos National Security, LLC (211060)	81 unknown	202,755
Los Alamos National Security, LLC (215712)	81 unknown	32,692
Los Alamos National Security, LLC (32753)	81 unknown	62,392
Los Alamos National Security, LLC (120501)	81 unknown	24,228
Los Alamos National Security, LLC (1389461)	81 unknown	32,794
Los Alamos National Security, LLC (7578200109)	81 unknown	336,360
Los Alamos National Security, LLC (86875)	81 unknown	(472)
Los Alamos National Security, LLC (205716-1:02)	99 unknown	405,912
Los Alamos National Security, LLC (216966-1)	99 unknown	26,575
Los Alamos National Security, LLC (216965-1)	99 unknown	55,609
Los Alamos National Security, LLC (160739-1:01)	99 unknown	20,001
Los Alamos National Security, LLC (83759)	81 unknown	(36)
Los Alamos National Security, LLC (87278)	81 unknown	(15)
Los Alamos National Security, LLC (SUB 77137-001-11 (DE-AC52-06N))	81 unknown	1,014,296
Los Alamos National Security, LLC (87925-001-11)	81 123	239,006
Los Alamos National Security, LLC (SUB 145509-1 (77137))	81 409	80,414
Los Alamos National Security, LLC (162501-1)	81 unknown	43,279
Los Alamos National Security, LLC (SUB 187956 (DE-AC52-06NA25396))	81 unknown	123,028
Los Alamos National Security, LLC (B 221210-1 (DE-AC52-06NA25396))	81 unknown	44,417
Los Alamos National Security, LLC (257842 (DE-AC52-06NA25396))	81 unknown	33,489
Los Alamos National Security, LLC (142454-002)	99 unknown	238,578
Los Alamos National Security, LLC (221083-1)	99 unknown	61,947
Los Alamos National Security, LLC (221757)	99 unknown	52,711
Los Alamos National Security, LLC (70549-001-09)	81 unknown	16,958
Los Alamos National Security, LLC (113144-1)	81 unknown	78,518
Los Alamos National Security, LLC (215958-1-CYC1)	81 unknown	16,705
Los Alamos National Security, LLC (219950-1-CYC1)	81 123	81,634
Los Alamos National Security, LLC (71370-001-09)	81 unknown	10,289
Los Alamos National Security, LLC (76088-001-09)	81 123	78,067
Los Alamos National Security, LLC (78504-001-10)	81 unknown	236,732
Los Alamos National Security, LLC (25334)	99 unknown	(12,496)
Los Angeles Biomedical Research Institute at Harbor-UCLA Medical Ctr (4006112:02)	93 242	224,520
Los Angeles Biomedical Research Institute at Harbor-UCLA Medical Ctr (4000928:3)	93 879	10,622
Los Angeles County Department of Health Services (20689)	93 542	95,033
Los Angeles County Department of Health Services (PH-002511)	93 074	202,957
Los Angeles County Department of Health Services (PH-002479)	93 542	90,964
Los Angeles County Department of Health Services (PH-001967)	93 069	9,781
Los Angeles County Department of Health Services (DPO-PH-14361162-1)	99 unknown	34,625
Los Angeles County Department of Health Services (PH-001733:08)	93 959	792,946

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	Federal CFDA #	Total Expenditures
Los Angeles County Department of Health Services (PH-001733:7)	93 243	\$ 17,758
Los Angeles County Department of Health Services (H-705831-CYC1)	93 889	101,000
Los Angeles County Department of Health Services (20669)	93 074	1,894
ARRA-Los Angeles Department of Water and Power (PEPMO-UCLA-PS01)	99 unknown	187,455
ARRA-Los Angeles Department of Water and Power (20686)	81 122	1,298,987
ARRA-Los Angeles Department of Water and Power (20101392)	81 122	1,531,768
Los Angeles Gay and Lesbian Community Services Center, Inc. (2132012)	93 648	197,032
Louisiana Public Health Institute (B1721)	93 727	(438)
Louisiana State University and Agricultural and Mechanical College (1 R01 DK090607-50326-S1)	93 847	52,111
Ludwig Institute for Cancer Research (B1961)	93 853	20,870
Ludwig Institute for Cancer Research (233302-05012)	93 853	(7,728)
Ludwig Institute for Cancer Research (SUB 23291-07-360 (ES017166))	93 113	(11,440)
Ludwig Institute for Cancer Research (SUB 241301-05003 (HD006997))	93 172	407,281
Luminit, LLC (20140947)	12 300	45,033
Luna Innovations Incorporated (59423)	12 300	9,454
Luna Innovations Incorporated (59440)	81 unknown	129
M4 Engineering (20140866)	12 300	19,036
Magee-Womens Hospital, Research Institute and Foundation (A9072)	93 855	6,837
Magee-Womens Hospital, Research Institute and Foundation (A9340)	93 865	(4,556)
Magee-Womens Hospital, Research Institute and Foundation (9286)	99 unknown	767
Magee-Womens Hospital, Research Institute and Foundation (7284)	93 855	225,492
Magee-Womens Hospital, Research Institute and Foundation (7282)	93 855	301,412
Magee-Womens Hospital, Research Institute and Foundation (9259:02)	93 855	16,072
Magee-Womens Hospital, Research Institute and Foundation (9258:01)	93 855	55,097
Magee-Womens Hospital, Research Institute and Foundation (9255:01)	93 855	11,629
Magnesensors, Inc. (SUB 3037 (NS077607))	93 853	5,554
Magnolia Solar, Inc. (20130475)	99 unknown	33,764
Maine Medical Center (1330002)	93 847	2,370
Malin Space Science Systems (12-0256)	43 unknown	79,683
Mandala Biosciences , LLC (87473)	93 847	(4,255)
Mandalmed, Inc. (B2310)	93 837	99,626
Manpower Demonstration Research Corporation (20081954:03)	99 unknown	8,132
Mapp Biopharmaceutical, Inc. (702102)	93 unknown	22,286
Mapp Biopharmaceutical, Inc. (701501)	93 unknown	56,031
Massachusetts Eye and Ear Infirmary (SUB 0R01EY022305-01 (EY022305))	93 867	7,705
Massachusetts General Hospital (A7526)	93 242	232,144
Massachusetts General Hospital (B0012)	93 242	45,732
Massachusetts General Hospital (B0019)	93 394	(8,198)
Massachusetts General Hospital (B0330)	93 855	73,676
Massachusetts General Hospital (B1836)	93 395	106,909
Massachusetts General Hospital (B1837)	93 837	207,619
Massachusetts General Hospital (B2047)	93 853	15,208
Massachusetts General Hospital (25765)	93 286	183,703
Massachusetts General Hospital (27965)	93 unknown	446
Massachusetts General Hospital (20131516)	93 853	16,218
Massachusetts General Hospital (219455:1)	93 853	12
Massachusetts General Hospital (217120:2)	93 242	130,138
Massachusetts General Hospital (80240)	93 853	3,230
Massachusetts General Hospital (SUB 220879 (CA069246))	93 395	117,733
Massachusetts General Hospital (SUB 223124 (AI095261))	93 855	27,569
Massachusetts General Hospital (PS#221606-CYC1)	93 853	1,397
Massachusetts Institute of Technology (5710003258)	81 049	189,211
Massachusetts Institute of Technology (5710003196)	43 001	28,505

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Massachusetts Institute of Technology (5710003491)	12 910	\$ 73,178
Massachusetts Institute of Technology (5710003460)	93 395	105,226
Massachusetts Institute of Technology (5710003584)	12 910	195,565
Massachusetts Institute of Technology (94345)	93 395	6,951
Massachusetts Institute of Technology (5710002656)	47 049	370
Massachusetts Institute of Technology (5710002646)	12 300	232,190
Massachusetts Institute of Technology (5710003592)	47 082	172,161
Massachusetts Institute of Technology (5710002996)	12 300	398,649
Massachusetts Institute of Technology (5710003006)	47 041	41,891
Massachusetts Institute of Technology (5710003155)	47 041	925,556
Massachusetts Institute of Technology (5710003140)	81 135	282,332
Massachusetts Institute of Technology (A8035)	12 unknown	197,054
Massachusetts Institute of Technology (B1454)	93 397	292,874
Massachusetts Institute of Technology (21783)	47 074	118,081
Massachusetts Institute of Technology (5710003316)	98 001	144,368
Massachusetts Institute of Technology (25558)	43 001	58,460
Massachusetts Institute of Technology (5710003279)	81 049	32,124
Massachusetts Institute of Technology (5710003371)	43 001	88,461
Massachusetts Institute of Technology (5710003559)	47 070	50,267
Massachusetts Institute of Technology (5710003028:04)	12 910	162,767
Massachusetts Institute of Technology (5710002309:09)	99 unknown	(446)
Massachusetts Institute of Technology (P07000236273 (NONE))	12 800	33,374
Massachusetts Institute of Technology (5710002998)	12 300	27,903
Mathematica, Inc. (40149S03031)	93 623	61,111
Matrix Research, Inc. (UB 20130104 (FA8650-13-M-1556))	12 800	40,331
Maxentric Technologies, LLC (SUB 20114613 (NONE))	12 910	51,963
Maxentric Technologies, LLC (88197)	12 800	12,547
Maxentric Technologies, LLC (UCSD-4045-1 (NNX13CG36P))	10 212	22,341
Maxentric Technologies, LLC (UCSD-4302-1 (FA8750-13-C-0182))	12 800	82,306
Maxentric Technologies, LLC (20132178)	97 036	85,821
Mayachitra, Inc. (58847)	99 unknown	2,597
Mayo Clinic/Mayo Foundation for Medical Education & Research (A7705)	93 172	6,383
Mayo Clinic/Mayo Foundation for Medical Education & Research (A7792)	93 213	41,174
Mayo Clinic/Mayo Foundation for Medical Education & Research (A8356)	93 866	17,875
Mayo Clinic/Mayo Foundation for Medical Education & Research (A9037)	93 397	38,485
Mayo Clinic/Mayo Foundation for Medical Education & Research (A9212)	93 855	67,441
Mayo Clinic/Mayo Foundation for Medical Education & Research (A9262)	93 393	117,325
Mayo Clinic/Mayo Foundation for Medical Education & Research (A9286)	93 393	120,494
Mayo Clinic/Mayo Foundation for Medical Education & Research (A9354)	93 389	252
Mayo Clinic/Mayo Foundation for Medical Education & Research (B1345)	93 393	28,214
Mayo Clinic/Mayo Foundation for Medical Education & Research (B1481)	93 847	9,549
Mayo Clinic/Mayo Foundation for Medical Education & Research (B2051)	93 846	18,576
Mayo Clinic/Mayo Foundation for Medical Education & Research (B2161)	93 865	104,597
Mayo Clinic/Mayo Foundation for Medical Education & Research (B2226)	93 855	9,944
Mayo Clinic/Mayo Foundation for Medical Education & Research (B2367)	93 859	500
Mayo Clinic/Mayo Foundation for Medical Education & Research (58424)	93 399	17,164
Mayo Clinic/Mayo Foundation for Medical Education & Research (PO#63405411)	93 286	70,260
Mayo Clinic/Mayo Foundation for Medical Education & Research (87337)	93 395	(1,338)
Mayo Clinic/Mayo Foundation for Medical Education & Research (SUB MAYO CLINIC (NS044233))	93 853	299,203
Mayo Clinic/Mayo Foundation for Medical Education & Research (SUB NONE (HD031476))	93 865	164,653
Mayo Clinic/Mayo Foundation for Medical Education & Research (88127)	93 213	(1,558)
Mayo Clinic/Mayo Foundation for Medical Education & Research (SUB 63397197)	93 393	39,405
Mayo Clinic/Mayo Foundation for Medical Education & Research (63211)	93 855	(2,942)

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ARRA-Mayo Clinic/Mayo Foundation for Medical Education & Research (SUB 90TR0002/01 (NONE) ARRA)	93 728	\$ (115)
Mbio Diagnostics, Inc. (87376)	93 855	(766)
Mbio Diagnostics, Inc. (SUB NONE (AI96189))	93 855	250,900
Mbio Diagnostics, Inc. (SUB 20120488 (AI07052))	93 855	43,113
Mbio Diagnostics, Inc. (SUB NONE (AI093289))	93 855	69,874
Mcgill University (A8100)	93 847	74,706
Mcgill University (A9256)	93 unknown	11,530
Mcmaster University (8-49462)	93 856	566
Mcneese State University (NSF-1065334-SUB-002)	47 075	1,648
Medical College of Wisconsin (A7429)	93 838	(260)
Medical College of Wisconsin (A7624)	93 837	3,429
Medical College of Wisconsin (B0113)	93 837	71,895
Medical College of Wisconsin (87742)	93 837	(3,451)
Medical College of Wisconsin (SUB NONE (GM094503))	93 859	158,727
Medical College of Wisconsin (SUB NONE (DK088831))	93 849	680,600
Medical College of Wisconsin (SC-13-04-001)	93 859	57,080
Medical Technology & Practice Patterns Institute (27167)	93 866	43,243
Medical University of Ohio at Toledo (N2009-52-CYC5)	93 389	100,111
Medical University of South Carolina (A8412)	93 839	20,075
Medical University of South Carolina (SUB MUSC13-014 (NS079179))	93 853	20,783
Medical University of South Carolina (MUSC13-059-CYC1)	93 395	27,168
Memorial Hospital of Rhode Island (108-864MARCUS)	93 847	36,500
Memorial Sloan-Kettering Cancer Center (A7658)	93 394	102,895
Memorial Sloan-Kettering Cancer Center (B0134)	93 394	38,695
Memorial Sloan-Kettering Cancer Center (B1750)	93 unknown	(26,540)
Memorial Sloan-Kettering Cancer Center (MSKCC #07-014)	99 unknown	3,174
Memorial Sloan-Kettering Cancer Center (BD516195-CYC3)	93 395	5,924
Mental Health Systems, Inc. (83020)	93 unknown	10,058
Mercator Medsystems, Inc. (B0694)	93 857	81,068
Metabolic Nutritionals (A9445)	93 865	32,008
ARRA-Methodist Hospital Research Institute, The (B1533)	93 701	28,736
Metis Design Corporation (B 2011-3553 (N00014-11-C-0492))	12 300	88,829
Metropolitan Transportation Commission (030593)	20 200	74,017
Metropolitan Water Dist of So California (58270)	15 530	4,209
Miami University (G02358)	47 074	52,228
Michigan State University (78523)	66 509	(2,519)
Michigan State University (RC102039H)	10 309	5,036
Michigan State University (RC102039I)	10 309	254,503
Michigan State University (25864)	93 351	77,014
Michigan State University (20098560605673)	10 310	(1,694)
Michigan State University (61-3212C:03)	81 049	121,686
Michigan State University (003844-019)	98 unknown	86,286
Michigan State University (003844-021)	98 001	58,159
Michigan State University (003844-020)	98 unknown	90,452
Michigan State University (88257)	93 853	(1,836)
Michigan State University (RC062075UCI-CYC2)	47 074	39,689
Michigan State University (RC100173UCI-CYC4)	93 395	49,327
ARRA-Michigan State University (RC060945UCB)	93 701	4,135
Microbiotix, Inc. (032804)	93 855	76,052
Microelectronics Advanced Research Corporation (2013-MA-2386)	12 910	5,569,764
Microelectronics Advanced Research Corporation (2013-MA-2382)	99 unknown	5,909,572
Microelectronics Advanced Research Corporation (77714)	99 unknown	4,516
Microelectronics Advanced Research Corporation (58763)	99 unknown	(194)

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Microelectronics Advanced Research Corporation (442580/2A-57125)	12 910	\$ 205,334
Microxact Incorporated (02-01_AFOSRMEMR)	12 800	121,927
Microxact Incorporated (59469)	12 800	158
Midwest Research Inst (94885)	81 unknown	(2,319)
Midwest Research Inst (XEU-2-22057-01)	81 unknown	135,256
Midwest Research Inst (XEJ-4-42206-01)	81 049	27,321
Mimocloud (SB140007)	12 300	24,000
Miriam Hospital, The (A9953)	93 847	40,476
Miriam Hospital, The (SUB 710-9868 (HL109116))	93 837	38,016
Miriam Hospital, The (SUB 710-9894 (CA162985))	93 393	12,572
Miriam Hospital, The (SUB 710-9907 (AT006948))	93 213	26,649
Mississippi State University (27920)	10 200	7,603
Missouri Western State University (201301194)	10 unknown	67,737
Molecular Express (Rancho Dominguez, Ca) (B0983)	93 unknown	6,353
Molecular Imaging Corporation (acquired) (MI-53588-CYC1)	12 unknown	16,172
Molecular Imaging Corporation (acquired) (MI-54571-CYC1)	93 846	22,630
Molecular Imaging Corporation (acquired) (MI-50912-CYC2)	93 859	158,405
Montana State University (G16311W3270)	81 049	9,066
Montana State University (UB G103-14-W4431 (DBI-1254309))	47 074	57,481
Monterey Bay Aquarium Research Institute (1111340)	11 012	368,997
Monterey Bay Aquarium Research Institute (PO-1111249:02)	11 012	95,864
Monterey Bay Aquarium Research Institute (PO 1111252-004)	11 012	120,141
Morgridge Institute for Research (D12-J0053)	93 837	124,308
Mount Sinai Medical Center (0253-6142-4609)	93 242	5,949
Mpr Associates, Inc. (UCOP-TES-3475-16)	84 unknown	369
Mt. San Antonio College (MSAC-55921-CYC1)	47 076	7,847
Multiplex, Inc. (82639)	12 800	12,234
Nanjing Medical University (NJMU-1322-CYC1)	93 855	16,503
Nanocollect Biomedical, Inc. (20130370)	93 unknown	14,633
Nanocomposix, Inc. (SUB 7035-01(HHSN261201100098C))	93 unknown	21,206
Nanocomposix, Inc. (88248)	12 800	(359)
Nanopixel Technologies (20140002)	99 unknown	26,302
Nanosort, LLC (20113506 (RR 032225))	93 389	(206)
Nanosort, LLC (9R44GM103677-02)	93 859	103,475
Nanosort, LLC (SUB 20113084(CA163436))	93 394	21,787
Nanosort, LLC (88528)	93 395	131
National Academy of Sciences (TRB-2000002462)	20 100	10,000
National Academy of Sciences (26145)	98 001	67,860
National Academy of Sciences (PGAP210947)	98 unknown	16,276
National Bureau of Economic Research, Inc. (33-4135-06-UCB)	93 866	42,171
National Bureau of Economic Research, Inc. (A9799)	93 866	2,849
National Bureau of Economic Research, Inc. (B1992)	93 837	211,549
National Bureau of Economic Research, Inc. (23184)	47 075	10,248
National Bureau of Economic Research, Inc. (33238)	47 075	36,397
National Bureau of Economic Research, Inc. (343509000794227700)	47 unknown	3,506
National Bureau of Economic Research, Inc. (84-4008-01-4/UCLA:04)	93 866	19,522
National Bureau of Economic Research, Inc. (30-3570-00-0-79-688-7700:1)	47 075	17,535
ARRA-NCCF Curesearch for Children's Cancer (Sub GOG 19636 (CA98543))	99 unknown	17,667
National City School District (CT2791)	84 unknown	58,061
National Council for Science and the Environment (200910577)	47 unknown	(25,984)
National Development and Research Institutes, Inc. (A7598)	93 393	223,917
National Eczema Association (201300406)	45 unknown	22,806
National Fish and Wildlife Foundation (0901.12.031600)	10 683	4,646

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National Fish and Wildlife Foundation (010312030614)	10 683	\$ 64,504
National Fish and Wildlife Foundation (20140671)	11 473	28,543
National Fish and Wildlife Foundation (63081)	11 008	19,669
National Fish and Wildlife Foundation (63287)	15 663	62,255
National Fragile X Foundation (201302771)	93 283	14,721
National Institute of Aerospace Associates (X13-7143-UCLA)	43 001	24,085
National Jewish Health (20093678:02)	99 unknown	172,706
National Jewish Medical and Research Center (SUB NONE (HL089897))	93 839	(3,867)
National Jewish Medical and Research Center (SUB (HHSN272201000020C))	93 unknown	549,885
National Jewish Medical and Research Center (SUB 24021106 (HL089897))	93 839	22
National Marine Sanctuary Foundation (20142599)	11 011	266
National Marrow Donor Program (A7439)	93 unknown	5,187
National Marrow Donor Program (A7645)	12 300	1,146
National Marrow Donor Program (A8796)	12 300	1,704
National Marrow Donor Program (B1232)	93 unknown	53,719
National Marrow Donor Program (82537)	93 839	16,193
National Marrow Donor Program (SUB 424 RDSAFE (HL085707))	93 837	8,049
National Opinion Research Center (5845-UCLA)	93 866	38,642
National Security Technologies, LLC (124560)	99 unknown	20,075
National Security Technologies, LLC (144064)	81 unknown	34,400
National Space Biomedical Research Institute (PF03001)	99 unknown	49,995
National Space Biomedical Research Institute (B1730)	43 unknown	509
National Space Biomedical Research Institute (26122)	43 unknown	57,046
National Space Biomedical Research Institute (SUB NONE (CA00001))	43 unknown	82,999
National Space Biomedical Research Institute (MA02501-CYC3)	43 002	102,820
National Space Biomedical Research Institute (MA02801-CYC1)	43 unknown	121,018
National Trauma Institute (A7457)	12 420	67,288
National Trauma Institute (NTI-NCH-10-033:1)	12 420	38,426
National Trauma Institute (SUB NTI-NCH-10-020B (W81XWH-1))	12 420	345
National Trauma Institute (NTI-NCH-10-016)	12 420	106,957
National Writing Project (SUB 92-CA07-NSF2013)	47 076	28,052
National Writing Project (92-CA07-SEED2012)	84 367	7,312
National Writing Project (92-CA06-SEED2012-1-CYC4)	84 367	2,509
Nationwide Children's Hospital (B2505)	93 847	80,653
Nationwide Children's Hospital (07004544)	93 173	31,445
Nationwide Children's Hospital (3000744-13-06:01)	93 865	67,644
Nationwide Children's Hospital (SUB 735611 (AR060949))	93 846	212,381
Nature Conservancy (33922)	11 463	6,845
Navatek, Ltd. (87907)	12 300	95
Navmar Applied Sciences Corporation (NAVMAR PX002554)	99 unknown	82,224
Neuren Pharmaceuticals, Inc. (84918)	12 unknown	480
Neuren Pharmaceuticals, Inc. (78529)	99 unknown	2,226
Neuro Kinetics, Inc. (77808)	93 867	(25,270)
Neuro Kinetics, Inc. (5R44EY018025-03)	93 867	259,162
Neurocog Trials, Inc. (SUB 20120317 (MH084240))	93 242	84,594
Neuroindx, Inc. (20141039)	93 242	9,151
Neurosigma, Inc. (STTR 1R41NS076014-01)	93 853	46,508
Nevada System of Higher Education (UNR1360)	10 652	34,990
Nevada System of Higher Education (UNR1251)	98 001	25,584
New England Research Institute, Inc. (A9810)	93 847	8,055
New England Research Institute, Inc. (200911431)	93 unknown	1,370
New England Research Institute, Inc. (SUB NONE (HL62870))	93 837	103,981
New England Research Institute, Inc. (83186)	93 849	22,775

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New England Research Institute, Inc. (SUB NONE (DK058229))	93 847	\$ 51,492
New Mexico Consortium, Inc. (SUB NMC158-01 (GM105608))	93 859	40,629
New Profit, Inc. (ONAL AND COMMUNITY SERVICE 201)	94 019	239,968
New York Medical College (L-10215)	93 737	29,531
New York University (A7517)	12 420	44,683
New York University (A8585)	12 420	38,243
New York University (B1011)	93 unknown	78,229
New York University (B1212)	43 unknown	280,266
New York University (B2215)	93 121	15,333
New York University (F7112-01:01)	12 300	98,369
New York University (10-02142:01)	12 420	1,758
New York University (005857)	93 859	39,584
New York University (SUB 09-0281 (AI084119))	93 856	12,892
New York University (87135)	47 074	(105)
New York University (13-A1-00-000091-01-CYC1)	93 866	103,948
New York University (F7432-01-CYC1)	93 865	18,842
New York University (F6489-01-CYC5)	93 855	57,118
Nextgen Aeronautics (14-01-CYC1)	12 801	45,000
Nokia Corporation (033983)	12 300	517,139
North Carolina State University (2012178502)	10 309	10,070
North Carolina State University (2010154401)	93 855	127,285
North Carolina State University (2010145401)	47 074	1,602
North Carolina State University (SUB NONE (GM093939))	93 859	132,418
North Carolina State University (2008-0592-01-CYC6)	93 286	1,167
North Carolina State University (2009-1380-01-CYC7)	11 440	121,883
North Dakota University System (FAR0022074)	10 310	1,071
North Dakota University System (FAR00155259)	10 310	175,662
North Dakota University System (FAR0014507)	81 unknown	808
North Pacific Research Board (1303)	11 472	15,433
North Pacific Research Board (1218)	11 472	123,407
North Pacific Research Board (1217)	11 472	2,083
North Shore-Long Island Jewish Health System (UCL1-01-NON-ARRA:02)	99 unknown	68,301
North Shore-Long Island Jewish Health System (UCL2-01-NON-ARRA:02)	99 unknown	4,040
Northeastern University (503340)	12 300	132,682
Northeastern University (501947-78052)	47 041	28,616
Northern California Institute for Research and Education, Inc. (1572)	93 866	454,979
Northern California Institute for Research and Education, Inc. (1684)	12 unknown	13,747
Northern California Institute for Research and Education, Inc. (A7122)	93 242	3,466
Northern California Institute for Research and Education, Inc. (A8422)	93 866	30,745
Northern California Institute for Research and Education, Inc. (1679)	12 unknown	11,622
Northern California Institute for Research and Education, Inc. (1680)	12 unknown	12,941
Northern California Institute for Research and Education, Inc. (32899)	93 866	284,124
Northern California Institute for Research and Education, Inc. (1569)	93 866	82,695
Northern California Institute for Research and Education, Inc. (1570)	93 866	103,425
Northern California Institute for Research and Education, Inc. (1681:01)	12 420	7,551
Northern California Institute for Research and Education, Inc. (1R011HL4563-01A1)	93 837	35,174
Northern California Institute for Research and Education, Inc. (1521:03)	93 866	20,401
Northern California Institute for Research and Education, Inc. (1577:01)	93 866	233,613
Northern California Institute for Research and Education, Inc. (82342)	93 unknown	(1,654)
Northern California Institute for Research and Education, Inc. (SUB 1566 (AG024904))	93 866	8,695,879
Northern California Institute for Research and Education, Inc. (SUB 1677 (W81XWH-12-2-0012))	12 unknown	473,832
ARRA-Northern California Institute for Research and Education, Inc. (95007)	93 701	9,550
Northrop Grumman Corporation (HHSN272200900041C)	93 unknown	29,985

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Northrop Grumman Corporation (86868)	93 unknown \$	(7,823)
Northrop Grumman Corporation (PO#8140000703)	99 unknown	194
Northrop Grumman Corporation (7600005024)	12 910	3,689
Northwestern University (SP0009801-PROJ0002728)	47 076	78,615
Northwestern University (A7449)	93 847	943
Northwestern University (A7697)	93 859	2,157
Northwestern University (A8747)	93 279	77,036
Northwestern University (A9839)	93 279	33,107
Northwestern University (B0257)	93 837	49,963
Northwestern University (B0927)	93 846	10,026
Northwestern University (B1435)	93 134	19,295
Northwestern University (B2567)	93 unknown	8,860
Northwestern University (B3018)	93 837	432
Northwestern University (1R01CA16404101)	93 393	23,901
Northwestern University (SP0020412PROJ0005157)	12 910	451,472
Northwestern University (60034672UCD)	93 393	39,230
Northwestern University (DESC0001271)	81 049	451,917
Northwestern University (60035189 UCLA)	93 279	161,605
Northwestern University (58061)	93 837	(2,294)
Northwestern University (60024957 UCLA:A02)	93 855	41,558
Northwestern University (60035059 UC)	93 838	131,457
Northwestern University (SP0020933-PROJ0005560)	93 838	37,156
Northwestern University (SP0021750-PROJ0005526)	99 unknown	32,113
Northwestern University (CNV0055224-PROJ0000253:06)	81 049	8,307
Northwestern University (60027389 UC)	93 397	37,311
Northwestern University (SP0003300-PROJ0001850:04)	81 087	67,668
Northwestern University (60029442 UCLA)	93 846	77,173
Northwestern University (60032241 UCI-CYC2)	93 865	10,333
Northwestern University (60029273 UCU-CYC5)	93 233	34,229
Northwestern University (60032976-UCI-CYC1)	96 unknown	115,041
Northwestern University (60033871-CYC1)	93 242	138,980
Northwestern University (SP10719/PROJ0002996-CYC3)	93 286	132,460
Nova Scientific, Inc. (UCB 111912)	93 859	1,464
Nova Scientific, Inc. (UCB 030713)	81 049	75,013
Nova Scientific, Inc. (UCB 042214)	81 unknown	23
Novafloa, Inc. (201121204)	10 212	110,572
Novartis Ag (CRAD001CUS168T)	12 420	18,219
Nsabp Foundation, Inc. (57462)	93 399	87
Nsabp Foundation, Inc. (20104756)	93 395	5,510
Nsabp Foundation, Inc. (TFED41-137)	93 395	1,840
Nsabp Foundation, Inc. (UCLA-YR28:1)	93 399	318,979
Nsabp Foundation, Inc. (78145)	93 399	30,600
Nsabp Foundation, Inc. (78245)	93 395	47,850
Nsabp Foundation, Inc. (TFED41S2-710-CYC3)	93 395	(8,442)
Nutronics, Inc. (57215)	99 unknown	27,681
Oak Ridge Associated Universities (ORAU-54583-CYC3)	12 800	72,619
Oak Ridge National Laboratory (4000114432)	12 unknown	8,471
Oak Ridge National Laboratory (85698)	81 unknown	30,520
Oak Ridge National Laboratory (4000126259)	81 unknown	148,961
Oak Ridge National Laboratory (4000089378)	81 unknown	85,717
Oak Ridge National Laboratory (4000090817)	81 unknown	35,952
Oak Ridge National Laboratory (A7079)	81 unknown	13,348
Oak Ridge National Laboratory (4000126720)	81 unknown	21,185

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Oak Ridge National Laboratory (4000102856)	81 unknown \$	99,681
Oak Ridge National Laboratory (4000116770:03)	99 unknown	328,701
Oak Ridge National Laboratory (79084)	99 unknown	(1,720)
Oak Ridge National Laboratory (005903)	81 unknown	651,719
Oak Ridge National Laboratory (005934)	99 unknown	46,752
Oak Ridge National Laboratory (006087)	81 unknown	19,955
Oak Ridge National Laboratory (4000069422)	81 unknown	43,633
Oak Ridge National Laboratory (SUB 4000087891 (DE-AC05-00OR2))	81 unknown	227
Oak Ridge National Laboratory (4000124403)	81 117	50,000
Oak Ridge National Laboratory (4000128491)	81 117	10,480
Oak Ridge National Laboratory (4000100283)	81 unknown	7,337
Oak Ridge National Laboratory (4000077731)	81 unknown	32,723
Oak Ridge National Laboratory (4000129396)	81 unknown	38,420
Oak Ridge National Laboratory (4000068439)	81 unknown	80,921
Oak Ridge National Laboratory (4000126074-CYC1)	81 unknown	19,808
Oak Ridge National Laboratory (4000101858-CYC1)	81 unknown	158,068
Objectvideo, Inc. (FINDER-7212-UCB-090113)	12 300	166,375
Objectvideo, Inc. (20111767:002)	12 300	(6,255)
Ocean Associates (TO PG2)	99 unknown	13,458
Oewaves (201302701)	12 unknown	99,119
Ohio State University (RF01207236)	10 680	10,269
Ohio State University (A8067)	93 837	7,288
Ohio State University (A8513)	47 041	51,447
Ohio State University (A9498)	93 866	9,475
Ohio State University (N660011114090)	12 910	200,440
Ohio State University (60023182)	98 001	18,438
Ohio State University (60043375/GRT00030881)	12 431	47,320
Ohio State University (60041988)	93 837	6,303
Ohio State University (60040479)	99 unknown	37,677
Ohio State University (60029134 UCLA)	93 859	48,646
Ohio State University (60035151)	81 049	24,406
Ohio State University (PROJECT NO. 60018554)	47 049	11,363
Ohio State University (87512)	93 859	(1,364)
Ohio State University (GRT00009685 (FA8650-07-D-1220))	12 800	34,757
Ohio State University (SUB 60040750 (GM092655))	93 859	4,761
Ohio State University (60031541-CYC1)	43 001	4
Ohio State University (RF01234341)	47 079	75,302
Ohio University (SUB NONE (DC010883))	93 173	25,596
Oklahoma Medical Research Foundation (A7278)	93 855	88,692
Oklahoma Medical Research Foundation (20083092:03)	93 855	152,938
Oklahoma Medical Research Foundation (0232-04:3)	93 847	38,096
Oklahoma State University (77855)	47 082	326
Old Dominion University Research Foundation (09-165-371981:06)	47 079	91,182
Optimal Synthesis, Inc. (201223365)	43 001	45,766
Optivia Biotechnology, Inc. (A8595)	93 859	53,133
Opto-knowledge Systems, Inc. (87844)	43 unknown	53,391
Opto-knowledge Systems, Inc. (NNX12CA92C)	43 001	65,697
Oracle Corporation (SUB US1440128 (NONE))	12 910	49,857
Orange County (MA-042-120-10831-CYC1)	93 940	49,439
Orange County Department of Education (36275-CYC2)	84 215	120,590
Orcas (ORCAS-28054-CYC1)	93 242	24,847
Oregon Health & Science University (9008718_UCB)	93 397	115,075
Oregon Health & Science University (A7823)	93 397	306,405

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Oregon Health & Science University (A9015)	93 unknown \$	66,290
Oregon Health & Science University (A9446)	93 279	64,653
Oregon Health & Science University (A9910)	93 895	143,405
Oregon Health & Science University (B0619)	43 003	87,185
Oregon Health & Science University (B1303)	93 397	210,538
Oregon Health & Science University (B1710)	93 397	158,783
Oregon Health & Science University (9007923UCD)	93 394	14,550
Oregon Health & Science University (1004031UCDGANDARA)	93 395	44,826
Oregon Health & Science University (23561)	93 unknown	9,132
Oregon Health & Science University (9006527UCDBARRY)	93 855	190,542
Oregon Health & Science University (GPRC00884BUCD)	93 393	9,229
Oregon Health & Science University (32887)	93 351	51,576
Oregon Health & Science University (9006761UCD)	93 855	32,610
Oregon Health & Science University (GPEDC0036ASAHN)	93 837	68,653
Oregon Health & Science University (GPEDC0036A SAHN:04)	93 837	138,489
Oregon Health & Science University (9009028_UCLA)	93 865	174,220
Oregon Health & Science University (59229)	93 395	977
Oregon Health & Science University (1002919-UCLA)	93 837	27
Oregon Health & Science University (1002459_UCLA)	93 837	49,222
Oregon Health & Science University (SUB NONE (OD011883))	93 853	444,134
Oregon Health & Science University (SUB 1002383 (DC012938))	93 173	18,538
Oregon Health & Science University (ABNEU0202-CYC4)	93 279	43,003
Oregon Health & Science University (1003544 UCI-CYC1)	93 279	942
Oregon Social Learning Center (004495)	93 865	5,703
Oregon State University (C0423B-E)	10 309	85,759
Oregon State University (RM100A-A)	12 unknown	225,456
Oregon State University (S1412A-B)	47 049	86,512
Oregon State University (C0452AA)	10 200	42,861
Oregon State University (DA786AA)	10 664	390
Oregon State University (C0414CC)	10 310	16,687
Oregon State University (C0423BD)	10 309	235,341
Oregon State University (S1412AC)	47 049	174,122
Oregon State University (NS235A-A:1)	43 001	98,974
Oregon State University (PO355A-A)	93 866	92,507
Oregon State University (005410)	20 781	20,931
Oregon State University (S1315A-B)	47 079	108,825
Oregon State University (NS225A-A)	43 unknown	5,408
Oregon State University (NA2233-C)	11 417	19,536
Oregon State University (S1343H-A)	47 050	7,392
Oregon State University (S1364A-A)	47 050	27,976
Oregon State University (1003772_UCI-CYC1)	93 395	246,073
Osel, Inc. (A7245)	93 855	79,774
Out of the Fog Research, LLC (UB 20132552 (FA9550-13-C-0018))	12 800	235,620
Outcome Sciences, Inc. (79413)	93 715	(5,235)
ARRA-Outcome Sciences, Inc. (DECIDE ID:62-EHC)	93 715	27,015
Pacific Biosciences of California, Inc. (201300808)	93 865	74,754
Pacific Development and Technology, LLC (20110828)	99 unknown	11,325
Pacific Health Research and Education Institute (SUB 21304 (AG046871))	93 866	41,405
Pacific Institute for Research and Evaluation (0097)	93 273	41,655
Pacific Institute for Research and Evaluation (SUB 0674 (DA035098))	93 279	3,742
Pacific Institute for Research and Evaluation (0586)	93 242	16,268
Pacific Northwest National Laboratories (34167)	81 unknown	(14,738)
Pacific Northwest National Laboratories (207847)	81 unknown	267,286

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Pacific Northwest National Laboratories (33629)	84 unknown \$	15,915
Pacific Northwest National Laboratories (211122)	81 036	67,643
Pacific Northwest National Laboratories (83127)	93 unknown	79,185
Pacific Northwest National Laboratories (190110)	81 unknown	136,431
Pacific Northwest National Laboratories (SUB 196885 (DE-AC05-76RL01830))	81 unknown	93,630
Pacific Northwest National Laboratories (SUB 200949 (DE-AC05-76RL01830))	81 unknown	98,665
Pacific States Marine Fisheries Commission (1252)	11 437	14,643
Pacific States Marine Fisheries Commission (59958)	11 454	30
Palo Alto University (B0814)	93 242	154,729
Palo Alto Veterans Institute for Research, Inc. (B2788)	93 121	134,494
Paragon Tec (SB140043)	43 001	2,500
Partners Healthcare System, Inc. (NS052592)	93 853	3,488
Partners Healthcare System, Inc. (208360)	12 420	(53,447)
Partners Healthcare System, Inc. (87803)	93 389	560
Partners Healthcare System, Inc. (20141200)	93 853	1,310
Partners Healthcare System, Inc. (83297)	93 856	837
Partners Healthcare System, Inc. (220737-CYC2)	93 855	47,751
Partners Healthcare System, Inc. (208409-CYC5)	93 865	54,683
Partners Healthcare System, Inc. (78751)	93 853	3,285
Partners Healthcare System, Inc. (PSA-032408-CYC6)	93 213	5,632
Partners Healthcare System, Inc. (215287-CYC4)	93 389	38,428
Partners in Hope (Malawi) (674-A-00-10-00035-00)	98 001	940,884
Patricia Sullivan Consulting (B1321)	93 395	162,193
Peking University (1221101)	93 866	64,152
Pelagique, LLC (20130580)	12 420	66,058
Pennsylvania State University (4755-UCB-NSF-0507)	47 050	61,815
Pennsylvania State University (3757-UCB-NSF-0404)	47 049	50,000
Pennsylvania State University (3854-UCB-AFOSR-0041)	12 300	(14,993)
Pennsylvania State University (A7690)	93 837	23,414
Pennsylvania State University (A7780)	93 279	51,315
Pennsylvania State University (A8295)	93 837	75,773
Pennsylvania State University (A9237)	93 837	68,949
Pennsylvania State University (B0389)	93 837	42,430
Pennsylvania State University (B1405)	93 837	372,936
Pennsylvania State University (B1687)	93 838	147,387
Pennsylvania State University (B2058)	93 839	64,838
Pennsylvania State University (B2261)	93 846	4,673
Pennsylvania State University (B2280)	93 838	141,367
Pennsylvania State University (4957UCDARMY0045)	12 630	294,374
Pennsylvania State University (3902-UCLA-NASA-A76A)	43 001	115,730
Pennsylvania State University (4242-UCLA-DHHS-0709:04)	93 865	21,451
Pennsylvania State University (58274)	12 630	83,778
Pennsylvania State University (006576)	12 630	274,399
Pennsylvania State University (004516)	12 630	53,553
Pennsylvania State University (005552)	93 855	84,746
Pennsylvania State University (005648)	47 074	43,642
Pennsylvania State University (SD-USA-0124 (W911NF-08-1-0124))	12 431	28,661
Pennsylvania State University (SUB 4496-UCSD-DOE-6903(DE-SC0))	81 049	31,263
Pennsylvania State University (4993-UCSD-DHHA-1692 (AII11692))	93 855	3,285
Pennsylvania State University (4727-UCSC-NASA-P41G)	43 001	8,852
Pennsylvania State University (4916-USB-DOE-0620)	81 049	18,856
Pennsylvania State University (3661-UCSB-DHHS-7002)	93 865	5,815
Pennsylvania State University (4495-UCI-DOE-6903-CYC2)	81 049	17,966

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Pennsylvania State University (4600-UC-USDE-0046-CYC2)	84 324	\$ 51,993
Pennsylvania State University (4657-UC-USDE-0331-CYC2)	84 324	14,170
Pennsylvania State University (4965-UCI-UWM-K223-CYC1)	93 866	4,948
Pennsylvania State University (4313-UCI-DHHS-2498-CYC5)	93 865	4,864
Pennsylvania State University (4281-UCI-DHHS-9672-CYC4)	93 855	536,143
Pepgen Corporation, Inc. (A9106)	47 041	176,078
Perception Research Systems, Inc. (2012-1464)	93 242	23,696
Perceptronics (PS-102092-CYC1)	12 unknown	12,000
Perceptronics (PS-55854-CYC1)	12 unknown	35,000
Perceptronics (PS-102689-CYC1)	12 598	38,645
Physical Optics Corporation (SUB 14-0711 (FA2487-14-C-0068))	12 800	9,992
Physical Optics Corporation (4746-UCI-CYC1)	12 unknown	12,234
Physical Sciences, Inc. (SC60461-1807)	81 049	44,385
Physical Sciences, Inc. (SC579961763001)	12 unknown	8,956
Planetary Science Institute (NNX11AQ49G)	43 001	7,450
Plasalus, LLC (A7311)	93 unknown	49,728
Point Blue Conservation Science (2405A-UCB-JB)	15 unknown	15,265
Point Loma Nazarene University (SUB NONE (IOS-1052561))	47 074	100,280
Portland State University (203KAP420)	93 273	2,316
Pranalytica, Inc. (20130439)	99 unknown	101,795
Praxis Biosciences, LLC (PB-50904-CYC3)	93 173	114,767
Predictive Science, Inc. (PSI-49953-CYC2)	43 unknown	63,067
Premitec (20144132)	93 867	18,395
Prince William Sound Science Center (12-81-01)	11 472	201,716
Princeton Plasma Physics Laboratory (S010182-F)	81 unknown	2,177
Princeton Plasma Physics Laboratory (S011846-F:1)	99 unknown	19,592
Princeton Plasma Physics Laboratory (S012340-F)	99 unknown	64,541
Princeton University (00002082)	12 431	125,526
Princeton University (00001987)	43 001	63,795
Princeton University (A8343)	93 397	22,553
Princeton University (A9821)	93 397	213,426
Princeton University (B0205)	93 397	143,099
Princeton University (B1018)	93 397	38,849
Princeton University (B1207)	93 397	39,949
Princeton University (00001889)	81 049	119,816
Princeton University (00001990:01)	12 800	73,910
Princeton University (00002109)	93 397	36,226
Princeton University (58953)	93 397	30,823
Princeton University (UB 00001661 (FA9550-09-1-0314))	12 800	579,919
Princeton University (SUB 00002010 (PHY-1120138))	47 049	1,035,968
Princeton University (SUB 00002070 (CA143803))	93 397	2,807
Princeton University (2160 (DE-AR0000400))	81 135	65,474
Princeton University (00001786-005)	93 397	132,144
Princeton University (00002057)	20 205	2,457
Princeton University (00001876-CYC3)	47 070	124,593
Princeton University (00001713-CYC10)	12 800	72,660
Profusa Corporation (B0923)	93 310	109,599
Progeny Systems (PSC-0225)	99 unknown	74,545
Project Apis M. (201400979)	10 170	35,099
Ptc Therapeutics, Inc. (035057)	93 855	147,825
Public Health Foundation Enterprises, Inc. (5U50CK00201-02)	93 283	18,832
Public Health Foundation Enterprises, Inc. (A7246)	93 939	174,630
Public Health Foundation Enterprises, Inc. (A7498)	93 242	29,717

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Public Health Foundation Enterprises, Inc. (A7772)	93 855	\$ 10,940
Public Health Foundation Enterprises, Inc. (A8833)	93 855	81,187
Public Health Foundation Enterprises, Inc. (A9180)	93 283	23,077
Public Health Foundation Enterprises, Inc. (B0311)	93 279	14,871
Public Health Foundation Enterprises, Inc. (B0486)	93 855	121,221
Public Health Foundation Enterprises, Inc. (B0514)	93 939	275,997
Public Health Foundation Enterprises, Inc. (B0978)	93 855	17,192
Public Health Foundation Enterprises, Inc. (B1073)	93 855	351,651
Public Health Foundation Enterprises, Inc. (B1403)	93 855	13,460
Public Health Foundation Enterprises, Inc. (B2065)	93 279	15,427
Public Health Foundation Enterprises, Inc. (B2336)	93 855	35,310
Public Health Foundation Enterprises, Inc. (B2522)	93 855	17,836
Public Health Foundation Enterprises, Inc. (B2600)	93 939	158,172
Public Health Foundation Enterprises, Inc. (B3058)	93 242	11,755
Public Health Foundation Enterprises, Inc. (B3071)	93 885	4,482
Public Health Foundation Enterprises, Inc. (00054.020.901/0054.020.904)	93 153	87,525
Public Health Foundation Enterprises, Inc. (PHFE-55801-CYC1)	93 701	4,899
Public Health Foundation Enterprises, Inc. (PHFE-55673-CYC1)	93 887	3,232
Public Health Foundation Enterprises, Inc. (PHFE-101103-CYC1)	93 591	5,083
Public Health Institute (1018743R)	93 531	297,790
Public Health Institute (A8425)	93 273	2,546
Public Health Institute (B1880)	84 unknown	20,000
Public Health Institute (1019243)	10 253	16,014
Public Health Institute (27504)	10 unknown	30,028
Public Health Institute (1019428)	93 531	273,240
Public Health Institute (1019509)	93 273	76,723
Public Health Institute (UB 1018434 (HHSN261201000034C))	93 unknown	56,644
Purdue University (4101-51805)	47 041	159,563
Purdue University (12065162)	98 001	4,608
Purdue University (NEES-4101-31870)	47 041	1,085,914
Purdue University (4101-38048)	47 070	401,214
Purdue University (NEES 4101-45661)	47 041	171,990
Purdue University (410255629)	93 865	81,102
Purdue University (410156088)	47 076	31,809
Purdue University (20146701721831)	10 310	121
Purdue University (126701730159)	10 310	15,421
Purdue University (NEES410131873)	47 041	1,274,513
Purdue University (4101-42784:01)	47 041	3,701
Purdue University (4104-44613:02)	99 unknown	37,359
Purdue University (NEES-4101-38423:02)	47 041	(4)
Purdue University (NEES-4101-31881 (CMMI-0927178))	47 041	1,092,909
Purdue University (NEES-4101-34566 (CMMI-0927178))	47 041	7,112
Purdue University (SUB 4101-38049 (CCF-0939370))	47 070	392,596
Purdue University (4112-50374 (2009-ST-061-CI000))	10 unknown	64,309
Purdue University (4103-50586)	43 001	42,637
Purdue University (SUB 4105-57166 (DE-FE0011291))	81 057	34,132
Purdue University (4112-57682)	97 unknown	154,943
Purdue University (NEES-4101-31902)	47 041	456,558
Q-peak (SC56383-2713)	12 300	20,000
Qr Pharma, Inc. (W81XWH-13-2-0092)	12 420	322,089
Quantitative Morphology Consulting (88026)	12 300	(31,385)
Quantitative Morphology Consulting (SUB 2013-NOV-27-3001 ( NONE))	12 300	18,758
Queensland, State of (QIMR 08-01-5163)	93 865	168,306

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Questek Innovations, LLC (1000)	12 300	\$ 21,000
Questek Innovations, LLC (1092)	12 300	50,435
Quinstar Technology, Inc. (43970)	99 unknown	31,761
Radiabeam Technologies, LLC (20131019)	81 049	91,745
Radiabeam Technologies, LLC (20140048)	81 049	68,008
Radiabeam Technologies, LLC (20112016)	99 unknown	23,079
Radiabeam Technologies, LLC (1LPT_001-2)	99 unknown	18,424
Radiabeam Technologies, LLC (20144162)	99 unknown	13,840
Radiation Monitoring Devices, Inc. (C1136)	93 389	995
Radiation Monitoring Devices, Inc. (RMDC1041)	93 unknown	17,247
Radiological Society of North America, Inc. (B2457)	93 838	410,211
Radiological Society of North America, Inc. (SUB HHSN268200800020C (NHLBI-))	93 839	42,111
Rand Corp (9920140072)	93 226	5,000
Rand Corp (9920140048)	93 213	17,902
Rand Corp (9920100055:3)	93 226	(233)
Rand Corp (9920130049)	93 866	35,277
Rand Corp (9920100040:7)	93 393	847
Rand Corp (9920120072:1)	93 307	10,711
Rand Corp (9920130157)	93 273	42,508
Rand Corp (9920130131)	93 279	7,684
Rand Corp (9920140034)	93 307	11,596
Rand Corp (9920130018)	99 unknown	11,205
Rand Corp (9920120107)	99 unknown	4,762
Rand Corp (9920140019)	93 095	46,049
Rand Corp (9920130007)	93 113	140,256
Rand Corp (79151)	93 865	2,627
Rand Corp (9920120024:01)	99 unknown	17,029
Rand Corp (9920080019:06)	93 242	22,524
Rand Corp (9920120017:02)	99 unknown	126,972
Rand Corp (9920080128:05)	93 864	201,021
Rand Corp (9920130079)	93 310	13,826
Rand Corp (9920100039:04)	93 279	117,918
Rand Corp (9920120110:03)	93 865	19,468
Rand Corp (9920120084-CYC1)	16 560	44,341
Raytheon Bbn Technologies Corp. (13891)	12 unknown	108,497
Raytheon Bbn Technologies Corp. (W911NF0920053)	12 unknown	595,702
Raytheon Bbn Technologies Corp. (PO#950001)	99 unknown	171,820
Raytheon Bbn Technologies Corp. (14075)	99 unknown	1,349,755
Raytheon Bbn Technologies Corp. (W911NF-09-2-0053)	12 630	1,057,193
ARRA-Raytheon Bbn Technologies Corp. (84087)	47 unknown	7,110
ARRA-Raytheon Bbn Technologies Corp. (84090)	47 070	4,620
Raytheon Company (4200660773)	12 unknown	50,000
Raytheon Company (26173)	47 070	29,237
Raytheon Company (4200761799)	12 910	105,559
Raytheon Company (SUB NONE (D10PC20023))	97 unknown	122,152
Re-vision Consulting, LLC (20132796)	47 041	65,288
Rehabilitation Institute of Chicago (81758-CYC1)	84 133	45,309
Rehabilitation Institute of Chicago (81760-CYC1)	84 133	110,343
Remote Sensing Systems (6103)	11 012	26,074
Rensselaer Polytechnic Institute (A12363)	93 113	209,259
Research & Diagnostic Antibodies (B2162)	93 unknown	95,937
ARRA-Research Foundation Cluny, City University of New York (40005-A:1)	47 082	36,035
Research Foundation for Mental Hygiene (A7557)	93 242	686

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Research Foundation for Mental Hygiene (107617)	93 242	\$ (125,224)
Research Foundation for Mental Hygiene (88292)	93 242	233,902
Research Foundation for Mental Hygiene (79195)	12 420	(16,755)
Research Foundation for State University of New York, The (A66377)	47 074	32,840
Research Foundation of State University of New York, (The) (624371105683UCD)	93 242	43,045
Research Foundation of State University of New York, (The) (83212)	93 865	(2,009)
Research in Physics, Materials, and Applied Mathematics, LLC (8041-S1-CYC1)	81 036	14,730
Resilient Network Systems (SUB 20131789 (70NANB12D296))	11 609	77,529
Rhode Island Hospital (70154751CA)	93 273	30,253
Rhode Island Hospital (701-5552)	93 837	182,320
Rhode Island Hospital (701-5452:01)	93 242	15,039
Rhode Island Hospital (83219)	93 856	(88,692)
Rice University (R16991)	66 509	107,067
Rice University (R3B594:4)	47 041	32,391
Rice University (80050)	12 431	129,815
Rice University (SUB R3D982 (PHY1308264))	47 074	940,617
Rice University (SUB R3E031 (DMS-1309542))	47 049	212,314
Riverside-San Bernardino County Indian Health, Inc. (20132665)	93 508	95,904
Robert Bosch North America (E-AR0000278-001 (DE-AR0000278))	81 135	71,802
Rochester Institute of Technology (146728:01)	66 717	4,784
Rochester Institute of Technology (31264-01)	43 unknown	10,265
Rockefeller University (A7992)	93 859	83,204
Rockefeller University (A9719)	93 859	230,273
Rockefeller University (B0942)	93 310	307,623
Rockefeller University (B1525)	93 310	34,375
Rockwell Collins, Inc. (87921)	12 910	268,444
Roi Defense Associates, LLC (01 (N00178-05-D-4538-D.O.EH01))	12 300	32,464
Royal Caliber, LLC (201400600)	12 unknown	37,500
Royal Philips Electronics (PRNACLTC1101)	12 unknown	32,141
RTI International (4-340-0213190)	12 300	28,442
RTI International (B3068)	98 001	10,298
RTI International (23120213517)	93 unknown	32,709
RTI International (1-312-0213309)	93 279	20,000
RTI International (PO#C000600060)	99 unknown	14,729
RTI International (0212456:03)	93 865	39,141
RTI International (005916)	99 unknown	39,230
RTI International (83165)	93 279	(49,231)
RTI International (SUB HD069031 (HD054214))	93 865	8,729
RTI International (6-312-0212512-CYC4)	93 865	126,006
Rush University Medical Center (SUB 2R56AI065361(AI065361))	93 286	7,647
Rutgers Biomedical and Health Sciences (A8103)	93 145	(929)
Rutgers, The State University of New Jersey (B1442)	93 855	28,995
Rutgers, The State University of New Jersey (B2351)	93 110	244,723
Rutgers, The State University of New Jersey (B2775)	93 855	22,875
Rutgers, The State University of New Jersey (5136ETOFENPROX)	10 001	30,800
Rutgers, The State University of New Jersey (2012CA001ARS)	10 001	4,137
Rutgers, The State University of New Jersey (4239)	81 049	9,026
Rutgers, The State University of New Jersey (2011CA001ARS)	10 200	7,648
Rutgers, The State University of New Jersey (3977)	10 310	2,508
Rutgers, The State University of New Jersey (2004CA001)	10 unknown	2,058
Rutgers, The State University of New Jersey (4-34227:1)	47 070	69,392
Rutgers, The State University of New Jersey (8024)	93 397	10,459
Rutgers, The State University of New Jersey (SUB 00003711 (DBI-0829586))	47 074	1,174,251

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Rutgers, The State University of New Jersey (20132841)	47 074	\$ 835,944
Rutgers, The State University of New Jersey (4509)	15 423	48,949
Rutgers, The State University of New Jersey (5114-CYC1)	47 080	295,355
S12 Technologies, Inc. (SI2-2057-2014-001)	99 unknown	15,412
Sacramento Municipal Utility District (450083130)	81 086	96,026
Saddleback Valley Unified School District (SVUSD-49369-CYC3)	84 215	13,593
Saint Barnabas Medical Center (UCLA1)	93 847	17,789
Salk Institute for Biological Studies (A8877)	93 855	401,454
Salk Institute for Biological Studies (004639)	93 853	41,997
Salk Institute for Biological Studies (SUB P0000334 (AG032755))	93 866	16,116
Salk Institute for Biological Studies (SUB P0021899 (AI076852))	93 856	16,543
Salk Institute for Biological Studies (SUB P0040249 (AI090935))	93 855	233,210
Salk Institute for Biological Studies (SUB P0040247 (AI090935))	93 855	181,219
Salk Institute for Biological Studies (SUB P0037374 (MH091407))	93 242	177,916
Salk Institute for Biological Studies (SUB (NONE) (HD033113))	93 865	42,277
Salk Institute for Biological Studies (SUB P00052440 (HD033113))	93 865	59,049
Salk Institute for Biological Studies (SUB P0052446 (HD033113))	93 865	163,357
Salk Institute for Biological Studies (SUB NONE (MH099571))	93 242	234,202
Salk Institute for Biological Studies (SUB 20134325 (MCB-1344299))	47 074	124,070
Salk Institute for Biological Studies (P0083426-001)	93 867	11,483
Sally Ride Science (SUB EK3000 (NONE))	43 unknown	249,738
Samueli Institute for Information Biology (SAMUELI - 102742-CYC1)	12 431	22,971
San Bernardino Community College District (006116)	84 120	28,681
San Diego Community College District (SUB NONE ( GM073590))	93 862	10,649
ARRA-San Diego County (45950 ARRA)	93 712	14,241
San Diego Unified School District (SV-11-0788-15-CYC1)	84 351	123,739
San Diego Workforce Partnership (SUB 261-04 (NONE))	93 unknown	87,777
San Francisco Public Health Foundation (B3045)	93 855	12,148
Sandia National Laboratories (1424136)	81 unknown	64,668
Sandia National Laboratories (1432662)	81 unknown	16,521
Sandia National Laboratories (1384040)	81 unknown	39,000
Sandia National Laboratories (1028934)	81 unknown	360
Sandia National Laboratories (21862)	81 unknown	1,114
Sandia National Laboratories (1292969)	81 unknown	82,489
Sandia National Laboratories (1337890)	81 unknown	13,851
Sandia National Laboratories (1319969)	81 unknown	28,681
Sandia National Laboratories (1439950)	81 unknown	16,264
Sandia National Laboratories (PO1383770)	81 unknown	43,170
Sandia National Laboratories (PO1404595)	81 unknown	12,947
Sandia National Laboratories (PO1462625)	81 unknown	21,679
Sandia National Laboratories (32382)	81 unknown	54,995
Sandia National Laboratories (938661)	81 unknown	8,213
Sandia National Laboratories (826008)	81 unknown	72,835
Sandia National Laboratories (929885)	81 unknown	11,572
Sandia National Laboratories (57762)	99 unknown	(422)
Sandia National Laboratories (PO#1230107:03)	81 049	606
Sandia National Laboratories (1111359)	99 unknown	216,390
Sandia National Laboratories (1297152)	99 unknown	35,854
Sandia National Laboratories (SUB PO1158884(NONE))	81 unknown	(551)
Sandia National Laboratories (SUB 1190425-0 (776622))	20 unknown	6,760
Sandia National Laboratories (SUB 1367707 (776622))	81 unknown	21,904
Sandia National Laboratories (1297152:3)	81 unknown	60,416
Sandia National Laboratories (SUB PO1170183 (NONE))	81 unknown	15,675

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Sandia National Laboratories (SUB 1320279 (NONE))	81 unknown \$	5,211
Sandia National Laboratories (1326982)	81 unknown	8,036
Sandia National Laboratories (PO# 1332619)	99 unknown	92,506
Sandia National Laboratories (1382054-CYC1)	81 122	35,000
Sandia National Laboratories (PO1198504)	99 unknown	8,678
Sanford-Burnham Medical Research Institute (A7264)	93 393	130,095
Sanford-Burnham Medical Research Institute (54994-11308-UCLA:04)	93 853	15,767
Sanford-Burnham Medical Research Institute (83183)	93 113	1,158
Sanford-Burnham Medical Research Institute (87289)	93 113	(8,975)
Sanford-Burnham Medical Research Institute (55631-11502-UCSD-04 (GM094663))	93 859	163,876
Sanford-Burnham Medical Research Institute (87567)	93 855	(28)
Sanford-Burnham Medical Research Institute (56642-11822-UCSD-01 (NS074303))	93 853	119,791
Sanford-Burnham Medical Research Institute (56344-11738-UCSD-01 (GM098412))	93 859	276,847
Sanford-Burnham Medical Research Institute (88108)	93 837	(6,678)
Sanford-Burnham Medical Research Institute (2365-UCSD-01(W81XWH-12-1-0174))	12 420	13,644
Sanford-Burnham Medical Research Institute (56602-11995-UCSD-02 (NS076411))	93 853	281,166
Sanford-Burnham Medical Research Institute (88535)	93 113	147,700
Sanford-Burnham Medical Research Institute (SUB 58174-12424 (CA132847))	93 396	17,861
Sanford-Burnham Medical Research Institute (56344-11964 UCSD-01 (GM098412))	93 859	120,677
Sanford-Burnham Medical Research Institute (54992-11459-UCSB)	12 420	114,343
Sanford-Burnham Medical Research Institute (56863-11900-UCI-CYC2)	93 859	132,230
Sanford-Burnham Medical Research Institute (57659-12538-01-CYC1)	93 855	19,315
Santa Barbara, County of (CN15874)	16 585	14,023
Santa Barbara, County of (BC14-036)	93 242	46,777
Santa Barbara, County of (20964)	93 243	33,563
Santa Barbara, County of (20965)	93 243	46,308
Santa Barbara, County of (20966)	93 243	41,457
Santa Clara University (SUB NSF072-01 (DEB-0844406))	47 074	3,422
Santa Monica Mountains Fund (20141228)	15 944	737
Sapphire Energy (87305)	93 859	3,106
Scarless Laboratories, Inc. (1R43AR064126-01)	99 unknown	48,016
Schafer Corporation (SC-1133A-01)	81 036	(1,469)
Sciberquest, Inc. (88355)	93 360	(11,469)
Science Systems and Applications, Inc. (2110112033)	43 unknown	79,134
Science Systems and Applications, Inc. (A082CCY2)	43 unknown	30,946
Science Systems and Applications, Inc. (21101-12-077-CYC1)	43 unknown	2,560
Scientific Applications & Research Associates, Inc. (87963)	12 unknown	(138)
Scientific Applications & Research Associates, Inc. (DRPA22.SC1-CYC1)	12 unknown	25,300
Scripps Research Institute (California) (5-50039)	93 unknown	399,684
Scripps Research Institute (California) (A9331)	93 855	397,726
Scripps Research Institute (California) (B1703)	93 273	14,629
Scripps Research Institute (California) (R33MH087925)	93 unknown	23,287
Scripps Research Institute (California) (PO# 5-50481)	99 unknown	47,663
Scripps Research Institute (California) (SUB 5-50246 (GM094586))	93 859	681,601
Scripps Research Institute (California) (87234)	93 866	1
Scripps Research Institute (California) (87867)	93 279	(29)
Scripps Research Institute (California) (SUB 5-75499 (MCB-1121959))	47 074	76,316
Scripps Research Institute (California) (88042)	93 862	(1,768)
Scripps Research Institute (California) (SUB 5-23854 (EY022025))	93 867	242,204
Scripps Research Institute (California) (88135)	93 867	68,776
Scripps Research Institute (California) (PO # 5-24507 (GM100934))	93 859	97,352
Scripps Research Institute (California) (88151)	93 866	(52,304)
Scripps Research Institute (California) (SUB 5-23372 (TR000109))	93 371	156,105

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Scripps Research Institute (California) (88212)	93 856	\$ 13,562
Scripps Research Institute (California) (5-20141 (DA031566))	93 279	76,375
Scripps Research Institute (California) (SUB 5-50314 (HL031950))	93 839	376,052
Scripps Research Institute (California) (88259)	93 862	985
Scripps Research Institute (California) (88276)	93 859	7,642
Scripps Research Institute (California) (SUB 5-23917(CA143906))	93 395	9,785
Scripps Research Institute (California) (88491)	93 350	11,956
Scripps Research Institute (California) (SUB 5-0043 (AG031097))	93 866	146,917
Scripps Research Institute (California) (SUB 5-24021 (AI081982))	93 856	13,520
Scripps Research Institute (California) (SUB 5-50088 (EY022025))	93 867	492,386
Scripps Research Institute (California) (88639)	93 350	14,848
Scripps Research Institute (California) (SUB 5-50172 (AG007996))	93 866	324,788
Scripps Research Institute (California) (SUB 5-50419 (GM105766))	93 859	21,945
Scripps Research Institute (California) (SUB 5-50310 (GM094618))	93 862	49,137
Scripps Research Institute (California) (SUB 5-50366 (MH100175))	93 242	85,349
Scripps Research Institute (California) (SUB 5-50499 (MH103134))	93 242	51,762
Scripps Research Institute (California) (SUB 5-50506 (TR001114))	93 371	398,359
Scripps Research Institute (California) (SUB 5-50438 (CA143906))	93 395	36,928
Scripps Research Institute (California) (SUB 5-50699 (AG007996))	93 866	88,872
Scripps Research Institute (California) (P.O.#5-50191)	93 859	24,190
Seattle Biomedical Research Institute (UC-15517)	93 855	7,547
Seattle Children's Hospital, Research and Foundation (A8184)	93 113	5,534
Seattle Children's Hospital, Research and Foundation (B1493)	93 847	33,888
Seattle Children's Hospital, Research and Foundation (10721SUB)	93 110	20,495
Seattle Children's Hospital, Research and Foundation (SUB 10942SUB (HL113810))	93 837	20,026
ARRA-Seeo, Inc. (20110555)	81 049	55,464
Seiu Education & Support Fund (B0400)	99 unknown	231,165
Semiconductor Research Corporation (79166)	99 unknown	14,602
Semiconductor Research Corporation (2013-TJ-2423)	93 859	16,960
Semiconductor Research Corporation (2011-TJ-2178)	12 910	52,105
Sidney Kimmel Cancer Center (defunct) (83141)	93 395	(97,280)
Siemens Ag (ESTCP-93-004)	12 300	25,671
Siemens Ag (95-03-CYC1)	81 unknown	7,573
ARRA-Siena College (OGFR 09-09-004)	47 082	1,409
Sigma Space Corporation (SUB 10004252 (NNG09HP1HC))	43 unknown	6,117
Silent Spring Institute (029746)	93 113	84,352
Silver Lake Research Corporation (036637)	93 855	5,335
Simon Fraser University (A8446)	93 unknown	142,000
Sixal, Inc. (20120004)	93 855	7,681
Slac National Accelerator Laboratory (107520)	81 unknown	(1,433)
Social and Scientific Systems, Inc. (A7989)	93 unknown	2,127
Social and Scientific Systems, Inc. (30950)	93 855	(199)
Social and Scientific Systems, Inc. (30951)	93 855	(7,041)
Social and Scientific Systems, Inc. (57852)	99 unknown	(1,767)
Social and Scientific Systems, Inc. (77522)	93 837	(287)
Social and Scientific Systems, Inc. (CRB-IPHRM-S-10-00198)	93 242	43,761
Social and Scientific Systems, Inc. (77846)	93 855	(22)
Social and Scientific Systems, Inc. (CRB-SSS-S-003358)	99 unknown	130,617
Social and Scientific Systems, Inc. (82566)	93 856	(3,895)
Social and Scientific Systems, Inc. (82573)	93 856	(145,635)
Social and Scientific Systems, Inc. (82582)	93 856	(250,927)
Social and Scientific Systems, Inc. (87569)	93 242	(124,427)
Social and Scientific Systems, Inc. (87579)	93 856	346

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Social and Scientific Systems, Inc. (87733)	93 242	\$ 185,362
Social and Scientific Systems, Inc. (87953)	93 856	(428)
Social and Scientific Systems, Inc. (88043)	93 242	355,174
Social and Scientific Systems, Inc. (89650)	93 242	509
ARRA-Social and Scientific Systems, Inc. (CRB-DCR01-S-09- 00295 TO#5)	99 unknown	269
ARRA-Social and Scientific Systems, Inc. (CRB-DCR01-S-09-00295:01)	99 unknown	20,230
Social Impact, Inc. (REQ-LAC-11-0000 37)	98 001	41,457
Society of Hospital Medicine (A9100)	93 226	9,888
Sofie Biosciences, Inc. (57524)	93 397	(1,181)
Sofie Biosciences, Inc. (20121447)	99 unknown	194,937
Sofie Biosciences, Inc. (77948)	93 242	(13)
Soil Born Farms Urban Agriculture Project (201301471)	10 170	1,402
Solana Scientific, Inc. (20111200)	43 001	22,403
Solarmer Energy, Inc. (20140259)	99 unknown	33,658
Sonoma Ecology Center (20110725CALCCSUBUCDICE)	15 669	2,788
South Carolina Research Authority (82894)	12 300	9,262
Southeast Missouri Regional Planning Commission (005964)	20 817	47,891
Southern California Edison Company (4500477552-CYC1)	81 122	352,000
Southern Medical University (SMU-54580-CYC1)	93 855	9,440
Southern Methodist University (AS108 PINON)	12 431	81,545
Southern Methodist University (AS110 KODIAK)	12 431	26,831
Southern Methodist University (SUB G000986-7515 (DA027533))	93 279	2,463
Southwest Research Institute (96294)	43 001	12,153
Southwest Research Institute (D99005L)	43 unknown	26,803
Southwest Research Institute (E62145J)	43 001	(697)
Southwest Sciences, Inc. (26577)	12 unknown	282
Southwest Sciences, Inc. (034090)	12 unknown	15,350
Southwest Sciences, Inc. (035085)	43 unknown	34,360
Space Micro, Inc. (SUB P03994 (NONE))	12 335	94,437
Space Science Institute (00537:2)	99 unknown	32,769
Special Services for Groups (4550:02)	93 243	67,495
Spectral Energies, LLC (SB13160011)	12 800	44,997
Spectral Sciences, Inc. (3250-001-47-CYC1)	12 431	36,290
Spi Surgical, Inc. (63163)	99 unknown	177,577
SRI International (51-001136)	84 324	815
SRI International (138000013)	93 855	27,337
SRI International (87988)	93 394	27,182
SRI International (69-000531:06)	99 unknown	145,128
SRI International (51-001228:02)	84 324	86,559
SRI International (27-001276:8)	93 859	83,675
SRI International (88104)	93 unknown	89,031
SRI International (SUB 27-001425 (EACU12800))	12 910	156,235
SRI International (19-000213)	99 unknown	72,514
SRI International (19-000200)	12 910	113,882
SRI International (119-000227)	12 910	41,060
SRI International (206-000070-CYC1)	97 unknown	50,000
SRI International (206-000091-CYC1)	15 unknown	13,653
SRI International (123-0000010-CYC1)	93 866	62,624
SRI International (69-000539-CYC2)	15 unknown	228,152
St. Joseph's Hospital and Medical Center (20092736:3)	93 855	137,733
St. Jude Children's Research Hospital (111401060 - 7477915)	90 008	25,592
St. Jude Children's Research Hospital (A7633)	93 395	1,772
St. Jude Children's Research Hospital (A8396)	93 395	4,845

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St. Jude Children's Research Hospital (A8878)	93 859	\$ 22,225
St. Jude Children's Research Hospital (B1458)	93 395	13,499
St. Jude Children's Research Hospital (111287190-7522141:01)	93 395	13,110
St. Jude Children's Research Hospital (111401060-7474343:1)	99 unknown	196,776
ARRA-St. Jude Children's Research Hospital (A9363)	93 701	15,579
St. Lukes-Roosevelt Institute for Health Sciences (201400381)	93 867	140,767
St. Lukes-Roosevelt Institute for Health Sciences (33199)	93 867	(68,248)
Stanford University (60094382-51077-B)	81 087	111,236
Stanford University (60094385-51077-A)	81 087	405,002
Stanford University (60094381-51077-C)	81 087	65,485
Stanford University (60094384-51077-D)	81 087	132,511
Stanford University (60284806-107307-C)	12 431	129,093
Stanford University (60345191-111632-A)	15 808	21,000
Stanford University (60359058-108886-C)	47 041	21,956
Stanford University (60398405-51077-V)	81 087	75,537
Stanford University (60467757-112063-D)	93 397	81,245
Stanford University (60467763-112063-E)	93 397	143,721
Stanford University (60469416-112063-G)	93 397	67,992
Stanford University (94459)	12 800	(5,559)
Stanford University (22250400-42533-B)	47 041	4,246
Stanford University (94691)	93 855	5,837
Stanford University (25971330-50204-B)	12 910	42,491
Stanford University (26675770-49878-B)	93 822	77,584
Stanford University (26697290-47281-A)	93 397	109,729
Stanford University (26590470-44290-A)	93 286	53,525
Stanford University (28139880-50542-C)	47 041	898,812
Stanford University (29007300-48844-B)	93 262	348,748
Stanford University (28255440-46600-A)	12 unknown	(1,940)
Stanford University (29017650-51649-B)	12 800	91,019
Stanford University (29182280-51677-C)	12 800	131
Stanford University (60030900-49910-A)	93 859	28,672
Stanford University (A7416)	93 242	131
Stanford University (A7681)	93 394	214,491
Stanford University (A7701)	93 853	49,136
Stanford University (A7779)	93 172	89,293
Stanford University (A7802)	12 unknown	652,269
Stanford University (A7928)	93 855	35,705
Stanford University (A9211)	93 394	96,506
Stanford University (A9364)	93 856	(11,334)
Stanford University (A9676)	93 855	3,445
Stanford University (A9698)	93 853	42,720
Stanford University (B0167)	93 859	10,192
Stanford University (B0680)	93 701	23,363
Stanford University (B0858)	93 397	397,826
Stanford University (B0935)	93 855	169,165
Stanford University (B1067)	93 279	88,447
Stanford University (B1165)	93 226	95,511
Stanford University (B1409)	93 865	9,612
Stanford University (B1418)	47 049	46,958
Stanford University (B1430)	93 113	56,567
Stanford University (B1944)	93 242	54,353
Stanford University (B2186)	93 397	113,032
Stanford University (B2544)	93 865	8,942

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Stanford University (B3129)	93 855	\$ 22,907
Stanford University (1R21DC01250501)	93 173	34,272
Stanford University (60311550107265A)	93 unknown	26,906
Stanford University (60436089107606C)	93 837	48,610
Stanford University (27909)	93 865	6,192
Stanford University (2432011045900A)	81 049	93,809
Stanford University (60026836-104975-A:1)	93 839	5,182
Stanford University (60444063-108656-B)	93 242	48,866
Stanford University (60392112-109837-A)	93 310	190,320
Stanford University (27917990-51987-B)	12 910	158,815
Stanford University (26990970-48060-A:4)	93 847	63,602
Stanford University (24421840-44895-B:05)	12 800	294,228
Stanford University (60155596-106981-A)	12 420	20,912
Stanford University (29270550-50105-B:01)	93 866	9,325
Stanford University (26723560-47281-D:02)	93 397	15,255
Stanford University (60029438-105089-A:2)	93 866	132,762
Stanford University (60029899-105089-B:2)	93 839	40,200
Stanford University (82629)	12 800	661
Stanford University (830-48810-A (N00014-10-1-0599))	12 300	22,205
Stanford University (8460-51987-C (N6601-11-1-4105))	12 910	124,722
Stanford University (SUB NONE (HL086879))	93 unknown	8,429
Stanford University (SUB 60133681 (GM102098))	93 859	61,433
Stanford University (B 60211855-106514-A (AI104305))	93 310	52,273
Stanford University (091-50618-A (N00014-11-1-0276))	12 300	175,713
Stanford University (SUB 60444056-108656 (MH100900))	93 242	26,208
Stanford University (PY-1775-20)	43 unknown	286,290
Stanford University (21054650-8167-D-008)	93 172	93,702
Stanford University (24065240-43790-A-008)	43 001	65,418
Stanford University (63065)	93 867	6,176
Stanford University (60141508-106846-A)	93 172	1,437,260
Stanford University (60173852-101341-A-01)	84 365	10,302
Stanford University (105193-004)	99 unknown	109,982
Stanford University (60220830-51077-R)	81 unknown	228,051
ARRA-Stanford University (A7533)	93 701	(91)
State of Maryland (201402292)	93 unknown	28,084
State of Washington (201453)	66 123	18,272
State University of New York (60806)	12 910	220,446
State University of New York (64614-3-1110369)	66 509	25,295
State University of New York (A8244)	93 847	117,765
State University of New York (R839741)	93 865	15,096
State Water Resources Control Board (32926)	66 454	159,794
State Water Resources Control Board (33461)	66 454	24,301
Stemrd, Inc. (A8923)	12 unknown	(431)
Stevens Institute of Technology (O 029 RT 44 (H98230-08-D-0171))	12 630	2,906
Steward Research and Specialty Projects Corporation (029689)	43 unknown	116,042
Stone Aerospace/PSC, Inc. (SC-12-32-002)	43 001	30,162
Stony Brook University (a State University of New York campus) (SUB 1009189 (AA008401))	93 273	487,648
Stony Brook University (a State University of New York campus) (SUB 51055 (DE-SC0001294))	81 049	294,784
Stony Brook University (a State University of New York campus) (677-2-54143 (FA9550-10-1-0191))	12 800	63,858
Stony Brook University (a State University of New York campus) (SUB 59540 (EY01180516))	93 867	10,684
Stroud Water Research Center (SUB 340036-55900 (EAR-1332257))	47 050	40,447
Sulzer Corporation (A6800)	99 unknown	8,295
Sureharvest (201224832)	10 912	3,092

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Surface Bioadvances, Inc. (SUB 20131851 (AR064043))	93 846	\$ 8,079
Surfx Technologies, LLC (20130355)	99 unknown	19,867
Sustainable Sciences Institute (IR1200-1)	93 855	39,455
Swog (1004031UCDS0819MACK)	93 395	64,855
Swog (012258)	93 395	3,750
Swog (33145)	93 unknown	1,952
Swog (CTSU/E1609)	93 395	(6,119)
Swog (SWOG-41803-CYC3)	93 395	26,844
Systap (201224121)	12 unknown	28,183
Tahoe Resource Conservation District (201302654)	10 690	30,154
Tahoe Resource Conservation District (201401003)	10 690	43,848
Tahoe Resource Conservation District (201400725)	66 unknown	4,686
Tahoe Rf Semiconductor, Inc. (UB 20104042 (N66001-11-C-5204))	12 910	5,024
Tanner Research, Inc. (AFO349)	99 unknown	57,778
Targeson, Inc. (SUB 20142138 (HL110496))	93 837	51,664
Targeson, LLC (SUB 20104043(DK083142))	93 847	131,312
Targeson, LLC (87972)	93 837	(316)
Tda Research, Inc. (GA.2623.UCI.12.01-CYC3)	81 089	8,030
Tda Research, Inc. (GA.2610.UCI.11.01-CYC3)	81 089	51,125
Tda Research, Inc. (GA.2941.UCI.14.01-CYC1)	81 unknown	128,151
Technical Data Analysis, Inc. (TDA-1078-001-01-CYC4)	12 unknown	27,310
Technical Education Research Centers, Inc. (201300056)	47 unknown	918
Techniscan Medical Systems, Inc. (82599)	93 395	(241,138)
Teledyne Technologies, Inc. (PO00103706)	12 300	4,242
Teledyne Technologies, Inc. (B9U537864)	12 unknown	104,984
Teledyne Technologies, Inc. (G8U543366)	12 unknown	85,454
Teledyne Technologies, Inc. (B B9U567443 (HR0011-09-C-0060))	12 910	96,818
Teledyne Technologies, Inc. (G8U569734)	12 unknown	7,890
Teledyne Technologies, Inc. (G9U571158)	12 unknown	203,371
Teledyne Technologies, Inc. (B9U538772)	12 unknown	294,034
Teledyne Technologies, Inc. (PO00095658)	12 910	38,403
Teledyne Technologies, Inc. (PO00099889)	12 910	82,420
Teledyne Technologies, Inc. (PO00099849)	12 910	91,110
Temple University (330162-18110)	47 047	74,428
Temple University (33793)	93 351	26,346
Temple University (330162-UCLA)	47 075	51,858
Temple University (360762-UCLA:01)	93 242	138,194
Temple University (CC0162875:4)	12 351	89,902
Tennessee State University (034250)	47 unknown	139,543
Texas A&M Research Foundation (99S120208)	20 unknown	17,800
Texas A&M Research Foundation (S11F0097:2)	43 001	40,403
Texas A&M Research Foundation (99-S130606)	93 855	238,705
Texas A&M Research Foundation (SUB S100062 (GM052964))	93 859	39,643
Texas A&M University - College Station (99-S130605)	93 855	234,999
Texas A&M University - College Station (C10-00216)	81 unknown	615
Texas A&M University - College Station (033015)	81 087	164,869
Texas A&M University - College Station (S110075)	10 310	385,179
Texas A&M University - College Station (A5933:8)	12 800	105,558
Texas A&M University - College Station (02-S140201)	93 393	45,810
Texas A&M University - College Station (SUB 02-S1200S7 (GM102280))	93 859	136,514
Texas A&M University - College Station (99-S130607-CYC1)	93 855	267,803
Texas A&M University - College Station (S100043-CYC2)	10 310	77,510
Texas Agrilife Research (004539)	10 309	105,386

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Texas Southern University (25768)	10 unknown \$	(639)
Texas Southern University (201223987)	10 unknown	4,658
Texas Technical University (006065)	47 076	13,339
The College of New Jersey (F1224UCI-CYC3)	47 074	67,013
The Forensic Sciences Foundation, Inc. (23242)	16 560	(203)
The Forensic Sciences Foundation, Inc. (25371)	16 560	5,772
The Hope Foundation (B1854)	93 399	2,600
The Hope Foundation (78498)	93 399	601
The National Academies (23789)	20 unknown	143,464
The National Academies (PGA-P210946 (S-LMAQM-08GR-071))	19 unknown	6,797
The Sarah Samuels Center for Public Health Research and Evaluation (B0922)	93 unknown	5,530
The Texas A&M University System (C2411)	12 300	24,736
The University of Alabama (Tuscaloosa) (85787)	93 393	22,880
The University of Alabama (Tuscaloosa) (000500918-004)	99 unknown	13,715
The University of Alabama (Tuscaloosa) (A8460)	93 865	81,084
The University of Alabama (Tuscaloosa) (A9174)	93 855	514,487
The University of Alabama (Tuscaloosa) (A9193)	93 242	98,353
The University of Alabama (Tuscaloosa) (B0316)	93 393	36,087
The University of Alabama (Tuscaloosa) (B1943)	93 855	218,444
The University of Alabama (Tuscaloosa) (B2198)	93 855	59,978
The University of Alabama (Tuscaloosa) (B2308)	93 866	46,127
The University of Alabama (Tuscaloosa) (B2382)	93 837	110,137
The University of Alabama (Tuscaloosa) (000417451001)	93 837	5,617
The University of Alabama (Tuscaloosa) (000505098001)	93 307	57,891
The University of Alabama (Tuscaloosa) (UA12004)	93 865	43,687
The University of Alabama (Tuscaloosa) (000331232-001:05)	93 837	11,546
The University of Alabama (Tuscaloosa) (000427597-003)	93 853	47,177
The University of Alabama (Tuscaloosa) (000388010-008:3.)	93 865	140,319
The University of Alabama (Tuscaloosa) (SUB 000342391-014 (HD061222))	93 865	117,926
The University of Alabama (Tuscaloosa) (SUB 000357678-002 (AR057954))	93 846	76,873
The University of Alabama (Tuscaloosa) (SUB 000356969-001 (HL092624))	93 389	41,909
The University of Alabama (Tuscaloosa) (000388010-019 (HD040533))	93 865	35,892
The University of Alabama (Tuscaloosa) (SUB 000397076-006 (AI067039))	93 855	61,284
The University of Alabama (Tuscaloosa) (DE-AR0000189)	81 049	579
The University of Alabama (Tuscaloosa) (SUB 000397076-015 (AI067039))	93 855	24,031
The University of Alabama (Tuscaloosa) (SUB 000350727 (DK079337))	93 847	31,871
The University of Alabama (Tuscaloosa) (SUB 397076-013 (AI067039))	93 855	238,156
The University of Alabama (Tuscaloosa) (000419712-001-CYC2)	43 002	221,241
The University of Alabama (Tuscaloosa) (000342391-002-CYC6)	93 865	64,944
ARRA-The University of Alabama (Tuscaloosa) (SUB 000318181 (DK079337))	93 849	5,298
ARRA-The University of Alabama (Tuscaloosa) (87375)	93 701	(18,311)
The University of Alabama System (systemwide Parent Code) (SUB 000501524 (DK079337))	93 847	435,086
The Xerces Society (034409)	10 912	51,787
The Xerces Society (201223562)	10 912	39,425
Think-now, Inc. (20134440)	93 242	97,634
Think-now, Inc. (2013-2773)	93 242	2,902
Third Wave Technologies, Inc. (TDM689)	81 unknown	221,563
Thomas Jefferson University (UB 080-30000-R99001 (CA140339))	93 394	61,474
Time for Change Foundation (H79TI024020)	93 243	80,454
Tocagen, Inc. (20131971)	93 395	3,218
Tokyo Electron Limited (20101812)	84 394	206,486
Touro College (A8256)	93 847	192,556
Touro College (A8330)	93 847	54,379

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Touro College (B0969)	93 837	\$ 19,260
Touro College (B1897)	93 837	122,284
Toyon Research Corporation (SUB SC13-5828-1 (N66001-12-C-))	12 335	87,853
Toyon Research Corporation (SC11-5774-1)	12 300	100,716
Toyon Research Corporation (SC13-6840-1)	12 unknown	27,605
Toyon Research Corporation (SC14-6887-1)	12 114	45,706
Translational Genomics Research Institute (22521)	93 855	7,859
Transphorm, Inc. (SB110193)	12 unknown	(5,051)
Treadstone Technologies, Inc. (SUB ARPAE013002 (DE-AR0000121))	81 135	55,474
Trellis Bioscience, Inc. (B2450)	93 855	304,998
Trius Therapeutics, Inc. (SUB 20110266(HDTRA1-10-C-0004))	12 unknown	(87)
Trudeau Institute, Inc. (201119407)	93 unknown	26,248
Tufts University (SUB 5005009-SERV (AG027002))	93 866	19,247
Tufts University (SUB 5007822-SERV (GM088470))	93 859	18,016
Tulane University (A6907)	93 865	21,205
Tulane University (TULHSC5131314)	12 unknown	3,843
Tulane University (TUL-HSC-514-13/14)	99 unknown	88,651
Tulane University (C-189-09/10(HHSN272200900049C))	93 unknown	53,090
Tulane University (88145)	93 855	50,051
Tulane University (B TUL-HSC-424-12/13 (HD052104))	93 279	339,665
Tulane University (TUL-589-09/10-CYC5)	12 431	429,971
ARRA-Tulane University (87447)	93 701	(15,544)
Tunitas Therapeutics (33792)	93 unknown	(74,012)
Tunitas Therapeutics (20112992)	93 855	68,494
Turner Consulting Group, Inc. (SUB 20111001-01-TCG (HHSN2682))	93 unknown	21,167
Uchicago Argonne, LLC (9F-32062)	81 unknown	1,383,561
Uchicago Argonne, LLC (3F-32081)	81 049	105,737
Ucp Wheels for Humanity (20140822)	98 001	53,922
Ues, Inc. (923-401-002)	99 unknown	5,182
Ues, Inc. (953-17MR003 (FA8650-12-D-2225))	12 800	6,394
Ultramet (P.O. # 9697-1)	81 049	79,553
ARRA-Ultramet (57788)	99 unknown	(534)
Unavco, Inc. (EAR-0732947-S7)	47 082	79,453
Unavco, Inc. (EAR-0732947-01)	47 050	96,693
Unavco, Inc. (87326)	43 unknown	(309)
Unavco, Inc. (S12-NNX12AF62A-S1)	43 001	23,501
Unavco, Inc. (S13-EAR1255679-S2)	47 050	30,598
Unavco, Inc. (UNAVCO S13-EAR1261833-S3)	47 050	183,279
Unavco, Inc. (GEO-0914704-S2-003)	47 050	17,116
Uncf Special Programs Corporation (SC-12-17-001)	43 unknown	3,109
Universal Technology Corporation (09S590000920C1)	12 unknown	70,152
Universidad Peruana Cayetano Heredia (R01AI099727:2)	93 855	26,374
Universities Research Association (operates Fermilab) (PO #596112:5)	99 unknown	270,258
Universities Research Association (operates Fermilab) (57200)	81 049	1,259
Universities Research Association (operates Fermilab) (PO #605974:2)	99 unknown	189,887
Universities Research Association (operates Fermilab) (PO#603654)	99 unknown	198,943
Universities Research Association (operates Fermilab) (PO #601656:6)	99 unknown	164,488
Universities Research Association (operates Fermilab) (83613)	81 unknown	16
Universities Research Association (operates Fermilab) (SUB 606537 (NONE))	81 049	274
Universities Research Association (operates Fermilab) (604705)	81 unknown	345,641
Universities Space Research Association (A7481)	43 unknown	26,226
Universities Space Research Association (USRA-08500-05:62)	99 unknown	384,756
University at Buffalo (a State University of New York campus) (B0181)	47 074	144,965

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University Corporation for Atmospheric Research (Z14-96410)	43 001	\$ 35,893
University Corporation for Atmospheric Research (86978)	11 431	2,340
University Corporation for Atmospheric Research (Z11-92258:M03)	43 unknown	65,553
University Corporation for Atmospheric Research (Z13-10211-CYC1)	47 050	6,909
University Health Network (1)	93 847	36,798
University of Alaska (09-0027)	12 431	249,768
University of Alaska (UAF 10-0081)	11 430	10,555
University of Arizona (153516)	20 unknown	45,945
University of Arizona (Y503161)	47 071	317,956
University of Arizona (A9297)	93 172	15,782
University of Arizona (49172)	93 859	94,910
University of Arizona (109129)	15 820	20,363
University of Arizona (131697)	15 820	111,504
University of Arizona (27778)	47 074	40,750
University of Arizona (Y561462)	15 820	(4,417)
University of Arizona (13391)	93 273	65,605
University of Arizona (Y561985)	47 unknown	2,445
University of Arizona (Y554540)	93 866	15,719
University of Arizona (Y561548)	93 866	374,064
University of Arizona (Y502538)	93 855	7,443
University of Arizona (Y501118:06)	47 041	111,999
University of Arizona (109186)	15 820	73,479
University of Arizona (Y561461:03)	15 820	73,183
University of Arizona (005832)	99 unknown	29,963
University of Arizona (004563)	10 303	1,724
University of Arizona (SUB Y502629 (EEC-0812072))	47 041	1,020,184
University of Arizona (87166)	12 910	(19)
University of Arizona (SUB (NONE) EMW-2009-FP-00343)	97 044	57,731
University of Arizona (Y561946)	15 820	164,255
University of Arizona (SUB 2011 3430 (CMMI 1135033))	47 041	499,604
University of Arizona (SUB 27590 (CA153086))	93 393	65,624
University of Arizona (SUB 92941 (DK080801))	93 847	217,806
University of Arizona (117308 (2008-ST-061-BS0002))	97 061	65,575
University of Arizona (131696)	15 820	47,943
University of Arizona (SUB PO 148522 (DK058251))	93 847	193,918
University of Arizona (67721-001)	43 001	18,120
University of Arizona (155005)	47 074	130,441
University of Arizona (Y553520)	47 074	50,817
University of Arizona (Y562553)	47 074	70,272
University of Arizona (152976)	47 074	144,673
University of Arizona (Y503223-CYC10)	97 061	79,788
University of Arkansas, Fayetteville (CEL-011)	47 041	4,860
University of Arkansas, Fayetteville (SA1302028-CYC1)	16 560	49,706
University of Bern (032503)	93 262	70,452
University of British Columbia (20R08866:01)	93 279	108,717
University of British Columbia (83308)	93 853	25,529
University of Central Florida (24086133-02)	12 unknown	240,584
University of Central Florida (004518)	81 049	110,010
University of Central Florida (24086093-01)	43 001	12,802
University of Central Florida (24086097-01)	47 049	22,820
University of Chicago (FP052668-D)	47 050	367,273
University of Chicago (34252-A)	47 078	379,142
University of Chicago (96069)	43 unknown	1,629

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	Federal CFDA #	Total Expenditures
University of Chicago (A8174)	93 310	\$ 997,375
University of Chicago (A9598)	93 393	46,796
University of Chicago (A9722)	93 279	40,668
University of Chicago (23682)	93 859	22,008
University of Chicago (FP052668)	47 050	51,224
University of Chicago (R01MH093605)	93 unknown	70,363
University of Chicago (34252D)	47 078	26,504
University of Chicago (42038A)	93 866	52,618
University of Chicago (FP055388)	93 172	219,306
University of Chicago (42038-B)	93 866	160,515
University of Chicago (5764-EUREKAYR1:03)	93 866	3,584
University of Chicago (82422)	93 173	(2,779)
University of Chicago (SUB 39778-5-30823 (AI57153))	93 855	448,793
University of Chicago (SUB FP052186 (OCI-1237524))	47 080	15,771
University of Chicago (SUB FP053587 (HL119967))	93 837	240,091
University of Chicago (FP036878-03-CYC1)	93 865	14,068
University of Chicago (36878-3-CYC3)	93 865	26,553
University of Cincinnati (A7836)	93 853	46,459
University of Cincinnati (B0127)	93 853	41,279
University of Cincinnati (008754)	93 846	45,862
University of Cincinnati (COEUS #006883)	93 853	83,473
University of Cincinnati (COEUS # 7753)	93 853	(7,093)
University of Cincinnati (80089)	93 853	15,212
University of Cincinnati (006883:01)	93 853	118,603
University of Cincinnati (83268)	93 583	22,767
University of Cincinnati (008822-ADM-CRAMER-CYC1)	93 853	68,178
University of Colorado Boulder (1000144280)	43 unknown	374,077
University of Colorado Boulder (1000013136)	43 unknown	737,338
University of Colorado Boulder (006627)	47 050	15,718
University of Colorado System (System Parent Code) (1549359)	43 001	24,081
University of Colorado System (System Parent Code) (0000066154)	43 002	85,717
University of Colorado System (System Parent Code) (1548027)	47 074	14,407
University of Colorado System (System Parent Code) (1548376)	12 300	211,627
University of Colorado System (System Parent Code) (A7059)	93 838	1,703
University of Colorado System (System Parent Code) (A8397)	93 121	28,726
University of Colorado System (System Parent Code) (B0231)	93 838	146,026
University of Colorado System (System Parent Code) (B0250)	93 838	12,039
University of Colorado System (System Parent Code) (B0365)	93 838	36,753
University of Colorado System (System Parent Code) (B0600)	93 838	40,157
University of Colorado System (System Parent Code) (B1617)	93 279	5,688
University of Colorado System (System Parent Code) (B1845)	93 847	183,628
University of Colorado System (System Parent Code) (22365)	93 846	60,717
University of Colorado System (System Parent Code) (2527545)	93 394	10,103
University of Colorado System (System Parent Code) (FY13.269.004)	93 847	24,082
University of Colorado System (System Parent Code) (1550652)	12 910	285,701
University of Colorado System (System Parent Code) (1547869)	43 001	166,850
University of Colorado System (System Parent Code) (14-036-002)	93 865	35,397
University of Colorado System (System Parent Code) (1545648:10)	99 unknown	19,477
University of Colorado System (System Parent Code) (1548642)	99 unknown	42,545
University of Colorado System (System Parent Code) (SUB1546321 (EAR-0724960))	47 050	(1,629)
University of Colorado System (System Parent Code) (SUB FY11.315.002 (2-5-22720))	12 420	40,492
University of Colorado System (System Parent Code) (FY08.354.001-CYC6)	93 867	139
University of Colorado System (System Parent Code) (FY12.083.006-CYC2)	93 242	149,590

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University of Colorado System (System Parent Code) (FY10.266.001-CYC8)	93 847	\$ 58,454
ARRA-University of Colorado System (System Parent Code) (79085)	93 701	(125)
University of Connecticut (UCH641200355)	93 855	576,660
University of Connecticut (004906)	93 242	67,092
University of Connecticut (SUB 7012 (AT006466))	93 213	92,762
University of Connecticut (SUB 14076 (DE-FE0009682))	81 089	66,890
University of Connecticut (SUB UCHC6-34579056 (HG07005))	93 172	308,188
University of Connecticut (SUB UCHC6-34579569 (HG07005))	93 172	43,726
University of Connecticut (SUB 43161830 (HG007005))	93 172	315,128
University of Connecticut (SUB UCHC6-42832973 (HG07005))	93 172	880,223
University of Delaware (25496)	43 004	47,488
University of Delaware (20772)	47 074	94,771
University of Delaware (14630:7)	47 074	53,450
ARRA-University of Delaware (SUB 22039(0724971) ARRA))	47 082	4,661
University of Florida (UF12018)	81 135	520,840
University of Florida (B2813)	93 859	594
University of Florida (UF12147)	43 001	27,422
University of Florida (86660)	93 859	(495)
University of Florida (UF09226)	10 309	35,942
University of Florida (200951181005915)	10 309	26,155
University of Florida (005472)	47 074	81,174
University of Florida (005502-003)	10 500	4,884
University of Florida (005524)	10 303	40,971
University of Florida (SUB UF 10223 (DA031017))	93 279	5,091
University of Florida (B 20090630 (NIDDK/NIAID/NICHD))	93 unknown	21,758
University of Florida (UF-EIES-1205016-CSC)	12 910	18,043
University of Florida (UF-EIES-1334018-UCI-CYC1)	93 853	105,527
University of Florida (UF11033-CYC1)	10 310	26,245
University of Georgia (RR1854274944246)	47 049	19,932
University of Georgia (RR2121364942176)	15 657	95
University of Georgia (RR2677094353608)	15 unknown	6,451
University of Georgia (RR1675404694828)	47 074	153,564
University of Georgia (RR100-555/4785176)	47 078	276
University of Georgia (RR185-427/4787526)	47 049	541,216
University of Hawaii at Hilo (MA130031)	47 050	20,173
University of Hawaii at Manoa (A7165)	93 853	4,512
University of Hawaii at Manoa (A9639)	93 853	9,079
University of Hawaii at Manoa (B2321)	93 393	135,879
University of Hawaii at Manoa (Z959944:3)	99 unknown	38,101
University of Hawaii at Manoa (UB MA130017 (N00014-12-1-0496))	12 300	16,538
University of Hawaii at Manoa (HI 110003)	15 unknown	2,740
University of Hawaii at Manoa (SUB KA0074 (DA021146))	93 279	304,881
University of Hawaii at Manoa (MA130010)	11 012	6,515
University of Hawaii at Manoa (Z792090-020)	47 074	270,552
University of Hawaii at Manoa (MA120035)	43 001	79,421
ARRA-University of Hawaii at Manoa (Z927814-3)	47 082	167,135
University of Houston (SUB R-10-0179 (DMR-1006876))	47 unknown	63,911
University of Illinois (2013-01024-01)	12 unknown	1,349,263
University of Illinois (2008-04984-01)	93 394	33,586
University of Illinois (94433)	12 800	91
University of Illinois (2010-04904-03)	12 800	311,325
University of Illinois (2011-01632-02)	47 041	56,453
University of Illinois (2011-00318-07)	47 080	150,000

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	Federal CFDA #	Total Expenditures
University of Illinois (2009-01053-02)	47 050	\$ 47,102
University of Illinois (96445)	93 unknown	(1)
University of Illinois (A8289)	93 859	986,273
University of Illinois (A8398)	93 859	446,726
University of Illinois (B2924)	93 396	17,818
University of Illinois (22387)	43 unknown	122,771
University of Illinois (2010064230300)	93 865	6,578
University of Illinois (20130596401)	98 unknown	39,215
University of Illinois (2013005370500)	93 361	66,233
University of Illinois (20100720002)	98 unknown	44,130
University of Illinois (20100125102)	81 122	251,711
University of Illinois (2007-01077-24)	47 080	54,838
University of Illinois (2007-00602:05)	93 853	226
University of Illinois (2011-01617-01-01)	93 286	65,415
University of Illinois (UB 2008-04943-01 (CNS-0831653))	47 070	36,094
University of Illinois (20105006)	47 080	161,508
University of Illinois (SUB 2011-00318-13 (OCI-105357))	47 080	1,601,889
University of Illinois (SUB NONE (CA154254))	93 393	190,183
University of Illinois (2011-01365-01)	47 070	98,334
University of Illinois (B 2007-01077-14 (OCI-0725070))	47 080	23,307
University of Illinois (SUB 2007-01077-19 (OCI-072507))	47 080	15,996
University of Illinois (SUB 2007-01077-20 (OCI-072507))	47 080	(15)
University of Illinois (2007-01077-22 (OCI-0725070))	47 080	8,223
University of Illinois (UB 2007-01077-18 (OCI-0725070))	47 080	35,307
University of Illinois (2013-00608-01-00 (IOS-1257873))	47 074	43,119
University of Illinois (-00475-01(AA455) (IIS-1352936))	47 070	29,278
University of Illinois (B 2013-00362-02-00 (MH101043 ))	93 242	19,285
University of Illinois (2012-00975-02-001)	47 050	314,131
University of Illinois (2011-05857-01)	12 300	85,713
University of Illinois (2010-06927-01)	93 242	72,502
University of Illinois (2010-04699-01)	12 420	106,325
University of Illinois (2013-00311-01 (AA501)-CYC1)	93 855	21,624
ARRA-University of Illinois (2010-03958-01)	93 728	113,817
University of Innsbruck (030378)	12 unknown	228,400
University of Iowa (W000466595)	47 074	67,154
University of Iowa (94786)	93 838	(6,958)
University of Iowa (B0076)	93 847	4,462
University of Iowa (B1377)	93 847	313,833
University of Iowa (30964)	93 853	33
University of Iowa (1000766242)	93 113	223,993
University of Iowa (1001057397:01)	99 unknown	170,679
University of Iowa (W000541521)	93 853	3,586
University of Iowa (1000933166)	99 unknown	19,305
University of Iowa (1000846891:03)	93 393	8,797
University of Iowa (1000724797:04)	93 393	34,019
University of Iowa (80389)	93 853	(26)
University of Iowa (005719)	99 unknown	5,380
University of Iowa (SUB 1000811895 (HL091841))	93 837	2,953
University of Iowa (88003)	93 853	(2,491)
University of Iowa (SUB 2000569253 (EY023187))	93 867	3,862
ARRA-University of Iowa (83306)	93 701	13,498
University of Kansas/Ku Center for Research, Inc. (A8409)	93 279	76,535
University of Kansas/Ku Center for Research, Inc. (B0736)	93 103	7,773

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	<u>Federal CFDA #</u>	<u>Total Expenditures</u>
University of Kansas/Ku Center for Research, Inc. (B1598)	93 393	\$ 17,955
University of Kansas/Ku Center for Research, Inc. (FY2014-014)	93 865	44,070
University of Kansas/Ku Center for Research, Inc. (FY2011-031)	47 041	172,465
University of Kansas/Ku Center for Research, Inc. (FY2009-002-CYC7)	93 389	70,447
University of Kansas/Ku Center for Research, Inc. (QL814631-CYC1)	93 859	23,457
University of Kansas/Ku Center for Research, Inc. (QB859730-CYC1)	93 103	153
University of Kansas/Ku Center for Research, Inc. (QB847050-CYC5)	93 103	3,060
University of Kentucky Center for Poverty Research (3048109631-13-201-CYC1)	10 unknown	72,168
University of Kentucky/University of Kentucky Research Foundation (3048109990-13-156)	47 070	3,540
University of Kentucky/University of Kentucky Research Foundation (3049024977-13-101)	93 135	115,754
University of Louisiana System (Parent Code) (13-0213-1-CYC1)	47 075	37,567
University of Maryland (78899)	12 431	(2)
University of Maryland (Z918001)	20 108	59,461
University of Maryland (Z840703)	12 431	323,185
University of Maryland (Z987503)	20 108	120,435
University of Maryland (Z990102)	20 108	100,611
University of Maryland (Z987802)	20 108	1,414
University of Maryland (96371)	20 108	(1,277)
University of Maryland (Z989602)	20 108	7,128
University of Maryland (Z987602)	20 108	11,416
University of Maryland (A9328)	93 242	6,936
University of Maryland (Z840704)	12 431	108,255
University of Maryland (SR00002603)	93 855	25,059
University of Maryland (Z847706)	12 431	172,555
University of Maryland (Z847703)	12 431	71,719
University of Maryland (Z546704)	10 309	119,681
University of Maryland (86637)	12 unknown	9,074
University of Maryland (87910)	93 242	(23,160)
University of Maryland (0000012388)	99 unknown	28,342
University of Maryland (Z044801:A)	93 865	66,772
University of Maryland (11006000/SR00001691:4)	93 121	271,021
University of Maryland (Z681002)	43 001	73
University of Maryland (Z812401:A)	12 800	122,615
University of Maryland (Z8142001)	12 800	30,778
University of Maryland (Z882801:L)	12 300	49,979
University of Maryland (004757)	47 074	13,534
University of Maryland (Z939701)	12 800	112,214
University of Maryland (SUB Z887103 (N00014-08-1-0638))	12 300	51,643
University of Maryland (SUB Z806902 (FA9550-09-1-0603))	12 800	210,623
University of Maryland (SUB Z885203 (W911NF-09-1-0406))	12 431	60,501
University of Maryland (SUB Z355901 (IOS 1025837))	47 074	142,880
University of Maryland (SUB SR00001438 (MH086356))	93 242	129,049
University of Maryland (SUB Z680501 (NNX13AH67G))	43 001	50,576
University of Maryland (SUB Z713207 (DEAR0000197))	81 135	125,487
University of Maryland (Z364602-002)	47 049	222,293
University of Maryland (Z847704)	12 431	134,856
University of Maryland (Z939702)	12 800	81,761
University of Maryland (78443)	93 855	361
University of Maryland (8214-CYC3)	93 855	75,974
University of Maryland (Z707301)	81 049	431,861
University of Maryland (Z364601-CYC3)	47 049	109,701
University of Maryland, Baltimore (7761)	93 242	173,667
University of Maryland, Baltimore County (SUB 0000012102 (CNS-1259304))	47 070	22,937

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	Federal CFDA #		Total Expenditures
University of Massachusetts Amherst (13007769A00)	10 680	\$	11,857
University of Massachusetts Amherst (A9209)	93 113		3,667
University of Massachusetts Amherst (09005344B00)	93 113		11,168
University of Massachusetts Amherst (10005646 B 04:04)	93 855		119,697
University of Massachusetts Amherst (003981)	47 049		32,154
University of Massachusetts Amherst (SUB 11-006347 (DE-SC0004485))	81 049		208,562
University of Massachusetts Amherst (SUB 13-007352 (DK064902))	93 847		111,794
University of Massachusetts Amherst (SUB 14-007792 (DK097011))	93 847		61,532
University of Massachusetts Amherst (13-007361 A 00-CYC1)	47 070		181,600
University of Miami (S110005)	43 unknown		12,359
University of Miami (7R01GM08520502)	93 859		49,236
University of Miami (58495)	93 279		44,349
University of Miami (80057)	93 279		(95,555)
University of Miami (80518)	93 286		(25,010)
University of Miami (20082419:4)	93 864		9,976
University of Miami (P148130)	47 050		200,544
University of Miami (SUB NONE (NR012885))	93 361		548
University of Miami (P148822)	47 050		144,985
University of Michigan (3002339702)	47 075		129,290
University of Michigan (3002565241)	12 unknown		358,331
University of Michigan (3002964742)	81 121		14,573
University of Michigan (3001346295)	81 049		412,719
University of Michigan (3001397038)	81 unknown		(3,528)
University of Michigan (3001996186)	12 431		150,907
University of Michigan (3002193571)	47 049		62,998
University of Michigan (3001908687)	43 001		37,970
University of Michigan (3002249627)	47 049		24,623
University of Michigan (A7279)	93 853		106,961
University of Michigan (A7573)	93 853		50,062
University of Michigan (A7695)	93 853		110
University of Michigan (A7959)	93 853		609
University of Michigan (A8632)	93 853		(7,285)
University of Michigan (A8637)	93 853		833
University of Michigan (A9473)	93 866		189,369
University of Michigan (A9957)	93 837		9,258
University of Michigan (B1169)	93 847		132,653
University of Michigan (B1265)	93 838		193,153
University of Michigan (B1953)	93 838		39,940
University of Michigan (B2204)	93 859		131,941
University of Michigan (B2333)	93 859		55,488
University of Michigan (B2366)	93 853		81,975
University of Michigan (R37GM039451)	93 859		31,993
University of Michigan (27972)	93 853		12,807
University of Michigan (3002196223)	93 866		16,068
University of Michigan (85359)	93 127		(94)
University of Michigan (F025193)	93 395		5,104
University of Michigan (3000849789)	93 865		52,280
University of Michigan (3002565073)	99 unknown		246,230
University of Michigan (3002024856: 2)	66 509		47,111
University of Michigan (3001996235:03)	12 431		57,843
University of Michigan (3002601380)	93 866		32,171
University of Michigan (3002180410:02)	93 393		3,397
University of Michigan (3001458737)	12 800		75,903

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	Federal CFDA #	Total Expenditures
University of Michigan (3001599217)	93 837	\$ 17,333
University of Michigan (59236)	93 865	6,822
University of Michigan (3002387269:1)	93 853	36,758
University of Michigan (3001515799:4)	93 837	92,585
University of Michigan (3002467381)	43 001	49,659
University of Michigan (3002504955)	99 unknown	31,487
University of Michigan (3002348710-PIII)	93 853	9,608
University of Michigan (006661)	99 unknown	24,509
University of Michigan (3001078880 (W911NSF-08-A-0487))	12 431	25,401
University of Michigan (82550)	93 865	154,893
University of Michigan (SUB 3001689311 (GM096194))	93 859	66,310
University of Michigan (SUB 3002011326 (PHY-1104446))	47 049	38,201
University of Michigan (SUB 20124774(UNKNOWN))	12 800	327,371
University of Michigan (SUB 3002375941 (HD033487))	93 865	146,136
University of Michigan (88687)	93 395	38,790
University of Michigan (88694)	12 431	121,014
University of Michigan (SUB 3002988796 (R01HL117860))	93 837	1,313
University of Michigan (3002735491)	47 041	31,861
University of Michigan (3003005562)	12 300	129,706
University of Michigan (3002531057)	93 865	43,289
University of Michigan (59780)	81 049	77,121
University of Michigan (3002964977)	81 121	4,306
University of Michigan (3002516868UM13-04-CYC1)	96 007	37,425
University of Michigan (3002144648-CYC1)	47 049	74,399
University of Michigan (3001308401-CYC4)	47 076	29,785
University of Michigan (3001508393-CYC5)	93 855	52,813
University of Michigan (3001679225-CYC3)	93 859	26,096
ARRA-University of Michigan (A9796)	93 853	65,308
University of Minnesota (X5336545101)	43 unknown	1,235,627
University of Minnesota (79841)	47 050	(198)
University of Minnesota (A002292501)	12 800	27,928
University of Minnesota (85429)	43 001	353
University of Minnesota (A003127005)	81 unknown	211,960
University of Minnesota (A003260001)	47 041	70,164
University of Minnesota (H003254001)	47 074	356,767
University of Minnesota (A003457301)	43 001	57,734
University of Minnesota (A003104701)	43 002	91,339
University of Minnesota (A7022)	93 855	141,920
University of Minnesota (A8561)	93 838	4,706
University of Minnesota (A9034)	93 855	55,978
University of Minnesota (B0118)	93 838	33,031
University of Minnesota (B0984)	93 839	89,096
University of Minnesota (B1288)	93 867	790
University of Minnesota (B1878)	93 855	11,097
University of Minnesota (B2314)	93 307	19,729
University of Minnesota (N004035801)	93 837	483
University of Minnesota (N002516457)	93 307	37,828
University of Minnesota (H001930701)	93 865	31,361
University of Minnesota (57544)	93 279	(195)
University of Minnesota (A000285207)	93 242	5,000
University of Minnesota (P001435602:3)	93 837	4,078
University of Minnesota (X5336545102:10)	99 unknown	47,969
University of Minnesota (P000741003:01)	47 074	(999)

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	Federal CFDA #	Total Expenditures
University of Minnesota (A001768901:02)	12 800	\$ 82,624
University of Minnesota (A002069701:01)	12 910	379,776
University of Minnesota (006112)	99 unknown	547,772
University of Minnesota (006740)	10 310	3,103
University of Minnesota (8552C)	93 853	40,819
University of Minnesota (N002311201 (GM100310))	93 859	174,897
University of Minnesota (SUB N000936910 (NS062091))	93 853	539
University of Minnesota (A000213101)	93 838	74,108
University of Minnesota (D002520602)	47 074	91,095
University of Minnesota (A000602701)	47 049	124,645
University of Minnesota (A002611301)	93 286	221,101
University of Minnesota (N000188530-CYC6)	93 846	925
University of Mississippi (66100920513)	93 859	4,003
University of Mississippi (07-08-013)	12 431	68,765
University of Mississippi (09-08-015)	81 089	15,624
University of Missouri (C000292561)	10 310	60,261
University of Missouri (C00031686-3(IOS-1114484))	47 074	156,511
University of Missouri - Kansas City (004428500036238)	93 273	32,039
University of Montana (A9436)	93 853	9,859
University of Montana (PG1464439)	93 113	28,378
University of Nebraska (25-0511-0110-002)	10 310	563
University of Nebraska (2562390235306)	10 unknown	310,609
University of Nebraska (87705)	93 242	3,174
University of Nebraska (UB 34-5160-2063-001 (MH094160))	93 242	29,634
University of Nebraska (UB 34-5160-2039-110 (MH062261))	93 242	168,102
University of Nebraska (34-5319-2002-001-CYC1)	93 855	86,243
University of Nevada, Reno (R01GM101928)	93 unknown	83,836
University of New Hampshire (14-063)	47 050	921
University of New Hampshire (12-006)	43 unknown	102,378
University of New Hampshire (06-001)	43 001	292,457
University of New Hampshire (13-041)	99 unknown	147,816
University of New Hampshire (14-081)	43 unknown	23,061
University of New Mexico (04803T-870)	47 074	4,827
University of New Mexico (0602887H6)	47 080	13,891
University of New Mexico (006566)	47 070	52,409
University of New Mexico (063014-873R)	47 080	837,309
University of New Mexico (271387-870D-CYC2)	12 800	322,214
University of North Carolina at Chapel Hill (94619)	93 172	4,878
University of North Carolina at Chapel Hill (5-55751)	20 614	18,006
University of North Carolina at Chapel Hill (A7153)	93 242	33,399
University of North Carolina at Chapel Hill (A7710)	93 242	126,204
University of North Carolina at Chapel Hill (A8096)	93 847	3,090
University of North Carolina at Chapel Hill (B1661)	93 855	380,799
University of North Carolina at Chapel Hill (B2244)	93 847	99,498
University of North Carolina at Chapel Hill (B2687)	93 172	15,416
University of North Carolina at Chapel Hill (R324CI20006)	84 305	251,214
University of North Carolina at Chapel Hill (25863)	93 121	145,066
University of North Carolina at Chapel Hill (27916)	93 839	1,119
University of North Carolina at Chapel Hill (531551)	93 855	535,789
University of North Carolina at Chapel Hill (532727)	93 121	320,365
University of North Carolina at Chapel Hill (536803)	12 351	51,542
University of North Carolina at Chapel Hill (554459)	84 325	1
University of North Carolina at Chapel Hill (530046)	93 121	415,131

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	Federal CFDA #	Total Expenditures
University of North Carolina at Chapel Hill (530448)	93 242	\$ 20,464
University of North Carolina at Chapel Hill (S-37394)	99 unknown	125,730
University of North Carolina at Chapel Hill (5-31553:3)	93 855	349,828
University of North Carolina at Chapel Hill (5-35014)	93 865	37,784
University of North Carolina at Chapel Hill (5-33230)	93 855	47,326
University of North Carolina at Chapel Hill (5-33032:1)	93 172	41,872
University of North Carolina at Chapel Hill (SUB 5-54730 (OCI-0848296))	47 080	8,273
University of North Carolina at Chapel Hill (SUB 20102774 (OCI-1032732))	47 074	55,243
University of North Carolina at Chapel Hill (SUB 5-37146 (OCI-0940841))	47 080	430,025
University of North Carolina at Chapel Hill (SUB 5-37360 (OCI-1148090))	47 080	165,474
University of North Carolina at Charlotte (5-33799)	93 855	197,072
University of North Dakota (87798)	93 121	118
University of North Dakota (10162-18240)	43 001	35,444
University of North Texas (SUB GF2651-3 (HL109340))	93 837	134,856
University of Notre Dame (incl Gem) (202236)	47 074	2,882
University of Notre Dame (incl Gem) (208003)	12 unknown	51,013
University of Notre Dame (incl Gem) (201839)	12 300	91,580
University of Notre Dame (incl Gem) (63063)	47 049	(100)
University of Notre Dame (incl Gem) (SC-13-43)	47 049	12,302
ARRA-University of Notre Dame (incl Gem) (ARRA2090081)	81 049	190,543
ARRA-University of Notre Dame (incl Gem) (ARRA209008)	81 049	614,595
University of Oregon (234171E)	81 049	13,427
University of Oregon (006526)	93 279	47,961
University of Oregon (SUB 212631A (MH087214))	93 242	34,711
University of Oregon (SUB 215380A (DK095926))	93 847	7,041
University of Oregon (63038)	81 049	12,326
University of Oxford (A8149)	93 847	52,149
University of Pennsylvania (555797)	93 865	44,107
University of Pennsylvania (561547)	12 unknown	45,000
University of Pennsylvania (550740)	12 300	469,922
University of Pennsylvania (94994)	12 unknown	4,153
University of Pennsylvania (556016)	12 800	272,421
University of Pennsylvania (A7265)	93 396	91,993
University of Pennsylvania (A7473)	93 838	76,920
University of Pennsylvania (A9025)	93 866	44,410
University of Pennsylvania (A9271)	93 838	157,863
University of Pennsylvania (A9685)	93 279	17,312
University of Pennsylvania (A9790)	93 866	(3,870)
University of Pennsylvania (B0483)	93 838	2,872
University of Pennsylvania (B0960)	93 838	875
University of Pennsylvania (B0968)	93 855	13,302
University of Pennsylvania (B1876)	93 unknown	84,854
University of Pennsylvania (B2303)	93 077	108,482
University of Pennsylvania (B2773)	93 396	24,159
University of Pennsylvania (10019510)	93 unknown	182,330
University of Pennsylvania (25011)	93 837	58,433
University of Pennsylvania (559940)	93 396	30,474
University of Pennsylvania (27419)	93 unknown	85,705
University of Pennsylvania (553152)	93 396	23,641
University of Pennsylvania (08003007)	93 838	7,524
University of Pennsylvania (562318)	93 242	33,229
University of Pennsylvania (559717)	93 866	14,773
University of Pennsylvania (559918)	12 300	100,941

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	Federal CFDA #	Total Expenditures
University of Pennsylvania (557758)	93 855	\$ 26,924
University of Pennsylvania (562318)	93 242	46,164
University of Pennsylvania (562194)	93 393	5,147
University of Pennsylvania (559547)	93 846	229,595
University of Pennsylvania (557821:02)	93 859	120,396
University of Pennsylvania (SUB 552082 (FA9550-09-1-0900))	12 800	87,853
University of Pennsylvania (SUB 550162 (N00014-08-1-0747))	12 300	93,404
University of Pennsylvania (83037)	93 856	8
University of Pennsylvania (SUB 556786 (AG030644))	93 866	282,518
University of Pennsylvania (SUB 552499 (AI082020))	93 856	42,657
University of Pennsylvania (557199)	93 855	92,243
University of Pennsylvania (SUB 560574 (AI052845))	93 855	59,743
University of Pennsylvania (SUB 561009 (FA9550-13-1-0097))	12 800	24,958
University of Pennsylvania (SUB 561286 (AG030644))	93 866	37,087
University of Pennsylvania (89792)	93 866	127,716
University of Pennsylvania (550162)	12 300	108,755
University of Pennsylvania (562336-CYC1)	93 859	38,607
ARRA-University of Pennsylvania (553418)	47 082	57,343
ARRA-University of Pennsylvania (A7824)	93 701	32,395
University of Pittsburgh (0030253 (123481-1))	93 242	17,478
University of Pittsburgh (0005070)	93 242	(15,294)
University of Pittsburgh (96139)	12 431	2,961
University of Pittsburgh (96358)	93 865	(9,314)
University of Pittsburgh (A7754)	93 865	6,638
University of Pittsburgh (A8478)	93 361	87,595
University of Pittsburgh (A8526)	93 847	113,076
University of Pittsburgh (A8694)	93 838	47,501
University of Pittsburgh (A8881)	93 838	70,118
University of Pittsburgh (B0241)	93 837	41,473
University of Pittsburgh (B0741)	93 838	49,918
University of Pittsburgh (B1758)	93 838	158,009
University of Pittsburgh (B1823)	93 077	549,784
University of Pittsburgh (B2104)	93 838	38,274
University of Pittsburgh (B2396)	93 866	3,940
University of Pittsburgh (25719)	93 unknown	71,908
University of Pittsburgh (27991)	93 865	42,029
University of Pittsburgh (0026567(11933702))	93 865	10,023
University of Pittsburgh (32718)	93 865	(35)
University of Pittsburgh (0013993)	93 855	34,782
University of Pittsburgh (84719)	93 866	91,176
University of Pittsburgh (9000613)	93 859	6,961
University of Pittsburgh (0003186PROJECT1159871)	93 855	90,063
University of Pittsburgh (0008871)	93 279	48,468
University of Pittsburgh (58035)	93 838	16
University of Pittsburgh (0013027 (122144-2):3)	93 866	57,531
University of Pittsburgh (0031059 (123325-1))	93 837	23,361
University of Pittsburgh (0019692(123024-1))	93 865	253,562
University of Pittsburgh (0017360 (121726-1))	93 226	11,212
University of Pittsburgh (0014426 (121960-1))	93 838	103,014
University of Pittsburgh (0024031-5:02)	99 unknown	86,613
University of Pittsburgh (0013027 (118414-03):2)	93 866	1,509
University of Pittsburgh (0032557 (122424-1))	93 394	95,795
University of Pittsburgh (79100)	93 866	(1)

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	Federal CFDA #	Total Expenditures
University of Pittsburgh (79221)	93 838	\$ 7
University of Pittsburgh (0013539 (122597-1):02)	93 859	19,874
University of Pittsburgh (0017881 (121318-2):02)	93 242	165,731
University of Pittsburgh (SUB0019809 118655-1(GM065188))	93 859	42,360
University of Pittsburgh (9007196 (118812-01) (MH090333))	93 242	24,824
University of Pittsburgh (87957)	93 242	9,417
University of Pittsburgh (SUB 0037380 (123141-1))	93 837	182,356
University of Pittsburgh (UB 0030451 (123192) (NS081041))	95 853	3,435
University of Pittsburgh (SUB 0029929 (AA021690))	93 273	5,088
University of Pittsburgh (0019713 (406200-1))	12 800	386,125
University of Pittsburgh (0010745)	12 420	50,499
University of Pittsburgh (0035700 (409185-2))	12 300	127,049
ARRA-University of Pittsburgh (B3124)	47 082	50,286
ARRA-University of Pittsburgh (83301)	93 701	188
University of Puerto Rico (A7083)	93 unknown	9,671
University of Puerto Rico (B3209)	93 242	738
University of Puerto Rico (2013201401)	12 421	54,033
University of Rochester (414467-G)	93 867	152,612
University of Rochester (414466-G)	93 867	50,000
University of Rochester (415415-G)	93 unknown	45,705
University of Rochester (A9789)	93 865	13,116
University of Rochester (525213)	93 393	169,078
University of Rochester (416114G)	93 867	48,554
University of Rochester (415681G527019)	93 853	1,233
University of Rochester (415705G)	93 839	9,716
University of Rochester (415597G)	93 393	275,556
University of Rochester (57506)	93 853	3,378
University of Rochester (PO#416142-G/5-27534:01)	93 853	8,837
University of Rochester (57690)	93 853	(84)
University of Rochester (80205)	93 853	(1,466)
University of Rochester (415025-G:3)	81 049	195,229
University of Rochester (83145)	93 172	224
University of Rochester (SUB 414943-G (DE-SC-0001063))	81 410	101,670
University of Rochester (B 415024-G (DE-FC02-O4ER54789))	81 049	260,449
University of Rochester (SUB 415505-G (AI094511))	93 855	82,285
University of Rochester (SUB 415936-G (DE-FC52-08NA283))	81 112	75,049
University of Rochester (SUB 416230-G (DE-NA0001944))	81 112	114,704
University of Rochester (59797)	47 050	48,934
University of Rochester (78487)	93 866	4,784
University of Rochester (416172-G-CYC1)	93 361	99,567
University of Rochester (414575-G-CYC5)	93 361	8,504
University of San Diego (PHYSS1165-U2012-002)	12 800	58,628
University of San Diego (F12078-U2013-005)	47 076	131,725
University of San Diego (SUB NURSS-U2013-011 (NR013662))	93 361	3,644
University of South Carolina (14-2470)	10 255	10,000
University of South Carolina (132320)	93 242	8,812
University of South Carolina (12-2030:01)	12 420	28,202
University of South Carolina (09-1582:07)	47 049	39,917
University of South Carolina (14-2541:2)	93 233	250,809
University of South Carolina (005304-004)	47 082	13,671
University of South Carolina (10-1723)	81 049	71,760
University of South Florida (A9064)	93 847	134,816
University of South Florida (B1821)	93 855	101,276

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	<u>Federal CFDA #</u>	<u>Total Expenditures</u>
University of South Florida (6119127600AQ)	93 847	\$ 364,439
University of South Florida (6119111700AQ)	93 unknown	582,795
University of South Florida (09000015)	93 unknown	2,017
University of Southern California (Y86195)	47 050	3,007
University of Southern California (165060)	12 910	112,351
University of Southern California (48656374)	12 300	75,000
University of Southern California (131534)	93 867	24,243
University of Southern California (129620)	93 867	(16,657)
University of Southern California (157941)	12 910	13,696
University of Southern California (A9874)	93 866	39,525
University of Southern California (B1450)	93 853	1,057
University of Southern California (Y88412)	47 050	56,644
University of Southern California (32774854)	47 050	3,850
University of Southern California (Y90456)	12 420	48,729
University of Southern California (25917)	93 866	35,742
University of Southern California (34285750)	93 866	81,606
University of Southern California (39625316)	93 866	105,932
University of Southern California (32555)	93 866	14,115
University of Southern California (33663)	93 172	16,063
University of Southern California (201300823)	93 866	9,906
University of Southern California (38914570)	93 866	(28,434)
University of Southern California (H47397)	93 866	7,499
University of Southern California (85583)	93 866	72
University of Southern California (H47451)	93 866	(1)
University of Southern California (07002267)	93 866	12,964
University of Southern California (38602638)	93 859	80,077
University of Southern California (39751842)	93 113	28,992
University of Southern California (49296643)	93 866	(41)
University of Southern California (36687162)	93 273	40,847
University of Southern California (50010477)	93 865	3,114
University of Southern California (H50450:01)	93 847	39,586
University of Southern California (48143198)	93 273	29,729
University of Southern California (58293)	47 050	37,735
University of Southern California (58296)	47 050	3,368
University of Southern California (33312147:03)	47 050	10,000
University of Southern California (36505147)	93 859	46,642
University of Southern California (36558820)	47 080	1,191
University of Southern California (58751)	93 113	17,885
University of Southern California (156249:04)	93 866	403,826
University of Southern California (Y83282:01)	47 041	216,480
University of Southern California (153313:02)	93 242	34,501
University of Southern California (59279)	47 050	25,090
University of Southern California (33312147)	47 050	13,022
University of Southern California (59293)	47 050	13,592
University of Southern California (44748603)	99 unknown	91,705
University of Southern California (Y89181:01)	93 113	12,676
University of Southern California (36979814)	12 630	10,638
University of Southern California (42997318)	93 393	16,613
University of Southern California (43641860)	93 173	39,059
University of Southern California (141845:03)	93 855	161,781
University of Southern California (59610)	47 050	9,969
University of Southern California (44735953)	93 397	59,408
University of Southern California (33312147:2)	47 050	5,247

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	Federal CFDA #	Total Expenditures
University of Southern California (77952)	81 122	\$ 18,997
University of Southern California (34339021)	93 397	127,433
University of Southern California (34339018)	93 397	3,975
University of Southern California (33312147:02)	47 050	20,481
University of Southern California (38404991)	93 397	9,257
University of Southern California (79228)	47 050	16,675
University of Southern California (79240)	47 050	16,222
University of Southern California (79310)	47 050	822
University of Southern California (79574)	47 050	4,027
University of Southern California (10048936)	93 866	99,393
University of Southern California (79798)	47 050	12,998
University of Southern California (79818)	47 050	29,121
University of Southern California (79844)	47 050	565
University of Southern California (79854)	93 213	(191)
University of Southern California (37802972)	93 310	24,861
University of Southern California (158857:03)	12 300	233,766
University of Southern California (005871)	47 050	4,870
University of Southern California (005872)	47 050	16,743
University of Southern California (005873)	47 050	14,997
University of Southern California (005874)	47 050	7,533
University of Southern California (005876)	47 050	20,000
University of Southern California (005877)	47 050	3,282
University of Southern California (005875)	47 050	2,933
University of Southern California (006567)	47 050	12,288
University of Southern California (006568)	47 050	17,961
University of Southern California (006569)	47 050	25,080
University of Southern California (004669)	93 846	30,427
University of Southern California (005075)	93 866	72,666
University of Southern California (005522)	12 431	111,774
University of Southern California (SUB 33807683 (EAR-1226343))	47 050	223,524
University of Southern California (SUB 157575 (EB012058))	93 286	77,503
University of Southern California (157567)	12 557	23,329
University of Southern California (SUB NONE (HL111437))	93 837	2,338
University of Southern California (Y86564)	47 050	68,457
University of Southern California (SUB Y86196 (EAR-1033462))	47 050	(3,059)
University of Southern California (SUB Y908219 (HR0011-12-C-0094))	12 unknown	168,185
University of Southern California (SUB 33756949 (OCI-1148493))	47 080	141,457
University of Southern California (SUB H44244 (AA011999))	93 273	52,786
University of Southern California (SUB 36687211 (AA011999))	93 273	16,036
University of Southern California (SUB 36687121 (AA011999))	93 273	37,351
University of Southern California (SUB 41872741 (GM056006))	93 859	108,932
University of Southern California (SUB 41265188 (MH051573-18))	93 242	18,722
University of Southern California (PO 10119841)	47 unknown	14,332
University of Southern California (SUB 46137897 (MH100979))	93 242	16,365
University of Southern California (SUB 48143238 (AA011999))	93 273	59,065
University of Southern California (UB 48894253 (HR0011-12-C-0094))	12 unknown	88,750
University of Southern California (SUB 48143288 (AA011999))	93 273	279
University of Southern California (149703-002)	47 050	261,770
University of Southern California (63199)	47 050	12,263
University of Southern California (157598-002)	47 050	32,000
University of Southern California (Y81712)	47 050	34,612
University of Southern California (Y81712-03)	47 050	15,508
University of Southern California (141848)	93 855	161,082

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	Federal CFDA #	Total Expenditures
University of Southern California (157939)	15 unknown \$	67,402
University of Southern California (39073248)	47 050	15,243
University of Southern California (Y86552-A)	47 050	99,415
University of Southern California (Y81716)	47 050	28,509
University of Southern California (Y80806 GRANT-CYC1)	15 808	22,548
University of Southern California (38525818-CYC1)	47 080	1,011
University of Southern California (40244683-CYC1)	47 050	11,345
University of Southern California (158860-CYC1)	12 300	120,342
University of Southern California (H51481-CYC3)	93 242	131,205
University of Southern California (36506652-CYC1)	93 389	229,453
University of Southern California (111697-CYC12)	93 865	36,987
University of Southern California (149116-CYC4)	93 286	143,884
ARRA-University of Southern California (H47019:02)	93 701	150,910
University of St. Thomas (260068)	47 074	14,384
University of Tennessee (A11-0263-S001)	81 089	86,792
University of Tennessee (A110141S001)	93 113	8,787
University of Tennessee (A110221S002)	84 305	99,317
University of Tennessee (8500012912)	47 074	2,714
University of Tennessee (R01DK096920UCIRVINE-CYC1)	93 847	106,123
University of Texas - Pan American (005677)	12 630	69,928
University of Texas Health Science Center at Houston (A7462)	93 837	1,295,643
University of Texas Health Science Center at Houston (A9342)	12 unknown	227,150
University of Texas Health Science Center at Houston (B1330)	93 121	76,157
University of Texas Health Science Center at Houston (0007783A)	84 324	15,569
University of Texas Health Science Center at Houston (W81XWH-11-1-0240)	12 420	8,531
University of Texas Health Science Center at Houston (910548)	93 393	80,610
University of Texas Health Science Center at Houston (00910704)	93 393	5,710
University of Texas Health Science Center at Houston (00910669 / 54441 / 98010669:1)	93 393	53,464
University of Texas Health Science Center at San Antonio (A7615)	93 397	205,773
University of Texas Health Science Center at San Antonio (A8331)	93 398	13,364
University of Texas Health Science Center at San Antonio (B0128)	93 855	75,458
University of Texas Health Science Center at San Antonio (B0633)	93 307	2,395
University of Texas Health Science Center at San Antonio (SUB 155471/155095 (CA153511))	93 397	3,711
University of Texas System (14021)	93 865	14,549
University of Texas System (12-097:01)	93 855	81,873
University of Texas-Austin (UTA12-000944)	47 041	430,077
University of Texas-Austin (UTA13-000215)	47 074	159,928
University of Texas-Austin (UTA11-000572)	12 300	93,458
University of Texas-Austin (UTA11-001081)	47 050	77,227
University of Texas-Austin (B0089)	93 273	55,986
University of Texas-Austin (B0212)	12 unknown	207,920
University of Texas-Austin (22364)	93 865	39,789
University of Texas-Austin (UTA10-000939:03)	93 242	10,025
University of Texas-Austin (UTA13-000647)	93 273	40,165
University of Texas-Austin (UTA13-001076)	81 086	120,356
University of Texas-Austin (UTA11-000645)	12 300	29,795
University of Texas-Austin (UTA12-000748)	12 800	91,146
University of Texas-Austin (UTA13-000917-CYC1)	43 unknown	53,964
University of Texas-Austin (UTA12-000609-CYC1)	43 001	61,062
University of Texas-Austin (UTA11-000810-CYC3)	93 865	33,193
ARRA-University of Texas-Austin (A13-000313 (DE-SC0001091) ARRA))	81 049	149,194
University of Texas-Dallas (1143)	12 431	3,313
University of Texas-Dallas (100265)	47 070	55,000

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	<u>Federal CFDA #</u>	<u>Total Expenditures</u>
University of Texas-Dallas (79306)	93 242	\$ (15,789)
University of Texas-Dallas (79343)	93 242	(1,616)
University of Texas-Dallas (121228-CYC1)	47 049	6,384
University of Texas-El Paso (26-0203-74-61)	12 630	11,227
University of Texas-El Paso (26-1408-89-61:04)	93 588	(92)
University of Texas-El Paso (26-1008-29-61)	47 049	64,873
University of Texas-M.D. Anderson Cancer Center (A8300)	93 399	(280)
University of Texas-M.D. Anderson Cancer Center (B0224)	93 396	192,654
University of Texas-M.D. Anderson Cancer Center (B0890)	93 121	16,735
University of Texas-M.D. Anderson Cancer Center (SUB 12094225 (CA097007))	93 396	10,760
University of Texas-M.D. Anderson Cancer Center (SUB 00001664 (CA177914))	93 393	8,041
University of Texas-San Antonio (155027)	93 394	66,690
University of Texas-San Antonio (155718)	99 unknown	8,899
University of Texas-San Antonio (83147)	93 853	(10)
University of Texas-San Antonio (SUB 155632 (AI070412))	93 855	17,464
University of Texas-Southwestern Medical Center at Dallas (A8297)	93 847	112,691
University of Texas-Southwestern Medical Center at Dallas (A8749)	93 847	(431)
University of Texas-Southwestern Medical Center at Dallas (GMO-111127:01)	93 847	119,157
University of Texas-Southwestern Medical Center at Dallas (120903:2)	93 213	252,231
University of Texas-Southwestern Medical Center at Dallas (88617)	93 397	14,764
University of Utah (A9792)	93 172	121,097
University of Utah (22061)	12 unknown	(81,275)
University of Utah (10028801UCDAVISAPP)	12 unknown	139,975
University of Utah (33130)	93 unknown	169,843
University of Utah (2102090)	93 unknown	104,000
University of Utah (85550)	93 unknown	(68)
University of Utah (59537)	93 286	13,051
University of Utah (10031107-01)	93 853	89,426
University of Utah (59779)	93 853	11,054
University of Utah (1002769-02:1)	99 unknown	7,447
University of Utah (10009050-15-CAPITATED:5)	99 unknown	165,456
University of Utah (10029173-S3)	12 800	99,239
University of Utah (80041)	93 286	(1,538)
University of Utah (034455-UCSD-HALGREN (HD033113))	93 865	25,540
University of Utah (20140880)	93 865	27,303
University of Utah (10030589-UCSB)	43 001	10,116
University of Utah (10030628-CYC1)	93 279	22,939
University of Utah (10019040-S2-CYC4)	81 049	153,685
University of Vermont (B0875)	93 837	44,396
University of Vermont (B2231)	93 397	18,461
University of Vermont (27988SUB51551-CYC1)	93 853	98,842
University of Virginia (GG11083-134569:05)	12 300	95,749
University of Virginia (GC12056-138846:02)	93 838	13,974
University of Virginia (GO11427-142409)	12 910	72,235
University of Virginia (GC12130 139092:02)	93 859	110,583
University of Virginia (SUB GC11969-136363 (HL048908))	93 837	46,242
University of Virginia (88149)	93 855	(2,120)
University of Virginia (SUB GC12038-140273 (AA019720))	93 273	274,642
University of Virginia (SUB GC12173-141866 (CA158328))	93 393	4,552
University of Virginia (GL10037-142910)	11 431	17,831
University of Virginia (GL10037-142900)	11 431	89,356
University of Virginia (GL10035-142660)	11 431	(199)
University of Virginia (59765)	12 910	(809)

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	Federal CFDA #	Total Expenditures
University of Washington (033776)	15 unknown \$	7,394
University of Washington (744909)	47 047	257,184
University of Washington (745929)	47 049	20,477
University of Washington (748182)	47 041	80,565
University of Washington (85609)	93 279	32,063
University of Washington (033183)	15 910	15,957
University of Washington (75711)	93 855	131,735
University of Washington (601457)	43 unknown	7,999
University of Washington (664724)	93 113	586
University of Washington (682873)	47 050	57,061
University of Washington (728255)	81 049	196,026
University of Washington (CMMI-1208002)	47 041	132,065
University of Washington (A7206)	93 866	28,332
University of Washington (A8407)	93 172	284,170
University of Washington (B0226)	93 266	957,208
University of Washington (B0730)	93 067	199,174
University of Washington (B0928)	93 837	160,659
University of Washington (B1053)	93 067	251,742
University of Washington (B1521)	93 837	(1,111)
University of Washington (B1865)	93 847	9,482
University of Washington (B2684)	93 939	18,032
University of Washington (B2760)	93 847	13,128
University of Washington (25415)	10 200	41,125
University of Washington (748624)	10 200	18,925
University of Washington (748625)	10 200	1,828
University of Washington (752137)	93 853	17,575
University of Washington (755221)	93 213	9,395
University of Washington (752707)	93 866	25,000
University of Washington (757013)	93 837	99,757
University of Washington (27959)	93 unknown	21,366
University of Washington (748169)	93 135	9,202
University of Washington (727152)	10 310	537,486
University of Washington (747735)	93 837	68,115
University of Washington (33530)	10 200	24,168
University of Washington (33639)	93 865	212,174
University of Washington (734580)	10 200	5,749
University of Washington (721200)	10 200	1,316
University of Washington (721201)	10 200	924
University of Washington (87562)	93 855	3,223
University of Washington (746541)	93 853	3,454
University of Washington (745568)	93 846	23,087
University of Washington (726916:02)	47 074	7,687
University of Washington (752217)	93 855	75,687
University of Washington (754604)	93 242	19,207
University of Washington (755097)	47 049	121,429
University of Washington (703331:03)	11 431	2,451
University of Washington (745379)	99 unknown	89,755
University of Washington (745338)	93 135	445
University of Washington (758052)	66 509	42,235
University of Washington (432797:05)	66 509	145,796
University of Washington (747180)	93 847	22,253
University of Washington (694146:03)	93 361	14,432
University of Washington (747284:1)	93 837	67,762

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	Federal CFDA #	Total Expenditures
University of Washington (663674:3)	47 049	\$ 8,303
University of Washington (752709)	93 866	22,727
University of Washington (006443)	93 143	27,415
University of Washington (85923)	93 837	3,000
University of Washington (87272)	93 866	19,205
University of Washington (SUB 698055 (DK082325))	93 847	76,486
University of Washington (SUB 712012 (NS065070))	93 853	(10,064)
University of Washington (87639)	93 839	(161,395)
University of Washington (SUB 713253 (NONE))	93 838	85,683
University of Washington (SUB 736194 (NR012841))	93 361	6,967
University of Washington (SUB 748835 (MH084565))	93 242	6,339
University of Washington (SUB 752710 (AG16976))	93 866	23,071
University of Washington (SUB 750427 (HL077863))	93 866	157,308
University of Washington (SUB 754143 (NS086094))	93 853	61,732
University of Washington (755632)	10 200	179
University of Washington (753297)	81 049	38,030
University of Washington (735344)	47 050	16,861
University of Washington (59825)	93 864	(887)
University of Washington (SB110188)	15 unknown	2,128
University of Washington (727195)	43 001	45,581
University of Washington (744898)	47 049	207,262
University of Washington (701474-CYC4)	93 273	118,051
University of Washington (752219-CYC1)	93 855	80,794
University of Washington (752708-CYC1)	93 866	24,242
University of Washington (0747088-CYC1)	93 847	138,825
University of Washington (720069-CYC3)	93 865	135,952
ARRA-University of Washington (747589Z)	81 135	13,508
University of Wisconsin-Madison (265K941)	81 121	31,295
University of Wisconsin-Madison (272K031)	47 078	103,968
University of Wisconsin-Madison (A7943)	93 855	155,652
University of Wisconsin-Madison (A9571)	12 420	58,387
University of Wisconsin-Madison (B0197)	93 855	47,653
University of Wisconsin-Madison (B0407)	93 837	3,980
University of Wisconsin-Madison (B1269)	93 853	194,577
University of Wisconsin-Madison (B1522)	93 895	79,836
University of Wisconsin-Madison (B1727)	93 unknown	481,628
University of Wisconsin-Madison (BCS1147593)	47 075	53,455
University of Wisconsin-Madison (82161)	10 200	406
University of Wisconsin-Madison (EFRI0937847)	47 041	17,368
University of Wisconsin-Madison (252F162)	47 074	39,996
University of Wisconsin-Madison (188K565)	98 001	715,816
University of Wisconsin-Madison (352K785:2)	93 859	92,527
University of Wisconsin-Madison (375K233:4)	81 049	116,252
University of Wisconsin-Madison (477K234)	93 866	25,639
University of Wisconsin-Madison (477K245)	93 866	444,066
University of Wisconsin-Madison (388K975:1)	84 368	164,690
University of Wisconsin-Madison (SUB 252F151 (MCB-0929100))	47 074	27,747
University of Wisconsin-Madison (SUB 200912248-02 (188K565))	98 001	56,529
University of Wisconsin-Madison (SUB 396K476(CA166105))	93 394	9,871
University of Wisconsin-Madison (SUB 408K133 (PHY-1148698))	47 049	562,195
University of Wisconsin-Madison (SUB 420K991 (DE-SC0008713))	81 049	10,177
University of Wisconsin-Madison (SB100016)	43 008	12,059
University of Wisconsin-Madison (381K043-CYC1)	93 239	8,317

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	Federal CFDA #	Total Expenditures
ARRA-University of Wisconsin-Madison (UB 180K143 (DE-SC0002298) ARRA))	81 049	\$ 9,628
University of Wyoming (1001116)	47 074	89,388
University of Wyoming (NSF40391)	47 074	539,696
University Research Co., LLC (FY13-G08-6990)	98 012	58,810
Upmc (9278)	93 855	9,426
Urban Institute (08695-00-00-UCLA-01:1)	14 523	40,643
Urban Institute (8609-006-00-UCSD-01 (MD008064))	93 307	91,942
Utah State University (120833001)	10 215	64,604
Utah State University (23235)	10 215	16,187
Utah State University (23286)	10 215	14,141
Utah State University (25924)	10 500	836
Utah State University (100893003)	10 215	35,743
Utah State University (SUB 12053603 (EAR-1224638))	47 050	82,943
Utah State University (110892007)	10 215	66,492
Utah State University (120834004)	10 500	30,380
Vala Sciences, Inc. (2R42DK082087-02A1-CYC4)	93 847	1,289
Valitor, Inc. (B1255)	93 unknown	21,469
Valitor, Inc. (B1685)	93 unknown	14,595
Vanderbilt University (VU # 22666-S4)	12 unknown	246
Vanderbilt University (2007-015255)	93 866	8,923
Vanderbilt University (A8490)	93 847	99,320
Vanderbilt University (A9099)	93 396	80,368
Vanderbilt University (A9392)	93 389	598
Vanderbilt University (B0385)	93 838	9,600
Vanderbilt University (B1996)	93 847	30,069
Vanderbilt University (B2285)	93 865	6,000
Vanderbilt University (VUMC38906:02)	93 837	255
Vanderbilt University (VUMC37250:2)	93 853	2,069
Vanderbilt University (1720-014984)	12 910	43,746
Vanderbilt University (VUMC38168:02)	93 226	45,557
Vanderbilt University (21951-S2:04)	93 242	368,324
Vanderbilt University (87715)	93 847	39
Vanderbilt University (SUB 38441 (MH095621))	93 242	113,310
Vanderbilt University (SUB VUMC38646(DK072473))	93 847	28,517
Vanderbilt University (88110)	93 989	4,214
Vanderbilt University (SUB VUMC41149 (HL116358))	93 838	26,721
Vanderbilt University (VUMC42001-CYC1)	93 226	17,257
ARRA-Vanderbilt University (A7460)	93 715	138,062
ARRA-Vanderbilt University (VUMC37036-CYC1)	93 714	31,219
Ventura County Community College District (P031C110025)	84 013	331,844
Vescent Photonics, Inc. (SB140115)	12 910	19,964
Veterans Medical Research Foundation of San Diego (VPA CHOJKIER 303143 (DK084139))	99 unknown	12
Veterans Medical Research Foundation of San Diego (VPA Ali 306306 (N62645-11-C-4037))	99 unknown	12,718
Veterans Medical Research Foundation of San Diego (VPA Palmer 221342 (1 R01 MH64722-01))	99 unknown	49,484
Veterans Medical Research Foundation of San Diego (VPA GUATELLI (AI038201))	93 856	24,076
Veterans Medical Research Foundation of San Diego (VPA LOONEY 303971R (AI036214))	93 856	(3,034)
Veterans Medical Research Foundation of San Diego (VPA WITKE 306883 (AI036214))	93 856	(41,334)
Veterans Medical Research Foundation of San Diego (81891)	93 837	(112)
Veterans Medical Research Foundation of San Diego (VPA ZURAW (W81XWH-09-2-0045))	93 unknown	111,282
Veterans Medical Research Foundation of San Diego (81907)	93 unknown	(5,419)
Veterans Medical Research Foundation of San Diego (VPA SPINA 303975REV (AI036214))	93 856	29,388
Veterans Medical Research Foundation of San Diego (VPA VALLON 309405 (DK056248))	93 unknown	75,850
Veterans Medical Research Foundation of San Diego (VPA HAMMOND 309657 (HL066941))	93 837	22,585

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	Federal CFDA #	Total Expenditures
Veterans Medical Research Foundation of San Diego (82177)	93 866	\$ (203)
Veterans Medical Research Foundation of San Diego (VPA HAMMOND 309956 (HL081741))	93 837	8,940
Veterans Medical Research Foundation of San Diego (83410)	93 273	(157)
Veterans Medical Research Foundation of San Diego (VPA ZURAW 309076 (AI070535))	93 855	59,702
Veterans Medical Research Foundation of San Diego (VPA BLANTZ 306843 (DK28602))	93 849	50,628
Veterans Medical Research Foundation of San Diego (VPA HAMMOND 306402 (HL066941))	93 837	20,995
Veterans Medical Research Foundation of San Diego (VPA WOELK 303970 (AI036214))	93 856	(626)
Veterans Medical Research Foundation of San Diego (83448)	93 837	(130)
Veterans Medical Research Foundation of San Diego (83449)	93 856	4,972
Veterans Medical Research Foundation of San Diego (VPA BLAIR 309078 (AI070535))	93 856	18,405
Veterans Medical Research Foundation of San Diego (83463)	93 855	(2)
Veterans Medical Research Foundation of San Diego (83466)	93 866	311
Veterans Medical Research Foundation of San Diego (83470)	93 866	(3,005)
Veterans Medical Research Foundation of San Diego (83477)	93 849	26
Veterans Medical Research Foundation of San Diego (83492)	93 839	104
Veterans Medical Research Foundation of San Diego (83493)	93 839	194
Veterans Medical Research Foundation of San Diego (83494)	93 839	197
Veterans Medical Research Foundation of San Diego (83507)	93 855	142
Veterans Medical Research Foundation of San Diego (83510)	93 860	(56)
Veterans Medical Research Foundation of San Diego (83522)	47 075	104
Veterans Medical Research Foundation of San Diego (83523)	47 075	(141)
Veterans Medical Research Foundation of San Diego (83524)	47 075	(104)
Veterans Medical Research Foundation of San Diego (VPA SMITH 303973 (AI036214))	93 856	(4,691)
Veterans Medical Research Foundation of San Diego (83529)	93 242	(86)
Veterans Medical Research Foundation of San Diego (83531)	93 837	59
Veterans Medical Research Foundation of San Diego (VPA GUATELLI 310150 (AI081668))	93 837	42,967
Veterans Medical Research Foundation of San Diego (VPA PENNY 306407 (HL066941))	93 839	44,233
Veterans Medical Research Foundation of San Diego (83537)	93 837	(108)
Veterans Medical Research Foundation of San Diego (83538)	93 837	(199)
Veterans Medical Research Foundation of San Diego (A LIU 305359(W81XWH-08-2-0076))	12 unknown	944
Veterans Medical Research Foundation of San Diego (PA LANDSBERG 309123 (AI070535))	93 856	19,420
Veterans Medical Research Foundation of San Diego (83557)	93 839	1
Veterans Medical Research Foundation of San Diego (83558)	93 839	(107)
Veterans Medical Research Foundation of San Diego (VPA FOLSOM 307315 (MH064722))	93 242	5,287
Veterans Medical Research Foundation of San Diego (VPA BARNARD 306398 (HL066941))	93 834	12,093
Veterans Medical Research Foundation of San Diego (VPA BHARGAVA 306399 (HL066941))	93 839	1,404
Veterans Medical Research Foundation of San Diego (PA BLANCHARD 306403 (HL066941))	93 837	10,060
Veterans Medical Research Foundation of San Diego (83566)	12 unknown	152
Veterans Medical Research Foundation of San Diego (VPA LIU 306343 (MH083968))	93 242	10,499
Veterans Medical Research Foundation of San Diego (83571)	93 846	(140)
Veterans Medical Research Foundation of San Diego (VPA ZISOOK 310450 (MH085297))	93 242	41,014
Veterans Medical Research Foundation of San Diego (PA WETHERELL 310449 (MH085297))	93 242	20,618
Veterans Medical Research Foundation of San Diego (PA THEILMANN 310422 (MH083968))	93 242	13,911
Veterans Medical Research Foundation of San Diego (VPA LITTLE 307194 ( AI087164))	93 855	49,350
Veterans Medical Research Foundation of San Diego (83583)	93 242	42
Veterans Medical Research Foundation of San Diego (VPA GUATELLI (AI081668))	93 856	32,542
Veterans Medical Research Foundation of San Diego (VPA LINDAMER 307319 (MH064722))	93 242	1,914
Veterans Medical Research Foundation of San Diego (VPA EYLER 310047 (MH083968))	93 242	15,935
Veterans Medical Research Foundation of San Diego (VPA SPINA 307195 ( AI087164))	93 855	9,626
Veterans Medical Research Foundation of San Diego (VPA GOLSHAN 306345 (MH083968))	93 242	9,381
Veterans Medical Research Foundation of San Diego (VPA EYLER 310424 (MH083968))	93 242	26,095
Veterans Medical Research Foundation of San Diego (H) LANOUILLE 308516 (MH085297))	93 242	5,982
Veterans Medical Research Foundation of San Diego (A TERKELTAUB 308351 (AI081881))	93 855	26,190

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	Federal CFDA #	Total Expenditures
Veterans Medical Research Foundation of San Diego (DELANO-WOOD 311339 ( MH083968))	93 242	\$ 19,971
Veterans Medical Research Foundation of San Diego (VPA HEMAL 307632 (GM085179))	93 859	15,238
Veterans Medical Research Foundation of San Diego (PA SCHULTEIS 307634 (GM085179))	93 859	6,530
Veterans Medical Research Foundation of San Diego (VPA PATEL 307631 (GM085179))	93 859	39,755
Veterans Medical Research Foundation of San Diego (VPA BUCK (DK084139))	93 847	108,474
Veterans Medical Research Foundation of San Diego (VPA VALLON 311185 (HL094728))	93 837	52,713
Veterans Medical Research Foundation of San Diego (A SCHULTEIS 300007R (DA027843))	93 270	22,078
Veterans Medical Research Foundation of San Diego (87348)	93 866	(544)
Veterans Medical Research Foundation of San Diego (VPA NIESMAN 307636 (GM085179))	93 859	56,151
Veterans Medical Research Foundation of San Diego (87426)	93 279	43
Veterans Medical Research Foundation of San Diego (VPA 308350R BRYAN (AI081881))	93 856	126,447
Veterans Medical Research Foundation of San Diego (87455)	93 839	(53)
Veterans Medical Research Foundation of San Diego (VPA JAIN 304933 (W81XWH-10-2-))	12 420	5,015
Veterans Medical Research Foundation of San Diego (VPA RAMAN 304934 (W81XWH-10-2))	12 420	4,505
Veterans Medical Research Foundation of San Diego (ANG 309841R (W81XWH-10-2-0104))	12 420	47,485
Veterans Medical Research Foundation of San Diego (NSON 296185 (W81XWH-10-2-0104))	12 420	41,490
Veterans Medical Research Foundation of San Diego (VPA SPINA 299908 (DK035108))	93 847	9,626
Veterans Medical Research Foundation of San Diego (87548)	93 242	(171)
Veterans Medical Research Foundation of San Diego (ELL (W81XWH-11-2-0001) (08061))	12 420	35,395
Veterans Medical Research Foundation of San Diego (OUGH 305727 (W81XWH-11-2-0001))	12 420	21,070
Veterans Medical Research Foundation of San Diego (87580)	12 420	20,438
Veterans Medical Research Foundation of San Diego (NSON 301579 (W81XWH-10-1-0657))	12 420	25,795
Veterans Medical Research Foundation of San Diego (87586)	12 420	(4)
Veterans Medical Research Foundation of San Diego (87614)	93 838	(65)
Veterans Medical Research Foundation of San Diego (VPA TSAN 305728 ( W81XWH-11-2))	12 420	8,828
Veterans Medical Research Foundation of San Diego (VPA SMITH 298942(AI090970))	93 856	13,020
Veterans Medical Research Foundation of San Diego (87701)	93 397	(84)
Veterans Medical Research Foundation of San Diego (VPA SMITH 304515 (AI090970))	93 846	45,251
Veterans Medical Research Foundation of San Diego (VPA PAYUMO (W81XWH-10-2-0104))	12 420	1,411
Veterans Medical Research Foundation of San Diego (87728)	93 837	18
Veterans Medical Research Foundation of San Diego (VPA ROSS 298281 (HL066941))	93 837	16,978
Veterans Medical Research Foundation of San Diego (VPA SINGH 307779 ( AI038201))	93 855	9,087
Veterans Medical Research Foundation of San Diego (87748)	12 420	15
Veterans Medical Research Foundation of San Diego (87826)	93 242	131,013
Veterans Medical Research Foundation of San Diego (VPA 307818 LEWINSKI (AI038201))	93 855	2,867
Veterans Medical Research Foundation of San Diego (RA-NIEVES 304996 (DK080212-04))	93 847	130,016
Veterans Medical Research Foundation of San Diego (VPA POND 310731 (AI090970))	93 856	9,188
Veterans Medical Research Foundation of San Diego (GERS 301910 (W81XWH-10-1-0657))	12 420	15,963
Veterans Medical Research Foundation of San Diego (87951)	93 855	(765)
Veterans Medical Research Foundation of San Diego (GERS 301659 (W81XWH-11-1-0641))	12 420	16,600
Veterans Medical Research Foundation of San Diego (VPA RICHMAN 304506 (AI096113))	93 855	5,448
Veterans Medical Research Foundation of San Diego (VPA SPINA 304505 (AI096113))	93 855	48,103
Veterans Medical Research Foundation of San Diego (VPA AARONS 308075 (MH091057))	93 242	35,835
Veterans Medical Research Foundation of San Diego (VPA GOLSHAN 302763 (MH091057))	93 242	9,332
Veterans Medical Research Foundation of San Diego (VPA SINGH 306974 (AI081668))	93 856	4,869
Veterans Medical Research Foundation of San Diego (OVA-BETHELLL 307196 (AI087164))	93 855	116,794
Veterans Medical Research Foundation of San Diego (88121)	93 866	9,049
Veterans Medical Research Foundation of San Diego (88129)	93 242	45,404
Veterans Medical Research Foundation of San Diego (VPA REARDON 307192 (AI087164))	93 855	4,016
Veterans Medical Research Foundation of San Diego (RMAN 303176 (W81XWH-08-2-0159))	12 420	774
Veterans Medical Research Foundation of San Diego (RMAN 303178 (W81XWH-08-2-0159))	12 420	775
Veterans Medical Research Foundation of San Diego (VPA HEAD 307635 ( GM085179))	93 859	12,050
Veterans Medical Research Foundation of San Diego (OUGH 305158 (N62645-11-C-4037))	12 340	31,183

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	<u>Federal CFDA #</u>	<u>Total Expenditures</u>
Veterans Medical Research Foundation of San Diego (TSAN 307513 (N62645-11-C-4037))	12 340	\$ 9,469
Veterans Medical Research Foundation of San Diego (88173)	12 340	(1)
Veterans Medical Research Foundation of San Diego (WAS 307313 ( N62645-11-C-4037))	12 340	34,099
Veterans Medical Research Foundation of San Diego (VPA BRYAN 308279 (AG007996))	93 866	37,879
Veterans Medical Research Foundation of San Diego (RPHY 303175 (W81XWH-08-2-0159))	12 420	(14,594)
Veterans Medical Research Foundation of San Diego (MURPHY 303177 W81XWH-08-2-0159)	12 420	48,376
Veterans Medical Research Foundation of San Diego (-WOOD 306194 W81XWH-11-1-0641))	12 420	15,671
Veterans Medical Research Foundation of San Diego (88242)	12 340	114
Veterans Medical Research Foundation of San Diego (VPA 308300 JOHNS (HL07652))	93 838	94,085
Veterans Medical Research Foundation of San Diego (VPA FUSTER 308305 (HL107652))	93 838	35,137
Veterans Medical Research Foundation of San Diego (88263)	12 340	2,046
Veterans Medical Research Foundation of San Diego (PERIVOLIOTIS 303768 (MH091057))	93 242	16,500
Veterans Medical Research Foundation of San Diego (A RUFENER 303766 (MH091057-02))	93 242	18,336
Veterans Medical Research Foundation of San Diego (VPA BOUVET 304649 (HL107652))	93 838	7,574
Veterans Medical Research Foundation of San Diego (88278)	93 838	(611)
Veterans Medical Research Foundation of San Diego (VPA 307697 HANDEL (HL107652))	93 838	7,485
Veterans Medical Research Foundation of San Diego (VPA ACHESON 304710 (N62645-11)	12 340	(9,038)
Veterans Medical Research Foundation of San Diego (88306)	12 340	49
Veterans Medical Research Foundation of San Diego (88332)	12 340	(9,208)
Veterans Medical Research Foundation of San Diego ((W81XWH-08-2-0159 (PO10315391))	12 420	31,530
Veterans Medical Research Foundation of San Diego (WH-08-2-0159) (PO 10313433-001)	12 420	47,086
Veterans Medical Research Foundation of San Diego (VPA IGLEWICZ 304936 (MH085297))	93 242	23,434
Veterans Medical Research Foundation of San Diego (UGER 306311 (N62645-11-C-4037))	12 340	4,957
Veterans Medical Research Foundation of San Diego (ELS 305242R (W911QY-11-C-0102))	12 420	9,788
Veterans Medical Research Foundation of San Diego (HA 307028 ( 1R01HS021290-01A1))	93 226	57,464
Veterans Medical Research Foundation of San Diego (VPA STRAIN 307423 (AI050410))	93 855	8,469
Veterans Medical Research Foundation of San Diego (ILLS 307391 (W81XWH-12-1-0577))	12 420	10,825
Veterans Medical Research Foundation of San Diego (VPA LUNA 307424 (AI050410))	93 855	572
Veterans Medical Research Foundation of San Diego (VPA HENRY 307582 ( DK098246))	93 847	26,042
Veterans Medical Research Foundation of San Diego (VPA STRAIN 307761 (AI104283))	93 855	33,576
Veterans Medical Research Foundation of San Diego (RKEN 307392 (N62645-11-C-4037))	12 340	407
Veterans Medical Research Foundation of San Diego (CLE 307585 (HHSN268200900046C))	93 838	20,816
Veterans Medical Research Foundation of San Diego (88645)	93 242	19,560
Veterans Medical Research Foundation of San Diego (VPA-MUDALIAR 307671 (DK098246))	93 847	20,318
Veterans Medical Research Foundation of San Diego (A EDELMAN 307593 (DK098246-01))	93 847	5,390
Veterans Medical Research Foundation of San Diego (VPA CHOE 307592 (DK098246))	93 847	6,189
Veterans Medical Research Foundation of San Diego (THENELLI 307431 (NIDA-VA#1030))	93 279	43,566
Veterans Medical Research Foundation of San Diego (A BEKMAN 307432 (NIDA-VA#1030))	93 279	30,279
Veterans Medical Research Foundation of San Diego (VPA TOKAREV 307764 (AI081668))	93 855	14,466
Veterans Medical Research Foundation of San Diego (ELLI 308328 C13A11599 (A09137))	93 855	56,671
Veterans Medical Research Foundation of San Diego (AREV 307763 C13A11599 (A09137))	93 855	9,304
Veterans Medical Research Foundation of San Diego (SON 307526 ( W81XWH-11-2-0001))	12 420	25,285
Veterans Medical Research Foundation of San Diego (VPA EYLER 304937 (MH083968))	93 242	19,477
Veterans Medical Research Foundation of San Diego (VPA RICHMAN 307832 (AI087164))	93 855	13,645
Veterans Medical Research Foundation of San Diego (VIAUX 306307(N62645-11-C-4037))	12 340	38,514
Veterans Medical Research Foundation of San Diego (VPA SALMON 308519 (AG016495))	93 856	6,510
Veterans Medical Research Foundation of San Diego (VPA ADV JAK (AG016495))	93 856	7,487
Veterans Medical Research Foundation of San Diego (88721)	93 856	10,073
Veterans Medical Research Foundation of San Diego (-SUBVMRF-11-20 (W81XWH-11-1-00))	12 420	28,807
Veterans Medical Research Foundation of San Diego (8720 ( N62645-11-C-4037) 29345)	12 340	60,166
Veterans Medical Research Foundation of San Diego (08718R 29345(N62645-11-C-4037))	22 340	11,913
Veterans Medical Research Foundation of San Diego (HOFER (N62645-11-C-4037)(29345))	12 340	20,029
Veterans Medical Research Foundation of San Diego (PA MAAWY 308312 REV (HL107652))	93 838	6,336

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Veterans Medical Research Foundation of San Diego (VPA LIU 308749 (HS 021290))	93 226	\$ 22,273
Veterans Medical Research Foundation of San Diego (88801)	93 213	68,468
Veterans Medical Research Foundation of San Diego (VPA HENRY 309058 (AT008310))	93 213	32,830
Veterans Medical Research Foundation of San Diego (PA 309057R CIARALDI (AT008310))	93 213	14,740
Veterans Medical Research Foundation of San Diego (88814)	93 213	112,672
Veterans Medical Research Foundation of San Diego (VPA WITKE 309229 (AI036214))	93 855	40,607
Veterans Medical Research Foundation of San Diego (VPA HENRY(AT008310))	93 213	18,155
Veterans Medical Research Foundation of San Diego (VPA LOONEY 309176 ( AI036214))	93 855	13,989
Veterans Medical Research Foundation of San Diego (536 (N62645-11-C-4037) (28345))	12 340	26,367
Veterans Medical Research Foundation of San Diego (X 309056 (1 U01 DK097093-01A1))	93 847	70,991
Veterans Medical Research Foundation of San Diego (PA LEWINSKI 310265R (AI102778))	93 855	10,057
Veterans Medical Research Foundation of San Diego (VPA WEIBEL 309188 (AI027763))	93 856	33,074
Veterans Medical Research Foundation of San Diego (VPA FEIFEL 309190 (MH100410))	93 242	13,624
Veterans Medical Research Foundation of San Diego (VPA WOELK 309239 (AI036214))	93 855	726
Veterans Medical Research Foundation of San Diego (VPA GRANHOLM 310913 (MH100410))	93 242	40,840
Veterans Medical Research Foundation of San Diego (ITH 309253 (2P30A1036214-19A1))	93 855	32,947
Veterans Medical Research Foundation of San Diego (VPA WEIBEL 308909 (HS021290))	93 226	22,855
Veterans Medical Research Foundation of San Diego (VPA SCHIER 308780 (AI096113))	93 855	44,455
Veterans Medical Research Foundation of San Diego (VPA HAMMOND 309658 ( HL066941))	93 839	60,228
Veterans Medical Research Foundation of San Diego (VPA ROTH 309659 (HL066941))	93 839	38,089
Veterans Medical Research Foundation of San Diego (VPA PATEL 309660 (HL 066941))	93 839	18,215
Veterans Medical Research Foundation of San Diego (VPA CHEN 309656 (HL066941))	93 839	9,223
Veterans Medical Research Foundation of San Diego (PA ADV MAGLIONE (MH085297-05R))	93 242	12,663
Veterans Medical Research Foundation of San Diego (491 (W81XWH-12-1-0614) (08409))	12 420	20,060
Veterans Medical Research Foundation of San Diego (HAMMOND 309878 (HL066941-11A1))	93 839	30,038
Veterans Medical Research Foundation of San Diego (VPA KHANG 308981 (DK056248))	93 847	19,184
Veterans Medical Research Foundation of San Diego (VPA RIEG 308810 (DK056248-14))	93 847	52,428
Veterans Medical Research Foundation of San Diego (VPA (NIH) DALY 8152 (MH091057))	93 242	7,360
Veterans Medical Research Foundation of San Diego (88952)	12 420	5,579
Veterans Medical Research Foundation of San Diego (88957)	93 213	25,241
Veterans Medical Research Foundation of San Diego (SUB 08558-309843 (HL066941))	93 837	377,477
Veterans Medical Research Foundation of San Diego (SUB 08558-309844 (HL066941))	93 837	140,044
Veterans Medical Research Foundation of San Diego (SUB 08558-309845 (HL066941))	93 837	146,778
Veterans Medical Research Foundation of San Diego (SS 310094 (2P01 HL066941-11A1))	93 839	18,147
Veterans Medical Research Foundation of San Diego (LLI 310092 (AI 110162) (08775))	93 855	11,548
Veterans Medical Research Foundation of San Diego (A(NIH) SINGH 310198 (AI110162))	93 856	10,527
Veterans Medical Research Foundation of San Diego (VPA (NIH) BUCK (DK100189))	93 847	43,206
Veterans Medical Research Foundation of San Diego (E 309794 AT007600-01A1 (08658))	93 213	23,903
Veterans Medical Research Foundation of San Diego (VPA IX 310177 DK098234-01A1)	93 847	12,642
Veterans Medical Research Foundation of San Diego (89020)	93 855	16,928
Veterans Medical Research Foundation of San Diego (INA 310384 (1 R21 AI110162-01))	93 855	9,910
Veterans Medical Research Foundation of San Diego (VPA HO 310664 (AA021908))	93 273	8,540
Veterans Medical Research Foundation of San Diego (OORE 310562 (W81XWH-10-2-0169))	12 420	3,045
Veterans Medical Research Foundation of San Diego (DON 310558 (5 R01 AI087164-05))	93 855	4,371
Veterans Medical Research Foundation of San Diego (VPA EDMONDS 309987 (AG016495))	93 866	6,202
Veterans Medical Research Foundation of San Diego (LANO-WOOD PO 310737 (AG012674))	93 866	6,069
Veterans Medical Research Foundation of San Diego (MJILIC-HARPF 310968 (HL066941))	93 839	40,675
Veterans Medical Research Foundation of San Diego (VPA IX 310997 (DK101720))	93 847	10,081
Veterans Medical Research Foundation of San Diego (08512-307825-CYC2)	93 226	8,981
Veterans Medical Research Foundation of San Diego (08512-309441-CYC1)	93 226	17,398
ARRA-Veterans Medical Research Foundation of San Diego (83559)	93 701	(185)
ARRA-Veterans Medical Research Foundation of San Diego (A TERKELTAUB 311193 (AG007996))	93 701	39,492
Vibrant (242)	12 800	102,800

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	Federal CFDA #	Total Expenditures
Virginia Commonwealth University (PT108696-SC105028)	93 859	\$ 25,940
Virginia Commonwealth University (005406)	47 041	165,256
Virginia Commonwealth University (SUB PT107094-SC103492 (DE-AR00))	81 135	1,874
Virginia Polytechnic Institute and State University (478882-19910)	47 041	11,704
Virginia Polytechnic Institute and State University (478911-19910)	47 074	82,274
Virginia Polytechnic Institute and State University (21852)	47 041	40,020
Virginia Polytechnic Institute and State University (26552)	47 074	96,337
Virginia Polytechnic Institute and State University (42597619326A)	98 001	66,899
Virginia Polytechnic Institute and State University (42597619326)	98 001	84,407
Virginia Polytechnic Institute and State University (430345-19097:11)	12 300	59,243
Virginia Polytechnic Institute and State University (SUB 432844-19A28 (D12PC00337))	97 001	127,543
Virginia Polytechnic Institute and State University (431602-19905-CYC5)	93 855	119,369
Virginia Polytechnic Institute and State University (450124-19905-CYC4)	12 910	219,094
Virogenics, Inc. (20123974)	93 856	111,044
Visdex Corporation (25033)	93 unknown	55,193
Vistagen Therapeutics, Inc. (SUB NONE (DA018515))	93 279	(120,958)
Vixar, Inc. (1277-CYC1)	93 394	38,191
Vpdiagnostics, Inc. (B1591)	93 837	17,861
Wake Forest University (A8904)	93 847	887
Wake Forest University (B0701)	12 420	47,784
Wake Forest University (WFUHS 441042 CTA-12)	12 420	86,265
Wake Forest University (WFUHS 114504)	93 351	52,884
Wake Forest University (SUB WFUHS 10385 (HL-104199))	93 837	65,395
Wake Forest University (SUB WFUHS 110656 (AG041845))	93 866	743,947
ARRA-Wake Forest University (WFUHS/UCLA-66631:03)	93 701	2,700
Walter R. McDonald and Associates, Inc. (2013WRMA021-CYC1)	93 217	36,458
Washington State University (A7266)	93 846	273,916
Washington State University (A7984)	93 394	111,533
Washington State University (A9930)	93 113	127,108
Washington State University (B1713)	93 865	90,808
Washington State University (121927G003243)	84 305	50,480
Washington State University (115320 G002931)	10 310	84,750
Washington University in St. Louis (94939)	93 unknown	(1,215)
Washington University in St. Louis (A8543)	93 838	18,680
Washington University in St. Louis (B1204)	93 855	141,969
Washington University in St. Louis (B1795)	93 172	34,804
Washington University in St. Louis (WU12157)	93 396	168,375
Washington University in St. Louis (WU-13-169)	93 866	177,341
Washington University in St. Louis (WU-14-89)	93 865	134,573
Washington University in St. Louis (WU-13-88)	93 395	29,487
Washington University in St. Louis (83076)	93 853	14,367
Washington University in St. Louis (SUB WU-11-52 (EB012284))	93 286	44,601
Washington University in St. Louis (SUB WU-11-226 (AR057836))	93 846	93,015
Washington University in St. Louis (SUB WU-11-255(N01-HR-76196))	93 unknown	12,354
Washington University in St. Louis (87938)	93 396	(1)
Washington University in St. Louis (SUB WU-15-128 (CA141549))	93 396	152,043
Washington University in St. Louis (88133)	93 866	(331,489)
Washington University in St. Louis (SUB WU-13-193 (AG032438))	93 866	718,803
Washington University in St. Louis (SUB WU-14-154 (MH091657))	93 242	168,307
Washington University in St. Louis (SUB WU-14-85 (NR014449))	93 361	30,928
Washington University in St. Louis (SUB WU-14-109 (ES021488))	93 113	17,429
Washington University in St. Louis (WU-14-294 (W81XWH-13-1-0094))	12 420	41,920
Washington University in St. Louis (WU-11-69)	93 837	299,425

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Washington University in St. Louis (WU-12-20)	93 853	\$ 45,112
Washington University in St. Louis (WU-HT-12-15-MOD-1)	93 859	126,603
ARRA-Washington University in St. Louis (A7467)	93 701	3,240
Water Environment Research Foundation (INFR2R12-CYC1)	66 511	182,222
Wayne State University (WSU07076)	47 049	(155)
Wayne State University (WSU14046)	93 867	3,762
Wayne State University (A9479)	93 226	8,976
Wayne State University (WSU12056)	93 853	43,618
Wayne State University (WSU11032)	93 865	16,137
Wayne State University (WSU12080)	93 279	174,921
Wayne State University (SUB WSU14013 (AA022891))	93 273	4,105
Weinberg Medical Physics, LLC (WMP-54529-CYC1)	93 394	103,127
West Coast Governors Alliance on Ocean Health (SUB NONE (NA12NOS4730183))	11 432	253
West Virginia University (SUB 12-244-UCSD (DC012638))	93 173	60,318
West Virginia University (63262)	99 unknown	13,613
Westat (A7064)	93 unknown	(11,666)
Westat (B0296)	93 unknown	716,903
Westat (6104-PO-1)	99 unknown	14,561
Westat (8848-S-001)	99 unknown	15,462
Westat (6049.03 - S01)	99 unknown	29,274
Westat (8967.01-S02:1)	99 unknown	79,381
Westat (79400)	99 unknown	(5,726)
Westat (88148)	93 unknown	31,453
Westat (SUB S8954 (HHSN271201100027C))	93 unknown	261,453
Westat (88188)	84 unknown	16,688
Wested (S11089)	84 305	107,568
Wested (S11-208-CYC1)	47 076	44,780
Western Michigan University (7670)	47 076	30,168
Western Michigan University (7617)	15 657	15,310
Weston Geophysical Corporation (1154-1)	12 unknown	33,605
Wibi+works, LLC (SUB 20130883 (AR061902))	93 846	28,770
Wichita State University (SUB3152)	20 109	8,711
Wildlife Management Institute (33467)	15 unknown	29,719
Wildlife Trust (EHA AI-3287-FY13-UCLA)	93 989	40,150
William Beaumont Hospital (B0061)	93 866	35,345
Wistar Institute, The (A7574)	93 396	648,030
Women & Infants Hospital of Rhode Island (B1801)	93 865	15,164
Woods Hole Oceanographic Institution (A100530)	12 300	32,287
Woods Hole Oceanographic Institution (A100657)	47 050	1,994,318
Woods Hole Oceanographic Institution (A100657 ARRA)	47 050	1,289,727
Woods Hole Oceanographic Institution (A100716)	47 050	14,245
Woods Hole Oceanographic Institution (SUB NONE (A100749))	12 300	68,882
Woods Hole Oceanographic Institution (A101076)	47 050	30,026
Woods Hole Oceanographic Institution (A101077)	47 050	6,884
Woods Hole Oceanographic Institution (A100753)	12 300	250,185
Wright State University (96298)	93 855	(137)
Wright State University (27906)	93 242	69,595
Wright State University (SUB NONE (HD059969))	93 865	1,359
Wyle Laboratories (A7571)	43 001	38,618
Xen Biofluidx (201121994)	93 113	82,360
Xerox Corporation (033898)	12 159	19,519
Xerox Corporation (SUB P309713 (CNS-1040822))	47 070	29,899
Xoma Corporation (B0322)	93 unknown	451,164

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	<u>Federal CFDA #</u>	<u>Total Expenditures</u>
Yale University (A6866)	93 853	\$ 11,899
Yale University (A7549)	93 864	44,350
Yale University (A8816)	93 855	301,600
Yale University (A8956)	93 837	125,630
Yale University (A9095)	93 393	154,016
Yale University (A9423)	93 853	58,930
Yale University (A9441)	93 242	99,339
Yale University (B0080)	93 242	42,850
Yale University (B0211)	93 117	14,621
Yale University (B1428)	93 853	28,221
Yale University (B2354)	93 242	26,445
Yale University (B2948)	12 910	24,536
Yale University (M14A11626A09207)	93 853	26,501
Yale University (M13A11486(A09236):01)	93 242	598,871
Yale University (80151)	93 242	(10,943)
Yale University (C13A11536 (A08861))	93 242	40,965
Yale University (SUB M10A10554 (NS044876))	93 853	8,032
Yale University (B C13L11586 (FA9550-13-1-0020))	12 800	95,768
Yale University (SUB C14A11711 (AG031093))	93 866	142,174
Yale University (89077)	93 242	16,177
Yale University (A08848-CYC1)	93 855	4,427
Yale University (M12A11208(A08273)-CYC3)	93 859	77,667
Yale University (M11A10961 (A09204)-CYC1)	93 855	80,784
Yeshiva University (A6884)	93 393	103,215
Yeshiva University (A7527)	93 859	246,851
Yeshiva University (A9304)	93 393	11,164
Yeshiva University (A9974)	93 393	62,047
Yeshiva University (B0532)	93 846	18,739
Yeshiva University (B1361)	93 395	95,073
Yeshiva University (SUB 9526-5552 (HL095856))	93 837	24,802
Yeshiva University (SUB 9-526-6969 (HL110900))	93 839	666,334
Yeshiva University (SUB 310479 (AI101436))	93 855	110,304
Yeshiva University (310591)	93 859	507,938
Yolo County (2013168)	10 170	23,783
Total Pass Through Awards – Research and Development Cluster		428,228,963
Partial Pass Through Awards – Research and Development Cluster		
Abt Associates, Inc. (29333)	10 unknown	7,608
Aeon Imaging, LLC (034480)	93 286	18,421
Applied Technology Council (HRP-TO 32.01 (SB134107CQ00019))	11 609	217,824
Argonne National Laboratory (DOE GOCO Lab operated by Univ of Chic) (3F-31902)	99 unknown	29,468
Arizona State University/Tempe (12-762)	47 076	146,754
Association of Universities for Research in Astronomy (N414580-A)	99 unknown	21,121
Astronomical Society of The Pacific, The (2013-03)	47 unknown	14,390
Baylor University Medical Center (41010001315)	93 701	134,550
Biomedical Research Institute of New Mexico (006496)	10 219	17,284
Bioo Scientific Corporation (SC-14-57)	93 172	39,506
Brigham and Women's Hospital (33157)	93 855	(1,559)
Cal De Curriculum Instruction & Assessment Division (NCLB9-CSP-UCSD)	99 unknown	1,700
Cal De Curriculum Instruction & Assessment Division (Sub NCLB9-CWP-UCSD (CN120273))	99 unknown	(1,012)
Cal Humanities (21704)	45 unknown	683

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California Association for Research in Astronomy (11672)	47 049	\$ 318,523
California Child Development Division (CN130193)	93 575	425,678
California Department of Education (12-14349-3001-6A)	84 287	1,410,574
California Department of Education (12-14765-3001-6A)	84 287	200,230
California Department of Education (12-14788-3001-6A)	84 287	139,907
California Department of Education (84401)	93 575	29,513
California Department of Education (CN120218)	84 048	19,127
California Department of Education Curriculum and Instruction (NCLB10A-CRLP-SO)	84 367	29,598
California Department of Education Curriculum and Instruction (NCLB10A-CWP-SO)	84 367	29,066
California Department of Education Curriculum and Instruction (NCLB10-CRLP-UCB)	84 367	28,621
California Department of Education Curriculum and Instruction (NCLB10-CSP-UCSC)	84 367	19,841
California Department of Education Curriculum and Instruction (NCLB10-CWP-UCB)	84 367	27,086
California Department of Education Curriculum and Instruction (SUB 20133385)	84 367	38,801
California Department of Education Curriculum and Instruction (SUB 20133434)	84 367	45,194
California Department of Education Curriculum and Instruction (SUB NCLB10 (CN130242))	84 367	34,535
California Department of Fish and Wildlife (18690)	15 605	237
California Department of Food and Agriculture (18666)	10 170	7,565
California Department of Food and Agriculture (96735)	10 170	15,755
California Department of Food and Agriculture (96737)	10 169	5,225
California Department of Food and Agriculture (SC12060C)	10 170	(852)
California Department of Food and Agriculture (SCB11053)	10 170	262,176
California Department of Forestry and Fire Protection (006556)	99 unknown	41,088
California Department of Forestry and Fire Protection (8CA12400)	10 unknown	58,704
California Department of Health Care Services (11-88343)	93 044	43,323
California Department of Public Health (1110698)	93 unknown	111,878
California Department of Public Health (33170)	93 991	9,838
California Department of Public Health (80755C)	10 557	4,947
California Department of Transportation (Sub 65A0473)	99 unknown	289,758
California Energy Commission (40010013)	81 unknown	37,211
ARRA-California Health and Human Services Agency (13-H9009 ARRA)	93 unknown	189,473
California Parks and Recreation, Department of (C1370016)	12 unknown	17,638
California Parks and Recreation, Department of (C1370032)	99 unknown	1,065,989
California Transportation Commission (74A0703-CYC1)	20 515	150,199
California Victim Compensation and Government Claims Board (VCGC3041)	16 575	141,835
Centro de Investigacion Cientifica de Ensenada (006370)	12 800	24,000
Children's Hospital Medical Center of Cincinnati (1R01HD07812701)	93 865	260,545
Computing Research Association (CIF-E-018)	47 070	20,819
Concord Consortium, The (245-01.01)	47 076	114,665
Consortium for Ocean Leadership (006228)	47 050	10,167
Consortium for Ocean Leadership (T339A7)	99 unknown	1,217
Consortium for Ocean Leadership (T344A22)	47 050	8,507
Consortium for Ocean Leadership (T347A7)	47 050	18,016
Consortium for Ocean Leadership (T349A7)	99 unknown	9,070
Consortium for Ocean Leadership (T350A7)	47 050	24,407
Cornell University (652479788)	12 910	85,124
Covidien (G120142)	99 unknown	2,500
CSS-Dynamac (AMES-RT-107)	99 unknown	335,915
Duke University (B CE01-119 (HHSO100201300009C))	99 unknown	12,057
Ecorp Consulting, Inc. (005951)	15 unknown	10,233
Educational Testing Service (UCB-IES-305D)	84 unknown	33,743
Electric Power Research Institute (0010002031)	81 087	24,835
Epir Technologies, Inc. (0149-13-SUCSC-0001)	99 unknown	33,968
Fermi Research Alliance, LLC (FRA) (incl Fermilab-DOE GOCO) (006291)	81 unknown	9,506

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Fordham University (FORDHAM/RETI - ROTH)	93 279	\$ 5,391
Georgia Institute of Technology (004262)	47 unknown	2,544
Georgia Institute of Technology (004583)	93 989	36,671
Georgia Institute of Technology (26730)	47 unknown	9,128
Henningson, Durham & Richardson, Inc. (0000122983)	12 300	72,664
Hydrogeologic, Inc. (SC-13-51)	99 unknown	2,274
Icahn School of Medicine at Mount Sinai (0255-5542-4609)	93 113	33,134
Icahn School of Medicine at Mount Sinai (025562114609)	93 242	83,337
Information Technology and Innovation Foundation (2013001)	90 403	18,770
Jet Propulsion Laboratory (006275-002)	43 unknown	5,337
Jet Propulsion Laboratory (006501)	43 unknown	61,922
Johns Hopkins University (2001763371)	47 074	124,520
Jumpstart for Young Children, Inc. (BB PROJ #880200)	94 006	73,223
Kootenai Tribe of Idaho (201402102)	84 unknown	2,779
Lawrence Livermore National Security, LLC (B060314)	99 unknown	46,154
McGrill University (Sub OCC#2008-263-20 (CA128052))	99 unknown	1,731
Michigan State University (61-2349UCB)	47 076	61,459
Michigan State University (RC100634UCB)	47 076	5,975
Microelectronics Advanced Research Corporation (006094)	99 unknown	212,391
Microelectronics Advanced Research Corporation (006096)	99 unknown	177,408
Microelectronics Advanced Research Corporation (006098)	99 unknown	235,500
Microelectronics Advanced Research Corporation (006101)	99 unknown	207,800
Microelectronics Advanced Research Corporation (006111)	99 unknown	194,986
Microelectronics Advanced Research Corporation (20130445)	12 unknown	185,839
Microelectronics Advanced Research Corporation (20130533)	12 unknown	282,080
Microelectronics Advanced Research Corporation (94707)	12 910	(8,000)
National Fish and Wildlife Foundation (63283)	99 unknown	(158)
National Fish and Wildlife Foundation (8006.13.039415)	11 463	15,223
Northern California Institute for Research and Education, Inc. (A9746)	12 unknown	52,316
Northern California Institute for Research and Education, Inc. (A9997)	12 unknown	127,795
Northern California Institute for Research and Education, Inc. (A9998)	12 unknown	19,381
Northern California Institute for Research and Education, Inc. (B0067)	12 unknown	48,874
Northern California Institute for Research and Education, Inc. (B0069)	12 unknown	131,107
Northern California Institute for Research and Education, Inc. (B0095)	12 unknown	87,384
Northern California Institute for Research and Education, Inc. (B0098)	12 unknown	99,063
Northern California Institute for Research and Education, Inc. (B0125)	12 unknown	16,687
Northern California Institute for Research and Education, Inc. (B0131)	12 unknown	77,059
Northern California Institute for Research and Education, Inc. (B0137)	12 unknown	55,334
Northern California Institute for Research and Education, Inc. (B0221)	12 unknown	198,450
Northern California Institute for Research and Education, Inc. (B0222)	12 unknown	87,101
Northern California Institute for Research and Education, Inc. (B0229)	12 unknown	94,282
Northern California Institute for Research and Education, Inc. (B0235)	12 unknown	47,298
Northern California Institute for Research and Education, Inc. (B0383)	12 unknown	165,577
Northern California Institute for Research and Education, Inc. (B0390)	12 unknown	22,384
Northern California Institute for Research and Education, Inc. (B0393)	12 unknown	5,831
Northern California Institute for Research and Education, Inc. (B0394)	12 unknown	66,755
Northern California Institute for Research and Education, Inc. (B0397)	12 unknown	65,814
Northern California Institute for Research and Education, Inc. (B0492)	12 unknown	20,140
Northern California Institute for Research and Education, Inc. (B0502)	12 unknown	15,102
Northern California Institute for Research and Education, Inc. (B0543)	12 unknown	3,718
Northern California Institute for Research and Education, Inc. (B0548)	12 unknown	53,833
Northern California Institute for Research and Education, Inc. (B0556)	12 unknown	5,017
Northern California Institute for Research and Education, Inc. (B0560)	12 unknown	73,527

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Northern California Institute for Research and Education, Inc. (B0561)	12 unknown \$	49,387
Northern California Institute for Research and Education, Inc. (B0646)	12 unknown	49,855
Northern California Institute for Research and Education, Inc. (B0671)	12 unknown	12,534
Northern California Institute for Research and Education, Inc. (B0803)	12 unknown	48,499
Northern California Institute for Research and Education, Inc. (B0907)	12 unknown	(11)
Northern California Institute for Research and Education, Inc. (B1004)	12 unknown	3,877
Northern California Institute for Research and Education, Inc. (B1030)	12 unknown	26,455
Northern California Institute for Research and Education, Inc. (B1031)	12 unknown	15,053
Northern California Institute for Research and Education, Inc. (B1040)	12 unknown	16,296
Northern California Institute for Research and Education, Inc. (B1060)	12 unknown	30,791
Northern California Institute for Research and Education, Inc. (B1077)	12 unknown	15,201
Northern California Institute for Research and Education, Inc. (B1091)	12 unknown	29,832
Northern California Institute for Research and Education, Inc. (B1134)	12 unknown	113,403
Northern California Institute for Research and Education, Inc. (B1223)	12 unknown	32,826
Northern California Institute for Research and Education, Inc. (B1318)	12 unknown	23
Northern California Institute for Research and Education, Inc. (B1350)	12 unknown	25,557
Northern California Institute for Research and Education, Inc. (B1376)	12 unknown	35,862
Northern California Institute for Research and Education, Inc. (B1386)	12 unknown	22,303
Northern California Institute for Research and Education, Inc. (B1388)	12 unknown	(98)
Northern California Institute for Research and Education, Inc. (B1399)	12 unknown	61,093
Northern California Institute for Research and Education, Inc. (B1400)	12 unknown	31,290
Northern California Institute for Research and Education, Inc. (B1407)	12 unknown	67,485
Northern California Institute for Research and Education, Inc. (B1412)	12 unknown	8,354
Northern California Institute for Research and Education, Inc. (B1446)	64 unknown	24,583
Northern California Institute for Research and Education, Inc. (B1467)	12 unknown	82,949
Northern California Institute for Research and Education, Inc. (B1502)	12 unknown	14,446
Northern California Institute for Research and Education, Inc. (B1503)	12 unknown	40,009
Northern California Institute for Research and Education, Inc. (B1504)	12 unknown	26,760
Northern California Institute for Research and Education, Inc. (B1507)	12 unknown	9,812
Northern California Institute for Research and Education, Inc. (B1508)	12 unknown	21,978
Northern California Institute for Research and Education, Inc. (B1606)	12 unknown	33,624
Northern California Institute for Research and Education, Inc. (B1610)	12 unknown	143,927
Northern California Institute for Research and Education, Inc. (B1612)	12 unknown	24,296
Northern California Institute for Research and Education, Inc. (B1637)	12 unknown	27,276
Northern California Institute for Research and Education, Inc. (B1639)	12 unknown	181,071
Northern California Institute for Research and Education, Inc. (B1640)	12 242	94,557
Northern California Institute for Research and Education, Inc. (B1641)	12 unknown	118,693
Northern California Institute for Research and Education, Inc. (B1642)	12 unknown	13,922
Northern California Institute for Research and Education, Inc. (B1643)	12 unknown	27,175
Northern California Institute for Research and Education, Inc. (B1760)	12 unknown	18,062
Northern California Institute for Research and Education, Inc. (B1769)	12 unknown	89,897
Northern California Institute for Research and Education, Inc. (B1778)	12 unknown	10,651
Northern California Institute for Research and Education, Inc. (B1780)	12 unknown	5,217
Northern California Institute for Research and Education, Inc. (B1784)	12 unknown	200,531
Northern California Institute for Research and Education, Inc. (B1834)	12 unknown	46,245
Northern California Institute for Research and Education, Inc. (B1884)	12 unknown	51,969
Northern California Institute for Research and Education, Inc. (B1938)	64 unknown	23,870
Northern California Institute for Research and Education, Inc. (B1948)	12 unknown	122,969
Northern California Institute for Research and Education, Inc. (B1949)	12 unknown	206,436
Northern California Institute for Research and Education, Inc. (B1957)	12 unknown	13,834
Northern California Institute for Research and Education, Inc. (B1960)	12 unknown	33,075
Northern California Institute for Research and Education, Inc. (B1968)	12 unknown	8,368
Northern California Institute for Research and Education, Inc. (B1969)	12 unknown	345,486

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Northern California Institute for Research and Education, Inc. (B1986)	12 unknown	\$ 28,895
Northern California Institute for Research and Education, Inc. (B1989)	12 unknown	8,082
Northern California Institute for Research and Education, Inc. (B1990)	12 unknown	31,198
Northern California Institute for Research and Education, Inc. (B1995)	12 unknown	54,725
Northern California Institute for Research and Education, Inc. (B2003)	12 unknown	210,694
Northern California Institute for Research and Education, Inc. (B2008)	12 unknown	105,897
Northern California Institute for Research and Education, Inc. (B2014)	12 unknown	99,875
Northern California Institute for Research and Education, Inc. (B2016)	12 unknown	36,883
Northern California Institute for Research and Education, Inc. (B2029)	12 unknown	168,847
Northern California Institute for Research and Education, Inc. (B2091)	64 unknown	23,718
Northern California Institute for Research and Education, Inc. (B2143)	12 unknown	23,713
Northern California Institute for Research and Education, Inc. (B2153)	12 unknown	24,914
Northern California Institute for Research and Education, Inc. (B2158)	12 unknown	44,302
Northern California Institute for Research and Education, Inc. (B2197)	12 unknown	122,104
Northern California Institute for Research and Education, Inc. (B2205)	12 unknown	169,136
Northern California Institute for Research and Education, Inc. (B2212)	64 unknown	26,089
Northern California Institute for Research and Education, Inc. (B2216)	64 unknown	7,953
Northern California Institute for Research and Education, Inc. (B2225)	64 unknown	51,136
Northern California Institute for Research and Education, Inc. (B2267)	12 unknown	73,506
Northern California Institute for Research and Education, Inc. (B2268)	12 unknown	41,454
Northern California Institute for Research and Education, Inc. (B2269)	12 unknown	218
Northern California Institute for Research and Education, Inc. (B2304)	12 unknown	53,003
Northern California Institute for Research and Education, Inc. (B2413)	12 unknown	94,050
Northern California Institute for Research and Education, Inc. (B2475)	12 unknown	101,895
Northern California Institute for Research and Education, Inc. (B2476)	12 unknown	112,397
Northern California Institute for Research and Education, Inc. (B2791)	12 unknown	23,275
Northern California Institute for Research and Education, Inc. (B2833)	12 unknown	32,234
Northern California Institute for Research and Education, Inc. (B3015)	99 unknown	6,781
Northern California Institute for Research and Education, Inc. (B3016)	99 unknown	39,532
Northstar Engineering (27005)	20 unknown	11,921
Northstar Engineering (27006)	20 unknown	8,669
Northstar Engineering (27007)	20 unknown	48,504
Northstar Engineering (27008)	20 unknown	1,663
Oklahoma State University (AB-5-67090.UCR)	10 303	51,823
Oregon Health & Science University (006319)	93 867	41,298
Oregon Health & Science University (006455)	93 394	161,242
Ostendo Technologies, Inc. (2012-1046)	12 800	55,032
Pacific Northwest National Laboratories (125752)	81 unknown	2,174
Partners Healthcare System, Inc. (220299)	93 855	7,675
Princeton University (00002108-001)	93 397	18,852
Raytheon Bbn Technologies Corp. (ID#14304)	99 unknown	78,523
Rhizosystems, LLC (006169-002)	81 049	31,655
San Diego County (SUB 543298 (NONE))	10 561	1,067,851
San Diego County (SUB 544567 (NONE))	93 unknown	346,810
San Diego, County of (524089)	99 unknown	1
Scale-free Untethered Network Systems Technology (01-120899)	99 unknown	31,558
Scripps Research Institute (Florida) (006477)	93 866	137,069
Semiconductor Research Corporation (006095)	47 unknown	24,902
Southeastern University's Research Association (2013-013)	11 012	13,254
Sri International (148-000028)	99 unknown	21,058
Sri International (19-000213-004)	99 unknown	1,096,944
Stanford University (122302)	99 unknown	41,853
Stanford University (60214527-101341-B-01)	84 365	9,959

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	Federal CFDA #	Total Expenditures
Stanford University (60339679-107577-A)	93 867	\$ 136,564
Stanford University (60391961-109559-A)	93 865	83,197
Stevens Institute of Technology (SC-14-29)	47 076	1,544
Structured Materials Industries, Inc. (41969-060113-01)	99 unknown	26,231
Tetra Tech, Inc. (1102720)	99 unknown	8,248
Tetra Tech, Inc. (PO 1090793)	12 300	4,058
Texas A&M University - College Station (13-15)	12 300	2,217
Thomas Jefferson University (080-18060-S10301)	93 859	13,832
Thomas Jefferson University (080-18060-S10601)	93 839	25,126
Two Pore Guys, Inc. (SC-14-46)	93 172	28,045
University of Arizona (107574)	97 061	29,391
University of Arizona (109979)	43 001	18,000
University of Arizona (85451)	20 unknown	6,908
University of Colorado System (System Parent Code) (1548306)	84 305	114,355
University of Florida (004576)	10 309	30,399
University of Hawaii at Manoa (MA140005)	47 050	4,276
University of Illinois (58081)	47 076	72,189
University of Iowa (W000490221)	93 121	77,222
University of Kansas/Ku Center for Research, Inc. (FY2014-027)	84 373	79,363
University of Michigan (3002128521)	93 395	157,994
University of Missouri (005947)	93 113	125,728
University of Missouri System (C00037134-2)	10 310	22,546
University of Notre Dame (incl Gem) (202092-UCB LEAST)	12 910	585,621
University of Notre Dame (incl Gem) (SC-14-69)	47 049	276
University of Oregon (208991C-002)	47 050	36,083
University of Pittsburgh (9003846)	93 865	17,675
University of Southern California (SC-14-68)	47 050	50
University of Texas-Austin (UTA12-001022-001)	93 853	88,442
University of Washington (006406)	93 855	88,925
University of Washington (750186)	93 113	36,565
University of Wisconsin System (005878)	81 049	143,005
Vanderbilt University (22389-S1)	84 305	86,711
Vanderbilt University (22441-S1)	84 305	153,059
Veterans Medical Research Foundation of San Diego (VPA THOMSON 308808 (DK056248))	93 849	84,274
Washington State Department of Transportation (GCB1359)	99 unknown	29,701
Washington University in St. Louis (004368)	81 049	181,857
Wellcome Trust, The (2186-03)	93 172	308,556
Westat (8967.01-S03)	20 200	33,225
Total Partial Pass Through Awards		21,550,033
Total Research And Development Cluster		3,340,063,028
Department of Health & Human Services - Foster Care Title IV-E Program		
Pass Through Awards		
Amador, County of (PQCR20142)	93 658	10,747
California Department of Social Services (27225)	93 658	1,694,514
California Department of Social Services (122006)	93 658	2,031,300
California Department of Social Services (T32GM099608)	93 658	28,257
California Department of Social Services (112028)	93 658	135,916
California Department of Social Services (18562)	93 658	3,828

**UNIVERSITY OF CALIFORNIA**  
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	<u>Federal CFDA #</u>	<u>Total Expenditures</u>
California Department of Social Services (18683)	93 658	\$ (21)
County of Marin (22161)	93 658	334
County of Marin (CW201317)	93 658	3,950
County of Sonoma (22160)	93 658	(284)
County of Sonoma (CW201333)	93 658	21,725
Glenn, County of (20761)	93 658	3,233
Glenn, County of (26396)	93 658	181,344
Humboldt County (CW201310)	93 658	23,700
Inyo, County of (21812)	93 658	1,209
Inyo, County of (EW201311)	93 658	30,810
Merced, County of (UCD1306856)	93 658	32,587
Mono, County of (CW201321)	93 658	7,900
Napa, County of (CW201323)	93 658	5,925
Nevada, County of (25639)	93 658	(1,093)
Nevada, County of (CW201324)	93 658	1,975
Nevada, County of (C000106122)	93 658	15,231
Placer, County of (22199)	93 658	1,286
Placer, County of (CW201325)	93 658	10,863
Plumas, County of (CW201326)	93 658	5,925
Sacramento, County of (22193)	93 658	(825)
Sacramento, County of (720500014314)	93 658	38,070
San Benito, County of (CW201327)	93 658	7,406
San Joaquin County (22178)	93 658	(167)
San Joaquin County (CW201328)	93 658	31,281
San Luis Obispo, County of (26328)	93 658	25,830
Santa Cruz, County of (CW201356)	93 658	9,875
Tehama, County of (CW201336)	93 658	3,950
Yuba County (26304)	93 658	6,237
Total Pass Through Awards		<u>4,372,818</u>
Partial Pass Through Awards		
California Department of Social Services (11-2036)	93 658	104,879
California Department of Social Services (10-2031)	93 658	(387)
California Department of Social Services (12-2011)	93 658	8,402,431
California Department of Social Services (13-2010)	93 658	19,258,158
California Department of Social Services (11-2040)	93 658	69,105
Total Partial Pass Through Awards		<u>27,834,186</u>
Total Foster Care Title IV-E Program		<u>32,207,004</u>
<b>Other Programs:</b>		
Direct Awards:		
Corporation for National & Community Service	94 013	358,579
Department of Agriculture	10 unknown	5,644,689
Department of Agriculture	10 001	195,125
Department of Agriculture	10 025	911,610
Department of Agriculture	10 170	6,000
Department of Agriculture	10 200	25,005
Department of Agriculture	10 203	101,161
Department of Agriculture	10 206	562,439

**UNIVERSITY OF CALIFORNIA**  
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	<u>Federal CFDA #</u>	<u>Total Expenditures</u>
Department of Agriculture	10 210	\$ 60,013
Department of Agriculture	10 303	4,505
Department of Agriculture	10 304	149,704
Department of Agriculture	10 307	71,695
Department of Agriculture	10 309	58,100
Department of Agriculture	10 310	12,784
Department of Agriculture	10 311	205,336
Department of Agriculture	10 500	10,463,188
Department of Agriculture	10 652	79,091
Department of Agriculture	10 680	250,127
Department of Agriculture	10 777	12,137
Department of Agriculture	10 912	100,396
Department of Agriculture	10 960	7,994
Department of Agriculture	10 962	433,613
Department of Agriculture	10 unknown	698,372
Department of Agriculture Total		<u>20,053,084</u>
Department of Commerce	11 unknown	459,622
Department of Commerce	11 417	47,820
Department of Commerce	11 420	18,627
Department of Commerce	11 429	14,020
ARRA-Department of Commerce	11 478	(1)
Department of Commerce	11 618	8,127,581
Department of Commerce	11 unknown	56,002
Department of Commerce Total		<u>8,723,671</u>
Department of Defense		
Department of Army	12 unknown	6,750
Department of Army	12 420	1,033,923
Department of Army	12 431	4,170
Department of Army	12 unknown	10,917
Department of Army Total		<u>1,055,760</u>
Department of Navy	12 300	90,809
Department of Navy	12 unknown	8,490,121
Department of Navy Total		<u>8,580,930</u>
Separate Agencies	12 900	159,251
Separate Agencies	12 unknown	97,601
Separate Agencies Total		<u>256,852</u>
Department of Defense Total		<u>9,893,542</u>
Department of Education	47 049	61,406
Department of Education	82 200	132,437
Department of Education	84 unknown	585,751
Department of Education	84 007	121,623
Department of Education	84 015	2,359,646
Department of Education	84 017	58,649
Department of Education	84 021	82,307
Department of Education	84 022	317,725
Department of Education	84 031	842,014
Department of Education	84 042	1,015,152

**UNIVERSITY OF CALIFORNIA**  
**Schedule of Expenditures of Federal Awards**  
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	<u>Federal CFDA #</u>	<u>Total Expenditures</u>
Department of Education	84 044	\$ 3,229,617
Department of Education	84 047	3,133,799
Department of Education	84 063	70,340
Department of Education	84 087	101,802
Department of Education	84 116	280,312
Department of Education	84 170	894,779
Department of Education	84 200	2,521,939
Department of Education	84 217	466,863
Department of Education	84 220	138,216
Department of Education	84 229	231,005
Department of Education	84 305	531,142
Department of Education	84 334	9,217,580
Department of Education	84 335	418,064
Department of Education	84 336	1,585,190
Department of Education	84 367	91,788
Department of Education	84 407	414,101
Department of Education	84 411	100,184
Department of Education	93 854	24,348
Department of Education	94 047	485,189
Department of Education	84 unknown	3,687,454
Department of Education Total		<u>33,200,422</u>
Department of Energy	81 unknown	55,980
Department of Energy	81 049	927,738
Department of Energy	81 121	247,052
Department of Energy	81 124	251,625
Department of Energy	81 136	207,795
Department of Energy	81 unknown	2,178,728
Department of Energy Total		<u>3,868,918</u>
Department of Interior	15 unknown	67,035
Department of Interior	15 507	912
Department of Interior	15 530	32,923
Department of Interior	15 608	(260)
Department of Interior	15 657	3,761
Department of Interior	15 808	33,993
Department of Interior	15 933	13,967
Department of Interior	15 945	70,310
Department of Interior	15 unknown	99,612
Department of Interior Total		<u>322,253</u>
Department of Justice	16 525	127,796
Department of Justice	16 560	104,054
Department of Justice Total		<u>231,850</u>
Department of Labor	17 502	369,211
ARRA-Department of Labor	17 275	(782)
Department of Labor Total		<u>368,429</u>
Department of State	19 402	20,158
Department of State	19 424	29,601
Department of State	19 unknown	1,414
Department of State Total		<u>51,173</u>

**UNIVERSITY OF CALIFORNIA**  
**Schedule of Expenditures of Federal Awards**  
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	<u>Federal CFDA #</u>	<u>Total Expenditures</u>
Department of Transportation	20 unknown \$	7,028
Department of Transportation	20 200	6,167
Department of Transportation	20 215	15,000
Department of Transportation	20 220	5,000
Department of Transportation	20 701	242,823
Department of Transportation	20 521	303
Department of Transportation	20 unknown	61,716
Department of Transportation Total		<u>338,037</u>
Department of Treasury	99 unknown	29,568
Environmental Protection Agency	66 unknown	4,432
Environmental Protection Agency	66 514	4,385
Environmental Protection Agency	66 714	48,654
Environmental Protection Agency	66 716	370,525
Environmental Protection Agency	66 931	40,911
Environmental Protection Agency	66 unknown	14,999
Environmental Protection Agency Total		<u>483,906</u>
Department of Health & Human Services		
Office of Human Development Services	93 600	1,334,179
Office of Human Development Services	93 604	294,552
Office of Human Development Services	93 632	562,611
Office of Human Development Service Total		<u>2,191,342</u>
Office of the Secretary	93 631	520,970
Office of the Secretary	93 unknown	175,402
Health and Human Services Office of the Secretary Total		<u>696,372</u>
Centers for Medicare and Medicaid Services	93 610	998,465
Public Health Service/Adamha	93 243	2,138,586
Public Health Service/Adamha	93 unknown	61,177
Public Health Service/Adamha Total		<u>2,199,763</u>
Public Health Service/Agency for Healthcare Research & Quality	93 225	735,294
Public Health Service/Agency for Healthcare Research & Quality	93 226	864,193
Public Health Service/Agency for HealthCare Research & Quality Total		<u>1,599,487</u>
Public Health Service/Aging, Administration on	93 051	12,622
Public Health Service/Centers for Disease Control	93 067	3,582,075
Public Health Service/Centers for Disease Control	93 068	311,303
Public Health Service/Centers for Disease Control	93 116	1,097,319
Public Health Service/Centers for Disease Control	93 136	106,974
Public Health Service/Centers for Disease Control	93 262	1,392,454
Public Health Service/Centers for Disease Control	93 283	245,462
Public Health Service/Centers for Disease Control	93 304	115,080
Public Health Service/Centers for Disease Control	93 520	56,195
Public Health Service/Centers for Disease Control	93 738	1,396,428
Public Health Service/Centers for Disease Control	93 941	1,612,697
Public Health Service/Centers for Disease Control	93 977	89,984

**UNIVERSITY OF CALIFORNIA**  
**Schedule of Expenditures of Federal Awards**  
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	<u>Federal CFDA #</u>	<u>Total Expenditures</u>
Public Health Service/Centers for Disease Control	93 unknown	\$ 211,224
Public Health Service/Center for Disease Control Total		<u>10,217,195</u>
Public Health Service/Food & Drug Administration	93 103	1,612,592
Public Health Service/Food & Drug Administration	93 448	360,065
Public Health Service/Food & Drug Administration Total		<u>1,972,657</u>
Public Health Service/Health Resources & Services Administration	93 unknown	359,356
Public Health Service/Health Resources & Services Administration	93 059	527,954
Public Health Service/Health Resources & Services Administration	93 107	1,217,208
Public Health Service/Health Resources & Services Administration	93 110	2,435,274
Public Health Service/Health Resources & Services Administration	93 117	110,164
Public Health Service/Health Resources & Services Administration	93 127	1
Public Health Service/Health Resources & Services Administration	93 145	7,609,567
Public Health Service/Health Resources & Services Administration	93 153	448,117
Public Health Service/Health Resources & Services Administration	93 156	1,099,621
Public Health Service/Health Resources & Services Administration	93 157	194,626
Public Health Service/Health Resources & Services Administration	93 186	707,311
Public Health Service/Health Resources & Services Administration	93 224	910,225
Public Health Service/Health Resources & Services Administration	93 247	1,171,937
Public Health Service/Health Resources & Services Administration	93 250	263,505
Public Health Service/Health Resources & Services Administration	93 253	2,177,121
Public Health Service/Health Resources & Services Administration	93 265	260,810
Public Health Service/Health Resources & Services Administration	93 359	1,485,882
ARRA-PHS/Health Resources & Services Administration	93 404	3,854
Public Health Service/Health Resources & Services Administration	93 510	2,235,246
Public Health Service/Health Resources & Services Administration	93 515	180,957
Public Health Service/Health Resources & Services Administration	93 516	383,246
Public Health Service/Health Resources & Services Administration	93 822	66,264
Public Health Service/Health Resources & Services Administration	93 884	1,353,943
Public Health Service/Health Resources & Services Administration	93 917	404,670
Public Health Service/Health Resources & Services Administration	93 918	660,829
Public Health Service/Health Resources & Services Administration	93 925	320
Public Health Service/Health Resources & Services Administration	93 928	553,583
Public Health Service/Health Resources & Services Administration	93 969	876,117
Public Health Service/Health Resources & Services Administration		<u>27,697,708</u>
National Institutes of Health Aging, National Institute on	93 866	4,338,849
National Institutes of Health Alcohol Abuse and Alcoholism, National Institute of	93 272	228,052
National Institutes of Health Alcohol Abuse and Alcoholism, National Institute of	93 273	630,564
National Institutes of Health Alcohol Abuse and Alcoholism, National Institute of	99 unknown	144,890
National Institutes of Health Arthritis, Musculoskeletal & Skin Diseases, Natl Institute of	93 846	3,006,963
National Institutes of Health Arthritis, Musculoskeletal & Skin Diseases, Natl Institute of	99 unknown	173,907
National Institutes of Health Biomedical Imaging and Bioengineering, Natl Institute of (NIBIB)	93 286	1,179,046
National Institutes of Health Biomedical Imaging and Bioengineering, Natl Institute of (NIBIB)	99 unknown	194,167
National Institutes of Health Center for Scientific Review	93 837	68,920
National Institutes of Health Center for Scientific Review	93 839	235,209
National Institutes of Health Center for Scientific Review	93 853	33,776
National Institutes of Health Child Health & Human Development, National Institute of	93 864	282,561
National Institutes of Health Child Health & Human Development, National Institute of	93 865	5,265,386
National Institutes of Health Deafness & Other Communication Disorders, Natl Institute on	93 173	419,937
National Institutes of Health Dental and Craniofacial Research, National Institute of (NIDCR)	93 121	2,027,817
National Institutes of Health Diabetes, Digestive & Kidney Diseases, National Institute of	93 847	7,998,618

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	<u>Federal CFDA #</u>	<u>Total Expenditures</u>
National Institutes of Health Diabetes, Digestive & Kidney Diseases, National Institute of	93 848	\$ 192,232
National Institutes of Health Drug Abuse, National Institute of (NIDA)	93 278	(46,068)
National Institutes of Health Drug Abuse, National Institute of (NIDA)	93 279	3,287,726
National Institutes of Health Drug Abuse, National Institute of (NIDA)	93 310	(668)
National Institutes of Health Drug Abuse, National Institute of (NIDA)	99 unknown	27,409
National Institutes of Health Environmental Health Sciences, National Institute of	93 unknown	229,464
National Institutes of Health Environmental Health Sciences, National Institute of	93 113	672,423
National Institutes of Health Environmental Health Sciences, National Institute of	93 142	1,234,801
National Institutes of Health General Medical Science, National Institute of	93 unknown	(41,937)
National Institutes of Health General Medical Science, National Institute of	93 855	3,672
National Institutes of Health Heart, Lung & Blood, National Institute of	93 233	132,239
National Institutes of Health Heart, Lung & Blood, National Institute of	93 837	6,340,590
National Institutes of Health Heart, Lung & Blood, National Institute of	93 838	3,030,909
National Institutes of Health Heart, Lung & Blood, National Institute of	93 839	763,703
National Institutes of Health Heart, Lung & Blood, National Institute of	98 837	8,193
National Institutes of Health Heart, Lung & Blood, National Institute of	99 unknown	8,867
National Institutes of Health John F. Fogarty International Center	93 989	1,315,713
National Institutes of Health Medicine, National Library of	93 879	47
National Institutes of Health Medicine, National Library of	99 unknown	1,044,861
National Institutes of Health Mental Health, National Institute of (NIMH)	12 300	238,143
National Institutes of Health Mental Health, National Institute of (NIMH)	93 242	3,241,456
National Institutes of Health Mental Health, National Institute of (NIMH)	93 281	2,770,405
National Institutes of Health Mental Health, National Institute of (NIMH)	93 282	3,836,201
National Institutes of Health Mental Health, National Institute of (NIMH)	99 unknown	29,382
National Institutes of Health National Cancer Institute (NCI)	93 395	723,851
National Institutes of Health National Cancer Institute (NCI)	93 398	8,319,388
National Institutes of Health National Cancer Institute (NCI)	99 unknown	427,134
National Institutes of Health National Center Complementary & Alternative Medicine	93 213	1,703,146
National Institutes of Health National Center Research Resources	93 350	151,007
National Institutes of Health National Center Research Resources	93 351	82,160
National Institutes of Health National Center Research Resources	93 389	460,730
National Institutes of Health National Center for Advancing Translational Sciences	93 350	1,106,599
National Institutes of Health National Center for Advancing Translational Sciences	93 853	56,464
National Institutes of Health National Center for Advancing Translational Sciences	93 865	47,772
National Institutes of Health National Center for Advancing Translational Sciences	99 unknown	835,996
National Institutes of Health National Ctr on Minority Health and Health Disparities	93 307	543,832
National Institutes of Health National Eye Institute	93 867	3,326,751
National Institutes of Health National Eye Institute	99 unknown	(9,537)
National Institutes of Health National Human Genome Research Institute	93 172	985,028
National Institutes of Health National Human Genome Research Institute	93 310	3,784,254
National Institutes of Health National Institute of Allergy and Infectious Diseases	93 855	5,166,209
National Institutes of Health National Institute of Allergy and Infectious Diseases	93 856	368,545
National Institutes of Health Neurological Disorders & Stroke, Natl Institute of	93 853	5,147,639
National Institutes of Health Nursing Research, National Institute of (NINR)	93 361	1,259,345
National Institutes of Health Nursing Research, National Institute of (NINR)	99 unknown	87,896
National Institutes of Health Office of The Director	93 310	138,246
National Institutes of Health Office of The Director	93 351	9,457,337
National Institutes of Health/other Agencies & Departments	93 113	17,592
National Institutes of Health/other Agencies & Departments	93 173	10,987
National Institutes of Health/other Agencies & Departments	93 286	114,080
National Institutes of Health/other Agencies & Departments	93 846	(17)
<b>Total National Institutes of Health</b>		<u>98,860,859</u>

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	<u>Federal CFDA #</u>	<u>Total Expenditures</u>
Department of Health & Human Services Total		\$ 146,433,848
Library of Congress	42 unknown	217,858
National Aeronautics & Space Administration	43 unknown	1,011,475
National Aeronautics & Space Administration	43 001	729,085
National Aeronautics & Space Administration	43 008	45,000
National Aeronautics & Space Administration	43 009	59,515
National Aeronautics & Space Administration	43 unknown	61,134
National Aeronautics & Space Administration Total		<u>1,906,209</u>
National Archives & Records Administration	89 003	49,173
National Foundation Arts & Humanities	45 unknown	37,815
National Foundation Arts & Humanities	45 024	310,202
National Foundation Arts & Humanities	45 161	480,259
National Foundation Arts & Humanities	45 163	433,470
National Foundation Arts & Humanities	45 169	1,848
National Foundation Arts & Humanities	45 301	339,329
National Foundation Arts & Humanities	45 303	497
National Foundation Arts & Humanities	45 309	16,800
National Foundation Arts & Humanities	45 312	326,799
National Foundation Arts & Humanities	45 313	436,377
National Foundation Arts & Humanities	45 unknown	175,181
National Foundation Arts & Humanities Total		<u>2,558,577</u>
Nuclear Regulatory Commission	77 008	50,000
Peace Corps	99 unknown	23,949
Small Business Administration	59 037	1,427,125
Smithsonian	43 unknown	53,329
Veterans Affairs	99 unknown	5,848,774
Vietnam Education Foundation	19 unknown	22,000
Vietnam Education Foundation	84 unknown	4,203
Vietnam Education Foundation	85 802	79,713
Vietnam Education Foundation Total		<u>105,916</u>
Total Direct Awards		<u>236,598,190</u>
<b>Pass Through Awards</b>		
Abt Associates, Inc. (A8259)	66 unknown	24,875
Academy of Applied Science (13-15-CYC1)	12 630	2,600
Academy of Applied Science (AAS-54777-CYC1)	12 630	7,787
Academy of Applied Science (AAS-102794-CYC1)	12 630	11,845
Aids Project Los Angeles (C-113147)	99 unknown	190,353
Alameda County (27791)	10 551	13,287
Alameda County (33864)	10 unknown	16,867
Alpine, County of (WK201301)	93 558	26,070
Altarum Institute (A8321)	93 unknown	92,322
Amador, County of (EW201302)	93 558	14,220
American Academy of Pediatrics (719100-UCLA)	93 600	453,769
American Architectural Foundation (20131555)	45 024	49,853

**UNIVERSITY OF CALIFORNIA**  
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	Federal CFDA #	Total Expenditures
American Association of Museums (20123864)	19 415	\$ 22,351
American Burn Association (201121873)	12 unknown	207,871
American Burn Association (201121883)	12 unknown	237,641
American Burn Association (201120770)	12 unknown	362,336
American Burn Association (201015946)	12 unknown	154,108
American Education Solutions, Inc. (20110692)	99 unknown	209,970
American Institute for Research (merged with New American Schools) (03524000103)	99 unknown	7
American Society for Biochemistry and Molecular Biology (SUB 2013-0212 (MCB-1217007))	47 074	682
American Society for Cell Biology (201400396)	47 074	8,437
Applied Pavement Technology, Inc. (TOPR310026RR03UCD1)	20 unknown	43,264
Arcadia Bioscience, Inc. (201300322)	98 unknown	118,444
Argonne National Laboratory (DOE GOCO Lab operated by Univ of Chicago) (3F31201)	81 unknown	15,000
Association of Occupational and Environmental Clinics (A7484)	93 unknown	74,234
Association of Universities for Research in Astronomy (HST-EO-12585.14-A)	43 unknown	27,769
Association of Universities for Research in Astronomy (HST-HF-51307.01-A)	99 unknown	89,302
Association of Universities for Research in Astronomy (79729)	99 unknown	4,142
Battelle Memorial Institute (306763)	93 unknown	284,287
Bay Area Urban Area Security Initiative (35496371)	93 unknown	327,226
Brigham and Women's Hospital (A7706)	93 310	(6,685)
Butte, County of (21821)	93 558	(1,344)
Butte, County of (X19833)	93 243	27,922
Butte, County of (EW201303)	93 558	26,070
Butte, County of (33489)	93 243	(231)
Butte, County of (83884)	93 243	(67)
Butte, County of (87728)	93 unknown	(8,340)
Cakalo & Cakalo D.O.O. (B1225)	93 unknown	24,965
California Center for Sustainable Energy (SUB 20104756 (NONE))	81 049	149,922
California Child Development Division (25727)	84 unknown	647,223
California Child Development Division (18287)	93 596	(1)
California Child Development Division (18288)	93 596	1
California Community Colleges & Chancellor's Office (A8885)	93 512	23,654
California Department of Alcohol and Drug Problems (13-90121:02)	93 243	62,981
California Department of Alcohol and Drug Problems (10-00130)	93 959	41,863
California Department of Education (CN130328)	84 048	48,320
California Department of Education (84717)	93 575	(241)
California Department of Education (NCLB10-CRLP-UCLA)	84 367	45,554
California Department of Education (NCLB10-CWP-UCLA)	84 367	34,952
California Department of Education (1493-5A)	10 558	18,427
California Department of Education (003376)	10 558	56,599
California Department of Education (18674)	93 596	(1)
California Department of Education (18847)	93 575	(7,362)
California Department of Education (18848)	93 596	(10,448)
California Department of Education (18852)	93 575	(5,416)
California Department of Education (18853)	93 596	(12,829)
California Department of Education (CSP-2545)	93 596	1,131
California Department of Education (CSPP-2545)	93 575	733
California Department of Education (18874)	93 575	22,433
California Department of Education (CSPP-3528)	93 596	34,828
California Department of Education (18877)	93 575	46,245
California Department of Education (CCTR-3272)	93 596	65,219
California Department of Education (301466-1A-CYC30)	10 558	14,302
California Department of Education (301466-1A-CYC32)	10 558	29,346
California Department of Education (CCTR-3165-CYC1)	93 575	117,306

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	Federal CFDA #	Total Expenditures
California Department of Education (CSPP-3335-CYC1)	93 596	\$ 100,719
California Department of Education Curriculum and Instruction (NCLB9CHSSPUCD)	84 unknown	40,000
California Department of Education Curriculum and Instruction (23546)	93 unknown	22,500
California Department of Education Curriculum and Instruction (23562)	84 367	34,477
California Department of Education Curriculum and Instruction (NCLB10CHSSPSO)	84 367	115,115
California Department of Education Curriculum and Instruction (27613)	84 367	39,650
California Department of Education Curriculum and Instruction (006571)	84 367	27,509
California Department of Education Curriculum and Instruction (006574)	84 367	46,470
California Department of Education Curriculum and Instruction (006683)	84 367	22,647
California Department of Education Curriculum and Instruction (18700)	84 367	1
California Department of Education Curriculum and Instruction (18701)	84 367	(1,662)
California Department of Education Curriculum and Instruction (NCLB10-CRLP-UCSC)	84 367	46,331
California Department of Education Curriculum and Instruction (NCLB10-CRLP-UCI-CYC1)	84 367	45,197
California Department of Education Curriculum and Instruction (NCLB10-CSP-UCI-CYC1)	84 367	21,703
California Department of Food and Agriculture (25375)	10 170	138,394
California Department of Food and Agriculture (120523SF)	10 025	17,269
California Department of Food and Agriculture (26147)	10 170	7,750
California Department of Food and Agriculture (SCB11075)	10 unknown	39,057
California Department of Food and Agriculture (SCB13009)	10 170	38,869
California Department of Food and Agriculture (SCB13022)	10 170	20,419
California Department of Food and Agriculture (27230)	10 170	24,215
California Department of Food and Agriculture (30987)	10 170	163,013
California Department of Food and Agriculture (32010)	10 170	1,095
California Department of Food and Agriculture (SCB11010)	10 170	63,956
California Department of Food and Agriculture (32084)	10 170	17,604
California Department of Food and Agriculture (32092)	10 unknown	113,875
California Department of Food and Agriculture (32094)	10 unknown	18,945
California Department of Food and Agriculture (33800)	10 170	526
California Department of Food and Agriculture (33906)	10 170	20,689
California Department of Health Care Services (1289313)	93 unknown	84,757
California Department of Health Care Services (1390193)	93 609	58,697
California Department of Health Care Services (18835)	93 unknown	(62,316)
California Department of Health Care Services (18851)	93 unknown	(5,136)
California Department of Health Care Services (18855)	93 unknown	(4,067)
ARRA-California Department of Health Care Services (84731)	93 719	9,386
California Department of Public Health (A7137)	93 unknown	7,684
California Department of Public Health (A8291)	93 unknown	101,024
California Department of Public Health (A8711)	93 unknown	(81,061)
California Department of Public Health (A9069)	93 unknown	20
California Department of Public Health (A9207)	93 unknown	517,959
California Department of Public Health (A9530)	93 unknown	668,665
California Department of Public Health (A9894)	93 110	(201)
California Department of Public Health (A9980)	93 unknown	146,535
California Department of Public Health (B0336)	93 994	127,503
California Department of Public Health (B1347)	93 994	258,321
California Department of Public Health (B2172)	93 unknown	257,806
California Department of Public Health (1210545)	93 507	106,119
California Department of Public Health (1312010)	93 unknown	240,383
California Department of Public Health (1210529)	93 507	96,245
California Department of Public Health (1210312)	93 103	5,766
California Department of Public Health (24053)	93 103	4,248
California Department of Public Health (1110674)	93 521	1,501,440
California Department of Public Health (1110729)	10 588	32,586

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	Federal CFDA #	Total Expenditures
California Department of Public Health (1210097)	93 566	\$ 155,401
California Department of Public Health (1010403)	93 unknown	2,630,138
California Department of Public Health (83942)	10 561	211,128
California Department of Public Health (12-10399)	93 136	16,247
California Department of Public Health (18329)	93 136	48,983
California Department of Public Health (12-10091)	93 unknown	346,167
California Department of Public Health (18271)	93 994	(13,527)
ARRA-California Department of Public Health (84709)	93 unknown	7,703
California Department of Rehabilitation (28496)	84 126	102,398
California Department of Rehabilitation (28632)	84 126	274,308
California Department of Social Services (12H9012)	93 624	1,319,750
California Department of Social Services (25857)	10 561	4,961,738
California Department of Social Services (32440)	10 561	139,404
California Department of Social Services (33787)	10 561	567,248
California Department of Social Services (33787)	10 561	2,114,074
California Department of Social Services (112034)	93 unknown	31,249
ARRA-California Department of Social Services (ARRA12H9004)	93 719	9,966,594
California Department of Toxic Substances Control (OSA12092)	66 unknown	1,068
California Education, Liaison, Office of (33479)	84 unknown	8,772
California Emergency Management Agency (201200123)	97 067	90,215
California Emergency Management Agency (RC13291141 (000-95000))	16 001	259,852
California Emergency Management Agency (RC12 28 1141)	16 575	45,615
California Emergency Management Agency (005631)	16 607	5,540
California Employment Development Department (25441)	17 258	307,398
California Employment Development Department (K287150)	17 258	8,404
California Employment Development Department (K287145)	17 258	454
California Employment Development Department (K391536)	17 258	214,230
California Family Health Council, Inc. (B1319)	93 217	51,772
California Family Health Council, Inc. (B1360)	93 217	68,412
California Family Health Council, Inc. (57768)	93 217	(173)
California Family Health Council, Inc. (1324-5320-71209-13)	93 217	79,577
California Institute of Technology (21B-1095175)	93 837	27,983
California Medical Center (20111273:03)	99 unknown	132,393
California Office of Statewide Health Planning and Development (A7889)	93 unknown	74,816
California Office of Traffic Safety (PS1406)	20 600	139,407
California Office of Traffic Safety (DD1405)	20 616	76,181
California Office of Traffic Safety (AL1322-CYC1)	20 608	108,286
California Office of Traffic Safety (AL1411-CYC1)	20 608	337,012
California Postsecondary Education Commission (ITQ11802)	84 367	226,062
California Postsecondary Education Commission (ITQ10711)	84 367	243,802
California Postsecondary Education Commission (ITQ-09-T600)	84 367	660,892
California Postsecondary Education Commission (18752)	84 367	(120)
California Postsecondary Education Commission (ITQ-10-708)	84 367	269,689
California Postsecondary Education Commission (ITQ-09-608)	84 367	17,339
California Postsecondary Education Commission (004440)	84 367	39,110
California Postsecondary Education Commission (18231)	84 367	161,947
California Postsecondary Education Commission (18954)	84 unknown	(26,676)
California Postsecondary Education Commission (18985)	84 367	881
California Postsecondary Education Commission (004806)	84 367	35,310
California Postsecondary Education Commission (20413)	84 367	106,474
California Postsecondary Education Commission (ITQ-11-804-02)	84 367	157,801
California Postsecondary Education Commission (ITQ-10-705-CYC1)	84 367	81,956
California Postsecondary Education Commission (18303)	84 367	177,186

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	Federal CFDA #	Total Expenditures
California Service Corps, The (005929)	94 006	\$ 293,880
California State Library (408114)	45 310	3,972
California State Library (18340)	45 310	(79)
California State University Sacramento/University Enterprises, Inc. (201400293)	84 unknown	18,436
California State University, San Diego State University (33442)	93 667	191
ARRA-California State University, San Jose State University Foundation (80323)	17 275	(3,000)
ARRA-California State University, San Jose State University Foundation (87897)	17 275	943
California Student Aid Commission (C12006)	84 unknown	(25)
California Student Aid Commission (SUB C-12-005 (NONE))	84 unknown	22,000
California Transportation Commission (A6997)	20 unknown	708,570
Center for Comprehensive Care & Diagnosis of Inherited Blood Disorders (A7858)	93 184	19,622
Center for Comprehensive Care & Diagnosis of Inherited Blood Disorders (B1002)	93 110	48,169
Center for Comprehensive Care & Diagnosis of Inherited Blood Disorders (ATHN2011001-IX-1)	93 184	9,400
Central Coast Agricultural Water Quality Coalition (24718)	10 443	2,453
Central Coast Agricultural Water Quality Coalition (27595)	10 912	1,680
Child Trends Incorporated (A7970)	93 unknown	23,371
Children's Hospital Medical Center of Cincinnati (A9091)	93 865	95,238
Children's Hospital Medical Center of Cincinnati (B0421)	93 865	132,458
Children's Hospital Medical Center of Cincinnati (B0539)	93 865	(1,658)
Children's Hospital Medical Center of Cincinnati (B2088)	93 865	97,323
Children's Hospital of Los Angeles (8218-TGF007134-00)	93 847	131
Chinese Center for Disease Control and Prevention (ICOHRTA-09)	93 989	282,703
Chuck & Judy Wheatley (25993)	15 631	5,166
City and County of San Francisco (A7621)	93 unknown	148,805
City and County of San Francisco (A7853)	93 940	625,884
City and County of San Francisco (A8303)	93 943	62,955
City and County of San Francisco (A8531)	93 unknown	134,057
City and County of San Francisco (A8887)	93 unknown	61,258
City and County of San Francisco (A8920)	93 unknown	557,392
City and County of San Francisco (A9897)	14 235	162,702
City and County of San Francisco (B1348)	93 unknown	37,068
City and County of San Francisco (B2288)	93 670	94,373
City College of San Francisco (A7750)	93 640	44,346
City College of San Francisco (B0754)	93 unknown	(1)
City of Los Angeles (20609)	17 259	125,151
City of Los Angeles (20702)	17 267	(28,668)
City of Los Angeles (20703)	17 267	(58,101)
City of Los Angeles (20719)	17 259	(5,326)
City of Los Angeles (20720)	17 259	(14,013)
City of Los Angeles (20739)	17 259	(35,499)
City of Los Angeles (20740)	17 259	(75,065)
City of Los Angeles (77018)	17 267	(24,066)
ARRA-City of Los Angeles (20669)	99 unknown	(964)
ARRA-City of Los Angeles (20700)	17 258	(3,544)
Clergy and Laity United for Economic Justice-California (20133349)	17 502	12,148
Clergy and Laity United for Economic Justice-California (20141634)	17 502	28,429
Cna Corporation, The (CNAC) (incl Ctr Naval Analyses, Inst Public Res) (09-UCLA-5-5701.00:007)	99 unknown	25,132
Colorado Department of Human Services (PO IID DBHM1463085)	93 959	68,117
Colorado State University (22143)	10 200	14,224
Colorado, State of (OEIHACWSX1400028)	93 556	12,413
Columbia University (10ACCT537761)	93 113	3,919
County of Colusa, (22214)	93 558	2,417
County of Colusa, (EW201305)	93 558	48,638

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	<u>Federal CFDA #</u>	<u>Total Expenditures</u>
Community Anti-drug Coalitions of America (A7644)	93 089	\$ 15,150
Computing Research Association (CIF-E-001)	47 070	60,037
Computing Research Association (CIF-D-019:01)	47 070	66,138
Consortium for Ocean Leadership (62175)	47 074	1,724
Contra Costa County (201224616)	93 558	(1,003)
Contra Costa County (EW201343)	93 558	160,966
Cornell University (641889732)	10 309	90,894
Council of Chief State School Officers (ELPA21)	99 unknown	40,388
County of Los Angeles (20604)	93 889	609,309
County of Los Angeles (H705762)	93 889	204,452
County of Los Angeles (H705761)	93 889	9,406
County of Los Angeles (20652)	93 889	10,514
County of Marin (EW201317)	93 558	49,770
County of Solano (22010)	93 558	8,908
County of Solano (WK201332)	93 558	28,440
County of Solano (201014134)	84 215	121,737
County of Sonoma (22036)	93 044	(1,342)
County of Sonoma (22163)	93 558	(503)
County of Sonoma (WK201333)	93 558	72,051
County of Sonoma (XX201333)	93 044	16,566
County of Sonoma (27589)	93 737	8,671
Crdf Global (201301829)	10 001	44,127
Creekside Center for Earth Observation (035249)	15 unknown	8,975
Cypris, LLC (201301585)	93 395	33,565
Dartmouth College (B1694)	93 989	21,438
City of Davis, (33742)	20 unknown	36,592
City of Davis, (201016490)	20 500	22,119
Del Norte County (CW201306)	93 645	23,654
Del Norte County (EW201306)	93 558	24,885
Duke University (14-NSF-1017)	47 049	5,007
Delaine Eastin State Superintendent of Public Instruction (20851)	84 unknown	880,950
El Dorado, County of (EW201307)	93 558	28,440
Emmes Corporation, The (201301565)	93 unknown	4,035
Ensco, Inc. (006061)	12 unknown	(1)
Fall River Resource Conservation District (25631)	10 665	5,619
Fhi 360 (5306)	19 421	59,638
Fhi 360 (953/0080.0142:03)	93 936	10,527
Food Bank Coalition of San Luis Obispo County (26069)	10 unknown	7,957
Fresno County (21808)	93 558	(2,133)
Fresno County (EW201308)	93 558	158,190
Georgia Institute of Technology (RD210-G1)	47 076	44,624
Gilder Lehrman Institute of American History, The (034974)	45 149	1,200
Glenn, County of (EW201309)	93 558	30,810
Goldbelt Glacier-Health Services, LLC (GBG-UCLA-2013-0001)	99 unknown	119,110
Health Education Council (26073)	10 551	4,885
Higher Education for Development (HED135-9722-RWA-12-01)	98 012	284,659
Humboldt County (EW201310)	93 558	34,365
ARRA-Icf Macro (B1574)	93 unknown	(418)
Imperial County (CW201344)	93 558	3,950
Imperial County (XX201344)	93 558	9,480
Imperial County (WK201344)	93 558	9,480
Imperial County (ICOE-41312-CYC7)	84 334	20,468
Indian Health Council, Inc. (NARCH 5)	93 933	112,929

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	<u>Federal CFDA #</u>	<u>Total Expenditures</u>
Institute of International Education (201302764)	19 400	\$ 105,386
Institute of International Education (201301965)	19 010	242,692
Institute of International Education (27980)	19 010	67,515
Institute of International Education (201224372)	19 010	248,757
Institute of International Education (NSEP-U631073-UCLA-RUS:01)	12 550	219,483
Institute of International Education (NSEP-U631083-UCLA-AM-2014)	12 550	53,806
Institute of International Education (20140527)	19 401	37,130
Institute of International Education (79783)	19 401	(23)
International Aids Society (58594)	93 279	74,980
International Maize and Wheat Center (201400223)	98 unknown	174,788
International Research and Exchanges Board (International) (FY14-YALI-UCB-01)	19 unknown	9,702
Jet Propulsion Laboratory (1480211)	43 unknown	7,802
John Snow, Inc. (B0088)	98 unknown	24,226
Johns Hopkins University (A9772)	98 unknown	29,101
Johns Hopkins University (200912039)	93 853	4,330
ARRA-Jumpstart for Young Children, Inc. (59724)	94 006	124,430
Kansas State University (22126)	10 500	16,556
Kansas State University (27168)	10 500	23,165
Kansas State University (27194)	10 500	1,785
Kansas State University (32241)	10 500	(21)
Kansas State University (32242)	10 500	9,161
Kansas State University (33803)	10 500	54
Kansas State University (84893)	10 500	7,079
Kern County (22642)	93 667	105,844
Kings, County of (EW201313)	93 558	16,590
Lake, County of (22028)	93 558	2,767
Lake, County of (EW201314)	93 558	42,660
Lake, County of (30816)	93 558	2,417
Land O'Lakes, Inc. (33676)	98 unknown	165,063
Lassen, County of (22200)	93 667	9,668
Lassen, County of (EW201315)	93 667	22,515
Lassen, County of (26523)	93 667	9,875
Lawrence Livermore National Security, LLC (B599115)	81 unknown	42,601
Leidos, Inc. (82845)	12 300	(37,202)
Lemon Grove School District (SUB 20121358 (HRSA))	93 unknown	124,235
Los Alamos National Security, LLC (33689)	81 unknown	71,013
Los Alamos National Security, LLC (8487700110)	81 unknown	262,802
Los Angeles County Department of Health Services (A9404)	93 unknown	99,750
Los Angeles County Department of Health Services (PH-002364)	99 unknown	37,073
Los Angeles Unified School District (1100394)	84 165	43,281
Los Angeles Unified School District (77104)	84 287	(382,168)
Los Angeles Unified School District (1000068)	84 287	1,367,101
Louisiana State University and Agricultural and Mechanical College (85845)	10 309	360
Madera, County of (EW201316)	93 unknown	39,895
Madera, County of (CW201316)	93 unknown	13,825
Magee-Womens Hospital, Research Institute and Foundation (57500)	93 865	(1)
Management Sciences for Health, Inc. (B0074)	98 unknown	157,246
Manila Consulting Group, Inc. (B2078)	93 unknown	12,978
Marin Resource Conservation District (84875)	66 460	13,472
Mariposa, County of (EW201318)	93 558	22,515
Massachusetts General Hospital (215287:3)	93 389	50,388
Merced, County of (21809)	93 558	(2,456)
Merced, County of (UCD1306864)	93 558	125,692

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Michigan State University (SIG2013-7 UCB)	98 011	\$ 3,157
Mission Economic Development Agency (B1359)	84 215	54,225
Mission Economic Development Agency (B2182)	84 215	46,715
Modoc, County of (EW201320)	93 558	18,960
Mono, County of (EW201321)	93 558	21,330
Monterey County (UCD1306346)	93 558	14,220
Museum of Science (4549-LHS-06)	47 076	127,965
Napa, County of (EW201323)	93 558	18,299
Napa, County of (32284)	66 126	47,348
National 4-H Council (24708)	47 076	22,346
National 4-H Council (26004)	16 726	37,786
National 4-H Council (27784)	16 726	8,412
National Association of Health Data Organizations (27618)	93 unknown	95,472
National Council of Juvenile and Family Court Judges (32512-3)	99 unknown	65,307
National Fish and Wildlife Foundation (40837)	11 463	17,761
National Writing Project (59460)	84 367	23,138
National Writing Project (79323)	84 928	3,605
National Writing Project (58020)	84 367	20,000
National Writing Project (63288)	84 367	29,753
National Writing Project (59718)	84 367	1,776
National Writing Project (59719)	84 367	4,350
Nevada, County of (EW201324)	93 558	35,550
Nevada, County of (33899)	10 561	19,521
New Mexico State University (84880)	10 500	15,912
Newtown Public School District (20130612)	84 184	31,898
Newtown Public School District (20133579)	84 184	79,678
Northeast Valley Health Corporation (20131949:01)	93 224	70,225
Northeast Valley Health Corporation (20141037)	93 224	296,778
Nsabp Foundation, Inc. (UCLA-YR. 41:8)	93 395	40,767
Oak Ridge National Laboratory (25049)	81 unknown	20,000
Ohio State University (85732)	10 307	8,497
Oregon State University (32254)	10 307	21,601
Oregon State University (33947)	10 200	22,814
Oregon State University (84135)	10 309	14,430
Owens Valley Career Development Center (1314127)	93 558	80,383
Palo Alto Medical Foundation (A8038)	93 226	244,408
Partners Healthcare System, Inc. (87407)	93 853	786
Partners Healthcare System, Inc. (08003674)	93 213	(22,017)
Partners Healthcare System, Inc. (87933)	93 853	661
Pasadena Unified School District (0000060001)	84 351	48,982
Placer, County of (20627)	93 558	48
Placer, County of (EW201325)	93 558	20,145
Plumas, County of (EW201326)	93 558	4,740
Population Council - New York (A7418)	93 unknown	106,133
Power Analytics Corporation (13-C-0064-05(W912HQ-13-C-0064))	12 unknown	595
Prevention Institute (A8512)	93 unknown	11,363
Program for Appropriate Technology in Health (A8912)	98 unknown	346,349
Public Broadcasting Service (20114720)	84 295	210,390
Public Health Foundation Enterprises, Inc. (A9665)	93 940	5,788
Public Health Foundation Enterprises, Inc. (B0139)	93 855	10,000
Public Health Foundation Enterprises, Inc. (B1551)	93 855	5,687
Public Health Foundation Enterprises, Inc. (2465001922)	93 unknown	56,892
Public Health Foundation Enterprises, Inc. (201301595)	93 520	48,016

**UNIVERSITY OF CALIFORNIA**  
**Schedule of Expenditures of Federal Awards**  
**For the Fiscal Year Ended June 30, 2014**

	Federal CFDA #	Total Expenditures
Public Health Foundation Enterprises, Inc. (57888)	99 unknown \$	1,158
Public Health Foundation Enterprises, Inc. (0054.019.904)	93 153	19,796
Public Health Foundation Enterprises, Inc. (79042)	93 914	210
Public Health Institute (A7299)	93 531	352,811
Public Health Institute (A7739)	93 unknown	33,410
Public Health Institute (A9114)	84 unknown	229,511
Public Health Institute (B1156)	10 unknown	120,609
Purdue University (NEES-4101-31867:19)	47 041	829,240
Rancho Santa Ana Botanic Garden (RSA-11-0956417D-703)	47 074	11,561
Rancho Santiago Community College District (DO-12-1515-CYC1)	84 334	15,000
Rancho Santiago Community College District (DO-13-1516-02-CYC1)	84 334	235,027
Rand Corp (9920130076)	93 243	166,871
Riverside County (CW02769)	10 561	9,324
Riverside County (WK201348)	93 556	28,335
Riverside County (CW02423)	10 561	12,445
Riverside County (CW02423)	10 561	19,298
RTI International (A7576)	93 unknown	19,664
RTI International (79280)	99 unknown	(86)
Rutgers Biomedical and Health Sciences (A8178)	93 145	(336)
Rutgers Biomedical and Health Sciences (B0674)	93 unknown	32,757
Rutgers, The State University of New Jersey (B2217)	93 145	143,373
Rutgers, The State University of New Jersey (B2318)	93 297	24,524
Rutgers, The State University of New Jersey (22129)	10 025	6,258
Rutgers, The State University of New Jersey (27596)	10 025	48,972
Sa Photonics, Inc. (22993)	12 unknown	21
Sacramento City Unified School District (SA0900249)	84 215	5,906
Sacramento City Unified School District (SA0900250)	84 215	(6,742)
Sacramento City Unified School District (SA1000201)	84 215	83,816
Sacramento, County of (25742)	93 558	(4,196)
Sacramento, County of (DHAT0314)	93 558	29,595
Sacramento, County of (85837)	66 unknown	10,036
San Benito, County of (21815)	93 558	1,209
San Benito, County of (EW201327)	93 558	17,775
San Diego County (27367)	93 unknown	29,454
San Francisco Unified School District (B0800)	84 unknown	(1,545)
San Joaquin County (EW201328)	93 558	59,839
San Jose Cal-Soap Consortium (Student Opportunity & Access) (UCSC Admin) (G-12-008)	84 378	111,823
San Juan Unified School District (88765)	84 215	(12)
San Mateo County (7220014D004)	93 558	40,290
San Mateo County (7220014D008)	93 558	18,960
Sandia National Laboratories (1274555)	81 unknown	35,000
Sandia National Laboratories (33735)	81 unknown	35,000
Sandia National Laboratories (88486)	81 unknown	15,941
Santa Barbara, County of (20795)	93 558	(7,491)
Santa Barbara, County of (GENT201354)	93 558	49,770
Santa Cruz County Resource Conservation District (26019)	10 170	33,778
Santa Cruz, County of (21823)	93 558	(6,219)
Santa Cruz, County of (EW201356)	93 558	167,899
Santa Cruz, County of (30846)	93 558	604
Santa Monica College (A121646NC:01)	84 031	218,175
Shasta, County of (20636)	93 558	(1,136)
Shasta, County of (CW201329)	93 558	10,660
Shasta, County of (GENT201329)	93 558	16,590

**UNIVERSITY OF CALIFORNIA**  
**Schedule of Expenditures of Federal Awards**  
**For the Fiscal Year Ended June 30, 2014**

	<u>Federal CFDA #</u>	<u>Total Expenditures</u>
Shasta, County of (WK201329)	93 558	\$ 7,110
Shasta, County of (EW201329)	93 558	12,594
Shasta, County of (201014129)	84 215	3,810
Shire Plc (201223894)	93 866	(7,705)
Sierra, County of (EW201330)	93 558	11,850
Sigma Space Corporation (P010004164)	43 unknown	1,450
Sigma Space Corporation (S09112-01)	43 unknown	13,561
Siskiyou, County of (20778)	93 558	(643)
Siskiyou, County of (21818)	93 558	(445)
Siskiyou, County of (XX201331)	93 667	2,790
Siskiyou, County of (EW201331)	93 556	51,309
Sra International, Inc. (SRAS0011191)	66 unknown	9,430
Sri International (43000211)	47 076	54,396
Stanford University (60445465101341C)	84 365	37,658
Stanislaus, County of (21822)	93 558	(1,008)
Stanislaus, County of (27787)	10 unknown	9,913
Stanislaus, County of (WK201334)	93 558	23,700
ARRA-State of Washington (20140363)	99 unknown	44,058
State Water Resources Control Board (33925)	66 460	(9,876)
Stephen F. Austin State University (12-066-13-002)	45 307	17,396
Sulzer Corporation (A6793)	99 unknown	58,261
Sulzer Corporation (A6796)	99 unknown	(3,610)
Sutter County (21827)	93 558	212
Sutter County (EW201335)	93 558	35,550
Swinomish Indian Tribal Community (201402324)	66 926	3,328
Syracuse University (24722-02922-501)	47 075	3,000
Tehama, County of (20793)	93 558	(416)
Tehama, County of (20794)	93 558	(878)
Tehama, County of (WK201336)	93 558	2,370
Tehama, County of (XX201336)	93 778	2,370
Tehama, County of (EW201336)	93 558	11,850
Tehama, County of (30648)	84 044	202,895
Tehama, County of (30812)	93 558	(7,776)
Texas Agrilife Research (57520)	97 104	3,794
Texas Health and Human Services Commission (HHSC 529-12-0138-00001A)	99 unknown	743,640
The National Association of State Department of Agriculture Research (27366)	66 716	8,638
The National Marine Mammal Foundation (UCD0580)	12 300	112,458
Trinity, County of (EW201337)	93 558	28,440
ARRA-Tulane University (20133637)	99 unknown	56,138
Tulare County Office of Education (09001027)	84 215	(443)
Tulare, County of (CW201338)	96 unknown	9,875
Tuolumne, County of (EW201339)	93 558	8,295
Tuolumne, County of (CW201339)	10 561	5,925
University Corporation at Monterey Bay (33919)	10 170	17,236
University Corporation at Monterey Bay (27952)	99 unknown	10,500
University of Colorado System (System Parent Code) (1548318)	47 074	(643)
University of Colorado System (System Parent Code) (0000073256)	43 unknown	388,635
University of Colorado System (System Parent Code) (1548422)	47 060	26,838
University of Colorado System (System Parent Code) (FY14658007)	93 659	7,131
University of Florida (UF12240)	10 025	1,011
University of Florida (UFDSP00010002)	10 025	45,935
University of Florida (UF10196)	10 303	342
University of Hawaii at Manoa (87900)	81 086	(2,455)

**UNIVERSITY OF CALIFORNIA**  
**Schedule of Expenditures of Federal Awards**  
**For the Fiscal Year Ended June 30, 2014**

	Federal CFDA #	Total Expenditures
University of Maryland (32297)	10 310	\$ 178,041
University of Maryland (H98230-14-1-0239)	12 900	14,932
University of Maryland (H98230-14-1-0066)	12 900	15,407
University of Michigan (23631)	93 393	1,287
University of Missouri (E000380241)	10 310	80,122
University of Nebraska (23093)	10 500	27,253
University of Nebraska (32285)	10 500	8,112
University of Nebraska (25-1602-0108-002)	45 149	16,042
University of New Mexico (58107)	47 070	77,431
University of Pennsylvania (B1332)	93 838	8,432
University of Pittsburgh (A9508)	93 928	24,673
University of Southern California (59248)	84 015	198,825
University of Southern California (149112:02)	84 015	90,298
University of Southern California (123332:08)	47 050	76,116
University of Tennessee (84332)	10 303	927
University of Tennessee (UB A12-0848-S001 (OCI-1137097))	47 080	(50)
University of Utah (B1047)	93 865	121,312
ARRA-University of Vermont (23261:3)	47 082	1,222
University of Washington (A9096)	93 145	(11,517)
University of Washington (B1488)	93 155	12,638
ARRA-University of Washington (87992)	93 701	80
Vanderbilt University (B0678)	93 067	80,132
Vanderbilt University (79438)	93 989	(9,642)
Ventura County (22242)	93 558	48,713
Washington State University (25894)	10 unknown	559
Washington State University (119303G003186)	98 unknown	42,353
Washington State University (26222)	10 500	45,358
Washington State University (32253)	10 303	26,284
Washington State University (33274)	10 500	(494)
West Virginia University (10-597-UCB)	93 262	(172)
Westat (6049.03-S02)	99 unknown	164,829
Wested (4956 S05-093)	84 283	1,475
Wested (S12-173)	84 283	594,351
ARRA-Wested (S12066)	93 708	3,412
Women's Community Clinic (A7720)	93 515	3,329
Women's Community Clinic (B3031)	93 unknown	82,592
Yale University (A08580)	93 853	(372)
Yolo County (EW201340)	93 558	26,070
Yolo County (84534)	93 unknown	(1,417)
Yuba County (22005)	93 778	284
Yuba County (XX201341)	93 778	4,740
Yuba County (EW201341)	93 558	18,960
Yuba County (CW201341)	93 unknown	5,925
Total Pass Through Awards		58,294,846
Partial Pass Through Awards		
California Department of Education (4600004120A-4)	84 367	1,218,682
California Department of Education (84436)	84 010	(8,091)
California Department of Education (84443)	84 367	(805)
California Department of Education (CCTR2314-03)	93 596	173
California Department of Education (CCTR-3296)	93 596	173,668

**UNIVERSITY OF CALIFORNIA**  
**Schedule of Expenditures of Federal Awards**  
**For the Fiscal Year Ended June 30, 2014**

	<u>Federal CFDA #</u>	<u>Total Expenditures</u>
California Department of Education (CG1-07572)	84 367	\$ 1,340,108
California Department of Education (CSPP-2580-03)	93 596	355
California Department of Education (CSPP-3563)	93 596	88,569
California Department of Education Curriculum and Instruction (27154)	84 367	29,375
California Department of Education Curriculum and Instruction (NCLB10-CMP-UCSC)	84 367	24,918
California Department of Education Curriculum and Instruction (NCLB10-CWP-UCSC)	84 367	34,535
California Department of Public Health (1110828)	93 unknown	4,867,089
California Department of Public Health (1320985)	93 507	55,152
California Emergency Management Agency (32025)	97 unknown	136
California Health Benefit Exchange (12E9133)	93 unknown	614,100
California Student Aid Commission (20140284)	84 unknown	837,598
City and County of San Francisco (A7775)	93 unknown	2,252,441
CSU San Bernardino/University Enterprises Corporation (005795)	10 unknown	25,000
Davis, City of (25869)	20 unknown	1,658,689
National Writing Project (92-CA03-SEED2012)	84 367	10,898
Northern California Institute for Research and Education, Inc. (B0272)	12 unknown	18,432
Northern California Institute for Research and Education, Inc. (B1498)	12 unknown	137,168
San Jose Cal-Soap Consortium (Student Opportunity & Access) (UCSC Admin) (G-13-008)	84 378	336,385
Santa Clara County (33890)	10 561	49,812
Total Partial Pass Through Awards		<u>13,764,387</u>
Total Other Programs		<u>308,657,423</u>
Total Federal Awards		<u>\$ 5,431,777,841</u>

## UNIVERSITY OF CALIFORNIA

### Notes to Schedule of Expenditures of Federal Awards

Year ended June 30, 2014

(1) **General**

The accompanying schedule of expenditures of federal awards (SEFA) presents the activity of all federal financial assistance programs of the University of California (the University), a component unit of the state of California, except for the University of California, San Francisco Benioff Children's Hospital Oakland, which is separately reported.

Consistent with the provisions of OMB Circular A-133 Section .105, the accompanying schedule of expenditures of federal awards does not include the expenditures of the Lawrence Berkeley National Laboratory – a major Department of Energy National Laboratory operated and managed by the University under contracts directly with DOE – as it represents a government-owned, contractor operated facility.

(2) **Basis of Accounting**

The accompanying schedule of expenditures of federal awards is presented using the accrual basis of accounting, as described in note 1 to the University's financial statements. The information in this schedule is presented in accordance with the requirements of Office of Management and Budget (OMB) Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Negative amounts represent adjustments in the normal course of business to amounts reported in a prior year.

(3) **CFDA Numbers**

Research and Development programs included in the schedule of expenditures of federal awards are presented by federal agency and major subdivision within the federal agency. CFDA numbers for each federal agency presented under the Research and Development Cluster are numerous and thus because presented in total are indicated by RD on the schedule of expenditures of federal awards. Pass-through and partial pass-through awards have been presented by pass-through entity, CFDA number, sponsor's award number, when available, or by the University assigned identifier. When CFDA numbers are not available, the federal awards are presented by agency number and the suffix "unknown" for the federal identification number. When the federal agency and the federal identification number are not available, "99.unknown" is used.

(4) **Commingled Assistance**

The University has included, within the schedule of expenditures of federal awards, amounts received from pass-through entities, which include funds from both federal and nonfederal sources because the pass-through entity is unable to determine the federal portion. Those funds are identified as partial pass-through funds on the schedule of expenditures of federal award.

**UNIVERSITY OF CALIFORNIA**

Notes to Schedule of Expenditures of Federal Awards

Year ended June 30, 2014

**(5) Amount Provided to Subrecipients**

The amount of funds provided to subrecipients from direct awards as part of the Research and Development Cluster for the year ended June 30, 2014 totaled \$380,268,352. The amount of funds provided to subrecipients from direct awards for the year ended June 30, 2014, in programs other than the Research and Development Cluster are as follows:

<u>CFDA number</u>	<u>Amount</u>								
10.000	\$ 3,407,518	45.313	\$ 111,301	93.103	\$ 93,824	93.281	\$ 40,512	93.822	\$ 3,050
10.001	62,997	59.037	983,719	93.107	956,976	93.283	16,752	93.837	27,320
10.025	16,000	81.036	16,641	93.110	224,650	93.307	84,410	93.847	79,049
10.303	372,668	84.015	14,683	93.113	22,000	93.310	1,653,698	93.853	29,340
10.311	199,071	84.021	37,490	93.142	423,468	93.350	27,476	93.855	91,415
10.586	5,128	84.116	24,337	93.145	3,763,318	93.351	2,155,733	93.859	483,082
11.000	103,441	84.229	16,781	93.153	221,980	93.359	187,660	93.865	592,404
16.560	13,666	84.334	487,932	93.156	7,218	93.395	103,333	93.928	116,516
17.502	96,228	84.336	370,876	93.157	1,975	93.398	80,033	93.939	32,834
19.501	39,999	84.407	143,545	93.213	90,172	93.510	77,262	93.941	254,049
43.000	177,226	84.411	10,546	93.242	63,715	93.516	89,103	93.969	210,437
43.001	34,353	93.051	8,482	93.243	367,121	93.520	8,240	93.989	390,120
45.161	39,330	93.059	33,483	93.247	13,629	93.738	903,185	99.unk	505,683
45.301	20,991	93.067	2,557,674	93.262	9,146				
								Total	\$ <u>23,907,994</u>

Amounts provided to subrecipients from pass-through awards are not included in the information above as information is not practical to provide.

**UNIVERSITY OF CALIFORNIA**  
 Schedule of Findings and Questioned Costs  
 June 30, 2014

(1) **Summary of Auditors' Results**

***Financial Statements***

Type of auditors' report issued on financial statements:	<b>Unmodified</b>
Internal control over financial reporting:	
• Material weakness identified	<b>No</b>
• Significant deficiencies in internal control disclosed by the audit of the financial statements	<b>See items 2014-001 through 2014-003</b>
Noncompliance material to the financial statements noted	<b>No</b>

***Federal Awards***

Internal control over major programs:	
• Material weakness identified	<b>No</b>
• Significant deficiencies in internal control over major programs	<b>See item 2014-005</b>
Type of auditors' report issued on compliance for major programs:	<b>Unmodified</b>
Any Audit Findings that are Required to be Reported in Accordance with Section 510(a) of OMB Circular A-133?	<b>See items 2014-004 through 2014-007</b>

***Identification of Major Programs***

<u>Name of federal program or cluster</u>	<u>CFDA Numbers</u>
Research and Development Cluster	Provided in the accompanying schedule of expenditures of federal awards
Student Financial Aid Cluster	84.007, 84.033, 84.063, 84.268, 84.037, 84.038, and 84.379
Foster Care Title IV E	93.658
Dollar Threshold Used to Distinguish Between Type A and Type B programs:	\$16,295,475
Auditee Qualified as Low-Risk Auditee?	Yes

**UNIVERSITY OF CALIFORNIA**

Schedule of Findings and Questioned Costs

June 30, 2014

(2) **Findings Relating to the Financial Statements Reported in Accordance with *Government Auditing Standards***

**2014-001 – Reporting Non-Marketable Investments at Fair Value**

***Condition and Context***

As part of our financial statement audits, we tested the fair value of investments held by the University at June 30, 2014 and assessed the reasonableness of the policies and practices for determining fair value. Government Accounting Standards Board (GASB) pronouncements require investments be recorded at fair value as of the financial statement date (June 30). Management is required to estimate investment fair values at June 30, including utilizing information that may be available subsequent to year-end.

During the audit, we obtained valuations provided by the investment general partners as of June 30 and noted the University's investment portfolio fair value was understated as of June 30, 2014 by approximately \$431 million, representing an increase in the value of investments of approximately \$93 million for the University and \$338 million for the retirement plans. The University recorded audit adjustments to appropriately update the valuation.

***Cause and Effect***

We determined the University does not have a written policy in place that addresses the determination and assessment of the fair value of its nonmarketable investment portfolio. The University's policy and current practice is to record nonmarketable investments at a fair value based upon valuations provided by the general partners of the respective partnerships as of either March 31 or May 31, adjusted for capital transactions between the date of the fund manager's statement and June 30 for financial reporting purposes. In the event there are significant changes in the fair value of the investment after the most recent statement date, this practice may not result in an accurate assessment of the fair value at June 30.

***Criteria***

A significant deficiency in internal controls is the result of a deficiency in internal controls, or combination of deficiencies, that adversely affects the entity's ability to initiate, authorize, record, process, or report financial data reliably in accordance with U.S. generally accepted accounting principles (GAAP) such that there is more than a remote likelihood that a misstatement of the entity's financial statements is more than inconsequential will not be prevented or detected. We believe the above findings collectively represent a significant deficiency.

***Recommendation***

We recommend the University develop a formal written policy and practice to more accurately assess and report the fair value of its nonmarketable investment portfolio as of June 30, particularly with regard to those investments with valuation laggards.

***View of Responsible Officials***

Nonmarketable securities by definition do not have readily available market values; therefore, we would estimate the fair values of these securities during the year-end closing process. To the extent final statements with fair value data is available during the year-end closing process, that information is used. However, given

## UNIVERSITY OF CALIFORNIA

### Schedule of Findings and Questioned Costs

June 30, 2014

our year-end is June 30, it is not likely we would receive financial statements by the year-end closing date. Fair values for nonmarketable securities in 2014 were estimated using the same methodology as in prior years. We do recognize the need to improve the year-end valuation process and formalize the valuation documentation.

In terms of impact to fair value over the past several years, values have been both higher and lower than the final June 30 financial statements and in general, management's estimates for the entire investment portfolio have been fair. However, in 2014, there was a large increase in the fair values of all nonmarketable asset classes between the last statement date and the fiscal year-end, requiring a significant adjustment to the financial statements.

Overall, we commit to implementing a robust valuation process for June 30, 2015, including:

- Nonmarketable and marketable security year-end valuation policies;
- Statement of Investment Valuation Principles and Practices; to provide overarching principles and practices that we will follow in the valuation of all investments; and
- Detailed business process maps for all valuation-related processes.

#### **2014-002 – Information Technology Observations**

##### *Condition and Context*

As part of the financial statement audit, we identified targeted automated and system dependent controls to be tested in support of our overall audit opinion. In order to rely on these automated and system dependent controls, information technology (IT) general controls were also tested to establish that the foundational system controls were effectively operating. The IT testwork was performed across twelve locations including the Office of the President, six campuses, and five medical centers.

As part of our testing across the locations noted above, we evaluated the design and operating effectiveness of a variety of general system controls, including:

- Access to programs and data, including whether user access follows a formal access granting and approval process and separated user access is removed in a timely manner; whether access to IT systems and applications is periodically assessed to establish access is commensurate with existing roles and responsibilities; and whether privileged access, including access to make changes to the system processing, is restricted to authorized individuals.
- Program changes and whether necessary system changes are tested and approved.
- System operations and whether system processing activities are managed and monitored for successful completion and/ or incidents are resolved.

##### *Other IT Observations*

In July 2014, a shared drive utilized by personnel of the Office of the Chief Investment Officer (CIO) failed and the data was initially unrecoverable. It was discovered subsequent to the incident that the backup program for this shared drive had failed to take system backups for a considerable period of time. This shared drive was used to store information related to various controls and processes performed by the Office of the

# UNIVERSITY OF CALIFORNIA

## Schedule of Findings and Questioned Costs

June 30, 2014

CIO personnel, including documentation regarding the due diligence performed over investments and ongoing monitoring processes.

The Office of the CIO was ultimately able to recover a portion of the lost data, either from recovery work performed on the shared drive, or through other sources such as emails and hard copy files. In certain instances, University personnel had to recreate unrecovered work that was lost, including information supporting the financial statements.

### *Cause and Effect*

We identified 50 findings where certain procedures are not performed consistently or not formally documented. In particular, we observed:

- For more than 50% of the campuses, all medical centers and the Office of the President, user access for separated employees was not removed timely from the financial systems, including general ledger systems and medical record systems.
- For more than 50% of the campuses and the Office of the President, privileged (super-user) access to systems, including access to make changes to system processing, was not appropriately restricted to authorized individuals.
- For more than 50% of the campuses, three medical centers and the Office of the President, access reviews, to identify and remove user access not commensurate with existing roles and responsibilities, were not consistently completed.
- In two medical centers, procedures to test and approve necessary system changes were not consistently followed.
- In three medical centers, system processing activities were not consistently managed and monitored for successful completion.

### *Criteria*

A significant deficiency in internal controls is the result of a deficiency in internal controls, or combination of deficiencies, that adversely affects the entity's ability to initiate, authorize, record, process, or report financial data reliably in accordance with U.S. GAAP such that there is more than a remote likelihood that a misstatement of the entity's financial statements is more than inconsequential will not be prevented or detected. We believe the above findings collectively represent a significant deficiency.

### *Recommendation*

We recommend that management at each location continue to emphasize the importance of timely periodic user access reviews to the approving/reviewing business and IT managers. The review should be performed on all users with access to the financial systems application, including privileged access. The listings used for review should be generated within the fiscal year and the review evidence should be formally documented including the resolution as it relates to any inappropriate access identified. The completion of these reviews on a timely basis would have identified several of the exceptions noted and help to strengthen the existing control environment.

## UNIVERSITY OF CALIFORNIA

### Schedule of Findings and Questioned Costs

June 30, 2014

In regards to user access de-provisioning, we recommend that management emphasize the importance of timely communications of user separations to the Business and IT teams. To ensure timely and complete user access de-provisioning, we recommend management consider, where feasible, automating the user access de-provisioning process.

For privileged access on IT systems, IT management should continue to review the access periodically to help ensure user accounts are appropriate and required according to each user's job responsibilities.

For the system processing control deficiencies, we recommend management implement processes and controls to monitor and track system alerts and the associated action taken to address them.

In regards to the Investment Office server failure, we recommend the University review the systems and servers in use to ensure proper back-ups occur in accordance with existing University of California standards.

#### *View of Responsible Officials*

We agree that timely and consistent execution of access controls, approval processes, and access review is desired. Due to the vast number of information systems that are maintained at each campus and medical center, managing access continues to be a challenge throughout the University. In addition to efforts to clean up and maintain user access controls and processes, compensating controls are in place at all entities to mitigate the risks that weaknesses in the information technology controls could result in inaccurate financial data. The ongoing efficacy of these compensating controls is illustrated by the fact that no significant exposure was exploited due to the observed deficiencies.

At the locations where access findings were noted, management indicated that it will continue to review and improve compliance to processes for provisioning and de-provisioning user access, and conduct formal, periodic reviews of users with privileged access to key systems. At the locations where findings were noted, procedures for system changes and processing, management indicated that action plans will be developed to strengthen processes. Management has indicated that documentation to evidence these reviews will be retained.

The number of issues identified by KPMG increased this year, as compared to prior years, primarily related to the differences in approach that was taken as compared to prior years. While we understand KPMG has assessed this finding as a significant deficiency due to the number of locations that did not have sufficient controls in place, due to the decentralized nature of the University's operations, we believe it's extremely unlikely that inaccurate financial data from multiple locations would occur simultaneously, reducing the University's ability to prepare accurate financial statements.

To address these findings, each location will be requested to review and provided an action plan to address the findings at their locations, and then monitor implementation at the Office of the President to ensure these deficiencies are being addressed. We plan to promote collaboration and consistency in approach at each site, which in turn will lower the potential risk to the systems as system wide knowledge and practices are shared.

With respect to the shared drive utilized by personnel of the CIO's office that failed with insufficient backups, this matter was identified and brought to the attention of the auditors and this issue has already been corrected by management.

## UNIVERSITY OF CALIFORNIA

### Schedule of Findings and Questioned Costs

June 30, 2014

#### **2014-003 – Accounting for Depreciation**

##### *Condition and Context*

We noted in the reconciliation of the UC San Francisco Medical Center (UCSFMC) financial statements to the systemwide financial statements that there is a difference between the two sets of financial statements in the calculated accumulated depreciation amounts. The total difference was approximately \$102 million at June 30, 2014. The University and the individual Medical Centers maintain separate and redundant accounting records for the calculation of depreciation and accumulated depreciation for the Medical Centers' capital assets.

##### *Cause and Effect*

While the original basis for the UCSFMC capital assets are consistent between accounting systems, there are differences in the conventions and assumptions elected in the depreciation of such assets, including the useful lives of the assets and other depreciation conventions selected upon implementation of new accounting pronouncements. The differences in elections have resulted in a significant reconciling difference between UCSFMC and the systemwide financial statements.

We recognize that the calculation of depreciation is an estimate, subject to the judgment of management. We agree that there are a variety of conventions that may be appropriate when determining the methodologies and conventions utilized in the calculation of depreciation. However, utilization of the current independent systems and independent methodologies has resulted in a \$102 million difference between the UCSFMC financial statements and the systemwide financial statements.

##### *Criteria*

A significant deficiency in internal controls is the result of a deficiency in internal controls, or combination of deficiencies, that adversely affects the entity's ability to initiate, authorize, record, process, or report financial data reliably in accordance with U.S. GAAP such that there is more than a remote likelihood that a misstatement of the entity's financial statements is more than inconsequential will not be prevented or detected. We believe the above findings collectively represent a significant deficiency.

##### *Recommendation*

We recommend the methodologies elected be consistent for the calculation of depreciation for the UCSFMC capital assets, regardless of the internal accounting system utilized to calculate the depreciation. We recommend a process be established to ensure the internal consistency of decisions over depreciation elections between the Office of the President and the UCSFMC. This will help to ensure the publishing of financial statements for both the UCSFMC and systemwide that present consistent financial information.

##### *View of Responsible Officials*

We agree with the recommendation.

Effective June 30, 2014, the \$102 million difference noted above has been eliminated by adjusting the UC San Francisco campus accumulated depreciation for all medical center assets to agree with the UCSFMC records. This adjustment will bring the UC San Francisco campus and UCSFMC financial records into conformity. For all prospective asset additions subsequent to June 30, 2014, UCSFMC and the UC San

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## Schedule of Findings and Questioned Costs

June 30, 2014

Francisco campus will depreciate the additions by conforming useful lives. This will preclude future material differences in depreciation expense and accumulated depreciation that would otherwise arise from the use of different useful lives between the UCSFMC and the UC San Francisco campus.

Historically, medical centers, including the UCSFMC, have always been required to depreciate their capital assets in their separate financial statements under generally accepted accounting principles. The estimates and methodologies for depreciating assets of medical centers utilize the American Hospital Association – Estimated

Useful Lives of Depreciable Hospital Assets and are the basis for reporting costs to the Medicare and Medi-Cal programs. UCSFMC depreciates its buildings in accordance with these healthcare industry guidelines ensuring optimal and appropriate government reimbursement for these costs.

Under Government Accounting Standards Board (GASB) standards, depreciation of capital assets for public university financial statements was not required until 2002. In conjunction with the implementation of the new GASB standard in 2002, the University of California developed systemwide methodologies and guidance for depreciating its assets in consultation with its campuses and medical centers, and the external auditors. While these methodologies contemplated insignificant variances between the campuses and medical centers, the inconsistent application of useful lives resulted in the large difference between UCSFMC and the UC San Francisco Campus as of June 30, 2014. UC San Francisco campus agrees that it must modify its approach to eliminate inconsistencies between the campus and UCSFMC records with respect to the estimate of useful lives used in the calculation of depreciation to minimize the risk of significant variances in the future.

### (3) Findings and Questioned Costs Related to Federal Awards

#### **2014-004 – Disbursements To and On Behalf of Students**

Cluster /program name: Student Financial Aid Cluster

CFDA number: 84.268 Federal Direct Loans, 84.038 Federal Perkins Loan Program

Federal Agency: Department of Education

Pass-through entity: none

Award Year: July 1, 2013 – June 30, 2014

#### ***Criteria or Specific Requirement***

Per 34 CFR section 668.165, with respect to each disbursement of Federal Direct Loans or Federal Perkins Loans, institutions must notify the student or parent in writing to communicate the date and amount of the disbursement and of the student's or parent's right to cancel all or a portion of the loan or loan disbursement and have the loan proceeds returned to the holder of the loan. This notification must be made no earlier than 30 days before and no later than 30 days after each loan disbursement if the campus has implemented an affirmative confirmation process and no later than 7 days after each loan disbursement if they have not implemented an affirmative confirmation process.

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#### *Condition Found and Context*

For 2 campuses out of 5 campuses sampled, the campuses do not provide written disclosures to students or parents of their right to cancel a loan or portion of a loan for each loan disbursement. The disclosures are only sent for the original disbursement and not for subsequent disbursements for a loan.

For one of these two campuses, notifications for 74 of 108 disbursements tested for 40 students were not sent within the 30-day deadline. The notifications ranged from one that was sent 13 days too early to 371 days after the 30-day deadline.

#### *Cause and Effect*

The campuses utilized the Common Origination and Disbursement System (COD) to generate the required disclosures to borrowers. The two campuses believed the COD disclosures to borrowers met the Department of Education requirements, and were unaware that the COD only generates Disclosure Statements with the student's or parent's right to cancel upon initial loan disbursement, and not for subsequent disbursements.

With respect to the late notifications at one campus, the campus also includes the notification on the monthly billing statement which is sent on the 22nd of each month, regardless of the disbursement date. Monthly billing statements are only sent if the account has a balance owed. This practice resulted in some notifications being sent too early or too late.

#### *Questioned costs*

None

#### *Recommendation*

We recommend that these two campuses update their practice that would include providing notification to borrowers of their right to cancel loans on each loan disbursement.

#### *Views of Responsible Officials*

Management's response is reported in "Management's Response and Corrective Action Plan" and is considered part of this report.

#### **2014-005 – Enrollment Reporting**

Cluster /program name: Student Financial Aid Cluster

CFDA number: 84.268 Federal Direct Loans

Federal Agency: Department of Education

Pass-through entity: none

Award Year: July 1, 2013 – June 30, 2014

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#### ***Criteria or Specific Requirement***

Per 34 CFR section 685.309, institutions with direct loan programs must complete and return to the National Student Loan Data System for Students (NSLDS) within 30 days the enrollment reporting roster file provided by NSLDS, unless the school expects to complete the next roster within 60 days, then they must return it within 60 days. The institution must update changes in student status, report the date the enrollment status was effective, enter the new anticipated completion date, and then submit changes electronically to the NSLDS, for the purpose of providing complete and accurate data to lenders regarding enrollment status so they may properly determine when repayment of the loans should begin.

#### ***Condition Found and Context***

During our testing of enrollment status reporting, we sampled 200 students at 5 campuses. We noted a total of 15 students at 3 campuses, for whom the student's change in status was not reported to NSLDS within 60 days after the campus became aware of the change in status. Data was reported between 2 and 214 days late for these 15 students.

Since late enrollment status reporting was identified at 3 of the 5 campus sampled, we consider this to be a significant deficiency in internal control over the compliance requirement for timely enrollment status reporting.

#### ***Cause and Effect***

All campuses utilize the services of the National Student Clearinghouse (NSC) to assist in the reporting of enrollment status changes to NSLDS. The delay in reporting enrollment data to NSLDS for the 15 students at all 3 campuses related to students whose status changed in the spring and summer months. These 3 campuses determined that their reporting to NSC for spring and summer sessions that would occur during the summer was less frequent than during the school year, and this summer reporting schedule to NSC resulted in NSC reporting the data to NSLDS after the 60 days permitted.

#### ***Questioned Costs***

None

#### ***Recommendation***

We recommend that each of the campuses review and modify their file submission protocol, and enhance their procedures and controls to ensure timely reporting of student status changes. We also recommend the each of the campuses review their submissions against the NSLDS website to confirm that the information is transmitted timely.

#### ***Views of Responsible Officials***

Management's response is reported in "Management's Response and Corrective Action Plan" and is considered part of this report.

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June 30, 2014

**2014-006 – Return of Title IV Funds**

Cluster /program name: Student Financial Aid Cluster

CFDA number: 84.268 Federal Direct Loans, 84.063 Federal Pell Grant Program, 84.038 Federal Perkins Loan Program, 84.033 Federal Work-Study Program, 84.007 Federal Supplemental Educational Opportunity Grants, 84.379 Teacher Education Assistance for College and Higher Education Grants

Federal Agency: Department of Education

Pass-through entity: none

Award Year: July 1, 2013 – June 30, 2014

***Criteria or Specific Requirement***

Per 34 CFR sections 668.22 (a)(1) through a(5), with respect to the return of Title IV funds, the following requirements apply:

- When a student withdraws officially or unofficially, the institution must determine the withdrawal date and the amount of Title IV aid earned by the student as of the student's withdrawal date.
- If the student's withdrawal date is after the completion of 60% of the calendar days of the semester, no refund is generated. If it is less than 60%, a refund calculation must be performed and funds must be returned to the federal program within 45 days of the withdrawal date.
- Aid must be returned in the following order: unsubsidized direct loans, subsidized direct loans, Federal Perkins loans, Federal Direct Plus, Federal Pell Grant, Federal Supplemental Educational Opportunity Grants, Teacher Education Assistance for College and Higher Education Grants, and Iran and Afghanistan Service Grants.

***Condition Found and Context***

We selected a total of 200 refunds from 5 campuses and noted that two campuses incorrectly computed the refunds for a total of 4 students.

***Cause and Effect***

For the 4 students whose refunds were calculated improperly, we noted the following:

- For 1 student, the withdrawal date used on the refund calculation worksheet was the refund calculation date instead of the student's withdrawal date, resulting in an under return of Title IV funds totaling \$329.
- For 2 students whose status changed from full time to part time during the quarter, their refund computations were improperly based on their prior full time status, and not their part time status, resulting in an under return of Title IV funds totaling \$102 and \$99.
- For 1 student, undisbursed portions of aid were included in the refund calculation worksheet in error, resulting in an over return of Title IV funds totaling \$163.

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Although each of these refund calculations were reviewed and approved by a supervisor, the supervisor's review did not identify these calculation errors.

***Questioned costs***

The questioned costs associated with 3 of the 4 students were \$530. There were no questioned costs associated with the last student as a total of \$163 was returned to the government in excess of the amount required to be returned.

***Recommendation***

We recommend that management at the affected campuses strengthen the review procedures to ensure accurate returns of Title IV funds are calculated, and made within the timeframe required. Such procedures could include a more detailed review of each computation, and a cross check of the amounts, days and other relevant information used to determine the refund, performed by an individual knowledgeable of the requirements for returns of Title IV funds.

***Views of Responsible Officials***

Management's response is reported in "Management's Response and Corrective Action Plan" and is considered part of this report.

**UNIVERSITY OF CALIFORNIA**

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**2014-007 – Reporting**

Cluster /program name: Research and Development Cluster

CFDA number: 93.396, 93.242, 93.837, 93.359, 93.279

Federal Agency: Department of Health and Human Services

Passed through entity: None

Award Year: July 1, 2013 – June 30, 2014

***Criteria or Specific Requirement***

Per OMB Circular A-110 (2 CFR section 215.52) and grant agreements, federal financial reports (FFR) are required to be filed for each federal award on an annual basis. These reports are required to be accurate and complete and supported by the general ledger of the university. In addition, under the Transparency Act and 2 CFR part 170, the University is required to report key elements of any first tier subawards over \$25,000 in the Federal Funding Accountability and Transparency Act Sub-award Reporting System (FSRS) no later than the last day of the month following the month in which the subaward obligation was made. Key elements that are required to be reported are the subaward date, subawardee DUNS number, amount of the subaward, subaward obligation date, and the subaward number.

***Condition and Context***

We selected 160 samples at 4 campuses, and noted that 6 FFATA reports at one campus were filed later than the last day of the month following the month in which the subaward obligation was made. These reports ranged from 8 days to 10 months late.

***Cause and Effect***

The campus was in the process of putting in place new procedures that would trigger the timely completion and filing of these reports.

***Questioned Costs***

None

***Recommendation***

We recommend that the campus affected finish implementation of the new process that would trigger the completion of FFATA reporting upon the completion of signing of the subaward documents and ensure that going forward these reports are filed by the deadline.

***Views of Responsible Officials***

Management's response is reported in "Management's Response and Corrective Action Plan" and is considered part of this report.

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### Summary Schedule of Prior Year Findings and Questioned Costs

June 30, 2013

#### (1) Status of Prior Year Findings (FYE June 30, 2013)

##### **Finding 2013-01: Conduct a Biennial Physical Inventory**

A full physical inventory of equipment was not performed within the last two years at one campus.

##### *Status*

The corrective actions were completed by the affected campus. As indicated in the 2013 Management's Response and Corrective Action Plan, the increased communications with campus leadership was completed in April 2013. All equipment inventory requirements for the October 31, 2014 cycle were completed timely.

##### **Finding 2013-002: Transparency Act Reporting Requirements**

A total of four Federal Funding Accountability and Transparency Act ("FFATA") reports at three campuses had not been submitted timely into the FFATA Subaward Reporting System (FSRS).

##### *Status*

The following corrective actions were completed by the affected campuses.

One campus implemented the new procedures described in the 2013 Management's Response and Corrective Action Plan which included using a monthly report to track records requiring FFATA reporting and utilizing a second staff member to double-check that all records were reported on time. This has ensured that all FFATA reports have been filed timely.

In June 2014, the second campus created a report which was intended to facilitate the FFATA reporting requirements. However, it self-identified ineffectiveness with the planned procedures. In August 2014, this campus created a new position and hired a Subaward Manager to maintain FFATA reporting for all Federal awards for Government and Business Contracts (GBC) and the Research Management Services (RMS). In October 2014, a new process was developed to identify and flag awards that had met the FFATA requirements. This process involved a determination of FFATA requirements at the time of award set-up and update of an award attribute in the Research Administration System (RAS). The SubAward Manager would audit and validate the attribute. A new report was also created to identify all subawards issued during the month using the FFATA attribute. Through this report, the campus should achieve the required effectiveness and efficiency in the filing process. Full implementation was completed in October 2014. Given the delay in implementation, this campus implemented a periodic FFATA review whereby a random sample of various subcontracts was reviewed to determine if the FFATA filing requirements had been met. The campus uncovered the six instances of noncompliance noted in the 2014 findings report which were promptly corrected.

At the third campus, the Corrective Action Plan (CAP) was fully implemented. The CAP included forming a new team that was responsible for subaward transactions only. This team would keep a spreadsheet of each subaward that executed and whether those subawards are subject to FFATA. The team then would generate a monthly report to check against FSRS.gov. These reviews are being performed to ensure subawards have been reported as required.

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Summary Schedule of Prior Year Findings and Questioned Costs

June 30, 2013

**Finding 2013-003: Calculation of Title IV Funds Returned**

At two campuses, the amount of Title IV funds to be returned was incorrectly calculated for three students.

***Status***

The following corrective action was completed by the affected campus.

At the first campus, the two additional steps in the Title IV refund process described in the 2013 Corrective Action Plan was fully implemented. The Withdrawal Coordinator now performs a final review of (1) the withdrawal form and the R2T4 (Return to Title IV) worksheet to confirm consistency in withdrawal dates, and (2) aid posted in Banner and the R2T4 worksheet to confirm accuracy of the amounts.

The other campus implemented a new secondary review process to ensure that accurate withdrawal dates were used in the calculation for returning funds. They had performed this review for all FY2013 records and made corrections where appropriate. This secondary review of withdrawal dates has ensured that the calculated and returned Title IV funds are done appropriately.



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February 20, 2015

**MANAGEMENT'S RESPONSE AND CORRECTIVE ACTION PLAN**

**REPORT ON AUDIT OF FINANCIAL STATEMENTS AND ON FEDERAL AWARD PROGRAMS  
IN ACCORDANCE WITH OMB CIRCULAR A-133 FOR THE YEAR ENDED JUNE 30, 2014**

**2014-004- Disbursements To and On Behalf of Students**

The US Department of Education Common Origination and Disbursement (COD) website allows campuses to have the loan disclosure statements sent out on their behalf. The affected campuses believed that the notice of cancellation was included in these disclosures sent by COD. As such, both campuses expected the language provided on the Disclosure Statements sent by COD on behalf of the campus to satisfy this requirement. Consequently, the loan disbursement notification on student bill is not accompanied by an additional copy of the cancellation rights. While the notifications were included in the original disclosure statements, they were not provided for subsequent loan disbursements. The first campus will develop a new process to inform students via their web portal of the right to cancel at the time of disbursement. Implementation of this new process is expected to be completed by April 1, 2015. The other campus is in the process of determining whether to make changes in the billing process to include timely notification or to implement an automated disbursement notification process. This process is expected to be in place for spring aid disbursement in March.

**2014-005- Enrollment Reporting**

The three affected campuses utilize the National Student Clearinghouse (NSC) to report enrollment status change to the National Student Loan System (NSLDS) and these campuses did not have sufficient details regarding the timing of the NSC file delivery schedule used by NSLDS. Consequently, the reduced submission frequency during the summer sessions caused the late reporting for some students. The first campus Registrar office has already reviewed and revised its degree file submission protocol to communicate any changes in enrollment status within 30 days to NSC, including all summer terms. In addition, they have begun performing periodic spot checks on NSC submissions to the NSLDS to detect timing problems and ensure that NSLDS receives the necessary feeds within the 60-day guidelines. The second affected campus updated its practice of manually updating the students' records with the NSC at the time of withdrawal from the campus during the summer. Additionally, the campus will be implementing, by fall 2015, an enhancement to automatically include student withdrawal status with their weekly "Graduates Only" file

submission. The third affected campus plans to monitor and verify NSC reporting by comparing the NSC reporting timeline with the NSLDS receiving timeline to ensure that all reporting is within the regulations. Adjustments will be made in the reporting schedule to NSC should it be needed to remain compliant. In addition, this campus has also requested that NSC to begin sending the graduation file to NSLDS for the group of semester enrolled students effective immediately. All corrections and verification of reporting timelines are expected to be completed by April 30, 2015.

#### **2014-006- Return of Title IV Funds**

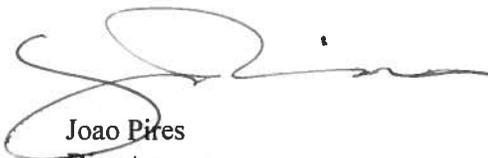
At one affected campus, the under refund of one student was corrected and refunded back to the program. In addition, this campus put in place the following controls over the withdrawals process to ensure timely and accurate refunds:

- 1) Implemented an automated calculation worksheet to assist with staff accuracy of calculation. The new worksheet calculates some of the data to be entered, as well as provides notes and reminders for counselors as they complete the process. This step was completed in January 2015.
- 2) Provided additional supervisor training on review and monitoring of staff work. With the hiring of 2 new supervisors, this campus has included additional process where the supervisors have to provide evidence of their review. Additionally, the senior management and supervisors' team meet regularly to review the policy and business procedures of the withdrawal process.

For three students at the second campus, Return of Title IV calculations were incorrectly computed due to "unpaid aid" being included. The three instances mentioned have been corrected. This campus had implemented two additional steps in the Title IV refund process. 1) All "unpaid aid" is now reviewed and revised if appropriate prior to a Return of Title IV calculation being performed. 2) The Fiscal Manager now performs a monthly supervisor review of withdrawal calculations to confirm accuracy of amounts, consistency in withdrawal dates, and return of funds within 45 days of student's withdrawal. Additionally, a hard copy is retained to document evidence of monthly supervisor review.

#### **2014-007- Reporting**

In August 2014, the affected campus created a new position and hired a Subaward Manager to maintain FFATA reporting for all Federal awards. In October 2014, a new process was developed to identify and flag awards that had met the FFATA requirements. This process involved a determination of FFATA requirements at the time of award set-up and update of an award attribute in the Research Administration System (RAS). The SubAward Manager would audit and validate the attribute. A new report was also created to identify all subawards issued during the month using the FFATA attribute. Through this report, the campus should achieve the required effectiveness and efficiency in the filing process. Full implementation was completed in October 2014. The campus compliance office will conduct a FFATA review in March 2015 to confirm the effectiveness of the new control and adjust accordingly.



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